

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
COVER PAGE

OWNER: DG TAXUD	ISSUE DATE: 30/03/2021	VERSION: 7.00
<p>European Commission Taxation and Customs Union DG</p> <p>SUBJECT: EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS) SC22-QTM007</p>		
<p>FRAMEWORK CONTRACT: TAXUD/2013/CC/124</p>		
<p>STATUS: SfA</p>		

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1. INTRODUCTION

1.1. Document Purpose

This document presents the L4 Functional Requirements Processes for the New Computerised Transit System. This includes L4 processes that show the human interaction tasks and the automated tasks, the data of the information exchanges, functional requirements and non-functional requirements, input and output data and services. To enhance the readability of this document, the following parts are included in annexes; the glossary of terms, the data of the information exchanges, the rules and conditions, the code lists and the services. In addition, there is an annex containing graphic representations of the L4 Business Process Diagrams. This report is generated from ARIS and only contains information present in ARIS.

1.2. Deviation From TEMPO

The following TEMPO sections are not part of this document:

- Sign Off list;
- Distribution List;
- Scope;
- Applicable Documents;
- List of Tables;
- List of Figures.

The following TEMPO sections are added to this document:

- Project Ownership;
- Intended Audience.

1.3. Assumption and Constraints

The reader should have a basic knowledge of the EU BPM methodology.

1.4. Project Ownership

The unit DG TAXUD/A3 is the project owner of this EU Customs Functional Requirements BPM Report.

1.5. Intended Audience

The purpose of this document is to establish a common understanding between readers that are familiar with the applicable documents and may belong to the following categories:

- Any person responsible for the NCTS system processes, requirements, functional specifications or conformance testing;
- Any person of the development team of DG TAXUD/R5 who will use this document as a reference during the development cycle;
- Any person from the DG TAXUD units who has to verify whether the current document is consistent with the applicable documents and depicts the solution to be followed;
- Any Member State (MS) or Trade representatives who have to identify the actions, implications and consequences on their national domain, including both business people and people having an IT background.

1.6. Structure of Document

This document is organised as follows:

- Introduction;

- References, containing a list of acronyms and abbreviations and the documents referenced in this functional requirements document;
- Document History, listing the different versions and submission dates of this functional requirements document;
- High Level System Overview, providing an overview of the system and the actors involved in this functional requirements document;
- Process Models, visualising in separate sections information from all L4 BPMs relating to the system covered in this functional requirements document.

In separate documents, the following related annexes may be found:

- Annex 1: Model Graphics, providing all L4 BPM models;
- Annex 2: Glossary, providing a list of basic terms with their meanings applicable across all domains / levels within the EU Customs Business Processes;
- Annex 3: Requirements, providing a list of all requirements for the system covered in this functional requirements document;
- Annex 4: Code Lists, providing all code lists for data used in the information exchanges of the system covered in this functional requirements document;
- Annex 5: Information Exchange, providing all information exchanges and their content for the system covered in this functional requirements document;
- Annex 6: Rules & Conditions, containing lists of all conditions and rules that are related to data in the information exchanges.
- Annex 7: List of Amendments, containing lists of all UCC changes covered in this functional requirements document.

2. REFERENCES

2.1. Acronyms and abbreviations

ACRONYM	DESCRIPTION
AAR	Anticipated Arrival Record
AD	Andorra
AEO	Authorised Economic Operator
AES	Automated Export System
ATIS	Anti Fraud Transit Information System
ATR	Anticipated Transit Record
AXR	Anticipated Exit for Transit Record
BPM	Business Process Modelling
BUS	Business Requirement
CH	Switzerland
CIM	Uniform Rules concerning the Contract of International Carriage of Goods by Rail
COL	Customs Office List
CTC	Common Transit Countries
DA	Delegated Acts
DG TAXUD	Directorate General for Taxation and Customs Union
EC	European Commission
EDI	Electronic Data Interchange
EEC	European Economic Community
EFTA	European Free Trade Association
EORI	Economic Operators Registration and Identification
EU	European Union
FUR	Functional Requirement
GRN	Guarantee Reference Number
GUA	Guarantee
IA	Implementing Acts
IATA	International Air Transport Association
ICAO	International Civil Aviation Organisation
ICS	Import Control System
ID	Identifier

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IE	Information Exchange
ISO	International Organisation for Standardisation
IT	Information Technology
LRN	Local Reference Number
LSA	Leaving Security Area
MRN	Master Reference Number
MS	Member State(s)
NCF	Notification Crossing Frontier
NCTS	New Computerised Transit System
NO	Norway
N/A	Not Applicable
Ref	Reference
REV	Review
SAD	Single Administrative Document
SM	San Marino
SMGS	Agreement on International Freight Traffic by Rail
TAD	Transit Accompanying Document
TIN	Trader Identification Number
TIR	Transports Internationaux Routiers
TSAD	Transit Security Accompanying Document
UCC	Union Customs Code
UNECE	United Nations Economic Commission for Europe

Table 2.1: Acronyms and abbreviations

2.2. Reference Documents

The following documents are of interest to this document and its annexes:

REF.	TITLE	ORIGINATOR	VERSION	DATE
R01	EU CUSTOMS BPM METHODOLOGY DOCUMENT	DG TAXUD	6.00	10/11/2015
R02	CUST-DEV3 SC06-QTM001-DLV-001-5.3-0-2-1.0 - EU Customs Global and Interaction BPM Report	DG TAXUD	4.10	21/01/2015
R03	Union Customs Code: Regulation (EU) 952/2013	DG TAXUD	952/2013	09/10/2013
R04	Union Customs Code	DG TAXUD	2015	23/12/2015

REFERENCES

	Implementing Act: 2015/2447			
Table	2.2:	Reference		Documents

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
DOCUMENT HISTORY

3. DOCUMENT HISTORY

ED.	REV.	DATE	DESCRIPTION	ACTION *	PAGES
0	01	21/06/2013	First draft	I	All
0	10	19/07/2013	Submitted for Review	U	All
0	11	30/07/2013	Implementation of Internal Review Comments	U	All
1	00	02/08/2013	Submitted for Acceptance to DG TAXUD	-	-
1	10	03/06/2016	Submit for Review	U	All
2	00	23/08/2016	Submitted for Acceptance to DG TAXUD	-	-
2	10	16/11/2016	Submitted for Review to DG TAXUD	-	-
3	00	06/12/2016	Submitted for Acceptance to DG TAXUD	-	-
3	10	15/12/2016	Submitted for Review	-	-
3	20	14/08/2017	Submitted for Review to DG TAXUD	-	-
4	00	21/09/2017	Submitted for Acceptance to DG TAXUD	-	-
4	10	21/09/2017	Submitted for Review to DG TAXUD	-	-
5	00	21/09/2017	Submitted for Acceptance to DG TAXUD	-	-
5	10	19/12/2017	Re-Submitted for Acceptance to DG TAXUD	-	-
	undefined		Submitted for Acceptance (MSC)		
	undefined		Submitted for Review to DG TAXUD		
6	00		Submitted for Acceptance to DG TAXUD		
6	00		Submitted for Acceptance (MSC) to DG TAXUD		
6	10		Submitted for Review (SfR) to DG TAXUD		
7	00		Submitted for Acceptance (SfA) to DG TAXUD		

Table 3.1: Document History

*I = Insert, R = Replace, U = Update

4. HIGH LEVEL SYSTEM OVERVIEW

4.1. Core Business

This section presents the various business process threads of the Transit core business.

This introduction (and only this introduction) provides a description and a graphical overview of the core business which is not intended to be neither exhaustive nor methodologically correct. It is rather intended to highlight the major items of the core business and the links that exist between them. These major items will then be described in the rest of this section.

The major process threads are:

- process departure;
- process movement at Customs Office of Transit;
- process arrival;
- handle enquiry.

Clearly, these process threads are inter-dependent, e.g., the processing of a Transit movement crossing a frontier will happen after the processing at departure. The 'link' between those two processes is the journey of the consignment from the Customs Office of Departure to the Customs Office of Destination via Customs Offices of Transit. This link appears in the diagram below, but not in the process threads themselves.

Major item of the core business is that NCTS delivers data for Safety and Security risk analysis and communicates the results of risk analysis and control results between the offices concerned.

An overview of the main path is:

The movement is initiated. Thereafter, the Holder of the Transit Procedure receives the NCTS Accompanying Document and the vehicle with the consignment covered by this document goes to the first Customs Office of Transit (if any).

The following might happen not at all or several times. The consignment arrives at a Customs Office of Transit where the processing of crossing a frontier occurs. Afterwards, the consignment leaves either to the next Office of Transit, or to its final destination.

Normally, the consignment arrives at destination. The goods are presented to the Customs Office of Destination which processes the arrival. Once the arrival processing is complete, the Customs Office of Departure writes-off the movement.

In case the Customs Office of Departure does not receive any feed-back about the arrival of the movement within the expected period, an enquiry procedure is started. Depending on the result of the enquiry, taxes and duties might be collected. In all cases, sooner or later, the movement is written-off.

The following diagram depicts that main path, but for purposes of clarity without showing details such as:

- amendments;
- declaration validation, acceptance and verification;
- risk analysis;
- control processes either at departure or at destination;
- handling of control results;
- possibility to have a route without Customs Office of Transit or with more than one Customs Office of Transit;
- diversion.

The following Transit procedures are processed by NCTS:

- Common Transit, which is used for the movement of goods among the EU member states and Common Transit Convention Countries and between the Common Transit Countries themselves;
- Union transit (applicable only to EU member states), which is the procedure that provides for the movement, under Customs control, of goods that are not in free circulation through the Community and also for the movement of free circulation of goods in certain circumstances. It comprises of two separate procedures:
 - The External Union Transit procedure (T1) and

- The Internal Union Transit Procedure (T2);
 - TIR Transit (applicable only to EU member states) which allows the movement of goods internationally over one or more frontiers and where some portion of the journey between the start and end of the TIR operation is conducted by road.
- NCTS caters for the lodgement of the safety and security data together with a Transit declaration. This data can be lodged for Entry of Goods and Exit purposes.

4.2. Interfaces With Other IT Systems

The following interfaces with other IT systems apply only to EU Member States.

4.2.1. Risk Analysis System

- NCTS interfaces with the national risk analysis systems of the Member States and ensures that risks analysis is initiated once the transit data is received and validated by the NCTS.
- The national risk analysis systems of the Member States carry out the required risk analysis and sends the risk analysis results together with control recommendation back to NCTS.
- Risk analysis result codes, control recommendation codes, control types and control result codes are defined on an EU level and are managed in the national risk analysis systems; they are not transparent to NCTS.
- NCTS forwards the risk analysis results, control recommendations and control results related to a specific transaction to the relevant Customs Offices involved in the process.

4.2.2. EOS Economic Operator Systems

- Where the regulation requires or allows Traders to be registered in EOS, a unique EORI is assigned to that Trader by the relevant Member State. The EORI number is managed and registered in EOS.
- Where EOS registered Traders are to be declared in an NCTS message, the EORI number shall be declared.
- When validating a received NCTS message, NCTS consults the EOS in order to check the provided EORI number.
- If the declared EORI number is not valid or if it is not declared where it is required, NCTS rejects the transit data set using the appropriate NCTS error message.
- Authorised Economic Operators and their AEO-certificates are registered and managed in the EOS.
- When validating a received data set, NCTS consults the EOS to check whether the appropriate Traders are registered as AEO and whether they have a valid AEO-certificate of the relevant type. The query will be performed on the basis of the declared EORI or TCUIN.
- Where NCTS checks the provided data against the validated data authorised by Customs preferably the common database to be consulted. Alternatively, a national replica can be consulted, provided that the necessary frequency of the synchronisation is ensured.

4.2.3. UCC Customs Decisions System

- The Customs Decisions system allows the electronic processing and central storage of the applications and authorisations and publication of the list of holders on the Internet. The IT system will facilitate the necessary consultations between MS' customs authorities during the decision taking period and the management of the authorisations process.
- When validating a received data set for which authorizations are valid in more than one MS, NCTS consults the UCC Customs Decision system to check the existence and validity of the customs decisions.

4.2.4. UCC AES

In order to synchronize the transit and export procedures in a harmonized way and to avoid open movements, NCTS needs to interface with AES when export is followed by transit. This is part of the so-called national domain.

For both external and internal transit, NCTS will inform AES:

- In case goods are released for Transit;
- In case goods are not released for Transit.

NCTS will inform AES:- In case of Control Results. When Control Results are communicated to the Customs Office of Departure, NCTS will inform AES that there is positive/negative Control Results. In case of negative Control Results, NCTS will inform AES about the discrepancies for the movement identified.

Finally, for the exception case of recovery, NCTS will inform AES at the start of the recovery.

4.2.5. EU Customs Single Window

- EU Customs Single Window enables economic operators to lodge electronically and once only all the information required by customs and non-customs legislation for EU cross-border movements of goods.
- Single Window will allow parties involved in trade and transport to lodge standardised information and documents with a single entry point to fulfil all transit-related regulatory requirements.

4.3. Guarantee Management

4.3.1. Purpose of This Chapter

This chapter deals with the management of guarantee in general, independently of the subsystem where guarantee data is recorded, i.e.:

- the guarantee management subsystem, at the Customs Office of Guarantee, where the data related to individual guarantee by Guarantor, individual guarantee in the form of vouchers, comprehensive guarantee, individual guarantee with multiple usage, guarantee waiver, is recorded;
- the Customs Office of Departure subsystem where the other guarantees are handled.

This chapter also covers the services required by the Customs Office of Departure from the guarantee management subsystem, namely:

- Check guarantee integrity;
- Register guarantee usage;
- Release guarantee;
- Credit reference amount;
- Cancel guarantee usage.

4.3.2. Definitions

The Holder of Transit procedure shall furnish a guarantee in order to ensure payment of the debt which may be incurred in respect of the goods.

4.3.2.1. General

Guarantee:

An enforceable legal instrument with which a customs administration can recover duties and taxes which become payable in the event of irregularities during a transit movement.

The guarantee shall be:

- (a) either an individual guarantee covering a single common transit operation (Cash deposit, individual guarantee by guarantor, individual guarantee in the form of vouchers);
- (b) or, where a simplification is used, a comprehensive guarantee covering several operations (Comprehensive guarantee, individual guarantee with multiple usage, guarantee waiver)

Reference amount:

The amount of the debt which may be incurred in respect of goods the Holder of Transit procedure places under the transit procedure.

Guarantee certificate:

A certificate issued by a Customs office of guarantee to a Holder of Transit procedure to enable the latter to start a transit movement.

4.3.2.2. Guarantee Types

The guarantee types are coded from 0 to 8 and letter A to H:

- Code 0 : For guarantee waiver (Article 95(2) of the Code)
- Code 1 : For comprehensive guarantee (Article 89(5) of the Code)
- Code 2 : For individual guarantee in the form of an undertaking by a guarantor (Article 92(1)(b) of the Code) ;
- Code 3 : For individual guarantee in cash or other means of payment recognised by the customs authorities as being equivalent to a cash deposit, made in euro or in the currency of the Member State in which the guarantee is required (Article 92(1)(a) of the Code);
- Code 4 : For individual guarantee in the form of vouchers (Article 92(1)(b) of the Code and Article 160);
- Code 5 : For guarantee waiver where the amount of import or export duty to be secured does not exceed the statistical value threshold for declarations laid down in accordance with Article 3(4) of Regulation (EC) No 471/2009 of the European Parliament and of the Council (*) (Article 89(9) of the Code)
- Code 7 : For individual guarantee in another form which provides equivalent assurance that the amount of import or export duty corresponding to the customs debt and other charges will be paid (Article 92(1)(c) of the Code);
- Code 8 : For guarantee not required for certain public bodies (Article 89(7) of the Code);
- Code 9 : Individual Guarantee with multiple usage;
- Code □ : For guarantee waiver by agreement (Article 10(2) (a) of the Convention).
- Code B : For guarantee furnished for goods dispatched under TIR procedure;
- Code C : For guarantee not required for goods carried by fix transport installations (Article 89(8)(b) of the Code).

4.3.2.3. NCTS Individual Guarantee Voucher

An NCTS individual guarantee voucher is the equivalent, in NCTS, of paper individual guarantee vouchers sold by the Guarantor.

4.3.2.4. Guarantee Reference Number

Each NCTS guarantee will be referenced by a unique Guarantee Reference Number (GRN) which will be used for validation in the guarantee management sub system.

The "Guarantee Reference Number" (GRN) is allocated by the Customs office of guarantee to identify each single guarantee.

4.3.2.5. Access Code

A specific guarantee may only be used by the persons who are authorised to do so. The authentication of these persons will be done by means of a guarantee access code.

The guarantee access code can be considered as a secret security code (or kind of password) to secure the use of a specific guarantee. This access code is defined at the level of the guarantee:

- for comprehensive guarantees, individual guarantee with multiple usage, and guarantee waivers, the Holder of the Transit Procedure has to allocate one or more access codes per guarantee and to communicate this information to people he authorises to use the guarantee;
- for each individual guarantee the Customs Office of Guarantee allocates an access code and communicates this information to the Holder of the Transit Procedure;
- for NCTS individual guarantee vouchers, the Guarantor allocates an access code per NCTS individual guarantee voucher sold and communicates that information to the Holder of the Transit Procedure. The latter will be responsible for communicating this information to people that he authorises to use the NCTS individual guarantee voucher he bought.

4.3.3. Guarantee Check & Lock

For the Transit operations, during the departure processing, when requested by the Customs Officer, a first guarantee check can be performed at the time the declaration data is verified.

This is formalised by the means of two ‘information to be exchanged’ flows: ‘GUARANTEE CHECK’ (IE200), and ‘GUARANTEE CHECK RESULT’ (IE201).

A guarantee check & lock is performed just before the issuing of the Transit transaction data. When the check is successful, the guarantee usage is automatically registered (implicit lock). When the check is not successful, the result and reason are sent back and no guarantee lock is performed. This is formalised by two ‘information to be exchanged’ flows: ‘GUARANTEE USE’ (IE203), and ‘GUARANTEE USE RESULT’ (IE205).

4.3.4. Monitoring the Use of Guarantee

In this context, “monitoring” means the way NCTS will track the use of a guarantee, i.e. the data that is recorded at use time.

Basically there are 3 types of monitoring the use of a guarantee, coded as follows:

- Code 1: MRN, date;
- Code 2: MRN, date, amount;
- Code 3: MRN, date, amount and update account (decrease at lock-time, increase at release-time).

4.3.5. Use of Certificates in Pure NCTS

Currently a guarantee certificate has 2 goals:

- to prove the existence of a comprehensive guarantee or guarantee waiver to cover Transit movements;
- to declare and authenticate the persons authorised (by the Holder of Transit procedure) to use the related guarantee of the Holder of Transit procedure.

For the first goal, in “pure” NCTS, the existence of a guarantee will be proved by the existence of the GRN in the guarantee management subsystem and not by means of guarantee certificates (which are easy to forge).

For the second goal, in “pure” NCTS the check (at Customs Office of Departure) if the person presenting the declaration is authorised to use the guarantee of the Holder of Transit procedure will be made on the basis of an access code associated to the guarantee.

So, in ‘pure’ NCTS the guarantee certificates are no longer strictly necessary, unless for fallback solutions.

4.3.6. Remarks

1. NCTS will only provide support for the management of guarantee data related to the guarantee usage to cover NCTS-Transit movements.
2. The physical management of the Guarantor’s undertaking (the guarantee paper-documents) is a national matter and out of the scope of NCTS.
3. The Transit movements involved in the estimation of the reference amount of a new comprehensive guarantee or guarantee waiver (or the estimation of the amount of a new individual guarantee with multiple usage) are the Transit movements initiated from Offices of Departure in the same country as the one where the guarantee is issued.
4. The review of the amounts of point 3 above will be based on the usage of the given guarantee to cover Transit movements initiated from any Offices of Departure in the Transit Union.
5. The issuing of individual guarantee vouchers (documents) is a Guarantor’s matter and therefore has to be considered as out of the scope of NCTS.
6. When a comprehensive guarantee or an individual guarantee with multiple usage is cancelled:
 - the concerned guarantee can no longer be used to cover new Transit movements;
 - the Guarantor is still liable for all the related Transit movements (covered by this guarantee) that are not yet written-off. It is only when the concerned Transit movements are written-off that the Guarantor will be released from his obligations.
7. When an individual guarantee in the form of vouchers has been cancelled:
 - the Guarantor may no longer issue individual guarantee vouchers (documents);

- Individual guarantee vouchers sold, but not used, cannot be used anymore for placing goods under the transit procedure;
- the Guarantor remains engaged until the writing-off of the movements covered with individual guarantee vouchers used before the revocation.

4.3.7. Constraints

1. When a fraud is proved or the non-completion of a Transit movement is established, the Customs Administration of the country in which the goods are deemed to be diverted to chargeable use will attempt to recover (the amount of duties & taxes) from the Holder of Transit procedure. If this recovery-step fails, then the Customs Administration will recover from the Guarantor. This recovery-process will remain a manual process in NCTS. The only support that NCTS can give is to provide the Customs Administration of the country of recovery with the information about the concerned Holder of Transit procedure and Guarantor. This information will be retrieved based on the MRN (see Section I under L4-TRA-01-11-Process Query Movement Information') and GRN(s) used to cover that movement (see this Section under L4-TRA-02-10-Process Query on Guarantees)
2. The decision to refuse or accept the presented guarantee undertaking is based on the experience of the Customs Officer and on the legislation (can not be computerised).
3. All amounts can be expressed either in national currencies or in EURO. In case of international use of a guarantee, the amounts will be expressed in EURO. So, the Holder of Transit procedure is: 'The sender applies the exchange rate from his national currency to the common European currency, the receiver applies the exchange rate from the common European currency to his national currency'. Therefore, a unique 'exchange rates table' is used for the conversion by the sender and the receiver. This table is managed at the Common Domain (see Section IV under Heading 1.9 'CS4A - Common Domain manages and maintains ISO/UNECE Codes, Union/Common Transit Codes, and Other Reference Data'). The management of differences in exchange rates between the time of registering the guarantee usage and the time of recovery is out of scope of NCTS.
4. Query on guarantees: only a set of standard (pre-defined and not user-defined) queries is defined.

4.4. Actors

ACTORS	DESCRIPTION
Premises Of The Trader At Departure	Office of the Trader at Departure where a Transit Movement is Initiated.
Premises Of The Trader At Destination	Office of the Trader where the Transit consignment is received and where the Transit Movement ends its journey (in case of Authorized Consignee).
Customs Office Of Departure	The Customs Office where the Customs Declaration placing goods under a transit procedure is accepted and from where the transit movement starts its journey towards the Customs Office of Destination.
Customs Officer	The Customs Officer interacting with the system.
Responding Customs Office	
Holder Of The Transit Procedure	The person who lodges the Transit declaration, or on whose behalf that declaration is lodged or the person to whom the rights and obligations in respect of a customs procedure have been transferred.
Any Customs Office	Any Customs Office of Departure, Customs Office of Destination, Customs Office of Transit, Customs Office of

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	Guarantee.
Customs Office Of Guarantee	The Office where comprehensive guarantees data, flat-rate guarantees and associated NCTS vouchers data, and guarantee waiver data are recorded.
AES	
Customs Office of Exit for Transit	Customs Office responsible for the Exit for Transit procedure in the context of safety and security. Under this procedure the following tasks can be performed: 'request risk analysis', 'record risk analysis results', 'record control decision', 'record control results' and 'release goods'.
NCTS	The New Computerised Transit System.
Competent Authority Of Recovery At Departure	The customs authority responsible for recovery procedure at departure.
NCTS	The Actual Office of Destination where the movement can end its journey.
Customs Officer	The Customs Officer responsible for the Customs Declaration handling.
AES	The Automated Export System.
Competent Authority Of Recovery In Any Country	The customs authority responsible for recovery at any country other than the country at departure.
Customs Authority	The custom administration of the Member States responsible for applying the customs legislation and any other authority empowered under national law to apply certain customs legislation.
Office of the Guarantee	The Customs Office where Guarantees of type '0', '1', '2', '4' and '9' are registered and maintained.
Declared Customs Office Of Exit For Transit	
Declared Customs Office Of Transit	Customs Office of Transit indicated in the transit declaration.
Declared Customs Office Of Destination	Customs Office of Destination indicated in the Transit declaration.
Customs Office Of Guarantee	The Customs Office where a guarantee, other than an individual guarantee in the form of a cash deposit or vouchers, is lodged.
Customs Officer At Premises Of The Trader At Departure	Customs Officer at the Office of the Trade where a Transit Movement is initiated.
Actual Customs Office Of Destination	The Customs Office where goods placed under the Transit procedure have been presented in order to complete the Transit operation.
Guarantor	The financial organisation, for example a bank, which provides surety for a guarantee.
Customs Officer	The Customs Officer interacting with the system.
Requesting Customs Office	

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HIGH LEVEL SYSTEM OVERVIEW

Customs Office Requesting Competency For Recovery	Any Customs Office requesting to be competent for recovery.
Customs Office of Incident Registration	The Customs Office of Incident Registration is the nearest customs authority of the Member State in whose territory the means of transport is located.
Competent Authority Of Enquiry At Departure	Customs Office responsible for the enquiry procedure at departure.
Customs Office Of Departure	The Customs Office where the customs declaration placing goods under a transit procedure is accepted and where the transit movement starts its journey towards the Actual Office of Destination.
Customs Office Of Destination	The Customs Office where goods placed under the Transit procedure would have been presented to complete the Transit operation
Trader At Destination	The premises of the Trader at Destination.
Competent Authority Of Enquiry At Destination	Customs Office responsible for the enquiry procedure at destination
Involved Customs Offices	Customs Office(s) that has (ve) received an IE001, IE003, IE050 or IE115, related to the movement.
Customs Office Of Transit	<p>(a) The Customs Office competent for the point of exit from the Customs territory of the Union when the consignment is leaving that territory in the course of a transit operation via a frontier with a territory outside the Customs territory of the Union other than a common transit country, or</p> <p>(b) The Customs Office competent for the point of entry into the Customs territory of the Union when the goods have crossed a territory outside the Customs territory of the Union in the course of a transit operation.</p>

Actors

5. PROCESS MODELS

5.1. Process L4-TRA-01-00-Transit Core Business Master Process

5.1.1. Objective of Process

The purpose of this diagram is to present in one diagram the main flow of declarations concerning Transit Declaration. Furthermore, the diagram depicts the flow of the main exception cases of Transit concerning Cancellation, Enquiry (Option A and B), Recovery (Option A and B).

This diagram mainly focuses on the successful path. For more details please check the respective L4 BPMN diagrams. Specifically, for the case of the Office of Exit declared for safety and security purpose please check the 'L4-TRA-01-02-02-Issue Transit Transaction Data' BPMN diagram.

5.1.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-00
Full Name	Transit Core Business Master Process
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee L3-TRA-01-03-Incidents En Route L3-TRA-01-04-Acceptance Of The Transit Declaration L3-TRA-01-05-Amendment Of Transit Declaration L3-TRA-01-06-Invalidation of Transit Declaration L3-TRA-01-07-Control At The Office of Departure L3-TRA-01-08-Diversion At Office Of Destination L3-TRA-01-09-Notification of Destination Control Results L3-TRA-01-10-Status Check L3-TRA-01-11-Enquiry Procedure L3-TRA-01-12-Enquiry Response Handling L3-TRA-01-13-Recovery Procedure L3-TRA-01-14-Formalities at Office of Transit L3-TRA-01-15-Movement Information Query L3-TRA-01-16-Registration of Guarantee
Existing Specifications	DDNTA v5.13

Table 5.1: Process Details

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5.1.3. Tasks and Events

Event Declaration or Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration or Notification Received
Event Type	Conditional start event
Description	An Export Declaration or a Exit Summary Declaration or a Re-Export Notification is received.
Appears also in the following processes	L4-EXP-01-00-AES Master Process
Previous Objects	

Table 5.2: Event Declaration or Notification Received

Task Process Departure - Acceptance and Control

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Departure - Acceptance and Control
Task Type	Call activity (collapsed)
Description	<p>The process starts when declaration data (IE015) or amendment request (IE013) is submitted to the Customs Office of Departure. The relevant call-activities 'Accept Declaration' or 'Evaluate Amendment Request' are triggered in order for the system to check whether the data submitted can be accepted. If the declaration/amendment request is accepted, the call-activity 'Register Control Decision' is triggered. If the result of control is to be registered then the call-activity 'Handle Results Of A Control' is triggered, otherwise the system triggers the call-activity 'Guarantee Registration'.</p> <p>If the result is negative then the systems triggers the call-activity 'Guarantee Registration'. If the result is positive then NCTS identifies if the Discrepancies are major. If yes, the goods are not released for transit, else if the discrepancies are minor, NCTS identifies if IE054 is received in order to trigger the 'Handle the Release Request' call activity. If no IE054 is received and the Holder of the Transit Procedure did not make opposition, then the system triggers the call-activity 'Guarantee Registration', else if the Holder of the Transit Procedure made opposition then the 'Take Decision' is triggered where NCTS identifies that the Goods are not to be released or the call-activity 'Guarantee Registration' is to be triggered.</p>
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Declaration or Notification Received Amendment Of Transit Declaration Accepted? Check Guarantee Integrity

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PROCESS MODELS

Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.3: Task Process Departure - Acceptance and Control

Event Amendment Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Amendment Requested
Event Type	Conditional intermediate event
Description	Amendment is requested.
Previous Objects	Process Departure - Acceptance and Control

Table 5.4: Event Amendment Requested

Event Guarantee Integrity Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Integrity Requested
Event Type	Conditional intermediate event
Description	Guarantee integrity is requested.
Previous Objects	Process Departure - Acceptance and Control

Table 5.5: Event Guarantee Integrity Requested

Task Register Guarantee Usage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Guarantee Usage
Task Type	Call activity (collapsed)
Description	<p>Process L4-TRA-02-12 Register Guarantee Usage starts when the Customs Office of Departure asks for the check of guarantee.</p> <p>Two groups of guarantee types can be established:</p> <ul style="list-style-type: none"> - guarantees under the responsibility of the Customs Office of Departure (code 3, 5, 7, 8, A) - guarantees under the responsibility of the Customs Office of Guarantee (code 0, 1, 2, 4). <p>The Customs Officer at the Customs Office of Departure first handles the</p>

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	<p>guarantee types coded 3, 5, 7, 8, A. Guarantee usage for guarantee types coded as 3, 5, 7, 8, A is refused or recorded in NCTS.</p> <p>Next the guarantees of types coded as 0, 1, 2, 4 are selected, validated and the results of checks of the guarantees are made available.</p> <p>End Events are:</p> <ul style="list-style-type: none"> - Guarantee Usage Is Registered - Guarantee Check Results Available - Guarantee Is Not Valid
Actor	Customs Authority
Appears also in the following processes	L4-TRA-02-00-Transit Guarantee Processing Management Master Process
Input Data	
Output Data	
Previous Objects	Process Departure - Acceptance and Control
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.6: Task Register Guarantee Usage

Event Cancellation Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation Requested
Event Type	Conditional intermediate event
Description	Movement cancellation is requested.
Appears also in the following processes	L4-TRA-02-00-Transit Guarantee Processing Management Master Process
Previous Objects	Process Departure - Acceptance and Control

Table 5.7: Event Cancellation Requested

Task Process Departure - Release

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Departure - Release
Task Type	Call activity (collapsed)
Description	The process is initiated when the Transit Declaration is accepted. The Transit Declaration Guarantee is evaluated and it is accepted, the Goods are

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	finally released for Transit and that Transit Transaction Data is issued where the anticipated Transit and Destination Offices are notified of the Transit movement data.
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Register Guarantee Usage
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.8: Task Process Departure - Release

Task Evaluate Amendment Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Evaluate Amendment Request
Task Type	Call activity (collapsed)
Description	<p>Call-activity Amendment of Transit Declaration handles amendment requests on the whole declaration data.</p> <p>The call-activity starts when the Trader at departure submits a request for amendment to the declaration.</p> <p>NCTS perform an automatic validation and negative results, if any are communicated to the Holder of the Transit Procedure. The validation process can be a completely automatic process, however there are some exceptions. When the system is not able to treat the request fully automatically, then it will notify the Customs Officer of the fact that a Trader is requesting an amendment on his declaration.</p> <p>If the amendment request is invalid, then the reasons for the rejection are returned to the Holder of the Transit Procedure. Under simplified procedure the 'Awaiting for automatic release' timer remains stopped until another (valid) amendment is received.</p> <p>If the amendment is valid, NCTS checks if the amendment still can be accepted. If the amendment can be accepted, it is registered into NCTS and communicated to the Holder of the Transit Procedure. Under simplified procedure the 'Awaiting for automatic release' timer is stopped, it is also possible that the guarantee for the original declaration is already registered, as a consequence this guarantee must be cancelled.</p> <p>The final results of this call-activity are:</p> <ul style="list-style-type: none"> - Amendment accepted by the Customs Office of Departure

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	- Amendment rejected by the Customs Office of Departure
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Amendment Requested
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.9: Task Evaluate Amendment Request

Task Check Guarantee Integrity

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Check Guarantee Integrity
Task Type	Call activity (collapsed)
Description	<p>This L4 process Check Guarantee Integrity has the following start events:</p> <ul style="list-style-type: none"> • Receive IE200. <p>The Customs Office of Departure or the National Domain Central Services asks to check the integrity and the validity of a guarantee which is under the management responsibility of the Customs Office of Guarantee. The Customs Office of Guarantee receives IE200 Guarantee Check and the Customs Officer performs the required checks. The result is recorded in the NCTS and sent (IE201 Guarantee Check result) to the requesting office.</p> <p>This process has the following end event:</p> <ul style="list-style-type: none"> • A Guarantee Is Checked.
Actor	Customs Authority
Appears also in the following processes	L4-TRA-02-00-Transit Guarantee Processing Management Master Process
Input Data	
Output Data	
Previous Objects	Guarantee Integrity Requested
Information Exchange	
Requirements	
Data Set	
Events	

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State	
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Table 5.10: Task Check Guarantee Integrity

Event Invalidation Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Invalidation Requested
Event Type	Conditional intermediate event
Description	
Appears also in the following processes	L2-TRA-01-00-Transit L4-EXP-01-00-AES Master Process
Previous Objects	Process Departure - Release

Table 5.11: Event Invalidation Requested

Task Process Cancellation/Invalidation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Cancellation/Invalidation
Task Type	Call activity (collapsed)
Description	When a Declaration Invalidation Request IE014 is received at the Customs Office of Departure or the Customs Officer wants to annul/revoke the decision to accept the declaration or to release the goods, the process starts. In case of Declaration Invalidation request the Customs Office of Departure handles the request and if it is proved valid then the Customs Office cancels the movement, notifies the Invalidation of the movement to the Holder of the Transit Procedure and Guarantor with a Invalidation Decision and also notifies the cancellation of the movement to all the declared Customs Offices of Transit and Destination. In case the Customs Officer decides to annul/revoke the decision to accept the declaration or to release the goods, registers the cancellation decision and the System cancels the movement. In addition, the System notifies the cancellation of the movement to the Holder of the Transit Procedure with a Cancellation Decision and also notifies the cancellation of the movement to all the declared Customs Offices of Transit and Destination and also to the AES if it is involved to the movement.
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Cancellation Requested Invalidation Requested
Information Exchange	

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Requirements	
Data Set	
Events	
State	

Table 5.12: Task Process Cancellation/Invalidation

Task Process Incidents En Route

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Incidents En Route
Task Type	Call activity (collapsed)
Description	<p>This process is triggered when an incident occurs to a Transit movement. The Carrier presents without undue delay after the incident the goods together with the MRN of the transit declaration to the nearest customs authority (Customs Office of Incident Registration) of the Member State in whose territory the means of transport is located. The Customs Office of Incident Registration can proceed with deciding whether to continue the transit movement.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> - Movement continues its journey - Movement is stopped and Customs Office Of Incident Registration is Actual Customs Office Of Destination
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Has Incident(s) Occurred?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.13: Task Process Incidents En Route

Event Amendment Request Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Amendment Request Rejected

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Event Type	End event
Description	The Amendment Request is rejected. the process ends.
Previous Objects	Amendment Of Transit Declaration Accepted?

Table 5.14: Event Amendment Request Rejected

Event Transit Declaration Cancelled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Declaration Cancelled
Event Type	End event
Description	The Transit Declaration is cancelled. The process ends.
Previous Objects	Process Cancellation/Invalidation

Table 5.15: Event Transit Declaration Cancelled

Task Process Movement At Customs Office Of Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Movement At Customs Office Of Transit
Task Type	Call activity (collapsed)
Description	<p>The process starts when the consignment and the NCTS Accompanying Document or MRN of Transit Declaration in an electronically readable format are presented to the Customs Office of Transit.</p> <p>First of all the MRN is captured at the Customs Office of Transit. The System looks for the ATR information, if the information is available the passage can be validated. If there is an international diversion or in exceptional situations, the Customs Officer at the Customs Office of Departure is warned that the information is not yet available.</p> <p>The Customs Office of Departure is asked by the Customs Office of Transit to provide the ATR information about a movement. The System looks for the movement information and updates the movement information with incident information if applicable. The system automatically sends back the movement information or the reason of their rejection.</p> <p>When the ATR information is received and it contains the necessary data to perform a safety and security analysis, this analysis is done. A full safety and security analysis will be performed, if a positive risk is identified the system generates the risk analysis code. If the identified risk relates to a specific goods item of the transit declaration, the relevant number shall be identified by the national risk analysis system.</p> <p>The national risk analysis system records the risk analysis results in the NCTS transit declaration. The last digit of this risk analysis result code is transparent to NCTS and is used to identify the appropriate actions. The system notifies the Customs Officer of Transit that the information to validate the passage is available. If risk is identified the Customs Officer of</p>

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	<p>Transit is alerted and asked to register a control decision.</p> <p>Next step is to validate the passage, the ATR information that was immediately available and the ATR information that was received is collected together. NCTS retrieves the movement information identified by the MRN and responds with the state of the movement by performing a number of checks.</p> <p>The passage has been validated and the transit movement either may cross the frontier or is turned back or must be stopped at the frontier.</p> <p>If the transit movement may cross the frontier, the NCF information is recorded into NCTS. The Customs Office of Departure receives the NCF information and handles it.</p> <p>If the transit movement is turned back, the transit movement must continue its journey to a different Customs Office of Transit without crossing the frontier.</p> <p>If the transit movement has to be stopped at the frontier, the frontier end has to be considered as arrived at destination.</p>
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Process Query Movement Information Transit Office Declared?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.16: Task Process Movement At Customs Office Of Transit

Task Process Query Movement Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Query Movement Information
Task Type	Call activity (collapsed)
Description	<p>The Process Query Movement Information has the following start event:</p> <ul style="list-style-type: none"> • Movement Information Is to Be Requested. <p>The Customs Officer requests the movement information. If movement information is not available the Customs Office of Departure is involved. If the Customs Office of Departure doesn't have the movement information advice of non-acknowledgement is sent, otherwise movement information is sent to the requester.</p> <p>The end events for the process are the following:</p> <ul style="list-style-type: none"> • Movement Information Is Displayed at the Requesting Office;

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	<ul style="list-style-type: none"> • Movement Information Is not Available; • IE038 Is Sent; • Movement Information Is Displayed.
Actor	Customs Authority
Appears also in the following processes	L4-TRA-01-00-Transit Core Business Master Process L4-TRA-01-12-Process Incidents En Route
Input Data	
Output Data	
Previous Objects	Query Movement Information Requested
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.17: Task Process Query Movement Information

Event Query Movement Information Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Query Movement Information Requested
Event Type	Conditional intermediate event
Description	Query Movement information is requested.
Previous Objects	Process Movement At Customs Office Of Transit

Table 5.18: Event Query Movement Information Requested

Task Process Movement At Customs Office Of Exit For Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Movement At Customs Office Of Exit For Transit
Task Type	Call activity (collapsed)
Description	<p>In case that an Office of Exit of Transit is declared in the transit declaration data, and upon arrival at the office of exit of transit, special Custom formalities will take place.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> - Notification Leaving Security Area Is Sent - Notification Stopped

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Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Process Query Movement Information Exit Office Declared?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.19: Task Process Movement At Customs Office Of Exit For Transit

Task Process Query Movement Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Query Movement Information
Task Type	Call activity (collapsed)
Description	<p>The Process Query Movement Information has the following start event:</p> <ul style="list-style-type: none"> • Movement Information Is to Be Requested. <p>The Customs Officer requests the movement information. If movement information is not available the Customs Office of Departure is involved. If the Customs Office of Departure doesn't have the movement information advice of non-acknowledgement is sent, otherwise movement information is sent to the requester.</p> <p>The end events for the process are the following:</p> <ul style="list-style-type: none"> • Movement Information Is Displayed at the Requesting Office; • Movement Information Is not Available; • IE038 Is Sent; • Movement Information Is Displayed.
Actor	Customs Authority
Appears also in the following processes	L4-TRA-01-00-Transit Core Business Master Process L4-TRA-01-12-Process Incidents En Route
Input Data	
Output Data	
Previous Objects	Query Movement Information Requested
Information Exchange	
Requirements	

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Data Set	
Events	
State	

Table 5.20: Task Process Query Movement Information

Event Query Movement Information Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Query Movement Information Requested
Event Type	Conditional intermediate event
Description	Query Movement information is requested.
Appears also in the following processes	L4-TRA-01-00-Transit Core Business Master Process
Previous Objects	Process Movement At Customs Office Of Exit For Transit

Table 5.21: Event Query Movement Information Requested

Task Process Arrival

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Arrival
Task Type	Call activity (collapsed)
Description	<p>The Process Arrival starts when the Customs Office of Destination receive Arrival Notification (IE007). The arrival notification is rejected or accepted (described in process Acceptance of Arrival Notification). If rejected, the process finish. If accepted, Customs Officer registers control decision. If simplifications apply, the Trader wait for automatic unloading permission, after the defined time, the goods are released for next procedures. However, Customs Officer can in any time stop the timer and ask for control of goods, activity which applies to regular procedure as well. After having control, Customs Officer register the results of control of goods. If there are discrepancies detected, Customs Office of Departure is notified on this. Customs Office of Destination could decide to wait for resolution of discrepancies or continue with process. After receiving notification that discrepancies are solved (or immediately if decided not to wait), Trader is notified on release from transit.</p> <p>Customs Office of Departure receive destination control results (IE018), it handles the results (process: Handle Destination Control Results) and it notifies Actual Customs Office of Destination on discrepancies solving.</p> <p>The final states of this process are:</p> <ul style="list-style-type: none"> - Goods released from transit - Guarantee is to be released - Arrival Notification is rejected - AAR rejection is notified.

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Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	<p>Process Query Movement Information Able To Resume Arrival Process? Alternative Proof Information Provided or Sufficient Information Provided or No Information Provided? Arrival Process Resumed or Recovery Process Option A Initiated or Recovery Process Option B Initiated Exit Office Declared? Process Movement At Customs Office Of Exit For Transit</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.22: Task Process Arrival

Task Process Query Movement Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Query Movement Information
Task Type	Call activity (collapsed)
Description	<p>The Process Query Movement Information has the following start event:</p> <ul style="list-style-type: none"> • Movement Information Is to Be Requested. <p>The Customs Officer requests the movement information. If movement information is not available the Customs Office of Departure is involved. If the Customs Office of Departure doesn't have the movement information advice of non-acknowledgement is sent, otherwise movement information is sent to the requester.</p> <p>The end events for the process are the following:</p> <ul style="list-style-type: none"> • Movement Information Is Displayed at the Requesting Office; • Movement Information Is not Available; • IE038 Is Sent; • Movement Information Is Displayed.
Actor	Customs Authority
Appears also in the following processes	<p>L4-TRA-01-00-Transit Core Business Master Process L4-TRA-01-12-Process Incidents En Route</p>
Input Data	
Output Data	

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Previous Objects	Query Movement Information Requested
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.23: Task Process Query Movement Information

Event Query Movement Information Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Query Movement Information Requested
Event Type	Conditional intermediate event
Description	Query Movement information is requested.
Appears also in the following processes	L4-TRA-01-00-Transit Core Business Master Process
Previous Objects	Process Arrival

Table 5.24: Event Query Movement Information Requested

Event Status Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Requested
Event Type	Conditional intermediate event
Description	Movement status is requested.
Previous Objects	Process Arrival

Table 5.25: Event Status Requested

Task Status Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Status Request
Task Type	Call activity (collapsed)
Description	<p>When Information is received that the Transit Procedure has not ended or Time Limit 'Awaiting Receipt of Arrival Advice' is expired or the Time Limit 'Awaiting Receipt of Control Results' is expired then the process starts.</p> <p>In case the Country of Customs Office of Departure has not received an</p>

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	<p>IE006 it sends the status request (IE904) to the Country of Customs Office of Destination (declared), else in cases where it has received an IE006 and the timer 'Awaiting Receipt Of Control Results' to receive IE018 has expired then the status request will be sent to the Country of Customs Office of Destination where the IE006 was issued (can be the declared or the new, actual one). When a Status Enquiry request is received by the Competent Authority of Enquiry at Destination office (CAEDes) then the request is recorded, the system retrieves the status of the specific movement and notifies the Competent Authority of Enquiry at the Customs Office of Departure (CAEDep) of the status retrieved. Then the system compares the retrieved status with the received status and if the state at destination office does not correspond to the state at the Customs Office of Departure then the system identifies if the Arrival advice is to be resent. If yes then the Arrival advice is sent to the CAEDep else it proceeds to check if Control Results are to be resent to CAEDep. If yes then the Control Results are sent to CAEDep else the process is completed.</p> <p>The System at Country of Departure automatically checks if the state at destination is corresponding to the state at departure, and whether in the meanwhile, an IE006 and/or an IE018 has/have been received.</p> <p>If the state does not correspond and an IE006 and/or IE018 has/have been received the Arrival processing is resumed.</p> <p>If the state does correspond or there is/are no IE006 and/or IE018 received within the given time limit the Competent Authority of Enquiry at Departure shall start the enquiry procedure.</p> <p>When not done directly by the Competent Authority of Enquiry at Departure, NCTS retrieves the movement information identified by the MRN brought in the timer(s) "Time to start enquiry" (time allotted for the receipt of the arrival advice and/or the control results from the Customs Office of Destination) started by the process "Issue Transit transaction data" and notifies the Customs Officer, who either starts the enquiry process or postpones it to a later time. In the latter case, he re-sets the relevant timer.</p> <p>Final situation: Either the movement can be written off, the timer is re-set or the enquiry procedure can be started.</p>
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Status Requested
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.26: Task Status Request

Task Handle Enquiry - Enquiry Procedure A

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Enquiry - Enquiry Procedure A
Task Type	Call activity (collapsed)
Description	<p>When the enquiry procedure is to be started, the Competent Authority of Enquiry at Departure initiates the enquiry procedure by checking if they have received the arrival advice. If it is available IE142 will be sent directly to the Actual Customs Office of Destination asking for the IE018.</p> <p>If IE006 is not available the Competent Authority of Enquiry at Departure checks the content of TRADER Consignee and decides whether the information is sufficient or not. If it is considered insufficient the Competent Authority starts the enquiry with IE140, the timer “Notify the IE140” is stopped and the timer ‘Wait for response IE141’ is set. The Holder of Transit procedure must react within the time limit with an IE141. This time-out period is defined by the Competent Authority of Enquiry at Departure when the enquiry procedure is initiated, using the values permitted in relevant legal framework. If no information is provided within the time limit this is considered to be a negative answer.</p> <p>If the information in TRADER Consignee is considered sufficient it starts the enquiry procedure.</p> <p>If an arrival advice has already been received and/or the information in TRADER Consignee is considered sufficient or the IE140 has already been sent at an earlier stage the Holder of Transit procedure will not be contacted at this point of the operation.</p> <p>Nevertheless, NCTS allows the Customs Officer either to continue with the enquiry process immediately or to postpone it to a later time. In the latter case, NCTS will allow the Customs Officer to reset the timers “Awaiting Receipt Of Arrival Advice” and “Awaiting Receipt Of Control Results as needed.</p> <p>NCTS records an information request for the requested Trader or an enquiry request for the Requested Office and sets the timers for answering IE140/IE142, using the values permitted in current law and/or in the relevant administrative arrangements, to protect a non-answer of the Trader or the Customs Office of Destination and to be able to inform the Holder of Transit procedure in time.</p> <p>If the Holder of Transit procedure provides an alternative proof (in accordance with current law) the movement can be written-off and the IE024 is sent to all involved offices to close that movement.</p> <p>If the Holder of Transit procedure provides the Competent Authority of Enquiry at Departure with other sufficient information (no alternative proof) or a new Customs Office of Destination this information will be forwarded to the Customs Office of Destination (declared or actual).</p> <p>If the Holder of Transit procedure did not provide information at all or the provided information legitimates a recovery or it is considered insufficient for enquiry, the recovery procedure is started within one month after the</p>

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	<p>expiry of the time given to the Holder of Transit procedure to answer.</p> <p>The Competent Authority of Enquiry at Departure continues the enquiry procedure with a request at the Customs Office of Destination. Based on the information provided by the Trader, the IE142 (indicating additional information is available) will be sent to the Actual Customs Office of Destination.</p> <p>In case there had been a IE142 sent to the Declared Customs Office of Destination or any other Customs Office, which is different from the one provided by the Trader before, this IE142 shall be cancelled beforehand by sending the IE059 There shall never be more than one IE142 pending. NCTS records the cancellation. If it is the same office information exchange via IE144/IE145 takes place and a 2nd IE142 shall be sent giving reference to the additional information In the IE144.</p>
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Is The Holder Of Transit Procedure To Be Notified Of Request On Non-Arrived Movement? Able To Resume Arrival Process?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.27: Task Handle Enquiry - Enquiry Procedure A

Task Handle Enquiry - Enquiry Procedure B

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Enquiry - Enquiry Procedure B
Task Type	Call activity (collapsed)
Description	<p>When Additional Information is required, the process starts. For additional information or question exchange the messages IE144 and IE145 can be used whereas the IE144 is sent only by the Customs Office of Departure and IE145 is sent only by the Customs Office of Destination. This information exchange can be started either by the Customs Office of Departure or the Customs Office of Destination, no reply is needed since it can be only information sent and not a question asked. Additional documents will be sent by other than NCTS means. These two messages can be sent during the whole process of enquiry and recovery to assure the exchange of information between the offices involved in the enquiry/recovery procedure. When enquiry results are requested from the Competent Authority of Enquiry at Departure, this might include completing the actual controlling of the consignment if it was delayed for</p>

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	<p>some reason. Or it might merely be that the control results were not recorded into the system yet. Or, consignees may be contacted to establish the whereabouts of the movement. The general "Customs' movements' state system" will be available to support these actions.</p> <p>When the Customs Office of Destination needs the available additional information (indicated in IE142) from the Competent Authority of Enquiry at Departure, or the arrival of the paper control result takes unexpectedly long an exchange of extra information (via IE144 and IE145) will take place whereas the IE144 is sent only by the Customs Office of Departure and IE145 is sent only by the Customs Office of Destination. This information exchange can be started either by the Customs Office of Departure or the Customs Office of Destination, no reply is needed since it can be only information sent and not a question asked.</p> <p>When the consignment is not located, the Customs Office of Destination sends (IE143) the negative enquiry response to the Competent Authority of Enquiry at Departure, confirming that the movement was never presented at this Customs Office of Destination or a duplicate (in particular two MRNs for the same consignment) has been found.</p> <p>When the movement is located (arrived) and control results are available these must be communicated with the IE006/IE018, no IE143 is needed in these cases since the IE006 and the IE018 or where the IE006 has already been received earlier the IE018 are positive answers to the IE142. In rare, exceptional cases where it is not possible to send the available IE006/IE018 the IE143 containing code 3 and the paper control result must be sent. This does not concern the movements that are under the procedure "Exception in case of business continuity.</p> <p>The sending of the IE006 and IE018 or the IE018 is only allowed when the transit operation has ended within the prescribed time limits and there is no withdrawal from Customs supervision. It has to be a regular ended procedure within the time limit (e.g. registration of TAD forgotten) or an acceptance of a late presentation in accordance with current law.</p> <p>In case the Customs Office of Destination assumes itself competent for recovery it sends an enquiry response (IE143 containing code 4) to the Competent Authority of Enquiry at Departure asking for competency for recovery. When the timer 'Wait for Enquiry response' has expired and no response was received or a negative IE143 ('unknown') from Customs Office of Destination has been received, a check will be performed if the Trader was informed via IE140 at an earlier stage. In case the 'request on non-arrived movement' (IE140) has not already been sent to the Holder of the Transit Procedure, the Competent Authority of Enquiry at Departure proceeds to Process 'Send Enquiry Request'. In case it has already been sent the Competent Authority of Enquiry at Departure shall wait - regarding further actions - until the state is set to 'Recovery recommended'. Once this state is reached, recovery procedure shall be started.</p> <p>If a negative answer 'duplicate' was received the Customs Office of Departure has to perform the required checks. A negative enquiry response (IE143 'unknown'; 'duplicate') which indicates that enquiries at the Customs Office of Destination are completed stops the timer 'Wait for enquiry response'. A new IE142 can be sent to another office or to the same office if additional information from the Holder of Transit procedure is available. In case the timer 'Wait for Enquiry response' has not yet expired</p>
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	<p>but the Customs Officer has serious proof that justify the start of a recovery procedure he can force the movement into recovery by manually expire the timer. In these cases the movement is set to 'Recovery recommended'. This can be done at any time after the movement has been released. When the Customs Office of Departure is informed by IE143 containing Code 3 (Return Copy returned on) that the movement has arrived, the movement can be written off based on the paper copy that will arrive. If the time between the IE143 and the arrival of the mentioned proof is unexpectedly long it can use the IE144/145 to solve the issue.</p> <p>When the proof arrives the Customs Office of Departure sends the IE024 to all involved offices to close that movement.</p>
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Alternative Proof Information Provided or Sufficient Information Provided or No Information Provided?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.28: Task Handle Enquiry - Enquiry Procedure B

Task Handle Recovery - Recovery Procedure A

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Recovery - Recovery Procedure A
Task Type	Call activity (collapsed)
Description	<p>When Recovery Procedure is to be initiated manually or IE141 with insufficient information or</p> <p>with Information for Recovery is received or the Time Limit 'Recovery Recommended' is expired, the process starts. In the case the time limit 'Recovery recommended' is expired, the system records the expiration of the timer. In any case the system checks if the place of irregularity is in the country of Departure. If the Competent Authority at Departure is competent for the recovery procedure then this Authority accomplishes the recovery process, it discharges the MRN in the System and the guarantee is set to be released. If the Competent Authority at Departure is not competent for the recovery then the authority asks the assumed competent Authority in the country if it is going to perform the recovery process. If this Authority accepts the request then it accomplishes the recovery process and informs back the Competent Authority of recovery at Departure that the recovery has been performed. In any other case, if the request is denied or the timer to receive an IE152 is expired, the recovery process is executed by the</p>

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	Competent Authority of recovery at Departure as above.' to be added to the call activity 'Handle Recovery - Recovery Procedure (Option A)
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Alternative Proof Information Provided or Sufficient Information Provided or No Information Provided? Arrival Process Resumed or Recovery Process Option A Initiated or Recovery Process Option B Initiated
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.29: Task Handle Recovery - Recovery Procedure A

Task Handle Recovery - Recovery Procedure B

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Recovery - Recovery Procedure B
Task Type	Call activity (collapsed)
Description	When an IE150 is received from any Customs Office or the Customs Office of Destination assumes itself as competent for the recovery process (IE143 is containing Code 4) then the process starts. The Competent Authority of Recovery at Departure decides whether the competency of recovery is to be transferred or not to the Customs Office of Destination. When the competency for recovery is to be transferred and the request to the Destination is accepted, the recovery is to be accomplished at Destination. In any other cases the recovery is to be accomplished at the Departure. In any case the Involved Offices are notified where the recovery process is to be performed.
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Arrival Process Resumed or Recovery Process Option A Initiated or Recovery Process Option B Initiated
Information Exchange	
Requirements	
Data Set	

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Events	
State	

Table 5.30: Task Handle Recovery - Recovery Procedure B

Task Release Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Release Guarantee
Task Type	Call activity (collapsed)
Description	<p>When Handle Destination Control Results, Process Arrival or Handle Recovery - Recovery Procedure (Option A) have the following end event the L4 process Release Guarantee starts:</p> <ul style="list-style-type: none"> • Guarantee Is to Be Released. <p>The process begins also any time the movement is written off:</p> <ul style="list-style-type: none"> • Movement Was Written Off. <p>This process will start with registering the request for release of guarantee usage in the NCTS. Then the type of guarantee(s) is identified. There are two groups of guarantee types – one is guarantee types 3,5,7,8,A to be handled by the Customs Office of Departure; the other is guarantee types 0,1,2,4,9 to be handled by the Customs Office of Guarantee. The Customs Office of Departure handles guarantee types 3,5,7,8,A and after they are released the information about guarantee types 0,1,2,4,9 is sent to each of the Competent Customs Office of Guarantee which records the release of guarantee in the guarantee management subsystem.</p> <p>There are two final results for this process:</p> <ul style="list-style-type: none"> • Guarantees with Code 3,5,7,8,A Are Released; • Guarantees with Code 0,1,2,4,9 Are Released.
Actor	Customs Authority
Appears also in the following processes	L4-TRA-02-00-Transit Guarantee Processing Management Master Process
Input Data	
Output Data	
Previous Objects	<p>Handle Recovery - Recovery Procedure B</p> <p>Handle Recovery - Recovery Procedure A</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.31: Task Release Guarantee

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Event Transit Formalities Completed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Formalities Completed
Event Type	End event
Description	The Transit formalities are completed. The process ends.
Previous Objects	Process Arrival Release Guarantee

Table 5.32: Event Transit Formalities Completed

5.2. Process L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure

5.2.1. Objective of Process

Upon the reception of transit declaration, having export as previous procedure, the Office of Departure performs an initial cross check of every Export MRN referenced in the transit declaration by sending the 'Transit Presentation Notification' N_XFT_REQ (IE190) message to the Office of Exit for validation purposes.

The Office Of Exit replies with a 'Transit Presentation Notification Response' N_XFT_RSP (IE191) message which can be positive or negative.

In case of positive response, the Office of Departure accepts the transit declaration and communicates the MRN to the Holder of the Transit Procedure with the 'MRN Allocated' E_MRN_ALL (IE028) message and the 'Transit Presentation Notification' N_XFT_REQ (IE190) message is sent again to the Office of Exit to allocate the transit MRN for the Export MRNs since the transit declaration has been accepted.

In case of negative response, the process stops in NCTS and the transit declaration is rejected by sending the 'Rejection from Office of Departure' E_DEP_REJ (IE056) message to the Holder of the Transit Procedure.

5.2.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-01-01-01
Full Name	Lodge Transit Declaration Having Export As Previous Procedure
Assumptions	An Export MRN is not declared in more than one transit declarations
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.33: Process Details

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5.2.3. Tasks and Events

Event Transit Declaration having export as previous procedure submitted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Declaration having export as previous procedure submitted
Event Type	Conditional start event
Description	This process starts upon the reception of the transit declaration having export as previous procedure by the holder of the transit declaration.
Previous Objects	

Table 5.34: Event Transit Declaration having export as previous procedure submitted

Task Notify Customs Office Of Exit Of Transit Presentation Notification (Initial cross-check of the export MRN(s))

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit Of Transit Presentation Notification (Initial cross-check of the export MRN(s))
Task Type	Send task
Description	When a Transit Declaration data, having Export as previous procedure is submitted and successfully validated, NCTS sends the 'Transit Presentation Notification' (IE190) message to the Office of Exit as an initial cross-check so as to perform an initial validation. This initial validation comprises the existence of the declared export MRN along with the state appropriateness at the Office of Exit in the AES system.
Actor	NCTS
Input Data	Result Of Export MRN Identification E_DEC_DAT
Output Data	
Previous Objects	Transit Declaration having export as previous procedure submitted
Information Exchange	Transit Presentation Notification
Requirements	TRA_FUR_0149
Data Set	
Events	
State	

Table 5.35: Task Notify Customs Office Of Exit Of Transit Presentation Notification (Initial cross-check of the export MRN(s))

Event Transit Presentation Notification Response Received

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Presentation Notification Response Received
Event Type	Message intermediate event (catch)
Description	When the Transit Presentation Notification is sent to AES system, it replies back with a Transit Presentation Notification Response (IE191) message.
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Previous Objects	Notify Customs Office Of Exit Of Transit Presentation Notification (Initial cross-check of the export MRN(s)) AES

Table 5.36: Event Transit Presentation Notification Response Received

Task Record Transit Presentation Notification Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Transit Presentation Notification Response
Task Type	Service task
Description	When the Transit Presentation Notification Response is received, the Data data elements following this reception are automatically recorded in the NCTS system.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure
Input Data	
Output Data	E_DEC_DAT Result Of Export MRN Identification
Previous Objects	Transit Presentation Notification Response Received
Information Exchange	Transit Presentation Notification Response
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

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Table 5.37: Task Record Transit Presentation Notification Response

Task Identify if Transit Presentation Notification Response is Positive

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Transit Presentation Notification Response is Positive
Task Type	Service task
Description	When the Transit Presentation Notification is received, the Customs Decisions System will automatically check whether the reply is positive or negative
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure
Input Data	N_XFT_RSP
Output Data	Result Identify If Transit Presentation Notification Response Is Positive Transit Operation
Previous Objects	Record Transit Presentation Notification Response
Information Exchange	
Requirements	TRA_FUR_0184
Data Set	Transit Operation
Events	Positive Transit Presentation Notification Response Is Received From AES Negative Amendment Result Is Received From AES
State	Under Amendment Request Due To EFBT Accepted

Table 5.38: Task Identify if Transit Presentation Notification Response is Positive

Task Accept Customs Declaration For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Accept Customs Declaration For NCTS
Task Type	Service task
Description	When the declaration is valid, the Customs Office of Departure accepts the declaration and MRN is definitively allocated to the declaration. Final situation : The declaration is accepted. The state of the Transit

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	Operation is set to 'Accepted'
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-01-Processing Of Declaration
Input Data	E_DEC_DAT
Output Data	Declaration Acceptance Date Transit Operation
Previous Objects	Is The Transit Presentation Notification Response From AES Positive?
Information Exchange	
Requirements	TRA_FUR_0018
Data Set	Transit Operation
Events	Accept Customs Declaration For NCTS
State	Accepted

Table 5.39: Task Accept Customs Declaration For NCTS

Task Notify Holder Of The Transit Procedure At Departure of MRN Allocated

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure At Departure of MRN Allocated
Task Type	Send task
Description	When a MRN is generated, a notification IE028 will automatically be sent to the Holder Of The Transit Procedure informing that MRN is accepted and definitely allocated to the Transit Operation.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-01-Processing Of Declaration
Input Data	Transit Operation Declaration Acceptance Date
Output Data	
Previous Objects	Accept Customs Declaration For NCTS
Information Exchange	MRN Allocated

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Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.40: Task Notify Holder Of The Transit Procedure At Departure of MRN Allocated

Task Notify Customs Office Of Exit Of Transit Presentation Notification (Allocation Of Export MRN(s))

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit Of Transit Presentation Notification (Allocation Of Export MRN(s))
Task Type	Send task
Description	When the MRN is communicated to the Holder of the Transit Procedure, NCTS send the 'Transit Presentation Notification' N_ (IE190) message again to AES to allocate the transit MRN for the Export MRNs since the transit declaration has been accepted.
Actor	NCTS
Input Data	Export Operation Transit Operation
Output Data	Transit Operation
Previous Objects	Notify Holder Of The Transit Procedure At Departure of MRN Allocated
Information Exchange	Transit Presentation Notification
Requirements	TRA_FUR_0149
Data Set	Transit Operation
Events	Transit Presentation Notification - MRN Allocation Is Sent To AES
State	Pending Response From AES

Table 5.41: Task Notify Customs Office Of Exit Of Transit Presentation Notification (Allocation Of Export MRN(s))

Task Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure Of Rejection From Office Of

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	Departure
Task Type	Send task
Description	When the declaration is not valid or if the location where the consignment can be controlled is not valid, a notification IE will automatically be sent to the Notify IE056 To Holder Of The Transit Procedure At Departure informing that the declaration is invalid, giving the reason of the rejection.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-01-01-01-Processing Of Declaration
Input Data	Transit Operation Rejection Information
Output Data	
Previous Objects	Is The Transit Presentation Notification Response From AES Positive?
Information Exchange	Rejection From Office Of Departure
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.42: Task Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure

Event Transit Presentation Notification Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Presentation Notification Response Received
Event Type	Message intermediate event (catch)
Description	When the Transit Presentation Notification is sent to AES system, it replies back with a Transit Presentation Notification Response (IE191) message.
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Previous Objects	AES Notify Customs Office Of Exit Of Transit Presentation Notification (Allocation Of Export MRN(s))

Table 5.43: Event Transit Presentation Notification Response Received

Event Transit Accepted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Accepted
Event Type	End event
Description	The process ends when the holder of the transit and the AES system are notified.
Previous Objects	Transit Presentation Notification Response Received

Table 5.44: Event Transit Accepted

Event Transit Rejected By The Customs Office Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Rejected By The Customs Office Of Departure
Event Type	End event
Description	The process ends at the customs office of departure when the holder of the transit is notified about the rejection from the customs office of departure
Appears also in the following processes	L4-TRA-01-01-01-01-Processing Of Declaration
Previous Objects	Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure

Table 5.45: Event Transit Rejected By The Customs Office Of Departure

5.3. Process L4-TRA-01-01-01-01-02-Evaluate Transit Presentation Notification

5.3.1. Objective of Process

When the 'Presentation Notification For The Pre-Lodged Declaration' (IE170) is received at the Customs Office of Departure, this process will start. The 'Presentation Notification For The Pre-Lodged Declaration' (IE170) is validated against rules and conditions. If the validation result is positive, then the system records the 'Presentation Notification For The Pre-Lodged Declaration' and the timer 'Awaiting For Presentation Notification' stops. If the validation result is negative, then the system will notify the Holder Of The Transit Procedure of the rejection of the 'Presentation Notification For The Pre-Lodged Declaration' and will wait for a new 'Presentation Notification For The Pre-Lodged Declaration' as long as the timer 'Awaiting For Presentation Notification' is running.

The final result of this process will be one of the following:

- Presentation Notification Is Accepted.
- Presentation Notification Is Rejected.

The result of this process will be used in further continuation of the process (L4-TRA-01-01-01-01-Processing Of Declaration). The process will either continue with another validation of a Transit Declaration (including its correction(s)), or it will go back to the gateway, which branches out several possible events: the expiration of time-limit 'Awaiting For Presentation Notification', the receipt of a new 'Presentation Notification For The Pre-Lodged Declaration', the Transit Declaration Correction or the Transit Declaration Cancellation.

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5.3.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-01-01-02
Full Name	Evaluate Transit Presentation Notification
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-04-Acceptance Of The Transit Declaration
Existing Specifications	DDNTA v5.13

Table 5.46: Process Details

5.3.3. Tasks and Events

Task Validate Presentation Notification For The Pre-Lodged Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Validate Presentation Notification For The Pre-Lodged Declaration
Task Type	Service task
Description	<p>When the 'Presentation Notification For The Pre-Lodged Declaration' (IE170) is received, it must be automatically validated.</p> <p>Syntactic checks should include, at minimum:</p> <ul style="list-style-type: none"> - Data compliance to its associated Rules and Conditions. <p>Final situation:</p> <p>If the result is positive, the IE170 has been proven to be valid and the process will continue.</p> <p>If the result is negative, the IE170 has not been proven to be valid. The Holder of the Transit Procedure is notified of the rejection, and the the process again waits for a new IE170.</p>
Actor	NCTS
Input Data	
Output Data	E_PRE_NOT Result If Validation Is Successful
Previous Objects	Presentation Notification For Pre-Lodged Declaration is Received
Information Exchange	Presentation Notification For The Pre-Lodged Declaration
Requirements	TRA_FUR_0001
Data Set	

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Events	
State	

Table 5.47: Task Validate Presentation Notification For The Pre-Lodged Declaration

Event Presentation Notification For Pre-Lodged Declaration is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Presentation Notification For Pre-Lodged Declaration is Received
Event Type	Conditional start event
Description	The process starts upon the receipt of the presentation notification
Previous Objects	

Table 5.48: Event Presentation Notification For Pre-Lodged Declaration is Received

Task Record Presentation Notification For The Pre-Lodged Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Presentation Notification For The Pre-Lodged Declaration
Task Type	Service task
Description	When the 'Presentation Notification For The Pre-Lodged Declaration (IE170) is successfully validated, the data elements following this validation are automatically recorded in NCTS - linked to the reference number (LRN and MRN).
Actor	NCTS
Input Data	E_PRE_NOT
Output Data	E_PRE_NOT
Previous Objects	Is Result Of Validation Positive
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.49: Task Record Presentation Notification For The Pre-Lodged Declaration

Task Notify Holder Of The Transit Procedure Of Transit Presentation Notification Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Notify Holder Of The Transit Procedure Of Transit Presentation Notification Rejection
Task Type	Send task
Description	When the 'Presentation Notification For The Pre-Lodged Declaration' (IE170) is rejected, the notification message IE056 ('Rejection From The Office Of Departure') will automatically be sent to the Holder Of The Transit Procedure informing that the 'Presentation Notification For The Pre-Lodged Declaration' is rejected and the Holder Of The Transit Procedure can submit a new 'Presentation Notification For The Pre-Lodged Declaration' as long as the timer 'Awaiting For Presentation Notification' is running.
Actor	NCTS
Input Data	E_PRE_NOT Result If Validation Is Successful
Output Data	
Previous Objects	Is Result Of Validation Positive
Information Exchange	Rejection From Office Of Departure
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.50: Task Notify Holder Of The Transit Procedure Of Transit Presentation Notification Rejection

Task Stop Timer Awaiting For Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Timer Awaiting For Presentation Notification
Task Type	Service task
Description	When the 'Presentation Notification For The Pre-Lodged Declaration' is recorded, the timer 'Awaiting For Presentation Notification' stops. In L4-TRA-01-01-08 BPM when the declaration is cancelled, the aforementioned timer stops.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	E_DEC_DAT E_PRE_NOT Awaiting for Presentation Notification Default Time Limit

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Output Data	Time Limit Awaiting for Presentation Notification Start Date Awaiting for Presentation Notification Expiry Date
Previous Objects	Record Presentation Notification For The Pre-Lodged Declaration
Information Exchange	
Requirements	TRA_FUR_0160
Data Set	
Events	
State	

Table 5.51: Task Stop Timer Awaiting For Presentation Notification

Event Presentation Notification Accepted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Presentation Notification Accepted
Event Type	End event
Description	This process successfully ends when the Presentation Notification for the Pre-Lodged Declaration is accepted.
Previous Objects	Stop Timer Awaiting For Presentation Notification

Table 5.52: Event Presentation Notification Accepted

Event Presentation Notification Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Presentation Notification Rejected
Event Type	End event
Description	This process ends when the PCO sends to the EO a Rejection of presentation notification.
Appears also in the following processes	L3-PUS-01-03-Provide Proof of Union Status
Previous Objects	Notify Holder Of The Transit Procedure Of Transit Presentation Notification Rejection

Table 5.53: Event Presentation Notification Rejected

5.4. Process L4-TRA-01-01-01-01-Processing Of Declaration

5.4.1. Objective of Process

The Holder of the Transit Procedure must provide a Local Reference Number that allows him/her and the Customs Office of Departure Officer to reference the data in the NCTS. The Holder of the Transit Procedure shall specify whether the NCTS declaration shall be used for the purpose of safety and security or not. NCTS validates data contained in IE015 and performs checks if the provided LRN is unique. In case declaration validation fails (IE015 is rejected), the Holder of the Transit Procedure is notified via IE056.

Upon validation completion and where an EORI number or a third country unique identification number is declared, NCTS validates it against EOS (Economic Operators system) and completes the Trader data in the declaration. If the EORI number or a third country unique identification number is not valid, the transit declaration will be rejected (IE056). Where a Holder of the Transit Procedure Identification Number is declared and it is a country code of the group 'Common Transit outside the Union' (CTC), i.e. Holder of the Transit Procedure Identification Number, NCTS does not validate it against EORI but checks its existence later against the Guarantee Management System.

In case a valid authorization is recorded in the transit declaration, the MRN is allocated to the transit declaration, but it is not sent to the Holder of the Transit Procedure until the acceptance of the transit declaration. Finally, a positive acknowledgement (IE928) is communicated to the Trader at Departure.

Acceptance of Declaration Declared Under Normal Procedure:

When a Transit declaration is submitted (IE015) under normal procedure, NCTS checks whether the location of goods where the consignment can be controlled, is declared. If this is the case the declared location of goods is validated by the Office of Departure.

If an invalid location of goods is declared, the transit declaration is rejected and the Holder of the Transit procedure is notified (IE056) that the declaration is invalid with the reason of the rejection. The process ends at this point. Upon communication of Positive Acknowledgement (IE928), NCTS registers whether the Goods are present or not where there are two options:

1.If Goods are presented, the Customs Office of Departure accepts the declaration, the MRN is communicated (IE028) to the Trader at Departure.

2.If Goods are not presented, NCTS requests and records Risk Analysis Results and the Customs Officer decides whether to control or not the Goods prior of presentation. The intention to control the goods is communicated to the Trader at Departure (IE060) only if he/she is an AEO. Moreover, the timer “Awaiting for Presentation Notification” (30 days duration) is initiated.

a. If Timer expires and Goods are not presented, then Transit Declaration (IE015) is rejected and NCTS notifies the Trader with rejection message IE056.

b. If Goods are to be presented within 30 days, the Trader has to submit an IE170 “Transit Presentation Notification” which is validated in NCTS.

i. In case of negative validation results, IE170 is rejected and NCTS notifies the Trader at Departure with message IE056 and the Transit Declaration is rejected. The Trader is able to resend IE170, in case that the time limit of 30 days has not expired;

ii. In case validation results are positive, IE170 is recorded in NCTS and timer “Awaiting for Presentation Notification” stops. The Customs Office of Departure accepts the declaration and the MRN is communicated (IE028) to the Trader at Departure.

Acceptance of Declaration Declared Under Simplified Procedure:

When declaration is submitted (IE015) under simplified procedure, NCTS checks that the Holder of the Transit Procedure who is responsible for the declaration is indeed authorized to use simplified procedure at that Customs Office of Departure.

NCTS checks also the declared ‘Location of goods’ where Customs can control the consignment. There are 2 options:

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1. The Trader has not declared an ‘Authorized place’: in this case NCTS considers that the location of goods is the one specified in the Authorisation for the Customs Office of Departure;

2. The Trader has declared an ‘Authorized place’: in this case NCTS checks it against the various authorized places, for the Customs Office of Departure in question, specified in the Authorisation.

When a Holder of the Transit Procedure uses seals then NCTS checks them against the seals mentioned in the Authorisation. NCTS also checks the Goods in order to be sure that none of them is excluded from the Authorisation.

If the Holder is not authorized to use simplified procedure or if he has declared an invalid ‘Authorized location of goods’ (including the case of no indication of one location amongst the several authorized locations for the Customs Office of Departure in question) or invalid seals, NCTS rejects the declaration and notifies (IE056) the Trader that the declaration is invalid, giving the reason of the rejection.

When the declaration is valid, a positive acknowledge is communicated (IE928) to the Trader and the declaration data is recorded in the NCTS. NCTS registers the decision if there is a presentation notification and respective results are recorded in the NCTS where there are two options:

1. If Goods are presented, the Customs Office of Departure accepts the declaration and the MRN is definitively allocated to the Transit Operation and communicated (IE028) to the Trader at Departure.

2.If Goods are not presented, NCTS requests and records Risk Analysis Results and the Customs Officer decides whether to control or not the Goods prior of presentation. The intention to control the goods is communicated to the Trader at Departure (IE060) only if he/she is an AEO. Moreover, the timer “Awaiting for Presentation Notification” (30 days duration) is initiated.

a. If Timer expires and Goods are not presented, then Transit Declaration (IE015) is rejected and NCTS notifies the Trader with rejection message IE056.

b. If Goods are to be presented within 30 days, the Trader has to submit an IE170 “Transit Presentation Notification” which is validated in NCTS.

i. In case of negative validation results, IE170 is rejected and NCTS notifies the Trader at Departure with message IE056 and the Transit Declaration is rejected. The Trader is able to resend IE170, in case that the time limit of 30 days has not expired;

ii. In case validation results are positive, IE170 is recorded in NCTS and timer “Awaiting for Presentation Notification” stops. The Customs Office of Departure accepts the declaration and the MRN is communicated (IE028) to the Trader at Departure.

Final situation :

If the declaration is not valid or if the location where the consignment can be controlled is not valid, the declaration is rejected and the Trader is notified.

In the other cases and only after presentation of goods, the declaration is accepted and the MRN is allocated. The Trader at Departure is notified for the acceptance of the declaration. The result of the risk analysis is known. The state of the Transit Operation is set to ‘Accepted’.

5.4.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-01-01
Full Name	Processing Of Declaration
Assumptions	In case of Export followed by Transit, the goods are presented.
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee

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Existing Specifications	DDNTA v5.13
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Table 5.54: Process Details

5.4.3. Tasks and Events

Task Perform Validation Of Declaration Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation Of Declaration Data
Task Type	Service task
Description	<p>When a Transit Declaration IE015 is received, it must be automatically validated.</p> <p>Syntactic checks should include, at minimum:</p> <ul style="list-style-type: none"> - Data compliance to its associated Rules & Conditions. <p>Final situation:</p> <p>The result is positive: the IE has been proven to be valid and the process will continue.</p> <p>The result is negative: the IE has not been proven to be valid and the Transit Declaration is rejected.</p>
Actor	NCTS
Input Data	
Output Data	Result If Validation Is Successful
Previous Objects	Declaration Data Is Received
Information Exchange	Declaration Data
Requirements	TRA_FUR_0007 TRA_FUR_0002 TRA_FUR_0010 TRA_FUR_0001 TRA_FUR_0151 TRA_FUR_0006 TRA_FUR_0004 TRA_FUR_0013 TRA_FUR_0005 TRA_FUR_0003
Data Set	
Events	
State	

Table 5.55: Task Perform Validation Of Declaration Data

Event Declaration Data Is Received

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Data Is Received
Event Type	Conditional start event
Description	This process starts upon the receipt of the Transit Declaration at the Customs Office of Departure from the Trader at Departure, triggering the "Accept Declaration" process that follows.
Appears also in the following processes	L4-TRA-01-01-01-Accept Declaration
Previous Objects	

Table 5.56: Event Declaration Data Is Received

Task Reject Transit Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reject Transit Declaration
Task Type	Service task
Description	NCTS rejects the Transit Declaration. The movement status is set to 'Rejected'.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-01-Processing Of Declaration
Input Data	Result If Validation Is Successful Result If Authorisation Is Valid E_DEC_DAT
Output Data	Transit Operation Transit Operation Rejection Information
Previous Objects	Is Authorisation Valid? Is Technical Validation Successful?
Information Exchange	
Requirements	TRA_FUR_0006
Data Set	Transit Operation
Events	Declaration Re-check Failed After Presentation Notification For Pre-Lodged Declaration Declaration Data Is Invalid
State	Rejected

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Table 5.57: Task Reject Transit Declaration

Task Identify Authorisation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Authorisation
Task Type	Service task
Description	When the transit declaration is recorded, the System will automatically check if the authorisation is valid. Final situation: The result is positive: Authorisation is valid. The result is negative: Authorisation is not valid.
Actor	NCTS
Input Data	E_DEC_DAT
Output Data	Result If Authorisation Is Valid
Previous Objects	Is Technical Validation Successful?
Information Exchange	
Requirements	TRA_FUR_0011 TRA_FUR_0006 TRA_FUR_0015 TRA_FUR_0012
Data Set	
Events	
State	

Table 5.58: Task Identify Authorisation

Task Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure
Task Type	Send task
Description	When the declaration is not valid or if the location where the consignment can be controlled is not valid, a notification IE will automatically be sent to the Notify IE056 To Holder Of The Transit Procedure At Departure informing that the declaration is invalid, giving the reason of the rejection.
Actor	NCTS
Appears also in the following	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As

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processes	Previous Procedure L4-TRA-01-01-01-01-Processing Of Declaration L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Input Data	Transit Operation Rejection Information
Output Data	
Previous Objects	Reject Transit Declaration
Information Exchange	Rejection From Office Of Departure
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.59: Task Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure

Task Record Declaration Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Declaration Data
Task Type	Service task
Description	When the validation of the IE015 is successful, the data elements following this validation are automatically recorded in the system - linked to the reference number.
Actor	NCTS
Input Data	E_DEC_DAT
Output Data	Transit Operation E_DEC_DAT
Previous Objects	Is Authorisation Valid?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Declaration Data Is Valid With Additional Declaration Type 'D' Declaration Data Is Validated Successfully

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State	Pre-Lodged Submitted

Table 5.60: Task Record Declaration Data

Event Declaration Data Is Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Data Is Rejected
Event Type	End event
Description	If the declaration is not valid or if the location where the consignment can be controlled is not valid, the declaration is rejected. The Holder of the Transit procedure is notified of the fact that the declaration is rejected and the process ends.
Appears also in the following processes	L4-TRA-01-01-01-Processing Of Declaration L4-TRA-01-01-Process Departure - Acceptance and Controls L4-TRA-01-01-01-Accept Declaration
Previous Objects	Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure

Table 5.61: Event Declaration Data Is Rejected

Task Notify Holder Of The Transit Procedure At Departure Of Positive Acknowledge

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure At Departure Of Positive Acknowledge
Task Type	Send task
Description	When the IE015 is recorded a notification IE will automatically be sent to the premises of the Trader at Departure informing that the transit declaration IE015 is received.
Actor	NCTS
Input Data	E_DEC_DAT
Output Data	
Previous Objects	Record Declaration Data
Information Exchange	Positive Acknowledge
Requirements	TRA_FUR_0022

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Data Set	
Events	
State	

Table 5.62: Task Notify Holder Of The Transit Procedure At Departure Of Positive Acknowledge

Task Generate MRN

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Generate MRN
Task Type	Service task
Description	NCTS generates a new MRN. The MRN is allocated to the Transit Operation, but not sent to the Holder of the Transit Procedure until the acceptance of the transit declaration.
Actor	NCTS
Input Data	LRN
Output Data	MRN
Previous Objects	Notify Holder Of The Transit Procedure At Departure Of Positive Acknowledge
Information Exchange	
Requirements	TRA_FUR_0020
Data Set	
Events	
State	

Table 5.63: Task Generate MRN

Task Identify If The Goods Are To Be Presented Immediately

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If The Goods Are To Be Presented Immediately
Task Type	Service task
Description	NCTS registers whether Goods are presented or not. Final situation: The result is positive: The goods are presented immediately The result is negative: The goods are not presented immediately
Actor	NCTS

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Input Data	LRN Additional declaration type Transit Operation MRN
Output Data	Transit Operation Result Check If Goods Are Presented Additional declaration type
Previous Objects	Generate MRN
Information Exchange	
Requirements	TRA_FUR_0067 TRA_FUR_0008
Data Set	
Events	
State	

Table 5.64: Task Identify If The Goods Are To Be Presented Immediately

Task Start Timer Awaiting For Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Timer Awaiting For Presentation Notification
Task Type	Service task
Description	When the Transit Declaration is submitted prior to the goods presentation (Additional declaration type is equal to "D"), a timer is started to receive the presentation notification within 30 days.
Actor	NCTS
Input Data	MRN LRN Declaration date Awaiting for Presentation Notification Default Time Limit
Output Data	Time Limit Awaiting for Presentation Notification Start Date Awaiting for Presentation Notification Expiry Date
Previous Objects	Are Goods Presented Immediately?
Information Exchange	
Requirements	TRA_FUR_0026

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Data Set	
Events	
State	

Table 5.65: Task Start Timer Awaiting For Presentation Notification

Task Request and Record Risk Analysis for NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request and Record Risk Analysis for NCTS
Task Type	Subprocess (collapsed)
Description	<p>The automatic national risk analysis is performed at this stage. If there is a positive risk analysis result, it is recorded in the transit declaration.</p> <p>If NCTS is also used for the purpose of safety and security and if the Office of Departure is located in an EU Member State, a full safety and security related risk analysis shall be performed additionally for all the goods. The national risk analysis system records the risk analysis results in the transit declaration.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-Accept Declaration L4-TRA-01-01-02-Evaluate Correction/Amendment Request
Input Data	
Output Data	
Previous Objects	Start Timer Awaiting For Presentation Notification
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.66: Task Request and Record Risk Analysis for NCTS

Task Register Control Decision Prior To Presentation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Control Decision Prior To Presentation
Task Type	User task
Description	The Customs Officer registers his decision if there is a presentation notification.

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	Final situation: The Customs Officer registered his control decision prior to the presentation of the goods
Actor	Customs Officer
Input Data	MRN E_DEC_DAT
Output Data	Control Result
Previous Objects	Request and Record Risk Analysis for NCTS
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.67: Task Register Control Decision Prior To Presentation

Task Identify If At Least One Export MRN Is Referenced In Transit Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If At Least One Export MRN Is Referenced In Transit Declaration
Task Type	Service task
Description	When the transit declaration is recorded, the System will automatically check if At Least One Export MRN Is Referenced In Transit Declaration. Final situation: The result is positive: At Least One Export MRN Is Referenced In Transit Declaration. The result is negative: No Export MRN Is Referenced In Transit Declaration.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-02-05-Handle Unsatisfactory Guarantee Result L4-TRA-01-01-06-Take Decision L4-TRA-01-01-04-Handle Results Of Control
Input Data	E_DEC_DAT
Output Data	Result Of Export MRN Identification E_DEC_DAT
Previous Objects	Are Goods Presented Immediately?

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Information Exchange	
Requirements	TRA_FUR_0190 TRA_FUR_0188 TRA_FUR_0189
Data Set	
Events	
State	

Table 5.68: Task Identify If At Least One Export MRN Is Referenced In Transit Declaration

Task Record Control Decision Prior To Presentation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Decision Prior To Presentation
Task Type	Service task
Description	When the Control result is registered, the data elements following this registration are automatically recorded in the NCTS System - linked to the reference number.
Actor	NCTS
Input Data	LRN Control Result MRN
Output Data	Control Result
Previous Objects	Register Control Decision Prior To Presentation
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.69: Task Record Control Decision Prior To Presentation

Task Notify Holder Of The Transit Procedure Of Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure Of Control Decision
Task Type	Send task

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Description	When the Control decision is recorded and AEO status is available , a notification IE will automatically be sent to the Holder Of The Transit Procedure informing for the control decision for the Transit Declaration submitted prior to goods presentation.
Actor	NCTS
Input Data	Control Result E_CTR_DEC
Output Data	
Previous Objects	Are Controls To Be Performed and AEO Status Is Available?
Information Exchange	Transit Control Decision Notification Prior To Presentation
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.70: Task Notify Holder Of The Transit Procedure Of Control Decision

Task Lodge Transit Declaration Having Export As Previous Procedure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Lodge Transit Declaration Having Export As Previous Procedure
Task Type	Call activity (collapsed)
Description	<p>Upon the reception of transit declaration, having export as previous procedure, the Office of Departure performs an initial cross check of every Export MRN referenced in the transit declaration by sending the 'Transit Presentation Notification' (IE190) message to the Office of Exit for validation purposes.</p> <p>The Office Of Exit replies with a 'Transit Presentation Notification Response' (IE191) message which can be positive or negative.</p> <p>In case of positive response, the Office of Departure accepts the transit declaration and communicates the MRN to the Holder of the Transit Procedure with the 'MRN Allocated' (IE028) message and the 'Transit Presentation Notification' (IE190) message is sent again to the Office of Exit to allocate the transit MRN for the Export MRNs, since the transit declaration has been accepted.</p> <p>In case of negative response, the process stops in NCTS and the transit declaration is rejected by sending the 'Rejection from Office of Departure' (IE056) message to the Holder of the Transit Procedure.</p>

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Actor	NCTS
Input Data	
Output Data	
Previous Objects	Is Export MRN Identified?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.71: Task Lodge Transit Declaration Having Export As Previous Procedure

Event Receive Transit Declaration Cancellation

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Transit Declaration Cancellation
Event Type	Message intermediate event (catch)
Description	A cancellation for the transit declaration is received.
Previous Objects	Are Controls To Be Performed and AEO Status Is Available? Notify Holder Of The Transit Procedure Of Control Decision Is Cancellation Request Valid? Evaluate Correction Request Is Presentation Notification Accepted? Premises Of The Trader At Departure

Table 5.72: Event Receive Transit Declaration Cancellation

Event Time Limit Awaiting For Presentation Notification Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit Awaiting For Presentation Notification Expired
Event Type	Timer intermediate event
Description	The time limit to receive the Presentation Notification is expired.
Previous Objects	Are Controls To Be Performed and AEO Status Is Available? Notify Holder Of The Transit Procedure Of Control Decision Is Cancellation Request Valid? Evaluate Correction Request Is Presentation Notification Accepted?

Table 5.73: Event Time Limit Awaiting For Presentation Notification Expired

Event Transit Rejected By The Customs Office Of Departure

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Rejected By The Customs Office Of Departure
Event Type	End event
Description	The process ends at the customs office of departure when the holder of the transit is notified about the rejection from the customs office of departure
Appears also in the following processes	L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Previous Objects	Is The Transit Declaration Having Export As Previous Procedure Accepted?

Table 5.74: Event Transit Rejected By The Customs Office Of Departure

Event Receive Transit Declaration Correction

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Transit Declaration Correction
Event Type	Message intermediate event (catch)
Description	A correction for the transit declaration is received.
Previous Objects	Premises Of The Trader At Departure Are Controls To Be Performed and AEO Status Is Available? Notify Holder Of The Transit Procedure Of Control Decision Is Cancellation Request Valid? Evaluate Correction Request Is Presentation Notification Accepted?

Table 5.75: Event Receive Transit Declaration Correction

Event Presentation Notification for the Pre-Lodged declaration is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Presentation Notification for the Pre-Lodged declaration is Received
Event Type	Message intermediate event (catch)
Description	NCTS receives the Presentation Notification from Trader at Departure.
Previous Objects	Are Controls To Be Performed and AEO Status Is Available? Notify Holder Of The Transit Procedure Of Control Decision Is Cancellation Request Valid? Evaluate Correction Request Is Presentation Notification Accepted? Premises Of The Trader At Departure

Table 5.76: Event Presentation Notification for the Pre-Lodged declaration is Received

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Task Evaluate Transit Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Evaluate Transit Presentation Notification
Task Type	Call activity (collapsed)
Description	NCTS checks the received 'Transit Presentation Notification' (IE170) and decides whether to accept it or reject it.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Presentation Notification for the Pre-Lodged declaration is Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.77: Task Evaluate Transit Presentation Notification

Task Evaluate Correction Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Evaluate Correction Request
Task Type	Call activity (collapsed)
Description	NCTS checks the received correction of the transit declaration and if valid, the data of the transit declaration are updated.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Transit Declaration Correction
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.78: Task Evaluate Correction Request

Task Handle Cancellation Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Cancellation Request
Task Type	Call activity (collapsed)
Description	NCTS checks the received cancellation of the transit declaration and if valid, the transit declaration is cancelled.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Transit Declaration Cancellation
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.79: Task Handle Cancellation Request

Task Perform Validation Of Transit Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation Of Transit Declaration
Task Type	Service task
Description	<p>Following the reception of the presentation notification, the re-validation of the transit declaration will be performed.</p> <p>Syntactic checks should include, at minimum:</p> <ul style="list-style-type: none"> - Data compliance to its associated Rules & Conditions. <p>Final situation:</p> <p>If the result is negative, the Transit Declaration information (considering the 'Declaration Data' (IE015) and any latest 'Declaration Amendment' (IE013)) is proven to be valid, and the process will continue.</p> <p>If the result is negative, the Transit Declaration information (considering the 'Declaration Data' (IE015) and any latest 'Declaration Amendment' (IE013)) is proved to be invalid, and the Transit Declaration is rejected.</p>
Actor	NCTS
Input Data	E_DEC_DAT E_DEC_AMD E_PRE_NOT
Output Data	Result If Validation Is Successful E_DEC_DAT

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Previous Objects	Is Presentation Notification Accepted?
Information Exchange	
Requirements	TRA_FUR_0001
Data Set	
Events	
State	

Table 5.80: Task Perform Validation Of Transit Declaration

Task Reject Transit Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reject Transit Declaration
Task Type	Service task
Description	NCTS rejects the Transit Declaration. The movement status is set to 'Rejected'.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-01-Processing Of Declaration
Input Data	Result If Validation Is Successful Result If Authorisation Is Valid E_DEC_DAT
Output Data	Transit Operation Transit Operation Rejection Information
Previous Objects	Is Technical Validation Successful? Is Authorisation Valid? Time Limit Awaiting For Presentation Notification Expired
Information Exchange	
Requirements	TRA_FUR_0006
Data Set	Transit Operation
Events	Declaration Re-check Failed After Presentation Notification For Pre-Lodged Declaration Declaration Data Is Invalid
State	Rejected

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Table 5.81: Task Reject Transit Declaration

Event Transit Declaration Is Cancelled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Declaration Is Cancelled
Event Type	End event
Description	When the pre-lodged transit declaration is cancelled successfully, the movement state is set to "Cancelled". This is a final state and the process ends
Previous Objects	Is Cancellation Request Valid?

Table 5.82: Event Transit Declaration Is Cancelled

Task Check Authorisation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Check Authorisation
Task Type	Service task
Description	At the time the “Presentation Notification for the Pre-lodged Declaration” E_PRE_NOT (IE170) is lodged with the final 'Location of goods', then the 'Location of goods' mentioned in the Authorization (i.e. type ACR) is checked. In case that this validation check shows different locations of goods then the transit declaration is rejected.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-Evaluate Correction/Amendment Request
Input Data	E_DEC_DAT
Output Data	Result If Authorisation Is Valid
Previous Objects	Is Technical Validation Successful?
Information Exchange	
Requirements	TRA_FUR_0015 TRA_FUR_0012 TRA_FUR_0075
Data Set	
Events	
State	

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Table 5.83: Task Check Authorisation

Task Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure
Task Type	Send task
Description	When the declaration is not valid or if the location where the consignment can be controlled is not valid, a notification IE will automatically be sent to the Notify IE056 To Holder Of The Transit Procedure At Departure informing that the declaration is invalid, giving the reason of the rejection.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-01-01-01-Processing Of Declaration L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Input Data	Transit Operation Rejection Information
Output Data	
Previous Objects	Reject Transit Declaration
Information Exchange	Rejection From Office Of Departure
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.84: Task Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure

Event Declaration Data Is Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Data Is Rejected
Event Type	End event
Description	If the declaration is not valid or if the location where the consignment can be controlled is not valid, the declaration is rejected. The Holder of the Transit procedure is notified of the fact that the

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	declaration is rejected and the process ends.
Appears also in the following processes	L4-TRA-01-01-01-01-Processing Of Declaration L4-TRA-01-01-Process Departure - Acceptance and Controls L4-TRA-01-01-01-Accept Declaration
Previous Objects	Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure

Table 5.85: Event Declaration Data Is Rejected

Task Accept Customs Declaration For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Accept Customs Declaration For NCTS
Task Type	Service task
Description	When the declaration is valid, the Customs Office of Departure accepts the declaration and MRN is definitively allocated to the declaration. Final situation : The declaration is accepted. The state of the Transit Operation is set to 'Accepted'
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Input Data	E_DEC_DAT
Output Data	Declaration Acceptance Date Transit Operation
Previous Objects	Is Authorisation Valid? Is Export MRN Identified?
Information Exchange	
Requirements	TRA_FUR_0018
Data Set	Transit Operation
Events	Accept Customs Declaration For NCTS
State	Accepted

Table 5.86: Task Accept Customs Declaration For NCTS

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Task Notify Holder Of The Transit Procedure At Departure of MRN Allocated

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure At Departure of MRN Allocated
Task Type	Send task
Description	When a MRN is generated, a notification IE028 will automatically be sent to the Holder Of The Transit Procedure informing that MRN is accepted and definitely allocated to the Transit Operation.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Input Data	Transit Operation Declaration Acceptance Date
Output Data	
Previous Objects	Accept Customs Declaration For NCTS
Information Exchange	MRN Allocated
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.87: Task Notify Holder Of The Transit Procedure At Departure of MRN Allocated

Event Transit Declaration Is Accepted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Declaration Is Accepted
Event Type	End event
Description	<p>The declaration is accepted and an MRN is generated and allocated. The Trader is notified of the acceptance of the declaration.</p> <p>The MRN is communicated to the Holder of the Transit procedure who will use it to complete other documents not related to the NCTS (import / export).</p>
Previous Objects	Notify Holder Of The Transit Procedure At Departure of MRN Allocated Is The Transit Declaration Having Export As Previous Procedure Accepted?

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Table 5.88: Event Transit Declaration Is Accepted

5.5. Process L4-TRA-01-01-01-Accept Declaration

5.5.1. Objective of Process

The declaration data must be submitted (IE015) by electronic means to the Customs Office of Departure (except where the Business Continuity Procedure applies (Annex 72-04 of IA)).

The Holder of the Transit Procedure must provide a Local Reference Number that allows the Holder of the Transit Procedure and the Customs Office of Departure Officer to reference the data in the NCTS. The Holder of the Transit Procedure shall specify whether the NCTS declaration shall be used for the purpose of safety and security or not. NCTS validates data contained in IE015 and performs checks if the provided LRN is unique. In case declaration validation fails (IE015 is rejected), the Holder is notified via IE016.

Upon validation completion and where an EORI number or a third country unique identification number is declared, NCTS validates it against EOS (Economic Operators system) and completes the Trader data in the declaration. If the EORI number or a third country unique identification number is not valid, the transit declaration will be rejected (IE056). Where a Holder of the Transit Procedure Identification Number is declared and it is a country code of the group 'Common Transit outside the Union' (CTC) Holder of the Transit Procedure Identification Number, NCTS does not validate it against EORI but checks its existence later against the Guarantee Management System.

In case of valid authorization IE015 is recorded in the NCTS, the MRN is generated and provisionally allocated to the declaration. A positive acknowledgement (IE928) is communicated to the Trader.

Transit declaration with reduced data set

A Transit declaration with reduced data requirements (D2) can be used for:

1. The transport of goods by rail;
2. The transport of goods by air and sea where the electronic transport document cannot be used.

The applicants applying for Transit simplifications must fulfil the Authorised Economic Operator (AEO) criteria specified in Articles 39(a) (b) and (d) of the UCC.

Post MRN Generation, process of Acceptance of Declaration, Declared Under Normal and Simplified procedure based on the presence or not of Goods is described in details in subprocess L4-TRA-01-01-01-01 - Processing Of Declaration

Risk Analysis :

NCTS triggers the risk analysis process which is carried out by the national risk analysis system. The declaration data is sent to the risk analysis system/s and processed against risk rules (including common risk criteria and standards where applicable). The risk analysis system sends back the risk analysis result (coded and/or textual) together with the control recommendation.

NCTS registers the risk analysis result and control recommendation.

If risk was identified, the process continues with the control task. If the declaration is declared under simplified procedure the timer "Awaiting for automatic release" is stopped. The Customs Officer is alerted and asked to register a control decision (L4-TRA-01-01-03-Register Control Decision).

If no risk was identified or if risk analysis could not be performed the process continues with the Guarantee Registration. If the declaration is declared under simplified procedure NCTS awaits the timer "Awaiting for automatic release" to expire.

Exception in case of business continuity (Annex72-04) :

Where the decision to apply the business continuity procedure is taken, any transit data with LRN or MRN allocated to the transit operation shall be withdrawn from the electronic transit system on the basis of information provided by a person who lodged a transit data into the electronic transit system. However, in case of unavailability of the computerised system of the holder of the procedure and/or network between him and the customs authority or in case of unavailability of the authorised consignor's computerised system and/or network between him and the customs authority the customs authority may allow the holder of the procedure to submit the transit declaration in one copy (making use of the SAD or the TAD/TSAD) to the Customs Office of Departure in order to have it processed by the electronic transit system.

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Final situation :

If the declaration is not valid or if the location where the consignment can be controlled is not valid, the declaration is rejected and the Trader is notified.

In the other cases and only after presentation of goods, the declaration is accepted and the MRN is definitively allocated. The Trader is notified for the acceptance of the declaration. The result of the risk analysis is known. The state of the Transit Operation is set to 'Accepted'.

5.5.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-01
Full Name	Accept Declaration
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.89: Process Details

5.5.3. Tasks and Events

Event Amendment Accepted By Customs Office Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Amendment Accepted By Customs Office Of Departure
Event Type	Start event
Description	The process starts upon the acceptance of an amendment, triggered by the relevant end event of the process 'Amendment of a Transit Declaration'.
Previous Objects	

Table 5.90: Event Amendment Accepted By Customs Office Of Departure

Task Processing Of Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Processing Of Declaration
Task Type	Call activity (collapsed)
Description	When the Customs Declaration is received, NCTS perform a validation on the Customs Declaration. When a declaration is submitted under normal procedure, NCTS checks the

	<p>declared 'location of goods' where the consignment can be controlled. There are 3 options:</p> <ol style="list-style-type: none"> 1. the Trader has not declared a 'Location of goods': in this case, the presentation of the goods takes place at the Customs Office of Departure; 2. the Trader has declared an 'Approved place', the system notifies the Customs Officer that he has to validate manually the declared location; no further processing is foreseen in NCTS to handle this kind of locations; 3. If the Trader has declared a Designated location', NCTS checks it against the various Customs sub places registered in the system, for the Customs Office of Departure in question. <p>The next step is to check if the Customs Declaration is valid. If it is valid, NCTS records the declaration, informs the Holder of the Transit procedure of the successful declaration receipt and checks the authorisations.</p> <p>If the Customs Declaration is not valid, the holder of the transit procedure is notified and the Customs Declaration is rejected.</p> <p>If the Customs Declaration is valid, the Customs Declaration is accepted and an MRN code is generated. The holder of the transit procedure is notified and the Customs Declaration is accepted.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Declaration Data Is Received Premises Of The Trader At Departure
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.91: Task Processing Of Declaration

Event Declaration Data Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Data Is Received
Event Type	Conditional start event
Description	This process starts upon the receipt of the Transit Declaration at the Customs Office of Departure from the Trader at Departure, triggering the "Accept Declaration" process that follows.
Appears also in the following processes	L4-TRA-01-01-01-Processing Of Declaration
Previous Objects	

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Table 5.92: Event Declaration Data Is Received

Task Request and Record Risk Analysis for NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request and Record Risk Analysis for NCTS
Task Type	Subprocess (collapsed)
Description	<p>The automatic national risk analysis is performed at this stage.</p> <p>If there is a positive risk analysis result, it is recorded in the transit declaration.</p> <p>If NCTS is also used for the purpose of safety and security and if the Office of Departure is located in an EU Member State, a full safety and security related risk analysis shall be performed additionally for all the goods. The national risk analysis system records the risk analysis results in the transit declaration.</p>
Actor	NCTS
Appears also in the following processes	<p>L4-TRA-01-01-01-01-Processing Of Declaration</p> <p>L4-TRA-01-01-02-Evaluate Correction/Amendment Request</p>
Input Data	
Output Data	
Previous Objects	<p>Is Customs Declaration Accepted?</p> <p>Amendment Accepted By Customs Office Of Departure</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.93: Task Request and Record Risk Analysis for NCTS

Event Declaration Data Is Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Data Is Rejected
Event Type	End event
Description	<p>If the declaration is not valid or if the location where the consignment can be controlled is not valid, the declaration is rejected.</p> <p>The Holder of the Transit procedure is notified of the fact that the declaration is rejected and the process ends.</p>

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Appears also in the following processes	L4-TRA-01-01-01-Processing Of Declaration L4-TRA-01-01-Process Departure - Acceptance and Controls
Previous Objects	Is Customs Declaration Accepted?

Table 5.94: Event Declaration Data Is Rejected

Task Identify If Declaration Amendment Is Received

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Declaration Amendment Is Received
Task Type	Service task
Description	When is needed, the System will automatically check if the "Declaration Amendment" (IE013) is previously received and amendment to initial declaration are applied. Final situation: The result is positive: The "Declaration Amendment" (IE013) is received The result is negative: The "Declaration Amendment" (IE013) is not received
Actor	NCTS
Input Data	MRN E_DEC_DAT
Output Data	Result Identify If Movement Has Been Released
Previous Objects	Request and Record Risk Analysis for NCTS
Information Exchange	
Requirements	TRA_FUR_0041
Data Set	
Events	
State	

Table 5.95: Task Identify If Declaration Amendment Is Received

Task Identify If Simplifications For Authorised Consignor Apply

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Simplifications For Authorised Consignor Apply
Task Type	Service task
Description	Whenever needed, the System will automatically check if simplifications for authorised consignor apply.

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	Final situation: The result is positive: Simplifications for authorised consignor apply. The result is negative: Simplifications for authorised consignor do not apply.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-Evaluate Correction/Amendment Request
Input Data	Control Result E_DEC_DAT Holder Of The Transit Procedure
Output Data	Result Identify If Simplifications For Authorised Consignor Apply
Previous Objects	Is Declaration Amendment Received ?
Information Exchange	
Requirements	TRA_FUR_0075
Data Set	
Events	
State	

Table 5.96: Task Identify If Simplifications For Authorised Consignor Apply

Task Identify If Transit Movement Is Of High Risk

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Transit Movement Is Of High Risk
Task Type	Task
Description	When the risk analysis results for NCTS are recorded, then the System identifies whether the movement is categorised as high risk.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-01-Process Arrival Notification
Input Data	E_DEC_DAT Result Check If Movement is of High Risk
Output Data	Result Identify If Movement is of High Risk E_DEC_DAT
Previous Objects	Do Simplifications Apply For Authorised Consignor?

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Information Exchange	
Requirements	TRA_FUR_0172
Data Set	
Events	
State	

Table 5.97: Task Identify If Transit Movement Is Of High Risk

Task Start Time Limit -Awaiting For Automatic Release-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Time Limit -Awaiting For Automatic Release-
Task Type	Service task
Description	When the transit declaration is submitted under simplified procedure, a timer 'Awaiting for automatic release' is started to progress towards an automatic release after the timer has expired
Actor	NCTS
Input Data	E_DEC_DAT Automatic Release Default Time Limit Automatic Release Start Date Declaration Acceptance Date
Output Data	Automatic Release Start Date Automatic Release Expiry Date
Previous Objects	Is Transit Movement Of High Risk?
Information Exchange	
Requirements	TRA_FUR_0025
Data Set	
Events	
State	

Table 5.98: Task Start Time Limit -Awaiting For Automatic Release-

Event Registration Of Control Decision Is Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Registration Of Control Decision Is Required
Event Type	End event
Description	The process ends by registering the control decisionA registration of the

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	control decision is required. The process ends. The process ends at the Decision-Taking Customs Authority when the applicant and involved Member States are notified of the acceptance. The Take decision process will start
Previous Objects	Is Declaration Amendment Received ? Do Simplifications Apply For Authorised Consignor? Is Transit Movement Of High Risk? Start Time Limit -Awaiting For Automatic Release-

Table 5.99: Event Registration Of Control Decision Is Required

5.6. Process L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure

5.6.1. Objective of Process

The Holder of the Transit Procedure requests amendment of the transit declaration having export as previous procedure. As a result, the 'Transit Presentation Notification' N_XFT_REQ (IE190) message is transmitted to the Office Of Exit which replies with a 'Transit Presentation Notification Response' N_XFT_RSP (IE191) which can be positive or negative.

If positive 'Transit Presentation Notification Response' N_XFT_RSP (IE191) is returned from the Office of Exit, then the transit declaration continues its normal processing with the amended data.

However, if negative 'Transit Presentation Notification Response' N_XFT_RSP (IE191) is returned from the Office of Exit, then the Holder of the Transit Procedure is notified with the 'Notification to Amend Declaration' E_AMD_NOT (IE022) message to submit an updated amendment request (i.e. this is a mandatory prerequisite for the transit movement). The process can only continue if the amended data on the 'problematic' Export MRNs is validated successfully from AES this time, meaning that the Office of Departure has received a positive 'Transit Presentation Notification Response' N_XFT_RSP (IE191) message.

5.6.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-02-01
Full Name	Amend Transit Declaration Having Export As Previous Procedure
Assumptions	An Export MRN is not declared in more than one Transit Declarations
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.100: Process Details

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5.6.3. Tasks and Events

Event Amendment Declaration Having Export As Previous Procedure Submitted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Amendment Declaration Having Export As Previous Procedure Submitted
Event Type	Conditional start event
Description	This process starts upon the reception of amendment declaration having export as previous procedure
Previous Objects	

Table 5.101: Event Amendment Declaration Having Export As Previous Procedure Submitted

Task Notify Customs Office Of Exit Of Transit Presentation Notification (Amendment Export MRN(s))

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit Of Transit Presentation Notification (Amendment Export MRN(s))
Task Type	Send task
Description	<ul style="list-style-type: none"> -When the transit declaration is received, a notification IE190 will automatically be sent to the AES system to perform an initial cross check. -When the transit presentation notification is positive, a notification IE190 is send again to the AES system, to allocate the transit MRN for the Export MRNs since the transit declaration is accepted -When the amendment declaration is received, a notification IE190 will automatically be sent to the AES system since changes have been made in the Export MRNs
Actor	Customs Office Of Departure
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure
Input Data	E_DEC_AMD Result Of Export MRN Identification
Output Data	Transit Operation
Previous Objects	Amendment Declaration Having Export As Previous Procedure Submitted
Information Exchange	Transit Presentation Notification
Requirements	TRA_FUR_0149
Data Set	Transit Operation

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Events	Transit Presentation Notification - Amendment Is Sent to AES
State	Pending Response From AES

Table 5.102: Task Notify Customs Office Of Exit Of Transit Presentation Notification (Amendment Export MRN(s))

Event Transit Presentation Notification Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Presentation Notification Response Received
Event Type	Message intermediate event (catch)
Description	When the Transit Presentation Notification is sent to AES system, it replies back with a Transit Presentation Notification Response (IE191) message.
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Previous Objects	Notify Customs Office Of Exit Of Transit Presentation Notification (Amendment Export MRN(s)) AES

Table 5.103: Event Transit Presentation Notification Response Received

Task Record Transit Presentation Notification Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Transit Presentation Notification Response
Task Type	Service task
Description	When the Transit Presentation Notification Response is received, the Data data elements following this reception are automatically recorded in the NCTS system.
Actor	Customs Office Of Departure
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Input Data	
Output Data	E_DEC_DAT Result Of Export MRN Identification
Previous Objects	Transit Presentation Notification Response Received

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Information Exchange	Transit Presentation Notification Response
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.104: Task Record Transit Presentation Notification Response

Task Identify if Transit Presentation Notification Response is Positive

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Transit Presentation Notification Response is Positive
Task Type	Service task
Description	When the Transit Presentation Notification is received, the Customs Decisions System will automatically check whether the reply is positive or negative
Actor	Customs Office Of Departure
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Input Data	N_XFT_RSP
Output Data	Result Identify If Transit Presentation Notification Response Is Positive Transit Operation
Previous Objects	Record Transit Presentation Notification Response
Information Exchange	
Requirements	TRA_FUR_0184
Data Set	Transit Operation
Events	Positive Transit Presentation Notification Response Is Received From AES Negative Amendment Result Is Received From AES
State	Under Amendment Request Due To EFBT Accepted

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Table 5.105: Task Identify if Transit Presentation Notification Response is Positive

Task Notify Holder Of The Transit Procedure Of Notification To Amend Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure Of Notification To Amend Declaration
Task Type	Send task
Description	When a negative Transit Presentation Notification Response is received a notification IE022 will automatically be sent to the holder of the transit procedure, informing him to submit an updated amendment request
Actor	Customs Office Of Departure
Input Data	Holder Of The Transit Procedure Transit Operation Customs Office Of Departure
Output Data	
Previous Objects	Is The Transit Presentation Notification Response From AES Positive?
Information Exchange	Notification To Amend Declaration
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.106: Task Notify Holder Of The Transit Procedure Of Notification To Amend Declaration

Task Time Limit Declaration Awaiting Amendment Due To Export Starts

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Time Limit Declaration Awaiting Amendment Due To Export Starts
Task Type	Service task
Description	When the holder of the transit is notified, the time limit to submit an updated request is automatically started.
Actor	Customs Office Of Departure
Input Data	Receive Response On Amendment Declaration Start Date Receive Response On Amendment Declaration Expiry Date MRN Date Of Notification To Amend Declaration Receive Response On Amendment Declaration Default Time Limit

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Output Data	Receive Response On Amendment Declaration Start Date Receive Response On Amendment Declaration Expiry Date Date Limit for Response
Previous Objects	Notify Holder Of The Transit Procedure Of Notification To Amend Declaration
Information Exchange	
Requirements	TRA_FUR_0185
Data Set	
Events	
State	

Table 5.107: Task Time Limit Declaration Awaiting Amendment Due To Export Starts

Event Declaration Amendment Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Amendment Received
Event Type	Message intermediate event (catch)
Description	When a negative Transit Presentation Notification Response is received, the holder of the transit is expected to submit a new declaration within the expected time-limit
Previous Objects	Holder Of The Transit Procedure Time Limit Declaration Awaiting Amendment Due To Export Starts Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure Time Limit Declaration Awaiting Amendment Due To Export Restarts

Table 5.108: Event Declaration Amendment Received

Task Perform Validation Of Declaration Amendment

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation Of Declaration Amendment
Task Type	Service task
Description	When a Declaration Amendment is received, it must be automatically validated. Syntactic checks should include, at minimum: - Data compliance to its associated Rules & Conditions. Final situation: The result is positive: the IE has been proven to be valid and the process will continue.

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	The result is negative: the IE has not been proven to be valid and it is rejected.
Actor	Customs Office Of Departure
Appears also in the following processes	L4-TRA-01-02-06-Handle Amendment Of The Declaration Guarantee Details
Input Data	
Output Data	Result Identify if Guarantee Amendment is Valid Transit Operation
Previous Objects	Declaration Amendment Received
Information Exchange	Declaration Amendment
Requirements	TRA_FUR_0001 TRA_FUR_0142
Data Set	Transit Operation
Events	Declaration Amendment Is Accepted
State	Pending Response From AES

Table 5.109: Task Perform Validation Of Declaration Amendment

Event Time Limit Declaration Awaiting Amendment Due To Export Expires

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit Declaration Awaiting Amendment Due To Export Expires
Event Type	Timer intermediate event
Description	When the holder of the transit does not provide an updated amendment declaration within the expected time limit, the timer automatically expires
Previous Objects	Time Limit Declaration Awaiting Amendment Due To Export Starts Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure Time Limit Declaration Awaiting Amendment Due To Export Restarts

Table 5.110: Event Time Limit Declaration Awaiting Amendment Due To Export Expires

Task Time Limit Declaration Awaiting Amendment Due To Export Restarts

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Time Limit Declaration Awaiting Amendment Due To Export Restarts

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Task Type	Service task
Description	When a negative transit presentation notification is received, the time limit to submit an updated request is automatically restarted.
Actor	Customs Office Of Departure
Input Data	Date Of Transit Presentation Notification Response Received Receive Response On Amendment Declaration Default Time Limit MRN Receive Response On Amendment Declaration Start Date Receive Response On Amendment Declaration Expiry Date
Output Data	Date Limit for Response Receive Response On Amendment Declaration Expiry Date Receive Response On Amendment Declaration Start Date
Previous Objects	Is The Transit Presentation Notification Response From AES Positive?
Information Exchange	
Requirements	TRA_FUR_0185
Data Set	
Events	
State	

Table 5.111: Task Time Limit Declaration Awaiting Amendment Due To Export Restarts

Task Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure
Task Type	Send task
Description	When the declaration is not valid or if the location where the consignment can be controlled is not valid, a notification IE will automatically be sent to the Notify IE056 To Holder Of The Transit Procedure At Departure informing that the declaration is invalid, giving the reason of the rejection.
Actor	Customs Office Of Departure
Appears also in the following processes	L4-TRA-01-01-01-01-Processing Of Declaration L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Input Data	Transit Operation Rejection Information
Output Data	

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Previous Objects	Is the Validation <input type="checkbox"/> of Declaration Amendment Successful?
Information Exchange	Rejection From Office Of Departure
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.112: Task Notify Holder Of The Transit Procedure Of Rejection From Office Of Departure

Task Record Time Limit Declaration Awaiting Amendment Due To Export Expiration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Time Limit Declaration Awaiting Amendment Due To Export Expiration
Task Type	Service task
Description	When the holder of the transit does not provide an updated amendment declaration within the expected time limit, the timer expires and its expiration is recorded in the NCTS system
Actor	Customs Office Of Departure
Input Data	Receive Response On Amendment Declaration Expiry Date Awaiting Amendment Due To Export Expiration Record Date MRN
Output Data	Receive Response On Amendment Declaration Expiry Date Awaiting Amendment Due To Export Expiration Record Date Transit Operation
Previous Objects	Time Limit Declaration Awaiting Amendment Due To Export Expires
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Time Limit Declaration Awaiting Amendment Due To Export Expires
State	Not Released for Transit

Table 5.113: Task Record Time Limit Declaration Awaiting Amendment Due To Export Expiration

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Task Notify Holder Of The Transit Procedure For Amendment Acceptance

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure For Amendment Acceptance
Task Type	Send task
Description	When the Amendment Request is accepted, a notification IE will automatically be sent to Holder Of The Transit Procedure informing that the Amendment Request is accepted.
Actor	Customs Office Of Departure
Appears also in the following processes	L4-TRA-01-01-02-Evaluate Correction/Amendment Request
Input Data	Holder Of The Transit Procedure Transit Operation Customs Office Of Departure
Output Data	
Previous Objects	Is the Validation <input type="checkbox"/> of Declaration Amendment Successful?
Information Exchange	Amendment Acceptance
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.114: Task Notify Holder Of The Transit Procedure For Amendment Acceptance

Task Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit Of Transit Invalidation Notification To AES
Task Type	Send task
Description	When the holder of the transit procedure does not provide an updated amendment declaration within the expected time limit, a notification IE040 will automatically be sent to the AES system informing of Invalidation Notification
Actor	Customs Office Of Departure
Appears also in the following processes	L4-TRA-01-02-05-Handle Unsatisfactory Guarantee Result L4-TRA-01-01-06-Take Decision L4-TRA-01-01-08-Handle Cancellation/Invalidation Request L4-TRA-01-01-04-Handle Results Of Control

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Input Data	Result Of Export MRN Identification N_INV_TRA
Output Data	
Previous Objects	Record Time Limit Declaration Awaiting Amendment Due To Export Expiration
Information Exchange	Transit Invalidation Notification To AES
Requirements	TRA_FUR_0149
Data Set	
Events	
State	

Table 5.115: Task Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

Task Notify Customs Office Of Exit Of Transit Presentation Notification (Amendment Export MRN(s))

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit Of Transit Presentation Notification (Amendment Export MRN(s))
Task Type	Send task
Description	<ul style="list-style-type: none"> -When the transit declaration is received, a notification IE190 will automatically be sent to the AES system to perform an initial cross check. -When the transit presentation notification is positive, a notification IE190 is send again to the AES system, to allocate the transit MRN for the Export MRNs since the transit declaration is accepted -When the amendment declaration is received, a notification IE190 will automatically be sent to the AES system since changes have been made in the Export MRNs
Actor	Customs Office Of Departure
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure
Input Data	E_DEC_AMD Result Of Export MRN Identification
Output Data	Transit Operation
Previous Objects	Notify Holder Of The Transit Procedure For Amendment Acceptance

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Information Exchange	Transit Presentation Notification
Requirements	TRA_FUR_0149
Data Set	Transit Operation
Events	Transit Presentation Notification - Amendment Is Sent to AES
State	Pending Response From AES

Table 5.116: Task Notify Customs Office Of Exit Of Transit Presentation Notification (Amendment Export MRN(s))

Task Notify Holder Of Transit Procedure For No Release For Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of Transit Procedure For No Release For Transit
Task Type	Send task
Description	<p>When the Holder Of Transit Procedure is to be notified of a No release for Transit, a notification IE051 will automatically be sent to the Holder Of Transit Procedure informing that the Transit cannot be released.</p> <p>The Customs Office of Departure notifies (IE051) the Holder Of Transit Procedure that the movement may not go to Destination, sanctions may be applied.</p>
Actor	Customs Office Of Departure
Appears also in the following processes	L4-TRA-01-02-05-Handle Unsatisfactory Guarantee Result L4-TRA-01-01-06-Take Decision L4-TRA-01-01-04-Handle Results Of Control
Input Data	E_REL_NOT
Output Data	Transit Operation
Previous Objects	Notify Customs Office Of Exit Of Transit Invalidation Notification To AES
Information Exchange	No Release For Transit
Requirements	TRA_FUR_0022
Data Set	Transit Operation
Events	Receive Departure Control Results

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	Final Decision Is Required
State	Not Released for Transit Under Release Request

Table 5.117: Task Notify Holder Of Transit Procedure For No Release For Transit

Event Transit Presentation Notification Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Transit Presentation Notification Response Received
Event Type	Message intermediate event (catch)
Description	When the Transit Presentation Notification is sent to AES system, it replies back with a Transit Presentation Notification Response (IE191) message.
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Previous Objects	AES Notify Customs Office Of Exit Of Transit Presentation Notification (Amendment Export MRN(s))

Table 5.118: Event Transit Presentation Notification Response Received

Task Record Transit Presentation Notification Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Transit Presentation Notification Response
Task Type	Service task
Description	When the Transit Presentation Notification Response is received, the Data data elements following this reception are automatically recorded in the NCTS system.
Actor	Customs Office Of Departure
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Input Data	
Output Data	E_DEC_DAT Result Of Export MRN Identification

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Previous Objects	Transit Presentation Notification Response Received
Information Exchange	Transit Presentation Notification Response
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.119: Task Record Transit Presentation Notification Response

Event No Release For Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No Release For Transit
Event Type	End event
Description	When the holder of the transit does not provide an updated amendment declaration within the expected time limit, the timer automatically expires
Appears also in the following processes	L4-TRA-01-01-02-Evaluate Correction/Amendment Request
Previous Objects	Notify Holder Of Transit Procedure For No Release For Transit

Table 5.120: Event No Release For Transit

Task Identify if Transit Presentation Notification Response is Positive

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Transit Presentation Notification Response is Positive
Task Type	Service task
Description	When the Transit Presentation Notification is received, the Customs Decisions System will automatically check whether the reply is positive or negative
Actor	Customs Office Of Departure
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-01-01-01-01-Lodge Transit Declaration Having Export As Previous Procedure
Input Data	N_XFT_RSP
Output Data	Result Identify If Transit Presentation Notification Response Is Positive Transit Operation

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Previous Objects	Record Transit Presentation Notification Response
Information Exchange	
Requirements	TRA_FUR_0184
Data Set	Transit Operation
Events	Positive Transit Presentation Notification Response Is Received From AES Negative Amendment Result Is Received From AES
State	Under Amendment Request Due To EFBT Accepted

Table 5.121: Task Identify if Transit Presentation Notification Response is Positive

Task Time Limit Declaration Awaiting Amendment Due To Export Stops

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Time Limit Declaration Awaiting Amendment Due To Export Stops
Task Type	Service task
Description	When a positive transit presentation notification is received, the time limit to submit an updated request is automatically stopped.
Actor	Customs Office Of Departure
Input Data	Date Limit for Response Receive Response On Amendment Declaration Default Time Limit Receive Response On Amendment Declaration Expiry Date MRN
Output Data	Date Limit for Response
Previous Objects	Is The Transit Presentation Notification Response From AES Positive?
Information Exchange	
Requirements	TRA_FUR_0186
Data Set	
Events	
State	

Table 5.122: Task Time Limit Declaration Awaiting Amendment Due To Export Stops

Event Positive Response Provided

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Positive Response Provided
Event Type	End event
Description	The process ends when a positive transit presentation notification is received
Previous Objects	Is The Transit Presentation Notification Response From AES Positive? Time Limit Declaration Awaiting Amendment Due To Export Stops

Table 5.123: Event Positive Response Provided

5.7. Process L4-TRA-01-01-02-Evaluate Correction/Amendment Request

5.7.1. Objective of Process

This process handles amendment requests on the whole declaration data.

The process starts when the Trader at departure submits a request for amendment to the declaration.

NCTS performs an automatic validation and in case of negative results these are communicated to the Holder of the Transit procedure. The validation process can be a completely automatic process, however there are some exceptions. When the system is not able to treat the request fully automatically, then it will notify the Customs Officer of the fact that a Trader is requesting an amendment on his declaration.

If the amendment request is invalid, then the reasons for the rejection are returned to the Holder of Transit procedure.

If the amendment is valid, NCTS checks if the amendment still can be accepted. If the amendment can be accepted, it is registered into NCTS and communicated to the Holder of Transit procedure. Under simplified procedure the 'Awaiting for automatic release' timer is restarted, it is also possible that the guarantee for the original declaration is already registered, as a consequence this guarantee must be cancelled.

In case of Pre-Lodged Declaration (i.e. Goods are not presented), the Holder of the Transit Procedure can submit a request to correct the transit declaration before the presentation notification. This correction of the transit declaration should be submitted by the Holder of the Transit Procedure within the agreed time limit "Awaiting for Presentation Nonfiction". If valid, then the transit declaration data are updated, else if invalid, the transit declaration data are not updated and the timer "Awaiting for Presentation Notification" keeps running.

In case of Export followed by Transit, when a valid amendment is submitted by the Holder of the Transit Procedure, and there are changes in the Export MRNs, a check is conducted by the Office of Departure by sending the 'Transit Presentation Notification' (IE190) message to AES. If positive 'Transit Presentation Notification Response' (IE191) is returned from the Office of Exit, then the transit declaration continues its normal processing with the amended data. However, if negative 'Transit Presentation Notification Response' (IE191) is returned from the Office of Exit, then the Holder of the Transit Procedure is notified with the 'Notification to Amend Declaration' (IE022) message to submit an updated amendment request (i.e. this is a mandatory prerequisite for the transit movement). The process can only continue if the amended data on the 'problematic' Export MRNs is validated successfully from AES this time, meaning that the Office of Departure has received a positive 'Transit Presentation Notification Response' (IE191) message. This amendment should be performed within the time limit "Declaration Awaiting Amendment due to Export", otherwise if no amendment is performed by the Holder of the Transit Procedure, the transit declaration is not released for transit.

The final results of this call-activity are:

- Amendment/Correction accepted by the Customs Office of Departure
- Amendment/Correction rejected by the Customs Office of Departure

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5.7.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-02
Full Name	Evaluate Correction/Amendment Request
Assumptions	In case of Export followed by Transit and amendment, the Office of Departure communicated with the Office of Exit only when there are changes into the Export MRNs of the transit declaration.
Constraints	The call activity Amendment of a Transit Declaration can be started after the Trader submits a Transit Declaration. If NCTS is also used for the purpose of safety and security and if the Customs Office of Departure is located in an EU Member State, then full safety and security related risk analysis for all the goods shall be performed additionally within the prescribed time limits.
L3 Reference Models	L3-TRA-01-05-Amendment Of Transit Declaration
Existing Specifications	DDNTA v5.13

Table 5.124: Process Details

5.7.3. Tasks and Events

Task Perform Validation Of Declaration Amendment

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation Of Declaration Amendment
Task Type	Service task
Description	When an IE013 Amendment Request is received, it must be automatically validated. Syntactic checks should include, at minimum: - Data compliance to its associated Rules & Conditions. Final situation: The result is positive: the IE has been proven to be valid and the process will continue. The result is negative: the IE has not been proven to be valid and the Amendment Request is rejected.
Actor	NCTS
Input Data	MRN E_DEC_AMD
Output Data	Result If Validation Is Successful
Previous Objects	Declaration Amendment Received

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PROCESS MODELS

Information Exchange	
Requirements	TRA_FUR_0052 TRA_FUR_0001
Data Set	
Events	
State	

Table 5.125: Task Perform Validation Of Declaration Amendment

Event Declaration Amendment Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Amendment Received
Event Type	Conditional start event
Description	NCTS receives a Transit Declaration Amendment Request. The process to handle the request is initiating.
Previous Objects	

Table 5.126: Event Declaration Amendment Received

Task Check Authorisation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Check Authorisation
Task Type	Service task
Description	At the time the “Presentation Notification for the Pre-lodged Declaration” E_PRE_NOT (IE170) is lodged with the final 'Location of goods', then the 'Location of goods' mentioned in the Authorization (i.e. type ACR) is checked. In case that this validation check shows different locations of goods then the transit declaration is rejected.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-Processing Of Declaration
Input Data	E_DEC_DAT
Output Data	Result If Authorisation Is Valid
Previous Objects	Is Correction/Amendment Request Valid?
Information Exchange	
Requirements	TRA_FUR_0015

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	TRA_FUR_0012 TRA_FUR_0075
Data Set	
Events	
State	

Table 5.127: Task Check Authorisation

Task Record Correction/Amendment Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Correction/Amendment Request
Task Type	Service task
Description	When the Amendment Request Validation Decision is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Amendment Rejection Motivation Code Amendment Rejection Motivation Text Human Intervention Indication Result Check If Amendment Request Can Be Accepted MRN
Output Data	Human Intervention Indication Amendment Rejection Motivation Code Amendment Rejection Motivation Text Result Check If Amendment Request Can Be Accepted Amendment Rejection Date
Previous Objects	Is Authorisation Valid?
Information Exchange	
Requirements	TRA_FUR_0009 TRA_FUR_0050
Data Set	
Events	
State	

Table 5.128: Task Record Correction/Amendment Request

Task Record Rejection Reason

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Record Rejection Reason
Task Type	Service task
Description	When the decision that the Amendment Request has been proved not to be valid or cannot be accepted is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	MRN Amendment Rejection Motivation Text Amendment Rejection Motivation Code LRN Human Intervention Indication Amendment Rejection Date
Output Data	Amendment Rejection Date Amendment Rejection Motivation Code Human Intervention Indication Amendment Rejection Motivation Text Transit Operation
Previous Objects	Is Correction/Amendment Request Valid? Is Authorisation Valid?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Perform Validation Of IE013 Record Amendment Request Validation Decision
State	Declaration under Amendment

Table 5.129: Task Record Rejection Reason

Task Identify If At Least One Export MRN Is Referenced In Amendment Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If At Least One Export MRN Is Referenced In Amendment Declaration
Task Type	Service task
Description	When is needed, the System will automatically check if At Least One Export MRN Is Referenced In Amendment Declaration

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	Final situation: The result is positive: At Least One Export MRN Is Referenced In Amendment Declaration The result is negative: No Export MRN Is Referenced In Amendment Declaration
Actor	NCTS
Input Data	E_DEC_AMD
Output Data	Result Of Export MRN Identification E_DEC_AMD
Previous Objects	Record Correction/Amendment Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.130: Task Identify If At Least One Export MRN Is Referenced In Amendment Declaration

Task Notify Holder Of The Transit Procedure For Rejection From The Office Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure For Rejection From The Office Of Departure
Task Type	Send task
Description	When the Amendment Request rejection reason is recorded, a notification IE will automatically be sent to Holder Of The Transit Procedure informing that the Amendment Request is rejected.
Actor	NCTS
Input Data	Transit Operation
Output Data	
Previous Objects	Record Rejection Reason
Information Exchange	Rejection From Office Of Departure
Requirements	TRA_FUR_0022
Data Set	
Events	

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PROCESS MODELS

State	
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Table 5.131: Task Notify Holder Of The Transit Procedure For Rejection From The Office Of Departure

Event Correction/Amendment Rejected by Customs Office Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Correction/Amendment Rejected by Customs Office Of Departure
Event Type	End event
Description	The correction/amendment is rejected. The process ends.
Previous Objects	Notify Holder Of The Transit Procedure For Rejection From The Office Of Departure

Table 5.132: Event Correction/Amendment Rejected by Customs Office Of Departure

Task Correct/Amend Transit Declaration Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Correct/Amend Transit Declaration Data
Task Type	Service task
Description	The transit declaration data are either corrected if the declaration is Pre-Lodged or amended if the declaration is not pre-lodged
Actor	NCTS
Input Data	E_DEC_DAT MRN
Output Data	Indication if Declaration Is Corrected/Amended
Previous Objects	Is Export MRN Identified?
Information Exchange	
Requirements	TRA_FUR_0167
Data Set	
Events	
State	

Table 5.133: Task Correct/Amend Transit Declaration Data

Task Amend Transit Declaration Having Export As Previous Procedure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Amend Transit Declaration Having Export As Previous Procedure

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Task Type	Call activity (collapsed)
Description	<p>The Holder of the Transit Procedure requests amendment of the transit declaration having export as previous procedure. As a result, the 'Transit Presentation Notification' N_XFT_REQ (IE190) message is transmitted to the Office Of Exit which replies with a 'Transit Presentation Notification Response' N_XFT_RSP (IE191) which can be positive or negative.</p> <p>If positive 'Transit Presentation Notification Response' N_XFT_RSP (IE191) is returned from the Office of Exit, then the transit declaration continues its normal processing with the amended data.</p> <p>However, if negative 'Transit Presentation Notification Response' N_XFT_RSP (IE191) is returned from the Office of Exit, then the Holder of the Transit Procedure is notified with the 'Notification to Amend Declaration' E_AMD_NOT (IE022) message to submit an updated amendment request (i.e. this is a mandatory prerequisite for the transit movement). The process can only continue if the amended data on the 'problematic' Export MRNs is validated successfully from AES this time, meaning that the Office of Departure has received a positive 'Transit Presentation Notification Response' N_XFT_RSP (IE191) message.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Is Export MRN Identified?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.134: Task Amend Transit Declaration Having Export As Previous Procedure

Task Notify Holder Of The Transit Procedure For Amendment Acceptance

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure For Amendment Acceptance
Task Type	Send task
Description	When the Amendment Request is accepted, a notification IE will automatically be sent to Holder Of The Transit Procedure informing that the Amendment Request is accepted.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure

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Input Data	Holder Of The Transit Procedure Transit Operation Customs Office Of Departure
Output Data	
Previous Objects	Correct/Amend Transit Declaration Data
Information Exchange	Amendment Acceptance
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.135: Task Notify Holder Of The Transit Procedure For Amendment Acceptance

Task Identify If it is Pre-Lodged Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If it is Pre-Lodged Declaration
Task Type	Service task
Description	When is needed, the System will automatically check if the declaration is Pre-Lodged Final situation: The result is positive: The declaration is Pre-Lodged The result is negative: The declaration is not Pre-Lodged
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	E_DEC_DAT
Output Data	Result Identify If it is Pre-Lodged Declaration
Previous Objects	Notify Holder Of The Transit Procedure For Amendment Acceptance
Information Exchange	
Requirements	TRA_FUR_0171
Data Set	
Events	

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PROCESS MODELS

State	
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Table 5.136: Task Identify If it is Pre-Lodged Declaration

Event No Release For Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No Release For Transit
Event Type	End event
Description	When the holder of the transit does not provide an updated amendment declaration within the expected time limit, the timer automatically expires
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure
Previous Objects	Is The Transit Presentation Notification Response From AES Positive?

Table 5.137: Event No Release For Transit

Event Correction Accepted By Customs Office Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Correction Accepted By Customs Office Of Departure
Event Type	End event
Description	if the declaration is pre-lodged then the correction is accepted. The process ends
Previous Objects	Is It a Pre-Lodged Declaration?

Table 5.138: Event Correction Accepted By Customs Office Of Departure

Task Identify If Simplifications For Authorised Consignor Apply

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Simplifications For Authorised Consignor Apply
Task Type	Service task
Description	Whenever needed, the System will automatically check if simplifications for authorised consignor apply. Final situation: The result is positive: Simplifications for authorised consignor apply. The result is negative: Simplifications for authorised consignor do not apply.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-Accept Declaration

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Input Data	Control Result E_DEC_DAT Holder Of The Transit Procedure
Output Data	Result Identify If Simplifications For Authorised Consignor Apply
Previous Objects	Is The Transit Presentation Notification Response From AES Positive? Is It a Pre-Lodged Declaration?
Information Exchange	
Requirements	TRA_FUR_0075
Data Set	
Events	
State	

Table 5.139: Task Identify If Simplifications For Authorised Consignor Apply

Task Restart Time Limit -Awaiting For Automatic Release- When Simplifications Apply

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Restart Time Limit -Awaiting For Automatic Release- When Simplifications Apply
Task Type	Service task
Description	When the transit movement is under simplified procedure, the 'Awaiting for automatic release' timer is stopped (if not yet expired), until another (valid) amendment is received. Final situation: The timer to await for automatic release is stopped.
Actor	NCTS
Input Data	MRN Automatic Release Start Date Automatic Release Default Time Limit
Output Data	Automatic Release Expiry Date Automatic Release Start Date
Previous Objects	Do Simplifications Apply For Authorised Consignor?
Information Exchange	
Requirements	TRA_FUR_0025

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Data Set	
Events	
State	

Table 5.140: Task Restart Time Limit -Awaiting For Automatic Release- When Simplifications Apply

Task Identify If Guarantee Usage Has To Be Cancelled

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Guarantee Usage Has To Be Cancelled
Task Type	Service task
Description	When Amendment Request is accepted, the System will automatically check if Guarantee Usage has to be cancelled. Final situation: The result is positive: Guarantee Usage has to be cancelled. The result is negative: Guarantee Usage has not to be cancelled.
Actor	NCTS
Input Data	LRN MRN Guarantee type
Output Data	Result If Guarantee Usage Has To Be Cancelled
Previous Objects	Do Simplifications Apply For Authorised Consignor? Restart Time Limit -Awaiting For Automatic Release- When Simplifications Apply
Information Exchange	
Requirements	TRA_FUR_0049
Data Set	
Events	
State	

Table 5.141: Task Identify If Guarantee Usage Has To Be Cancelled

Task Cancel Guarantee Usage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel Guarantee Usage
Task Type	Call activity (collapsed)
Description	The Process starts within the Process Departure - Acceptance and Control (when Transit Declaration is amended), when the Holder of the Transit

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	<p>procedure asks for cancellation of the process, or when Guarantee Checks are not successful within the Process Register Guarantee Usage.</p> <p>NCTS first identifies different types of Guarantees (Codes 3, 5, 7, 8 and A which are under responsibility of the Customs Office of Departure, and codes 0, 1, 2, 4 which are under responsibility of the Customs Office of Guarantee).</p> <p>For the first group of guarantees (Codes 3, 5, 7, 8), the Customs Officer handles the cancellation, it registers the previously recorder guarantees for which NCTS removes the references to the Transit operation and other information related to the registration of usage.</p> <p>For the second group of guarantees (Codes 0, 1, 2, 4), it selects the guarantees for the corresponding Customs Offices of Guarantees which has to be cancelled and notifies them for cancellation request through sending IE204 - Guarantee Use Cancellation. Each interested Customs Office of Guarantee receive the message, it removes the notified guarantees of types coded as 0, 1, 2, 4 from the Guarantee Management Subsystem (the reference to the Transit operation and other information are cleared and/or reset according to the monitoring type of each guarantee). As an end result, the usage of guarantees is cancelled.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-02-00-Transit Guarantee Processing Management Master Process L4-TRA-01-01-08-Handle Cancellation/Invalidation Request L4-TRA-02-03-Register Guarantee Usage
Input Data	
Output Data	
Previous Objects	Is Guarantee Usage Already Registered?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.142: Task Cancel Guarantee Usage

Task Request and Record Risk Analysis for NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request and Record Risk Analysis for NCTS
Task Type	Subprocess (collapsed)
Description	<p>The automatic national risk analysis is performed at this stage.</p> <p>If there is a positive risk analysis result, it is recorded in the transit declaration.</p>

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	If NCTS is also used for the purpose of safety and security and if the Office of Departure is located in an EU Member State, a full safety and security related risk analysis shall be performed additionally for all the goods. The national risk analysis system records the risk analysis results in the transit declaration.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-Processing Of Declaration L4-TRA-01-01-01-Accept Declaration
Input Data	
Output Data	
Previous Objects	Is Guarantee Usage Already Registered? Cancel Guarantee Usage
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.143: Task Request and Record Risk Analysis for NCTS

Task Register Control Decision For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Control Decision For NCTS
Task Type	User task
Description	When a decision whether to control the goods or not is required, the Customs Officer compiles all the information he needs (the movement information retrieved by NCTS via the allocated MRN) and checks the result of an analysis of risk, in order to decide if a control is required. If the risk analysis results stated that goods shall not be released at departure because there are reasonable grounds for the Customs Office of Departure to consider that the introduction of the goods into the customs territory of the Union would pose such a serious threat to the safety and security of the Union that immediate intervention is required or if preventive measures should be taken at the Customs Office of Departure, then NCTS alerts the Customs Officer. This control consists of either a check of the supporting documents, or a check of the supporting documents and the goods. Even if the risk analysis is an automatic process, the final decision to control may be overruled by the Customs Officer. The Customs Officer decides to control: The control will be performed at the Customs Office of Departure (If IE015.LOCATION OF GOODS. Type of Location = 'D') or at the 'Approved location of goods' (If IE015.LOCATION OF GOODS. Type of Location = 'C') or at a 'Designated location' (IE015. LOCATION OF GOODS. Type of Location = 'A'). The Customs Officer registers the

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	<p>decision to control in NCTS. The Customs Officer decides not to control: No control is performed on goods and/or documents; the Customs Officer records the decision not to control in NCTS. The departure control result is set to 'Considered satisfactory'.</p> <p>If risk analysis had proposed to take measures at the Customs Office of Departure but the Customs Officer decides not to control, then NCTS requires the Customs Officer to confirm the decision not to control.</p> <p>If the risk analysis results stated that goods shall not be loaded/released at departure because there are reasonable grounds for the Customs Office of Departure to consider that the introduction of the goods into the customs territory of the Union would pose such a serious threat to the safety and security of the Union that immediate intervention is required or if preventive measures should be taken at the Customs Office of Departure, then NCTS alerts the Customs Officer.</p> <p>The Customs Officer takes the final decision to control. The control consists of either a check of the supporting documents, or a check of the supporting documents and the goods.</p> <p>If risk analysis had proposed to take measures at the Customs Office of Departure but the Customs Officer decides not to control, then NCTS requires the Customs Officer to confirm the decision not to control.</p> <p>Final situation: The Customs Officer registered one of the following results:</p> <ul style="list-style-type: none"> - The result is positive: the goods are to be controlled. - The result is negative: the goods are not to be controlled.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-01-03-Register Control Decision
Input Data	Risk Analysis Result Coded MRN
Output Data	Result Check If Goods Are To Be Controlled Risk Analysis Result Coded
Previous Objects	Request and Record Risk Analysis for NCTS
Information Exchange	
Requirements	TRA_FUR_0008 TRA_FUR_0033
Data Set	
Events	
State	

Table 5.144: Task Register Control Decision For NCTS

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Task Record Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Decision
Task Type	Service task
Description	The Customs Officer records the decision (not) to control the consignment. Final situation : One of the following decision is taken: no control at all, the state of the Transit Operation is set to 'Goods released', or a control will be performed, the state of the Transit Operation is set to 'Under Control'.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-08-Decide To Control
Input Data	Result Check If Goods Are To Be Controlled MRN
Output Data	Result Check If Goods Are To Be Controlled Result Identify if Goods To Be Controlled Result Check If Goods Are To Be Controlled Transit Operation
Previous Objects	Register Control Decision For NCTS
Information Exchange	
Requirements	TRA_FUR_0067 TRA_FUR_0009
Data Set	Transit Operation
Events	Arrival Accepted Under Normal Procedure
State	Under Control

Table 5.145: Task Record Control Decision

Event Amendment Accepted By Customs Office Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Amendment Accepted By Customs Office Of Departure
Event Type	End event
Description	The amendment is accepted. The acceptance is communicated to the Holder of the Transit Procedure.

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PROCESS MODELS

Previous Objects	Record Control Decision
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Table 5.146: Event Amendment Accepted By Customs Office Of Departure

5.8. Process L4-TRA-01-01-03-Register Control Decision

5.8.1. Objective of Process

If the risk analysis results stated that goods shall not be loaded/released at departure, then NCTS alerts the Customs Officer to consider it for the control decision.

The decision to control is always recorded by the Customs Officer in NCTS. The Holder of the Transit Procedure cannot submit an amendment request (IE013) or invalidation request (IE014) between the moment the decision to control was made, and the capturing of the results of a control. However, it is allowed afterwards meaning that in case of valid amendment, updated risk assessment is conducted and control decision needs to be taken based on the amended transit declaration data.

If the declaration is declared under simplified procedure, and the Officer at the Customs Office of Departure updates NCTS with a control decision, the timer 'Awaiting For Automatic Release' is stopped:

- In case it is decided to control the goods, the Holder of the Transit Procedure is notified (IE060) of the decision to control. The Customs Officers will go to the 'Authorised place' (IE015. LOCATION OF GOODS.Type of location = 'B') to perform control within the time period agreed in the Authorisation. The state of the transit operation is set to "Under Control".
- In case it is decided not to control the goods, then the guarantee registration takes place. The state of the transit operation is set to "Guarantee under registration".

If the declaration is declared under normal procedure, and the Officer at the Customs Office of Departure updates NCTS with a control decision:

- In case it is decided to control the goods, the Holder of the Transit Procedure is notified (IE060) of the decision to control in order to allow him/her to be present the goods during the control (declaration amendments/invalidation are no longer accepted by the Customs Office of Departure. However, it is allowed after completion of control, meaning that in case of valid amendment, updated risk assessment is conducted and control decision needs to be taken based on the amended transit declaration data.). The state of the transit operation is set to "Under Control".
- In case it is decided not to control the goods, then the guarantee registration takes place. The state of the transit operation is set to "Guarantee under registration".

Final Situation:

If the decision to control is registered, the state of the Transit Operation is set to 'Under Control'. If the decision not to control is registered, the Guarantee registration is prepared.

5.8.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-03
Full Name	Register Control Decision
Assumptions	N/A
Constraints	N/A

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L3 Reference Models	L3-TRA-01-07-Control At The Office of Departure
Existing Specifications	DDNTA v5.13

Table 5.147: Process Details

5.8.3. Tasks and Events

Event Registration Of Control Decision Is Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Registration Of Control Decision Is Required
Event Type	Conditional start event
Description	This process is triggered from the end event in the process 'Accept Declaration' in the case that Simplification apply and Risk is identified.
Previous Objects	

Table 5.148: Event Registration Of Control Decision Is Required

Task Register Control Decision For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Control Decision For NCTS
Task Type	User task
Description	<p>When a decision whether to control the goods or not is required, the Customs Officer compiles all the information he needs (the movement information retrieved by NCTS via the allocated MRN) and checks the result of an analysis of risk, in order to decide if a control is required. If the risk analysis results stated that goods shall not be released at departure because there are reasonable grounds for the Customs Office of Departure to consider that the introduction of the goods into the customs territory of the Union would pose such a serious threat to the safety and security of the Union that immediate intervention is required or if preventive measures should be taken at the Customs Office of Departure, then NCTS alerts the Customs Officer. This control consists of either a check of the supporting documents, or a check of the supporting documents and the goods. Even if the risk analysis is an automatic process, the final decision to control may be overruled by the Customs Officer. The Customs Officer decides to control: The control will be performed at the Customs Office of Departure (If IE015.LOCATION OF GOODS. Type of Location = 'D') or at the 'Approved location of goods' (If IE015.LOCATION OF GOODS. Type of Location = 'C') or at a 'Designated location' (IE015. LOCATION OF GOODS. Type of Location = 'A'). The Customs Officer registers the decision to control in NCTS. The Customs Officer decides not to control: No control is performed on goods and/or documents; the Customs Officer records the decision not to control in NCTS. The departure control result is set to 'Considered satisfactory'.</p> <p>If risk analysis had proposed to take measures at the Customs Office of Departure but the Customs Officer decides not to control, then NCTS</p>

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	<p>requires the Customs Officer to confirm the decision not to control.</p> <p>If the risk analysis results stated that goods shall not be loaded/released at departure because there are reasonable grounds for the Customs Office of Departure to consider that the introduction of the goods into the customs territory of the Union would pose such a serious threat to the safety and security of the Union that immediate intervention is required or if preventive measures should be taken at the Customs Office of Departure, then NCTS alerts the Customs Officer.</p> <p>The Customs Officer takes the final decision to control. The control consists of either a check of the supporting documents, or a check of the supporting documents and the goods.</p> <p>If risk analysis had proposed to take measures at the Customs Office of Departure but the Customs Officer decides not to control, then NCTS requires the Customs Officer to confirm the decision not to control.</p> <p>Final situation: The Customs Officer registered one of the following results:</p> <ul style="list-style-type: none"> - The result is positive: the goods are to be controlled. - The result is negative: the goods are not to be controlled.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-01-02-Evaluate Correction/Amendment Request
Input Data	Risk Analysis Result Coded MRN
Output Data	Result Check If Goods Are To Be Controlled Risk Analysis Result Coded
Previous Objects	Registration Of Control Decision Is Required
Information Exchange	
Requirements	TRA_FUR_0008 TRA_FUR_0033
Data Set	
Events	
State	

Table 5.149: Task Register Control Decision For NCTS

Task Record Control Decision For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Decision For NCTS
Task Type	Service task

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Description	When a control decision is registered, the data elements following this registration are automatically recorded in the NCTS System - linked to the reference number.
Actor	NCTS
Input Data	Risk Analysis Result Coded MRN
Output Data	Result Check If Goods Are To Be Controlled Transit Operation Risk Analysis Result Coded
Previous Objects	Register Control Decision For NCTS
Information Exchange	
Requirements	TRA_FUR_0067 TRA_FUR_0009
Data Set	Transit Operation
Events	Decision To Control is Required
State	Under Control

Table 5.150: Task Record Control Decision For NCTS

Event Time Limit "Awaiting For Automatic Release" Expires

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit "Awaiting For Automatic Release" Expires
Event Type	Timer start event
Description	The time limit for 'Awaiting for Automatic Release' has expired.
Previous Objects	

Table 5.151: Event Time Limit "Awaiting For Automatic Release" Expires

Task Stop Time Limit -Awaiting For Automatic Release-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Awaiting For Automatic Release-
Task Type	Service task
Description	If the declaration is valid and it was declared under simplified procedure: <ul style="list-style-type: none"> • If risk (fiscal risk or risk related to safety and security) is identified, the timer “Awaiting for automatic release” is stopped. The Customs Officer is

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	<p>alerted and asked to register a control decision.</p> <ul style="list-style-type: none"> • In any other case, NCTS awaits the timer “Awaiting for automatic release” to expire. <p>In L4-TRA-01-01-08 BPM, the timer Awaiting For Automatic Release shall stop if the Invalidation Decision is positive.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	MRN Automatic Release Expiry Date Automatic Release Record Date
Output Data	Automatic Release End Date Expired Calendar Days of Time Limit 'Awaiting for Automatic Release'
Previous Objects	Do Simplifications Apply For Authorised Consignor?
Information Exchange	
Requirements	TRA_FUR_0026 TRA_FUR_0130
Data Set	
Events	
State	

Table 5.152: Task Stop Time Limit -Awaiting For Automatic Release-

Task Record Time Limit -Awaiting For Automatic Release- Expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Time Limit -Awaiting For Automatic Release- Expired
Task Type	Service task
Description	When the expiry of the timer automatic release is registered, the data elements following this registration are automatically recorded in the NCTS System - linked to the reference number. NCTS should record the expiry of a time limit (the fact that it happened), not the time when this happened.
Actor	NCTS
Input Data	Automatic Release Expiry Date Automatic Release Record Date MRN
Output Data	Automatic Release Expiry Date

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	Automatic Release Record Date
Previous Objects	Time Limit "Awaiting For Automatic Release" Expires
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.153: Task Record Time Limit -Awaiting For Automatic Release- Expired

Task Notify Holder Of Transit Procedure for Control Decision Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of Transit Procedure for Control Decision Notification
Task Type	Send task
Description	When a decision to control the goods is taken, a notification IE will automatically be sent to Holder Of Transit Procedure informing that the goods are to be controlled. The Holder Of Transit Procedure is notified (IE060) of the decision to control in order to allow him to be present during the control (declaration amendments are no longer accepted by the Office of Departure). The accepted declaration is printed on paper on demand of a Customs Officer, in order to allow him to perform a control of the consignment.
Actor	NCTS
Input Data	Holder Of The Transit Procedure Transit Operation Customs Office Of Departure
Output Data	
Previous Objects	Stop Time Limit -Awaiting For Automatic Release- Do Simplifications Apply For Authorised Consignor?
Information Exchange	Control Decision Notification
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

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Table 5.154: Task Notify Holder Of Transit Procedure for Control Decision Notification

Event Result Of Control To Be Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Result Of Control To Be Registered
Event Type	End event
Description	A result of the control is to be registered. The process ends and the process 'Handle Results Of Control' is triggered.
Previous Objects	Notify Holder Of Transit Procedure for Control Decision Notification

Table 5.155: Event Result Of Control To Be Registered

Event Guarantee Registration Is Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Registration Is Required
Event Type	End event
Description	The registration of the guarantee is required and the process 'Guarantee Registration' is triggered. The process ends.
Appears also in the following processes	L4-TRA-01-01-05-Handle Release Request L4-TRA-01-01-06-Take Decision L4-TRA-01-01-04-Handle Results Of Control
Previous Objects	Record Time Limit -Awaiting For Automatic Release- Expired Goods To Be Controlled?

Table 5.156: Event Guarantee Registration Is Required

5.9. Process L4-TRA-01-01-04-Handle Results Of Control

5.9.1. Objective of Process

In any case of minor, major or no discrepancies, the results of control are registered at the Customs Office of Departure. NCTS records type of control performed and the results of this control per type.

The following circumstances can be encountered:

1. The control revealed no discrepancies, the controlled goods together with the 'Satisfactory' control results are registered. After calculation of the duties and taxes, the processing continues with 'L4-TRA-01-02-01-Evaluate Guarantee Registration Result', except for guarantee type 'B' for which no registration is required.
2. The control revealed minor discrepancies and the Holder of the Transit Procedure gives his/her advice right away. Customs registers the controlled goods and revises the declaration data based upon the results of a control and the 'Satisfactory' control results are logged. After calculation of the duties and taxes the processing continues with 'L4-TRA-01-02-01-Evaluation of Guarantee Registration Result', except for guarantee type 'B' for which no registration is required.

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3. The control revealed minor discrepancies and the Holder of Transit procedure gives his/her advice right away and he/she makes opposition (i.e. he/she does not accept the revisions). The Customs Office of Departure needs to decide to allow or not allow the transit movement to be released for transit. Therefore, the declaration is put in an idle state where it waits until further action.

4. Minor discrepancies are detected during the control of the goods and/or supporting documents. The Holder of Transit procedure has not yet communicated his/her advice on minor revisions. A timer “Declaration awaiting release request” is started to protect a non-release request of the goods coming from the Holder of Transit procedure. In case the timer expires and the Holder of Transit procedure has not yet communicated his/her advice, the declaration is put in an idle state where it waits until further action.

5. The control revealed major discrepancies or reasonable grounds for the Customs Office of Departure to consider that the introduction of the goods into the EU Security Area would pose a serious threat to the safety and security. The controlled goods, major discrepancies and the ‘Unsatisfactory’ control results are registered. The Customs Office of Departure notifies (IE051) the Holder of Transit procedure that the movement cannot be released for transit, and sanctions may be applied. Additionally, in case the transit declaration contain Export MRNs as previous procedure (i.e. Export followed by external/internal Transit), NCTS notifies AES for the fact that the transit movement is not released for Transit (IE040).

Final situation :

- There are no discrepancies, the satisfactory results of the control are recorded, the state of the Transit Operation is set to ‘Guarantee under registration’, or
- There are minor discrepancies and
 - the advice of the Trader is known, where he makes opposition, the state of the Transit Operation is set to ‘Idle’,
 - the advice of the Trader is known, where he makes no opposition, the guarantee can be registered, the state of the Transit Operation is set to ‘Guarantee under registration’, or
- There are minor discrepancies and Customs wait for a release request, the state of the Transit Operation is set to ‘Under release request’, or
- There are major discrepancies or the threat to the safety and security is confirmed and the unsatisfactory control results are registered, the movement cannot be released for transit, the state of the Transit Operation is set to ‘Not released for Transit’.

5.9.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-04
Full Name	Handle Results Of Control
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-07-Control At The Office of Departure
Existing Specifications	DDNTA v5.13

Table 5.157: Process Details

5.9.3. Tasks and Events

Task Register Control Results For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Register Control Results For NCTS
Task Type	User task
Description	<p>When results of control are recorded, Customs officer registers the controlled goods and revises the declaration data based upon the results of a control. The following circumstances can be encountered:</p> <p>1. The control revealed no discrepancies, the controlled goods together with the 'Satisfactory' control results are registered. 2. The control revealed minor discrepancies and the Holder Of Transit Procedure gives his advice right away, in this case he makes no opposition (i.e. he accepts the revisions). 3. When minor discrepancies occurred and the Holder Of Transit Procedure gives his advice right away , and he makes opposition (i.e. he does not accept the revisions), the movement can no longer be released . 4. Minor discrepancies are detected during the control of the goods and/or supporting documents. The Holder Of Transit Procedure has not yet communicated his advice. 5. The control revealed major discrepancies. In any case, i.e. in case of minor, major or no discrepancies, the results of a control are registered at the Customs Office of Departure.</p>
Actor	Customs Officer
Input Data	Control Result MRN
Output Data	Complete Control Result Identify If There Are Major Discrepancies Result Check Identify if Discrepancies Are Detected Control Result
Previous Objects	Result Of Control To Be Registered
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.158: Task Register Control Results For NCTS

Event Result Of Control To Be Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Result Of Control To Be Registered
Event Type	Conditional start event
Description	The process starts upon the Result Of Control To Be Registered.
Previous Objects	

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Table 5.159: Event Result Of Control To Be Registered

Task Record Control Results For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Results For NCTS
Task Type	Service task
Description	When results of control are registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	MRN N_DEP_CON Control Result
Output Data	N_DEP_CON Control Result
Previous Objects	Register Control Results For NCTS Receive Departure Control Results
Information Exchange	Departure Control Results
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.160: Task Record Control Results For NCTS

Event Receive Departure Control Results

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Departure Control Results
Event Type	Message intermediate event (catch)
Description	The consignment may either be at the Customs Office of Departure or in some other place called the 'goods place' which will be specified to the Customs Office of Departure. If the consignment is under the responsibility of an Authorised Consignor, the goods lay in the goods place.
Previous Objects	Customs Officer At Premises Of The Trader At Departure Result Of Control To Be Registered

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Table 5.161: Event Receive Departure Control Results

Task Notify Holder Of Transit Procedure For No Release For Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of Transit Procedure For No Release For Transit
Task Type	Send task
Description	<p>When the Holder Of Transit Procedure is to be notified of a No release for Transit, a notification IE051 will automatically be sent to the Holder Of Transit Procedure informing that the Transit cannot be released.</p> <p>The Customs Office of Departure notifies (IE051) the Holder Of Transit Procedure that the movement may not go to Destination, sanctions may be applied.</p>
Actor	NCTS
Appears also in the following processes	<p>L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure</p> <p>L4-TRA-01-02-05-Handle Unsatisfactory Guarantee Result</p> <p>L4-TRA-01-01-06-Take Decision</p>
Input Data	E_REL_NOT
Output Data	Transit Operation
Previous Objects	Are The Discrepancies Major?
Information Exchange	No Release For Transit
Requirements	TRA_FUR_0022
Data Set	Transit Operation
Events	<p>Receive Departure Control Results</p> <p>Final Decision Is Required</p>
State	<p>Not Released for Transit</p> <p>Under Release Request</p>

Table 5.162: Task Notify Holder Of Transit Procedure For No Release For Transit

Task Identify If At Least One Export MRN Is Referenced In Transit Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Identify If At Least One Export MRN Is Referenced In Transit Declaration
Task Type	Service task
Description	When the transit declaration is recorded, the System will automatically check if At Least One Export MRN Is Referenced In Transit Declaration. Final situation: The result is positive: At Least One Export MRN Is Referenced In Transit Declaration. The result is negative: No Export MRN Is Referenced In Transit Declaration.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-02-05-Handle Unsatisfactory Guarantee Result L4-TRA-01-01-01-01-Processing Of Declaration L4-TRA-01-01-06-Take Decision
Input Data	E_DEC_DAT
Output Data	Result Of Export MRN Identification E_DEC_DAT
Previous Objects	Notify Holder Of Transit Procedure For No Release For Transit
Information Exchange	
Requirements	TRA_FUR_0190 TRA_FUR_0188 TRA_FUR_0189
Data Set	
Events	
State	

Table 5.163: Task Identify If At Least One Export MRN Is Referenced In Transit Declaration

Task Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit Of Transit Invalidation Notification To AES
Task Type	Send task
Description	When the holder of the transit procedure does not provide an updated amendment declaration within the expected time limit, a notification IE040 will automatically be sent to the AES system informing of Invalidation Notification
Actor	NCTS

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Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-02-05-Handle Unsatisfactory Guarantee Result L4-TRA-01-01-06-Take Decision L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	Result Of Export MRN Identification N_INV_TRA
Output Data	
Previous Objects	Is Export MRN Identified?
Information Exchange	Transit Invalidation Notification To AES
Requirements	TRA_FUR_0149
Data Set	
Events	
State	

Table 5.164: Task Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

Event Goods Are Not Released For Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Goods Are Not Released For Transit
Event Type	End event
Description	Goods are Not Released for Transit. The process ends.
Appears also in the following processes	L4-TRA-01-01-06-Take Decision L4-TRA-01-02-Process Departure - Release
Previous Objects	Is Export MRN Identified? Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

Table 5.165: Event Goods Are Not Released For Transit

Task Register Revisions Of Declaration Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Revisions Of Declaration Data
Task Type	User task
Description	When the discrepancies found are not major, the Customs Officer registers

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	<p>the Holder Of Transit Procedure advice and the revisions made to the Declaration Data. Non-automated input for this task is Holder Of Transit Procedure advice. I.e. the Holder Of Transit Procedure might give his advice to the minor discrepancies, he can either accept the revisions (no opposition) or not accept the revisions (opposition).</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The Customs Officer registers the Holder Of Transit Procedure advice and the revisions of the declaration data.
Actor	Customs Officer
Input Data	MRN Control Result
Output Data	Discrepancies Notification Text Result Check If Minor Revisions Accepted
Previous Objects	Positive Advice Right Away After Control
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.166: Task Register Revisions Of Declaration Data

Task Start Time Limit Declaration Awaiting Release Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Time Limit Declaration Awaiting Release Request
Task Type	Service task
Description	<p>When the Holder of the Transit procedure does not provide advice on minor revisions, the time limit the Customs of Departure to receive the request of release is automatically started.</p> <p>Final situation: the timer 'Declaration Awaiting Release Request ' is started.</p>
Actor	NCTS
Input Data	<p>Declaration Release Request Expiry Date</p> <p>Declaration Release Request Default Time Limit</p> <p>Declaration Release Request Start Date</p> <p>MRN</p>
Output Data	<p>Declaration Release Request Expiry Date</p> <p>Declaration Release Request Start Date</p> <p>Date Limit for Response</p>

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Previous Objects	Positive Advice Right Away After Control
Information Exchange	
Requirements	TRA_FUR_0058
Data Set	
Events	
State	

Table 5.167: Task Start Time Limit Declaration Awaiting Release Request

Task Record Revisions Of Declaration Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Revisions Of Declaration Data
Task Type	Service task
Description	When the Holder Of Transit Procedure advice and revisions made to the declaration data are registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Declaration Release Request Record Date Declaration Release Request Default Time limit MRN Result Check If Minor Revisions Accepted Discrepancies Notification Text
Output Data	Result Check If Minor Revisions Accepted Declaration Release Request Expiry Date Discrepancies Notification Text Declaration Release Request Default Time limit
Previous Objects	Register Revisions Of Declaration Data
Information Exchange	
Requirements	TRA_FUR_0009 TRA_FUR_0058
Data Set	
Events	
State	

Table 5.168: Task Record Revisions Of Declaration Data

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Event Receive Request Of Release

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Request Of Release
Event Type	Message intermediate event (catch)
Description	<p>After a control, the Holder of the Transit Procedure communicates his advice (opposition or non-opposition) on minor revisions by performing a release request. The request must arrive within the time limit at the Customs Office of Departure</p> <p>A goods release request is received (IE054) from a Trader, who could not give his advice on minor revisions right away.</p>
Previous Objects	Start Time Limit Declaration Awaiting Release Request Premises Of The Trader At Departure

Table 5.169: Event Receive Request Of Release

Event Time Limit To Receive Release Request

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit To Receive Release Request
Event Type	Timer intermediate event
Description	Timer “Declaration awaiting release request” is started to protect a non release request of the goods coming from the Holder of the transit procedure.
Previous Objects	Start Time Limit Declaration Awaiting Release Request

Table 5.170: Event Time Limit To Receive Release Request

Task Record Time Limit -Declaration Awaiting Release Request- Expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Time Limit -Declaration Awaiting Release Request- Expired
Task Type	Service task
Description	<p>When the time limit 'Declaration Awaiting Release Request' is expired, the data elements are automatically recorded in the System - linked to the reference number. NCTS should record the expiry of a time limit (the fact that it happened), not the time when this happened.</p> <p>Final situation :</p> <p>The declaration is put in an idle state, the state of the Transit Operation is set to 'Idle'.</p>
Actor	NCTS

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Input Data	Declaration Release Request Record Date MRN Declaration Release Request Expiry Date
Output Data	Declaration Release Request Expiry Date Transit Operation
Previous Objects	Time Limit To Receive Release Request
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Time Limit To Receive Release Request
State	Idle

Table 5.171: Task Record Time Limit -Declaration Awaiting Release Request- Expired

Event Final Decision Is Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Final Decision Is Required
Event Type	End event
Description	A final decision is required and the process 'Take Decision' is triggered. The process ends.
Appears also in the following processes	L4-TRA-01-01-05-Handle Release Request
Previous Objects	Record Time Limit -Declaration Awaiting Release Request- Expired

Table 5.172: Event Final Decision Is Required

Event Request Of Release Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Request Of Release Is Received
Event Type	End event
Description	When a Request of Release (IE054) is received, the process L4-TRA-01-01-04 ends. The processing continues with L4-TRA-01-01-05 Handle Release Request with this event as a start event
Appears also in the following	L4-TRA-01-01-05-Handle Release Request

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processes	
Previous Objects	Receive Request Of Release

Table 5.173: Event Request Of Release Is Received

Event Guarantee Registration Is Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Registration Is Required
Event Type	End event
Description	The registration of the guarantee is required and the process 'Guarantee Registration' is triggered. The process ends.
Appears also in the following processes	L4-TRA-01-01-05-Handle Release Request L4-TRA-01-01-03-Register Control Decision L4-TRA-01-01-06-Take Decision
Previous Objects	Are There Discrepancies? Record Revisions Of Declaration Data

Table 5.174: Event Guarantee Registration Is Required

5.10. Process L4-TRA-01-01-05-Handle Release Request

5.10.1. Objective of Process

A goods release request is received (IE054) from the Holder of the Transit Procedure, who communicates his/her advice to the Customs Office of Departure.

- Opposition:

When the Holder of the Transit Procedure makes opposition , the movement cannot be released. The timer 'Declaration awaiting release request' is stopped. The declaration is put in an idle state where it waits until a decision is taken by the Customs Office of Departure whether the movement can be released for transit or not.

- No opposition:

When the Holder of the Transit Procedure makes no opposition , the movement can be released. The timer 'Declaration awaiting release request' is stopped. The 'Satisfactory' control results are registered. After calculation of the duties and taxes, the processing continues with the registration of the guarantee.

- Invalid:

The release request received fails to pass the validation process or is received after the expiration of the timer "Declaration awaiting release request". The rejection from the Customs Office of Departure is communicated (IE056) to the Holder of Transit procedure. The Holder of the Transit Procedure is allowed to send another release request (IE054) in case the timer "Declaration awaiting release request" has not yet expired.

Final Situation:

The release request is invalid, the state of the Transit Operation stays in 'Under release request', or the declaration is put in an idle state, the state of the Transit Operation is set to 'Idle', or the guarantee is ready to be registered and the state of the Transit Operation is set to 'Under guarantee registration', or the release request is not received and the declaration is put in an idle state, the state of the Transit Operation is set to

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‘Idle’. In cases where the transit movement is set to the ‘Idle’ status, the Customs Office of Departure needs to take a decision whether the transit movement can be released for transit.

5.10.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-05
Full Name	Handle Release Request
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-07-Control At The Office of Departure
Existing Specifications	DDNTA v5.13

Table 5.175: Process Details

5.10.3. Tasks and Events

Task Perform Validation Of Request Of Release

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation Of Request Of Release
Task Type	Service task
Description	<p>When IE054 Request of Release is received, it must be automatically validated.</p> <p>Syntactic checks should include, at minimum:</p> <ul style="list-style-type: none"> - Data compliance to its associated Rules & Conditions. <p>Final situation:</p> <p>The result is positive: the IE has been proven to be valid and the process will continue.</p> <p>The result is negative: the IE has not been proven to be valid and the request of release is rejected.</p>
Actor	NCTS
Input Data	E_REQ_REL
Output Data	Result Identify if Request of Release is Valid
Previous Objects	Request Of Release Is Received
Information Exchange	Request of Release
Requirements	TRA_FUR_0138

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Data Set	
Events	
State	

Table 5.176: Task Perform Validation Of Request Of Release

Event Request Of Release Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Request Of Release Is Received
Event Type	Conditional start event
Description	When a Request of Release (IE054) is received, the process L4-TRA-01-01-04 ends. The processing continues with L4-TRA-01-01-05 Handle Release Request with this event as a start event
Appears also in the following processes	L4-TRA-01-01-04-Handle Results Of Control
Previous Objects	

Table 5.177: Event Request Of Release Is Received

Task Record Request Of Release

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Request Of Release
Task Type	Service task
Description	When Request of Release (IE054) is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	E_REQ_REL
Output Data	E_REQ_REL Transit Operation
Previous Objects	Is Request Of Release Valid?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Request Of Release Is Received

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State	Guarantee under Registration

Table 5.178: Task Record Request Of Release

Task Stop Time Limit -Declaration Awaiting Release Request-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Declaration Awaiting Release Request-
Task Type	Service task
Description	<p>When Request of Release (IE054) is recorded, the timer 'Declaration awaiting release request' is stopped.</p> <p>In L4-TRA-01-01-08 BPM, the timer Declaration awaiting release request shall stop if the Invalidation Decision is positive.</p> <p>Final situation: The timer to await release request is stopped.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	<p>Declaration Release Request Default Time limit</p> <p>Declaration Release Request Expiry Date</p> <p>Declaration Release Request Record Date</p> <p>MRN</p>
Output Data	<p>Expired Calendar Days of Time Limit 'Declaration Awaiting Release Request'</p> <p>Declaration Release Request End Date</p>
Previous Objects	Record Request Of Release
Information Exchange	
Requirements	<p>TRA_FUR_0019</p> <p>TRA_FUR_0045</p>
Data Set	
Events	
State	

Table 5.179: Task Stop Time Limit -Declaration Awaiting Release Request-

Task Notify Holder Of Transit Procedure Of Rejection From Office Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Notify Holder Of Transit Procedure Of Rejection From Office Of Departure
Task Type	Send task
Description	When Request of Release (IE054) is proved not to be valid, a notification IE will automatically be sent to Holder Of Transit Procedure informing that Request of Release (IE054) is rejected.
Actor	NCTS
Input Data	E_REQ_REL Result Identify if Request of Release is Valid
Output Data	Transit Operation
Previous Objects	Is Request Of Release Valid?
Information Exchange	Release Request Rejection
Requirements	TRA_FUR_0022
Data Set	Transit Operation
Events	IE054 Is Received
State	Under Release Request

Table 5.180: Task Notify Holder Of Transit Procedure Of Rejection From Office Of Departure

Task Identify If The Holder Of Transit Procedure Made Opposition

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If The Holder Of Transit Procedure Made Opposition
Task Type	Service task
Description	When the Holder Of Transit Procedure provided advice right away, the System will automatically check if the Holder Of Transit Procedure made opposition to the Customs Officer decision based on Control Results. Final situation: The result is positive: The Holder Of Transit Procedure made opposition. The result is negative: The Holder Of Transit Procedure made no opposition.
Actor	NCTS
Input Data	MRN Result Check If Minor Revisions Accepted

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Output Data	Result If The Holder Of Transit Procedure Made Opposition
Previous Objects	Stop Time Limit -Declaration Awaiting Release Request-
Information Exchange	
Requirements	TRA_FUR_0144
Data Set	
Events	
State	

Table 5.181: Task Identify If The Holder Of Transit Procedure Made Opposition

Event Release Request Is Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Release Request Is Rejected
Event Type	End event
Description	The movement is not released because of major discrepancies detected by the Customs Officer or because of or safety and security reasons. The Holder of the Transit procedure is notified of the fact that the movement may not go to destination. The process ends.
Appears also in the following processes	L4-TRA-01-01-Process Departure - Acceptance and Controls
Previous Objects	Notify Holder Of Transit Procedure Of Rejection From Office Of Departure

Table 5.182: Event Release Request Is Rejected

Event Final Decision Is Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Final Decision Is Required
Event Type	End event
Description	A final decision is required and the process 'Take Decision' is triggered. The process ends.
Appears also in the following processes	L4-TRA-01-01-04-Handle Results Of Control
Previous Objects	Did The Holder Of The Transit Procedure Make Opposition?

Table 5.183: Event Final Decision Is Required

Event Guarantee Registration Is Required

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Registration Is Required
Event Type	End event
Description	The registration of the guarantee is required and the process 'Guarantee Registration' is triggered. The process ends.
Appears also in the following processes	L4-TRA-01-01-03-Register Control Decision L4-TRA-01-01-06-Take Decision L4-TRA-01-01-04-Handle Results Of Control
Previous Objects	Did The Holder Of The Transit Procedure Make Opposition?

Table 5.184: Event Guarantee Registration Is Required

5.11. Process L4-TRA-01-01-06-Take Decision

5.11.1. Objective of Process

When the Customs Officer decides that the movement cannot be released for transit, the 'Unsatisfactory' control results are logged. The Customs Office of Departure notifies (IE051) the Holder of Transit procedure that the movement cannot go to Destination, sanctions may be applied. In case Export follows external/internal Transit, the Office of Departure notifies the Office of Exit (IE040) for the non-release of the movement for Transit. Therefore, the Export MRNs are de-allocated in AES for the specific transit movement and can be used with another process (i.e. continue with another transit declaration or continue without a transit declaration).

When the Customs Officer decides that the movement can still be released, then the 'Satisfactory' control results are recorded. After calculation of the duties and taxes, the processing continues with 'L4-TRA-02-03-Register Guarantee Usage'.

Final situation :

Either unsatisfactory control results are registered and the movement cannot be released, the state of the Transit Operation is set to 'Not released for Transit', or, Satisfactory control results are registered, the state of the Transit Operation is set to 'Under guarantee registration'.

5.11.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-06
Full Name	Take Decision
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-07-Control At The Office of Departure
Existing Specifications	DDNTA v5.13

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PROCESS MODELS

Table 5.185: Process Details

5.11.3. Tasks and Events

Task Register Decision On Release

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision On Release
Task Type	User task
Description	The Customs Officer decides whether the goods can be released or not and registers this decision. Final situation: Either unsatisfactory control results are registered and the movement can not be released, the state of the Transit Operation is set to 'Not released for Transit', or, Satisfactory control results are registered, the state of the Transit Operation is set to 'Under guarantee registration'
Actor	Customs Officer
Input Data	MRN Release Requested Date of Release Request
Output Data	Control Result Result Check If Goods Can Be Released
Previous Objects	Final Decision Is Required
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.186: Task Register Decision On Release

Event Final Decision Is Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Final Decision Is Required
Event Type	Conditional start event
Description	The process is initiated upon the final decision of the Customs Officer.
Previous Objects	

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Table 5.187: Event Final Decision Is Required

Task Record Decision On Release

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision On Release
Task Type	Service task
Description	When the Decision On Release is registered, the Data elements following this registration are automatically recorded in the NCTS System - linked to the reference number
Actor	NCTS
Input Data	MRN Control Result Result Check If Goods Can Be Released
Output Data	Result Check Identify If the Goods Can Be Released Result Check If Goods Can Be Released Control Result
Previous Objects	Register Decision On Release
Information Exchange	
Requirements	TRA_FUR_0009 TRA_FUR_0132
Data Set	
Events	
State	

Table 5.188: Task Record Decision On Release

Task Notify Holder Of Transit Procedure For No Release For Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of Transit Procedure For No Release For Transit
Task Type	Send task
Description	<p>When the Holder Of Transit Procedure is to be notified of a No release for Transit, a notification IE051 will automatically be sent to the Holder Of Transit Procedure informing that the Transit cannot be released.</p> <p>The Customs Office of Departure notifies (IE051) the Holder Of Transit Procedure that the movement may not go to Destination, sanctions may be applied.</p>

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Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-02-05-Handle Unsatisfactory Guarantee Result L4-TRA-01-01-04-Handle Results Of Control
Input Data	E_REL_NOT
Output Data	Transit Operation
Previous Objects	Can Goods Be Released?
Information Exchange	No Release For Transit
Requirements	TRA_FUR_0022
Data Set	Transit Operation
Events	Receive Departure Control Results Final Decision Is Required
State	Not Released for Transit Under Release Request

Table 5.189: Task Notify Holder Of Transit Procedure For No Release For Transit

Task Identify If At Least One Export MRN Is Referenced In Transit Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If At Least One Export MRN Is Referenced In Transit Declaration
Task Type	Service task
Description	When the transit declaration is recorded, the System will automatically check if At Least One Export MRN Is Referenced In Transit Declaration. Final situation: The result is positive: At Least One Export MRN Is Referenced In Transit Declaration. The result is negative: No Export MRN Is Referenced In Transit Declaration.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-02-05-Handle Unsatisfactory Guarantee Result L4-TRA-01-01-01-01-Processing Of Declaration L4-TRA-01-01-04-Handle Results Of Control

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PROCESS MODELS

Input Data	E_DEC_DAT
Output Data	Result Of Export MRN Identification E_DEC_DAT
Previous Objects	Notify Holder Of Transit Procedure For No Release For Transit
Information Exchange	
Requirements	TRA_FUR_0190 TRA_FUR_0188 TRA_FUR_0189
Data Set	
Events	
State	

Table 5.190: Task Identify If At Least One Export MRN Is Referenced In Transit Declaration

Event Guarantee Registration Is Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Registration Is Required
Event Type	End event
Description	The registration of the guarantee is required and the process 'Guarantee Registration' is triggered. The process ends.
Appears also in the following processes	L4-TRA-01-01-05-Handle Release Request L4-TRA-01-01-03-Register Control Decision L4-TRA-01-01-04-Handle Results Of Control
Previous Objects	Can Goods Be Released?

Table 5.191: Event Guarantee Registration Is Required

Task Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit Of Transit Invalidation Notification To AES
Task Type	Send task
Description	When the holder of the transit procedure does not provide an updated amendment declaration within the expected time limit, a notification IE040 will automatically be sent to the AES system informing of Invalidation Notification

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PROCESS MODELS

Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-02-05-Handle Unsatisfactory Guarantee Result L4-TRA-01-01-08-Handle Cancellation/Invalidation Request L4-TRA-01-01-04-Handle Results Of Control
Input Data	Result Of Export MRN Identification N_INV_TRA
Output Data	
Previous Objects	Is Export MRN Identified?
Information Exchange	Transit Invalidation Notification To AES
Requirements	TRA_FUR_0149
Data Set	
Events	
State	

Table 5.192: Task Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

Event Goods Are Not Released For Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Goods Are Not Released For Transit
Event Type	End event
Description	Goods are Not Released for Transit. The process ends.
Appears also in the following processes	L4-TRA-01-01-04-Handle Results Of Control L4-TRA-01-02-Process Departure - Release
Previous Objects	Notify Customs Office Of Exit Of Transit Invalidation Notification To AES Is Export MRN Identified?

Table 5.193: Event Goods Are Not Released For Transit

5.12. Process L4-TRA-01-01-07-Prepare Guarantee Registration

5.12.1. Objective of Process

The state of the Transit Operation is set to 'Under guarantee registration'. After calculation of duties and taxes, the processing continues with 'Register Guarantee Usage', except for guarantee type B for which no registration is required.

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5.12.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-07
Full Name	Prepare Guarantee Registration
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.194: Process Details

5.12.3. Tasks and Events

Event Guarantee Registration Is Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Registration Is Required
Event Type	Conditional start event
Description	The process is initiated by the end event of the process 'Take Decision'.
Previous Objects	

Table 5.195: Event Guarantee Registration Is Required

Task Register Duties and Taxes

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Duties and Taxes
Task Type	User task
Description	When a Guarantee registration is required, duties and taxes are calculated: manually by the Customs Officer or automatically when such a facility is provided in the national application. NCTS will assist in offering a pre-defined default value of duties and taxes. Final situation: The duties and taxes are registered.
Actor	Customs Officer
Input Data	MRN

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Output Data	Duties and Taxes Calculation
Previous Objects	Guarantee Registration Is Required
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.196: Task Register Duties and Taxes

Task Record Duties and Taxes

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Duties and Taxes
Task Type	Service task
Description	When duties and taxes are registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Duties and Taxes Calculation Movement State MRN
Output Data	Duties and Taxes Calculation Movement State
Previous Objects	Register Duties and Taxes
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.197: Task Record Duties and Taxes

Event Guarantee Is To Be Checked

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Is To Be Checked
Event Type	End event
Description	<p>The process starts after calculation of duties.</p> <p>A check and registration of the guarantee(s) has been asked. This result activates the event “E_Customs Office of Departure asks for the check of guarantees” which triggers the process GU12 “Register guarantee usage” at the Customs Office of Guarantee.</p> <p>The Customs Office of Departure asks for the check of guarantees</p>
Appears also in the following processes	L4-TRA-01-01-Process Departure - Acceptance and Controls
Previous Objects	Record Duties and Taxes

Table 5.198: Event Guarantee Is To Be Checked

5.13. Process L4-TRA-01-01-08-Handle Cancellation/Invalidation Request

5.13.1. Objective of Process

The cancellation/invalidation can be initiated either when the Customs Office of Departure receives (IE014) an Invalidation request from the Holder of Transit procedure (prior to movement acceptance / release for Transit) or when the Customs Officer wants to invalidate the declaration. Movement can only be cancelled before acceptance of the transit declaration (i.e. case of pre-lodged declaration), in every other case, after acceptance, it is invalidated.

Cancellation of Pre-Lodged Declaration

In case of pre-lodged declaration, the Holder of Transit procedure may decide not present the goods to the Customs Office of Departure and therefore submits a request to the Customs Office of Departure to cancel the pre-lodged declaration. This cancellation request can only be accepted by the Customs Office of Departure, while the timer “Awaiting for presentation notification” has not yet expired.

In case the cancellation request (IE014) message is valid, the Customs Office of Departure automatically sends a positive decision to cancel the pre-lodged declaration. This decision (IE009) is sent to the Holder of the Transit Procedure. The state of the movement at the Office of Departure is set to ‘Cancelled’. Additionally, the timer “Awaiting for presentation notification” stops.

In case the cancellation request (IE014) message is invalid or has been received after the expiration of the timer “Awaiting for presentation notification”, the Customs Office of Departure automatically sends a negative decision (IE009) to the Holder of the Transit Procedure.

Invalidation of the Transit Declaration

A transit declaration can be invalidated by the Holder of the Transit Procedure after acceptance. No invalidation request is accepted after the movement is released for transit.

When the Customs Officer at the Customs Office of Departure cannot accept the invalidation request, he/she communicates (IE009) the negative decision.

When the Customs Officer at the Customs Office of Departure accepts the invalidation request from the Holder of the Transit procedure, he/she communicates (IE009) the positive decision to invalidate the transit movement to the Holder of Transit procedure. The Holder of the Transit Procedure is not allowed to request invalidation of the transit declaration (IE014) after release for transit.

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When the invalidation is on Customs initiative, the Customs Officer communicates (IE009) to the Holder of Transit procedure that an invalidation is required by Customs.

After the movement was already released for Transit, and on Customs Officer's legitimate initiative, an invalidation can be sent for the transit movement. This means that the declared Customs Office(s) of Transit, declared Customs Office(s) of Exit for Transit and the declared Customs Office of Destination have already been notified (IE160, IE050 and IE001) of the release for Transit. He/she communicates (IE009) the acceptance of the invalidation to the Holder of the Transit procedure and also an invalidation notification is sent (IE010) to all declared Customs Office(s) of Exit for Transit, Customs Office(s) of Transit and Customs Office(s) of Destination.

When the movement is invalidated then the already registered guarantee is released.

Final situation :

When the invalidation request is accepted, the state of the Transit Operation is set to 'Invalidated'.

When invalidation request is refused, the state of the Transit Operation is not changed. The Holder of Transit procedure, the declared Customs Office of Destination and all declared Office(s) of Transit are notified that the Declaration is invalidated.

5.13.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-08
Full Name	Handle Cancellation/Invalidation Request
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-06-Invalidation Of Transit Declaration
Existing Specifications	DDNTA v5.13

Table 5.199: Process Details

5.13.3. Tasks and Events

Task Perform Validation Of Declaration Invalidation Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation Of Declaration Invalidation Request
Task Type	Service task
Description	<p>When an IE014 Invalidation Request is received, it must be automatically validated.</p> <p>Syntactic checks should include, at minimum:</p> <ul style="list-style-type: none"> - Data compliance to its associated Rules & Conditions. <p>Final situation:</p> <p>The result is positive: the IE has been proven to be valid and the process will continue.</p> <p>The result is negative: the IE has not been proven to be valid and the</p>

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	Invalidation Request is rejected.
Actor	NCTS
Input Data	E_DEC_INV
Output Data	Result If Validation Is Successful
Previous Objects	Declaration Invalidation Request Received
Information Exchange	Declaration Invalidation Request
Requirements	TRA_FUR_0052 TRA_FUR_0001
Data Set	
Events	
State	

Table 5.200: Task Perform Validation Of Declaration Invalidation Request

Event Declaration Invalidation Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Invalidation Request Received
Event Type	Conditional start event
Description	The process starts upon the receipt of the declaration invalidation request
Previous Objects	

Table 5.201: Event Declaration Invalidation Request Received

Task Record Declaration Invalidation Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Declaration Invalidation Request
Task Type	Service task
Description	When IE014 receipt is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	E_DEC_INV
Output Data	E_DEC_INV

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Previous Objects	Is Declaration Invalidation Request Valid?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.202: Task Record Declaration Invalidation Request

Task Register Decision On Acceptance Of Invalidation Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision On Acceptance Of Invalidation Request
Task Type	User task
Description	<p>When Declaration Invalidation Request is recorded, the Customs Officer at the Customs Office of Departure validates the received request for invalidation and registers a decision whether the request can be accepted or not.</p> <p>The Holder of the Transit procedure must furnish the proof that goods were declared in error or that, as a result of special circumstances, the placing of the goods under Transit is no longer justified.</p> <p>Final situation: The Customs Officer registered his decision whether the request can be accepted or not.</p>
Actor	Customs Officer
Input Data	MRN Transit Operation
Output Data	Indication if Decision on Acceptance of Invalidation Request Is Registered
Previous Objects	Is It a Pre-Lodged Declaration?
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

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Table 5.203: Task Register Decision On Acceptance Of Invalidation Request

Task Identify If it is Pre-Lodged Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If it is Pre-Lodged Declaration
Task Type	Service task
Description	When is needed, the System will automatically check if the declaration is Pre-Lodged Final situation: The result is positive: The declaration is Pre-Lodged The result is negative: The declaration is not Pre-Lodged
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-Evaluate Correction/Amendment Request
Input Data	E_DEC_DAT
Output Data	Result Identify If it is Pre-Lodged Declaration
Previous Objects	Record Declaration Invalidation Request
Information Exchange	
Requirements	TRA_FUR_0171
Data Set	
Events	
State	

Table 5.204: Task Identify If it is Pre-Lodged Declaration

Event Customs Initiates Invalidation

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Customs Initiates Invalidation
Event Type	Conditional start event
Description	The Customs Officer initiates the invalidation. The process starts
Previous Objects	

Table 5.205: Event Customs Initiates Invalidation

Task Record Decision On Acceptance Of Invalidation Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Record Decision On Acceptance Of Invalidation Request
Task Type	Service task
Description	When the decision of the Customs Officer about the invalidation request is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Transit Operation Indication if Decision on Acceptance of Invalidation Request Is Registered MRN
Output Data	Indication if Decision on Acceptance of Invalidation Request Is Registered Result Check If Invalidation Request Is Accepted
Previous Objects	Register Decision On Acceptance Of Invalidation Request
Information Exchange	
Requirements	TRA_FUR_0009 TRA_FUR_0039
Data Set	
Events	
State	

Table 5.206: Task Record Decision On Acceptance Of Invalidation Request

Task Record Rejection Reason

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Rejection Reason
Task Type	Service task
Description	When the decision that the Invalidation Request has been proved not to be valid is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	LRN MRN Invalidation Rejection Motivation Text Invalidation Rejection Motivation Code Human Intervention Indication Invalidation Rejection Date
Output Data	Invalidation Rejection Motivation Text Invalidation Rejection Motivation Code Human Intervention Indication

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	Invalidation Rejection Date
Previous Objects	Is Declaration Invalidation Request Valid?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.207: Task Record Rejection Reason

Task Register Decision To Invalidate

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision To Invalidate
Task Type	User task
Description	<p>When Customs Officer initiates the invalidation process, he registers the decision to invalidate</p> <p>Final situation: The Customs Officer registered his/her decision to invalidate the declaration.</p>
Actor	Customs Officer
Input Data	Transit Operation MRN
Output Data	Indication of Decision to Invalidate
Previous Objects	Customs Initiates Invalidation
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.208: Task Register Decision To Invalidate

Task Notify Holder Of The Transit Procedure Of Invalidation Decision

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure Of Invalidation Decision
Task Type	Send task
Description	When the Invalidation Decision is to be taken, a notification IE will automatically be sent to Holder Of The Transit Procedure informing about the Invalidation Decision.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	Transit Operation
Output Data	
Previous Objects	Record Rejection Reason
Information Exchange	Invalidation Decision
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.209: Task Notify Holder Of The Transit Procedure Of Invalidation Decision

Task Record Decision To Invalidate

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision To Invalidate
Task Type	Service task
Description	When the decision of the Customs Officer about the invalidation is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Transit Operation Indication of Decision to Invalidate LRN
Output Data	Indication of Decision to Invalidate
Previous Objects	Register Decision To Invalidate
Information Exchange	

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Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.210: Task Record Decision To Invalidate

Event Declaration Invalidation Request Is Rejected By Office Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Invalidation Request Is Rejected By Office Of Departure
Event Type	End event
Description	Declaration Invalidation Request Is Rejected By Office Of Departure. The process ends
Previous Objects	Notify Holder Of The Transit Procedure Of Invalidation Decision

Table 5.211: Event Declaration Invalidation Request Is Rejected By Office Of Departure

Task Cancel Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel Declaration
Task Type	Service task
Description	The Invalidation request for the pre-lodged is accepted, the state of the Transit Operation is set to 'Cancelled'.
Actor	NCTS
Input Data	E_DEC_DAT MRN
Output Data	Indication if Declaration is Cancelled Transit Operation
Previous Objects	Is It a Pre-Lodged Declaration?
Information Exchange	
Requirements	TRA_FUR_0166
Data Set	
Events	Pre-Lodged Declaration Is Cancelled
State	Cancelled

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PROCESS MODELS

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Table 5.212: Task Cancel Declaration

Task Notify Holder Of The Transit Procedure Of Invalidation Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure Of Invalidation Decision
Task Type	Send task
Description	When the Invalidation Decision is to be taken, a notification IE will automatically be sent to Holder Of The Transit Procedure informing about the Invalidation Decision.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	Transit Operation
Output Data	
Previous Objects	Cancel Declaration
Information Exchange	Invalidation Decision
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.213: Task Notify Holder Of The Transit Procedure Of Invalidation Decision

Task Invalidate Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Invalidate Declaration
Task Type	Service task
Description	When the movement is invalidated then the already registered guarantee is released. The Invalidation request is accepted, the state of the Transit Declaration is set to 'Invalidated'.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	E_DEC_DAT

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	MRN
Output Data	Transit Operation Indication if Declaration is Invalidated
Previous Objects	Invalidation Request Accepted?
Information Exchange	
Requirements	TRA_FUR_0040
Data Set	Transit Operation
Events	Declaration Invalidation Request Received Customs Initiates Invalidation
State	Invalidated

Table 5.214: Task Invalidate Declaration

Task Invalidate Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Invalidate Declaration
Task Type	Service task
Description	When the movement is invalidated then the already registered guarantee is released. The Invalidation request is accepted, the state of the Transit Declaration is set to 'Invalidated'.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	E_DEC_DAT MRN
Output Data	Transit Operation Indication if Declaration is Invalidated
Previous Objects	Record Decision To Invalidate
Information Exchange	
Requirements	TRA_FUR_0040

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Data Set	Transit Operation
Events	Declaration Invalidation Request Received Customs Initiates Invalidation
State	Invalidated

Table 5.215: Task Invalidate Declaration

Task Stop Timer Awaiting For Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Timer Awaiting For Presentation Notification
Task Type	Service task
Description	When the 'Presentation Notification For The Pre-Lodged Declaration' is recorded, the timer 'Awaiting For Presentation Notification' stops. In L4-TRA-01-01-08 BPM when the declaration is cancelled, the aforementioned timer stops.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-02-Evaluate Transit Presentation Notification
Input Data	E_DEC_DAT E_PRE_NOT Awaiting for Presentation Notification Default Time Limit
Output Data	Time Limit Awaiting for Presentation Notification Start Date Awaiting for Presentation Notification Expiry Date
Previous Objects	Notify Holder Of The Transit Procedure Of Invalidation Decision
Information Exchange	
Requirements	TRA_FUR_0160
Data Set	
Events	
State	

Table 5.216: Task Stop Timer Awaiting For Presentation Notification

Task Identify If At Least One Export MRN Is Referenced In Invalidation Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If At Least One Export MRN Is Referenced In Invalidation

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PROCESS MODELS

	Request
Task Type	Service task
Description	When is needed, the System will automatically check if At Least One Export MRN Is Referenced In Invalidation Request Final situation: The result is positive: At Least One Export MRN Is Referenced In Invalidation Request The result is negative: No Export MRN Is Referenced In Invalidation Request
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	E_DEC_INV
Output Data	E_DEC_INV Result Of Export MRN Identification
Previous Objects	Invalidate Declaration
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.217: Task Identify If At Least One Export MRN Is Referenced In Invalidation Request

Event Cancellation Accepted by Customs Office of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation Accepted by Customs Office of Departure
Event Type	End event
Description	Cancellation is Accepted by Customs Office of Departure for a pre-lodged declaration. The process ends
Previous Objects	Stop Timer Awaiting For Presentation Notification

Table 5.218: Event Cancellation Accepted by Customs Office of Departure

Task Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit Of Transit Invalidation Notification To

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PROCESS MODELS

	AES
Task Type	Send task
Description	When the holder of the transit procedure does not provide an updated amendment declaration within the expected time limit, a notification IE040 will automatically be sent to the AES system informing of Invalidation Notification
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-02-05-Handle Unsatisfactory Guarantee Result L4-TRA-01-01-06-Take Decision L4-TRA-01-01-04-Handle Results Of Control
Input Data	Result Of Export MRN Identification N_INV_TRA
Output Data	
Previous Objects	Is Export MRN Identified?
Information Exchange	Transit Invalidation Notification To AES
Requirements	TRA_FUR_0149
Data Set	
Events	
State	

Table 5.219: Task Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

Task Notify Holder Of The Transit Procedure Of Invalidation Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure Of Invalidation Decision
Task Type	Send task
Description	When the Invalidation Decision is to be taken, a notification IE will automatically be sent to Holder Of The Transit Procedure informing about the Invalidation Decision.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	Transit Operation
Output Data	

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Previous Objects	Declaration Data Has Been Submitted Electronically By The Holder Of The Transit Procedure
Information Exchange	Invalidation Decision
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.220: Task Notify Holder Of The Transit Procedure Of Invalidation Decision

Task Notify Holder Of The Transit Procedure Of Invalidation Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure Of Invalidation Decision
Task Type	Send task
Description	When the Invalidation Decision is to be taken, a notification IE will automatically be sent to Holder Of The Transit Procedure informing about the Invalidation Decision.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	Transit Operation
Output Data	
Previous Objects	Is Export MRN Identified? Invalidation Request Accepted? Notify Customs Office Of Exit Of Transit Invalidation Notification To AES
Information Exchange	Invalidation Decision
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.221: Task Notify Holder Of The Transit Procedure Of Invalidation Decision

Task Stop Time Limit -Awaiting For Automatic Release-

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Awaiting For Automatic Release-
Task Type	Service task
Description	<p>If the declaration is valid and it was declared under simplified procedure:</p> <ul style="list-style-type: none"> • If risk (fiscal risk or risk related to safety and security) is identified, the timer “Awaiting for automatic release” is stopped. The Customs Officer is alerted and asked to register a control decision. • In any other case, NCTS awaits the timer “Awaiting for automatic release” to expire. <p>In L4-TRA-01-01-08 BPM, the timer Awaiting For Automatic Release shall stop if the Invalidation Decision is positive.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-03-Register Control Decision
Input Data	MRN Automatic Release Expiry Date Automatic Release Record Date
Output Data	Automatic Release End Date Expired Calendar Days of Time Limit 'Awaiting for Automatic Release'
Previous Objects	Is Invalidation Decision Positive? Notify Holder Of The Transit Procedure Of Invalidation Decision Declaration Data Has Been Submitted Electronically By The Holder Of The Transit Procedure
Information Exchange	
Requirements	TRA_FUR_0026 TRA_FUR_0130
Data Set	
Events	
State	

Table 5.222: Task Stop Time Limit -Awaiting For Automatic Release-

Task Identify If Movement Has Been Released

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Movement Has Been Released
Task Type	Service task
Description	When is needed, the System will automatically check if Movement Has

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	<p>Been Released</p> <p>Final situation:</p> <p>The result is positive: The movement is released for transit</p> <p>The result is negative: The movement is not released for transit</p>
Actor	NCTS
Input Data	MRN E_DEC_DAT
Output Data	Result Identify If Movement Has Been Released
Previous Objects	Notify Holder Of The Transit Procedure Of Invalidation Decision Declaration Data Has Been Submitted Electronically By The Holder Of The Transit Procedure
Information Exchange	
Requirements	TRA_FUR_0041
Data Set	
Events	
State	

Table 5.223: Task Identify If Movement Has Been Released

Event Invalidation Request Not Accepted By Customs

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Invalidation Request Not Accepted By Customs
Event Type	End event
Description	Invalidation Request Not Accepted By Customs. The process ends
Previous Objects	Is Invalidation Decision Positive?

Table 5.224: Event Invalidation Request Not Accepted By Customs

Task Stop Time Limit -Declaration Awaiting Release Request-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Declaration Awaiting Release Request-
Task Type	Service task
Description	<p>When Request of Release (IE054) is recorded, the timer 'Declaration awaiting release request' is stopped.</p> <p>In L4-TRA-01-01-08 BPM, the timer Declaration awaiting release request shall stop if the Invalidation Decision is positive.</p>

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	Final situation: The timer to await release request is stopped.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-05-Handle Release Request
Input Data	Declaration Release Request Default Time limit Declaration Release Request Expiry Date Declaration Release Request Record Date MRN
Output Data	Expired Calendar Days of Time Limit 'Declaration Awaiting Release Request' Declaration Release Request End Date
Previous Objects	Stop Time Limit -Awaiting For Automatic Release-
Information Exchange	
Requirements	TRA_FUR_0019 TRA_FUR_0045
Data Set	
Events	
State	

Table 5.225: Task Stop Time Limit -Declaration Awaiting Release Request-

Task Stop Time Limit -Guarantee Awaiting For Amendment-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Guarantee Awaiting For Amendment-
Task Type	Service task
Description	When the Guarantee Amendment is recorded, the system automatically stops the timer “Guarantee awaiting for amendment”. In L4-TRA-01-01-08 BPM, the timer Guarantee awaiting for amendment shall stop if the Invalidation Decision is positive. Final situation: The timer to wait for Guarantee amendment is stopped.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-02-06-Handle Amendment Of The Declaration Guarantee Details

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Input Data	MRN Guarantee Amendment Record Date Guarantee Amendment Expiry Date Guarantee Amendment Start Date
Output Data	Expired Calendar Days of the Time Limit 'Guarantee Awaiting for Amendment' Guarantee Amendment End Date
Previous Objects	Stop Time Limit -Declaration Awaiting Release Request-
Information Exchange	
Requirements	TRA_FUR_0140 TRA_FUR_0048
Data Set	
Events	
State	

Table 5.226: Task Stop Time Limit -Guarantee Awaiting For Amendment-

Task Identify Declared Customs Offices

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Declared Customs Offices
Task Type	Service task
Description	The NCTS identifies the declared Customs Offices of Transit/Destination.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-02-Check Provided Information L4-TRA-01-07-04-Handle Negative Enquiry Response
Input Data	E_DEC_DAT MRN
Output Data	Office of destination (and country) Intended offices of transit (and country) Customs Office Of Departure
Previous Objects	Is Movement Already Released?
Information Exchange	
Requirements	TRA_FUR_0042

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PROCESS MODELS

Data Set	
Events	
State	

Table 5.227: Task Identify Declared Customs Offices

Task Cancel Guarantee Usage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel Guarantee Usage
Task Type	Call activity (collapsed)
Description	<p>The Process starts within the Process Departure - Acceptance and Control (when Transit Declaration is amended), when the Holder of the Transit procedure asks for cancellation of the process, or when Guarantee Checks are not successful within the Process Register Guarantee Usage.</p> <p>NCTS first identifies different types of Guarantees (Codes 3, 5, 7, 8 and A which are under responsibility of the Customs Office of Departure, and codes 0, 1, 2, 4 which are under responsibility of the Customs Office of Guarantee).</p> <p>For the first group of guarantees (Codes 3, 5, 7, 8), the Customs Officer handles the cancellation, it registers the previously recorder guarantees for which NCTS removes the references to the Transit operation and other information related to the registration of usage.</p> <p>For the second group of guarantees (Codes 0, 1, 2, 4), it selects the guarantees for the corresponding Customs Offices of Guarantees which has to be cancelled and notifies them for cancellation request through sending IE204 - Guarantee Use Cancellation. Each interested Customs Office of Guarantee receive the message, it removes the notified guarantees of types coded as 0, 1, 2, 4 from the Guarantee Management Subsystem (the reference to the Transit operation and other information are cleared and/or reset according to the monitoring type of each guarantee). As an end result, the usage of guarantees is cancelled.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-02-00-Transit Guarantee Processing Management Master Process L4-TRA-02-03-Register Guarantee Usage L4-TRA-01-01-02-Evaluate Correction/Amendment Request
Input Data	
Output Data	
Previous Objects	Stop Time Limit -Guarantee Awaiting For Amendment-
Information Exchange	
Requirements	
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.228: Task Cancel Guarantee Usage

Task Notify Declared Customs Offices Of Invalidation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Declared Customs Offices Of Invalidation Notification
Task Type	Send task
Description	When declared Customs Offices of Transit and Destination are identified, a notification IE will automatically be sent to all of them informing that the movement is invalidated.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response
Input Data	C_INV_NOT
Output Data	
Previous Objects	Identify Declared Customs Offices
Information Exchange	Invalidation Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.229: Task Notify Declared Customs Offices Of Invalidation Notification

Task Identify If At Least One Export MRN Is Referenced In Invalidation Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If At Least One Export MRN Is Referenced In Invalidation Request
Task Type	Service task
Description	When is needed, the System will automatically check if At Least One Export MRN Is Referenced In Invalidation Request Final situation: The result is positive: At Least One Export MRN Is Referenced In Invalidation Request The result is negative: No Export MRN Is Referenced In Invalidation

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	Request
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	E_DEC_INV
Output Data	E_DEC_INV Result Of Export MRN Identification
Previous Objects	Notify Declared Customs Offices Of Invalidation Notification
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.230: Task Identify If At Least One Export MRN Is Referenced In Invalidation Request

Task Notify AES For Transit Invalidation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify AES For Transit Invalidation Notification
Task Type	Send task
Description	When the Declaration is invalidated, a notification IE will automatically be sent to the AES informing that the Declaration is invalidated.
Actor	NCTS
Input Data	N_INV_TRA
Output Data	
Previous Objects	Is Export MRN Identified?
Information Exchange	Transit Invalidation Notification To AES
Requirements	TRA_FUR_0149
Data Set	
Events	
State	

Table 5.231: Task Notify AES For Transit Invalidation Notification

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Event Invalidation Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Invalidation Notification Received
Event Type	Message start event
Description	The process starts upon receipt of Invalidation Notification
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Previous Objects	Notify Declared Customs Offices Of Invalidation Notification

Table 5.232: Event Invalidation Notification Received

Event Invalidation Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Invalidation Notification Received
Event Type	Message start event
Description	The process starts upon receipt of Invalidation Notification
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Previous Objects	Notify Declared Customs Offices Of Invalidation Notification

Table 5.233: Event Invalidation Notification Received

Event Invalidation Process At Office Of Departure Completed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Invalidation Process At Office Of Departure Completed
Event Type	End event
Description	Invalidation Process at the Customs Office of Departure is completed. The process ends.
Previous Objects	Cancel Guarantee Usage Notify AES For Transit Invalidation Notification Is Export MRN Identified? Is Movement Already Released?

Table 5.234: Event Invalidation Process At Office Of Departure Completed

Task Record Invalidation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Invalidation Notification

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Task Type	Service task
Description	When Invalidation Notification is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	Declared Customs Office Of Exit For Transit
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	
Output Data	C_INV_NOT
Previous Objects	Invalidation Notification Received
Information Exchange	Invalidation Notification
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.235: Task Record Invalidation Notification

Task Record Invalidation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Invalidation Notification
Task Type	Service task
Description	When Invalidation Notification is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	Declared Customs Office Of Transit
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	
Output Data	C_INV_NOT
Previous Objects	Invalidation Notification Received
Information Exchange	Invalidation Notification
Requirements	TRA_FUR_0009

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Data Set	
Events	
State	

Table 5.236: Task Record Invalidation Notification

Event Invalidation Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Invalidation Notification Received
Event Type	Message start event
Description	The process starts upon receipt of Invalidation Notification
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Previous Objects	Notify Declared Customs Offices Of Invalidation Notification

Table 5.237: Event Invalidation Notification Received

Task Record Invalidation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Invalidation Notification
Task Type	Service task
Description	When Invalidation Notification is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	Declared Customs Office Of Destination
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	
Output Data	C_INV_NOT
Previous Objects	Invalidation Notification Received
Information Exchange	Invalidation Notification
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

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Table 5.238: Task Record Invalidation Notification

Task Invalidate Anticipated Exit For Transit Record

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Invalidate Anticipated Exit For Transit Record
Task Type	Service task
Description	The Office of Exit for Transit receives the 'Invalidation Notification' C_INV_NOT (IE010) and the state of the movement at the Office of Exit for Transit is set to Invalidated. This is a final state.
Actor	Declared Customs Office Of Exit For Transit
Input Data	MRN C_INV_NOT
Output Data	Indication if Declaration is Invalidated Transit Operation
Previous Objects	Record Invalidation Notification
Information Exchange	
Requirements	TRA_FUR_0040
Data Set	
Events	Invalidation Notification Received
State	Invalidated

Table 5.239: Task Invalidate Anticipated Exit For Transit Record

Task Invalidate Anticipated Transit Record

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Invalidate Anticipated Transit Record
Task Type	Service task
Description	The Office of Transit receives the 'Invalidation Notification' C_INV_NOT (IE010) and the state of the movement at the Office of Transit is set to Invalidated. This is a final state.
Actor	Declared Customs Office Of Transit
Input Data	C_INV_NOT MRN
Output Data	Indication if Declaration is Invalidated

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	Transit Operation
Previous Objects	Record Invalidation Notification
Information Exchange	
Requirements	TRA_FUR_0040
Data Set	
Events	Invalidation Notification Received
State	Invalidated

Table 5.240: Task Invalidate Anticipated Transit Record

Task Invalidate Anticipated Arrival Record

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Invalidate Anticipated Arrival Record
Task Type	Service task
Description	The Office of Destination receives the 'Invalidation Notification' C_INV_NOT (IE010) and the state of the movement at the Office of Destination is set to Invalidated. This is a final state.
Actor	Declared Customs Office Of Destination
Input Data	C_INV_NOT MRN
Output Data	Transit Operation Indication if Declaration is Invalidated
Previous Objects	Record Invalidation Notification
Information Exchange	
Requirements	TRA_FUR_0040
Data Set	
Events	Invalidation Notification Received
State	Invalidated

Table 5.241: Task Invalidate Anticipated Arrival Record

Event Anticipated Exit For Transit Record Invalidated

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Anticipated Exit For Transit Record Invalidated
Event Type	End event
Description	Anticipated Exit For Transit Record Invalidated. The process ends
Previous Objects	Invalidate Anticipated Exit For Transit Record

Table 5.242: Event Anticipated Exit For Transit Record Invalidated

Event Anticipated Transit Record Invalidated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Anticipated Transit Record Invalidated
Event Type	End event
Description	Anticipated Transit Record Invalidated. The process ends
Previous Objects	Invalidate Anticipated Transit Record

Table 5.243: Event Anticipated Transit Record Invalidated

Event Anticipated Arrival Record Invalidated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Anticipated Arrival Record Invalidated
Event Type	End event
Description	Anticipated Arrival Record Invalidated. The process ends
Previous Objects	Invalidate Anticipated Arrival Record

Table 5.244: Event Anticipated Arrival Record Invalidated

5.14. Process L4-TRA-01-01-Process Departure - Acceptance and Controls

5.14.1. Objective of Process

The process starts when declaration data (IE015) or amendment request (IE013) is submitted to the Customs Office of Departure. The relevant call-activities 'Accept Declaration' or 'Evaluate Amendment Request' are triggered in order for the system to check whether the data submitted can be accepted. If the declaration/amendment request is accepted, the call-activity 'Register Control Decision' is triggered. If the result of control is to be registered then the call-activity 'Handle Results Of A Control' is triggered, otherwise the system triggers the call-activity 'Guarantee Registration'.

If the result is negative then the systems triggers the call-activity 'Guarantee Registration'. If the result is positive then NCTS identifies if the Discrepancies are major. If yes, the goods are not released for transit, else if the discrepancies are minor, NCTS identifies if a "Request for Release" (IE054) is received in order to trigger the 'Handle the Release Request' call activity. If no "Request for Release" (IE054) is received and the Holder of the Transit Procedure did not make opposition, then the system triggers the call-activity 'Guarantee Registration', else if the Holder of the Transit Procedure made an opposition then the 'Take Decision' is

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triggered where NCTS identifies that the Goods are not to be released or the call-activity 'Guarantee Registration' is to be triggered.

5.14.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01
Full Name	Process Departure - Acceptance and Controls
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.245: Process Details

5.14.3. Tasks and Events

Task Accept Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Accept Declaration
Task Type	Call activity (collapsed)
Description	<p>The process starts when a Transit Declaration, "Declaration Data" (IE015) message is received and the system triggers the call activity 'Processing of Declaration'. If the Customs Declaration is rejected then the process ends, otherwise the system triggers the call activity Request and Record Risk Analysis for NCTS'.</p> <p>In case simplifications apply then the timer for automatic release starts and the process ends requiring a registration of a control decision if risk is identified. If no risk is identified then the process ends requiring a guarantee registration. If the normal procedure is applied for the transit movement then the process ends and registration of control decision is required.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Declaration Data Premises Of The Trader At Departure
Information Exchange	

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Requirements	
Data Set	
Events	
State	

Table 5.246: Task Accept Declaration

Event Receive Declaration Data

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Declaration Data
Event Type	Message start event
Description	<p>When the Holder of the Transit Procedure or Authorised Consignor submits an IE015 Transit Declaration, the Union / Common Transit movement is initiating and the process starts.</p> <p>The declaration data is submitted (IE015) via electronic means to the Customs Office of Departure. (If - except for the fallback procedure - a declaration is submitted on paper- (normal procedure), the Customs at departure will key in the declaration into the NCTS system).</p> <p>The Holder of the Transit Procedure shall specify whether the NCTS declaration shall be used for the purpose of safety and security (IE015.TRANSIT OPERATION.Security' is set to '1' (ENS) and/or '2' (EXS) or not (IE015.TRANSIT OPERATION.Security' is 'Not used for safety and security purposes').</p> <p>A declaration declared under simplified procedure (IE015.CONTROL RESULT is present), must be submitted by electronic means. When electronic means are used (under simplified as well as under normal procedure) then the Holder of the Transit Procedure must provide a local reference number (IE015.TRANSIT OPERATION.LRN) that allows the Holder of the Transit Procedure or the Customs Office of Departure Officer to reference the data in the NCTS.</p>
Previous Objects	Premises Of The Trader At Departure

Table 5.247: Event Receive Declaration Data

Event Declaration Data Is Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Data Is Rejected
Event Type	End event
Description	If the declaration is not valid or if the location where the consignment can

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	<p>be controlled is not valid, the declaration is rejected.</p> <p>The Holder of the Transit procedure is notified of the fact that the declaration is rejected and the process ends.</p>
Appears also in the following processes	<p>L4-TRA-01-01-01-Processing Of Declaration</p> <p>L4-TRA-01-01-01-Accept Declaration</p>
Previous Objects	Is Customs Declaration Accepted?

Table 5.248: Event Declaration Data Is Rejected

Task Register Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Control Decision
Task Type	Call activity (collapsed)
Description	<p>When the risk analysis results stated that goods shall not be loaded/released at departure because there are reasonable grounds for the Customs Office of Departure to consider that the introduction of the goods into the customs territory of the Union would pose such a serious threat to the safety and security of the Union that immediate intervention is required or if preventive measures should be taken at the Customs Office of Departure, then NCTS alerts the Customs Officer.</p> <p>The Customs Officer takes the final decision to control. The control consists of either a check of the supporting documents, or a check of the supporting documents and the goods. The timer 'Awaiting for automatic release' is stopped.</p> <p>The Customs Officers will arrive at the 'Authorised place' within the time period agreed in the Authorisation.</p> <p>The decision to control is always recorded in NCTS. This information will be used to handle any new amendment submitted after this stage. A Trader can submit an amendment request "Declaration Amendment" (IE013) between the moment the decision to control was made, and the capturing of the results of a control.</p> <p>On request of a Customs Officer the accepted declaration is printed on paper in order to allow the Customs Officer to perform a control of the consignment.</p> <p>If risk analysis had proposed to take measures at the Customs Office of Departure but the Customs Officer decides not to control, then NCTS requires the Customs Officer to confirm the decision not to control. The timer 'Awaiting for automatic release' is restarted.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Is Customs Declaration Accepted?

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.249: Task Register Control Decision

Task Handle Results Of Control

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Results Of Control
Task Type	Call activity (collapsed)
Description	<p>When the goods need to be controlled, this L4 process Handle Results Of Control will start. The process handles the following cases:</p> <ol style="list-style-type: none"> 1) The control revealed no discrepancies, the controlled goods together with the 'Satisfactory' control results are registered. 2) The control revealed minor discrepancies and the Holder of the Transit procedure gives his advice right away, in this case he makes no opposition 3) The control revealed minor discrepancies and the Holder of Transit procedure gives his advice right away, and he makes opposition. 4) The control revealed minor discrepancies and the Holder of Transit procedure has not yet communicated his advice on minor revisions. 5) The control revealed major discrepancies or reasonable grounds for the Customs Office of Departure to consider that the introduction of the goods into the customs territory of the Union would pose a serious threat to the safety and security of the Union. <p>The system also notifies with a "No Release For Transit" (IE051) the Holder of the Transit procedure that the goods are not released for Transit.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Customs Officer At Premises Of The Trader At Departure Premises Of The Trader At Departure Is Result Of Control To Be Registered?
Information Exchange	
Requirements	
Data Set	

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Events	
State	

Table 5.250: Task Handle Results Of Control

Task Handle Release Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Release Request
Task Type	Call activity (collapsed)
Description	<p>The process starts when a "Request for Release" (IE054) Release Request is received. The "Request for Release" (IE054) is validated and in the case it is proved valid, the system records the Release Request and stops the timer for 'Declaration Awaiting Release Request'. If the Holder of the Transit procedure has made an opposition then the declaration is put in an idle state where the final decision is to be taken and the process ends.</p> <p>If no opposition has been made by the Holder of the Transit procedure then the process ends and a guarantee registration is required.</p> <p>In the case that the Release Request is proved to be not valid then it is rejected, the Holder of the Transit procedure is notified of the rejection and the process ends.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Is Request Of Release Received?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.251: Task Handle Release Request

Task Take Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Take Decision
Task Type	Call activity (collapsed)
Description	<p>When the process 'Handle Release Request' or the process 'Handle Results Of Control' has the end result 'Final Decision Is Required', this process will start.</p> <p>The Customs Officer has to decide whether the Transit movement can be released for Transit or not and the final decision is recorded to the system.</p>

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	If the decision is 'No Release for Transit', then NCTS identifies if Transit follows Export. If this is the case, NCTS notifies AES that the goods are not released for Transit. Then the Holder of the Transit procedure is notified that the goods are not released for Transit. In case the goods are released for Transit, a guarantee registration is required and the process 'Guarantee Registration' is triggered.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Did The Holder Of The Transit Procedure Make Opposition?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.252: Task Take Decision

Event Release Request Is Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Release Request Is Rejected
Event Type	End event
Description	The movement is not released because of major discrepancies detected by the Customs Officer or because of or safety and security reasons. The Holder of the Transit procedure is notified of the fact that the movement may not go to destination. The process ends.
Appears also in the following processes	L4-TRA-01-01-05-Handle Release Request
Previous Objects	Is Request Of Release Valid? Are The Discrepancies Major? Can Goods Be Released?

Table 5.253: Event Release Request Is Rejected

Task Prepare Guarantee Registration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Prepare Guarantee Registration
Task Type	Call activity (collapsed)
Description	The state of the Transit Operation is set to 'Under guarantee registration'. After calculation of duties and taxes, the processing continues with

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	'Register Guarantee Usage', except for guarantee type B for which no registration is required.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Is Result Of Control To Be Registered? Did The Holder Of The Transit Procedure Make Opposition? Are There Discrepancies? Can Goods Be Released?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.254: Task Prepare Guarantee Registration

Event Guarantee Is To Be Checked

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Is To Be Checked
Event Type	End event
Description	The process starts after calculation of duties. A check and registration of the guarantee(s) has been asked. This result activates the event "E_Customs Office of Departure asks for the check of guarantees" which triggers the process GU12 "Register guarantee usage" at the Customs Office of Guarantee. The Customs Office of Departure asks for the check of guarantees
Appears also in the following processes	L4-TRA-01-01-07-Prepare Guarantee Registration
Previous Objects	Prepare Guarantee Registration

Table 5.255: Event Guarantee Is To Be Checked

5.15. Process L4-TRA-01-02-01-Evaluate Guarantee Registration Result

5.15.1. Objective of Process

When one of the checks of the registration of the guarantee usage failed, the Holder of the Transit procedure is notified (IE055) that the declared guarantee is not valid.

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The Holder of the Transit procedure has the possibility to amend the invalid guarantee, so that the movement can still be released. A timer “Guarantee awaiting for amendment” is started to protect a non amendment of the guarantee.

When all the checks of the registration of the guarantee usage are successful, then the movement is ready to be released.

The final result of this process will be either the guarantee is registered, the state of the Transit Operation is set to ‘Guarantee registered’, or

The Holder of the Transit procedure has to amend the guarantee, the state of the Transit Operation is set to ‘Guarantee under amendment’.

5.15.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-02-01
Full Name	Evaluate Guarantee Registration Result
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-16-Registration of Guarantee
Existing Specifications	DDNTA v5.13

Table 5.256: Process Details

5.15.3. Tasks and Events

Event Guarantee Usage Is Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Usage Is Registered
Event Type	Conditional start event
Description	The usage of a guarantee of all types to cover a Transit movement is registered. The 'Guarantee Usage is Registered' is an end event in process L4-TRA-02-03-Register Guarantee Usage. When the processing of L4-TRA-02-03 is finished then the process L4-TRA-01-02-01-Evaluate Guarantee Registration Result starts with the aforementioned event (Guarantee Usage is Registered)
Appears also in the following processes	L4-TRA-01-02-01-Evaluate Guarantee Registration Result L4-TRA-01-02-02-Issue Transit Transaction Data L4-TRA-02-03-Register Guarantee Usage
Previous Objects	

Table 5.257: Event Guarantee Usage Is Registered

Task Identify If Guarantee Checks Are Successful

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Guarantee Checks Are Successful
Task Type	Service task
Description	When needed, the System will automatically check if the Guarantee checks are successful. Final situation: The result is positive: the Guarantee checks are successful. The result is negative: the Guarantee checks are not successful.
Actor	NCTS
Appears also in the following processes	L4-TRA-02-03-Register Guarantee Usage
Input Data	Guarantee not valid Invalid Guarantee Reason Transit Operation
Output Data	Result Identify If Guarantee Checks Are Successful
Previous Objects	Guarantee Usage Is Registered
Information Exchange	
Requirements	TRA_FUR_0035
Data Set	
Events	
State	

Table 5.258: Task Identify If Guarantee Checks Are Successful

Task Notify Holder Of Transit Procedure Of Guarantee Not Valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of Transit Procedure Of Guarantee Not Valid
Task Type	Send task
Description	When the Guarantee is proved not to be valid, a notification IE will automatically be sent to Holder Of The Transit Procedure informing that the declared guarantee is not valid.
Actor	NCTS
Input Data	Transit Operation Obligation Guarantee Holder Of The Transit Procedure Customs Office Of Departure

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Output Data	
Previous Objects	Is Guarantee Already Registered?
Information Exchange	Guarantee Not Valid
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.259: Task Notify Holder Of Transit Procedure Of Guarantee Not Valid

Task Start Time Limit Guarantee Awaiting For Amendment

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Time Limit Guarantee Awaiting For Amendment
Task Type	Service task
Description	<p>When the Holder of Transit procedure is notified of that the Guarantee is proved not valid, the time limit 'Guarantee Awaiting for Amendment' is started to protect a non amendment of the guarantee.</p> <p>Final situation: The timer to wait for Guarantee amendment is started.</p>
Actor	NCTS
Input Data	Guarantee Amendment Start Date Transit Operation Guarantee Amendment Default Time Limit
Output Data	Transit Operation Guarantee Amendment Expiry Date Guarantee Amendment Start Date
Previous Objects	Notify Holder Of Transit Procedure Of Guarantee Not Valid
Information Exchange	
Requirements	TRA_FUR_0047
Data Set	Transit Operation
Events	Guarantee Is Not Valid Guarantee Usage Is Registered

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State	Guarantee Registered Guarantee under Amendment
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Table 5.260: Task Start Time Limit Guarantee Awaiting For Amendment

Event Guarantee Usage Is Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Usage Is Registered
Event Type	End event
Description	The usage of a guarantee of all types to cover a Transit movement is registered. The 'Guarantee Usage is Registered' is an end event in process L4-TRA-02-03-Register Guarantee Usage. When the processing of L4-TRA-02-03 is finished then the process L4-TRA-01-02-01-Evaluate Guarantee Registration Result starts with the aforementioned event (Guarantee Usage is Registered)
Appears also in the following processes	L4-TRA-01-02-01-Evaluate Guarantee Registration Result L4-TRA-01-02-02-Issue Transit Transaction Data L4-TRA-02-03-Register Guarantee Usage
Previous Objects	Is Guarantee Already Registered?

Table 5.261: Event Guarantee Usage Is Registered

Event Guarantee Under Amendment

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Under Amendment
Event Type	End event
Description	The process ends at the Customs Office of Departure when the Guarantee is proved not valid and the timer for 'Guarantee awaiting for amendment' is started.
Previous Objects	Start Time Limit Guarantee Awaiting For Amendment

Table 5.262: Event Guarantee Under Amendment

5.16. Process L4-TRA-01-02-02-Issue Transit Transaction Data

5.16.1. Objective of Process

When the movement is released for transit, the process starts in order for the key dates of the Transit Declaration to be defined and communicated to the anticipated Offices of Transit, Exit for Transit and Destination (i.e. expected arrival and expected control dates).

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In addition, when the movement is released for Transit, the System identifies if the Transit follows Export. Specifically, if External Transit is involved (i.e. declaration type is T1), the Destination Control Results to AES are sent to the Office of Exit. This communication concludes any further interconnection between Office of Departure and Office of Exit.

In case the Goods are released for Transit, the System identifies if the Office(s) of Exit for Transit is involved in the context of safety and security, a notification IE (IE160) is sent to the Customs Office of Exit for Transit informing that there is an anticipated exit for record (AXR).

In case Offices of Transit are involved into the transit movement a notification IE (IE050) is sent to these Offices. Moreover, a notification IE (IE001) is sent to the Office of Destination.

Finally, a notification IE (IE029) is sent to the Holder of the Transit Procedure to inform him/her that the movement can start its journey towards the Office of Destination.

The timers 'Awaiting Receipt of Arrival Advice', 'Awaiting Receipt of Control Results' and 'Recovery recommended' are also started in order for the normal execution of the Transit movement to be supervised.

5.16.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-02-02
Full Name	Issue Transit Transaction Data
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.263: Process Details

5.16.3. Tasks and Events

Task Register Dates Of Expected Arrival and Destination Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Dates Of Expected Arrival and Destination Control Results
Task Type	User task
Description	When Transaction data is to be issued, the three following dates are defined in the appropriate way: <ul style="list-style-type: none"> The expected arrival date of the movement at the Office of Destination (time allowed for the movement) is based only on the countries of departure and destination. If no arrival advice has arrived by the date fixed, an enquiry will have to be started.

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	<ul style="list-style-type: none"> • The date when the control results are expected to be returned from the Customs Office of Destination. This date is the expected arrival date plus a fixed number of days commonly defined by the national administrations (6 calendar days). If no control result is received by the date fixed, an enquiry will have to be started. • The expected arrival date of the movement at the Customs Office of Transit and the Customs Office of Exit for Transit. <p>Final situation: The expected arrival date of the movement at the Customs Office of Destination, the date when the control results are expected to be returned from the Customs Office of Destination and the expected arrival date of the movement at the Customs Office of Transit and the Customs Office of Exit for Transit are registered.</p>
Actor	Customs Officer
Input Data	Transit Operation
Output Data	Control Results Expected Arrival Date Expected Arrival Date of the Movement at the Offices of Transit Transit Operation Arrival Date of the Movement at the Office of Destination
Previous Objects	Guarantee Usage Is Registered
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.264: Task Register Dates Of Expected Arrival and Destination Control Results

Event Guarantee Usage Is Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Usage Is Registered
Event Type	Conditional start event
Description	The usage of a guarantee of all types to cover a Transit movement is registered. The 'Guarantee Usage is Registered' is an end event in process L4-TRA-02-03-Register Guarantee Usage. When the processing of L4-TRA-02-03 is finished then the process L4-TRA-01-02-01-Evaluate Guarantee Registration Result starts with the aforementioned event (Guarantee Usage is Registered)
Appears also in the following	L4-TRA-01-02-01-Evaluate Guarantee Registration Result

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processes	L4-TRA-02-03-Register Guarantee Usage
Previous Objects	

Table 5.265: Event Guarantee Usage Is Registered

Task Record Dates Of Expected Arrival and Destination Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Dates Of Expected Arrival and Destination Control Results
Task Type	Service task
Description	When the expected arrival date of the movement at the Customs Office of Destination, the date when the control results are expected to be returned from the Customs Office of Destination and the expected arrival date of the movement at the Customs Office of Transit and Customs Office of Exit for Transit are registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Transit Operation Control Results Expected Arrival Date Expected Arrival Date of the Movement at the Offices of Transit Arrival Date of the Movement at the Office of Destination
Output Data	Arrival Date of the Movement at the Office of Destination Transit Operation Expected Arrival Date of the Movement at the Offices of Transit Control Results Expected Arrival Date
Previous Objects	Register Dates Of Expected Arrival and Destination Control Results
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Guarantee Usage Is Registered
State	Accepted

Table 5.266: Task Record Dates Of Expected Arrival and Destination Control Results

Task Release Goods For Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Release Goods For Transit
Task Type	Service task
Description	The transit transaction is issued. At the request of the Holder of the procedure the NCTS Accompanying Document is printed and provided; the movement may go to destination, the state of the Transit Operation is set to 'Movement released'.
Actor	NCTS
Input Data	Transit Operation Expected Arrival Date of the Movement at the Offices of Transit Arrival Date of the Movement at the Office of Destination Control Results Expected Arrival Date
Output Data	Transit Operation Release For Transit Date
Previous Objects	Record Dates Of Expected Arrival and Destination Control Results
Information Exchange	
Requirements	TRA_FUR_0037
Data Set	Transit Operation
Events	Guarantee Usage Is Registered
State	Movement Released

Table 5.267: Task Release Goods For Transit

Task Notify Holder Of Transit Procedure Of Release For Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of Transit Procedure Of Release For Transit
Task Type	Send task
Description	When the Goods are released for Transit, a notification IE will automatically be sent to Holder Of Transit Procedure informing that the Goods are released.
Actor	NCTS
Input Data	E_REL_TRA
Output Data	
Previous Objects	Release Goods For Transit

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Information Exchange	Release For Transit
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.268: Task Notify Holder Of Transit Procedure Of Release For Transit

Task Identify If Transit Follows Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Transit Follows Export
Task Type	Service task
Description	<p>The System will automatically check if Transit follows Export. If that's the case and Internal Transit is involved, the "Destination control results to AES" message (IE042) needs to be sent to AES.</p> <p>Final situation:</p> <p>The result is positive: Transit follows Export.</p> <p>The result is negative: Transit does not follow Export.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results
Input Data	MRN C_AAR_SND
Output Data	Result Identify If Transit Follows Export
Previous Objects	Release Goods For Transit
Information Exchange	
Requirements	TRA_FUR_0189 TRA_FUR_0148 TRA_FUR_0190
Data Set	
Events	
State	

Table 5.269: Task Identify If Transit Follows Export

Task Identify If Customs Offices Of Transit Are Declared

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Customs Offices Of Transit Are Declared
Task Type	Service task
Description	When the timers for "Awaiting Receipt of Arrival Advice or Control Results" are started, the System will automatically check if potentially Customs Office(s) of Transit is/are declared. Final situation: The result is positive: Customs Office(s) of Transit is/are declared. The result is negative: There is no Customs Office of Transit declared.
Actor	NCTS
Input Data	MRN Intended offices of transit (and country)
Output Data	Result Identify If Offices Of Transit Are Declared
Previous Objects	Release Goods For Transit
Information Exchange	
Requirements	TRA_FUR_0079
Data Set	
Events	
State	

Table 5.270: Task Identify If Customs Offices Of Transit Are Declared

Task Notify Destination Control Results To AES

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Destination Control Results To AES
Task Type	Send task
Description	When Internal Transit follows Export, a notification task sends the "Destination Control Results to AES " (IE042) message informing AES that Transit Destination Control Results (Type A or B) are available.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results
Input Data	Export Operation Result Identify If Transit Follows Export C_DES_CON N_XFT_REQ Transit Operation

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Output Data	
Previous Objects	Internal Transit Follows Export?
Information Exchange	Destination Control Results To AES
Requirements	TRA_FUR_0149
Data Set	
Events	
State	

Table 5.271: Task Notify Destination Control Results To AES

Task Notify Office Of Transit Of Anticipated Transit Record

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Office Of Transit Of Anticipated Transit Record
Task Type	Send task
Description	When Customs Office of Transit is declared, a notification IE will automatically be sent to each Customs Office of Transit informing that there is an anticipated transit record (ATR).
Actor	NCTS
Input Data	C_ATR_SND
Output Data	
Previous Objects	Are Offices Of Transit Declared?
Information Exchange	Anticipated Transit Record
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.272: Task Notify Office Of Transit Of Anticipated Transit Record

Task Identify If Customs Office Of Exit For Transit Is Involved

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Customs Office Of Exit For Transit Is Involved

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Task Type	Service task
Description	When needed, the System will automatically check if Customs Office of Exit for Transit is involved. Final situation: The result is positive: Customs Office of Exit for Transit is involved. The result is negative: Customs Office of Exit for Transit is not involved.
Actor	NCTS
Input Data	Customs office of exit MRN
Output Data	Result Identify If Office Of Exit Is Involved
Previous Objects	Release Goods For Transit
Information Exchange	
Requirements	TRA_FUR_0150
Data Set	
Events	
State	

Table 5.273: Task Identify If Customs Office Of Exit For Transit Is Involved

Task Notify Customs Office Of Destination Of Anticipated Arrival Record

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Destination Of Anticipated Arrival Record
Task Type	Send task
Description	When the Goods are released for Transit, a notification IE will automatically be sent to Customs Office of Destination informing that there is an anticipated arrival record (AAR).
Actor	NCTS
Input Data	C_AAR_SND
Output Data	
Previous Objects	Release Goods For Transit
Information Exchange	Anticipated Arrival Record
Requirements	TRA_FUR_0023

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Data Set	
Events	
State	

Table 5.274: Task Notify Customs Office Of Destination Of Anticipated Arrival Record

Task Notify Customs Office Of Exit For Transit Of Anticipated Exit For Transit Record

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit For Transit Of Anticipated Exit For Transit Record
Task Type	Send task
Description	When Customs Office of Exit for Transit is declared, a notification IE will automatically be sent to each Customs Office of Exit for Transit informing that there is an anticipated exit for transit record (AXR).
Actor	NCTS
Input Data	C_AXR_SND
Output Data	
Previous Objects	Customs Office of Exit For Transit is Involved?
Information Exchange	Anticipated Exit For Transit Record
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.275: Task Notify Customs Office Of Exit For Transit Of Anticipated Exit For Transit Record

Task Start Time Limit Awaiting Receipt Of Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Time Limit Awaiting Receipt Of Arrival Advice
Task Type	Service task
Description	When the goods are released for Transit, the time limit to receive the Customs of Departure the Arrival Advice is automatically started. Final situation: the timer Awaiting of Receipt of Arrival Advice is started.
Actor	NCTS
Input Data	Receipt Of Arrival Advice Default Time Limit Arrival Date of the Movement at the Office of Destination MRN

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Output Data	Arrival Date of the Movement at the Office of Destination Receipt Of Arrival Advice Default Time Limit
Previous Objects	Notify Customs Office Of Exit For Transit Of Anticipated Exit For Transit Record Customs Office of Exit For Transit is Involved? Notify Customs Office Of Destination Of Anticipated Arrival Record Are Offices Of Transit Declared? Notify Office Of Transit Of Anticipated Transit Record Notify Holder Of Transit Procedure Of Release For Transit Notify Destination Control Results To AES Internal Transit Follows Export?
Information Exchange	
Requirements	TRA_FUR_0046
Data Set	
Events	
State	

Table 5.276: Task Start Time Limit Awaiting Receipt Of Arrival Advice

Task Start Timer Awaiting Receipt Of Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Timer Awaiting Receipt Of Control Results
Task Type	Service task
Description	When the goods are released for Transit, the time limit the Customs of Departure to receive the control results is automatically started. Final situation: the timer 'Awaiting receipt of control results' is started.
Actor	NCTS
Input Data	Control Results Expected Arrival Date MRN Receipt of Control Results Default Time Limit
Output Data	Control Results Expected Arrival Date Receipt of Control Results Default Time Limit
Previous Objects	Start Time Limit Awaiting Receipt Of Arrival Advice
Information Exchange	
Requirements	TRA_FUR_0152

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Data Set	
Events	
State	

Table 5.277: Task Start Timer Awaiting Receipt Of Control Results

Task Start Timer Recovery Recommended

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Timer Recovery Recommended
Task Type	Service task
Description	When the goods are released for Transit, the time limit to recommend recovery is automatically started. Final situation: the timer Recovery Recommended is started.
Actor	NCTS
Input Data	MRN Recovery Recommended Start Date Recovery Recommended Default Time Limit
Output Data	Recovery Recommended Expiry Date Recovery Recommended Start Date
Previous Objects	Start Timer Awaiting Receipt Of Control Results
Information Exchange	
Requirements	TRA_FUR_0061
Data Set	
Events	
State	

Table 5.278: Task Start Timer Recovery Recommended

Event Release For Transit Communicated To Relevant Actors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Release For Transit Communicated To Relevant Actors
Event Type	End event
Description	The process ends with the decision to release of the goods for transit, by communicating a "Release For Transit" (IE029) message to the Holder of the Transit Procedure.
Previous Objects	Start Timer Recovery Recommended

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Table 5.279: Event Release For Transit Communicated To Relevant Actors

5.17. Process L4-TRA-01-02-03-Handle Advanced Transit Notification

5.17.1. Objective of Process

When an Anticipated Transit Record is received at the declared Office(s) of Transit, each Customs Office of Transit executes an automatic risk analysis on the received anticipated transit record. If risk analysis results are communicated by the Customs Office of Departure, the results shall be recorded.

The risk analysis results should influence the Customs' decision to control the consignment or not when it will be presented.

Once the anticipated transit record has passed through the automatic risk analysis, the Customs Office of Transit submits the findings to a preliminary movement follow-up; i.e. the Customs Officer has the possibility to update, or not to update the decision to control proposed by the system, to add practical information, such as who is the Customs Officer in charge of this movement or what is the estimated arrival date.

Final situation :

Customs Office of Transit is ready to receive the consignment.

5.17.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-02-03
Full Name	Handle Advanced Transit Notification
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee L3-TRA-01-14-Formalities at Office of Transit
Existing Specifications	DDNTA v5.13

Table 5.280: Process Details

5.17.3. Tasks and Events

Task Record Anticipated Transit Record

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Anticipated Transit Record
Task Type	Service task
Description	When the Anticipated Transit Record is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.

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Actor	NCTS
Input Data	C_ATR_SND
Output Data	Transit Operation C_ATR_SND
Previous Objects	Anticipated Transit Record Received
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Anticipated Transit Record Received
State	ATR Created

Table 5.281: Task Record Anticipated Transit Record

Event Anticipated Transit Record Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Anticipated Transit Record Received
Event Type	Conditional start event
Description	This process starts upon the reception of the Anticipated Transit Record by the Customs Office Of Transit.
Previous Objects	

Table 5.282: Event Anticipated Transit Record Received

Task Request And Record Risk Analysis For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request And Record Risk Analysis For NCTS
Task Type	Subprocess (collapsed)
Description	Request and Record of Risk analysis starts when risk analysis is to be triggered by the Customs Office of Transit (usually based on the information provided from the Customs Office of Departure) the process starts. There are 2 parallel flows: - national risk analysis system performs the analysis and records the risk analysis results in the NCTS transit declaration. - As well, if Safety and Security Risk analysis is to be performed, the Risk Analysis system performs the analysis and records the results in the NCTS

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	transit declaration.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-04-01-Capture MRN At Customs Office Of Transit L4-TRA-01-02-07-Handle Advanced Exit For Transit Notification
Input Data	
Output Data	
Previous Objects	Record Anticipated Transit Record
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.283: Task Request And Record Risk Analysis For NCTS

Task Register Additional Information On Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Additional Information On Control Decision
Task Type	User task
Description	<p>When automatic Risk Analysis is completed, the Customs Officer submits the findings to a preliminary movement follow-up; i.e. the Customs Officer has the option to update, or not to update the decision to control proposed by the system.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The Customs Officer does not update the decision to control proposed by the system. - The Customs Officer updates the decision to control proposed by the system.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-02-04-Handle Advanced Arrival Notification L4-TRA-01-02-07-Handle Advanced Exit For Transit Notification
Input Data	Risk Analysis Control Result MRN
Output Data	Control Result

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Previous Objects	Request And Record Risk Analysis For NCTS
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.284: Task Register Additional Information On Control Decision

Task Record Additional Information On Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Additional Information On Control Decision
Task Type	Service task
Description	When additional information on control decision is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-02-07-Handle Advanced Exit For Transit Notification
Input Data	MRN Control Result
Output Data	Control Result
Previous Objects	Register Additional Information On Control Decision
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.285: Task Record Additional Information On Control Decision

Event Additional Information On Control Decision For Transit Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Additional Information On Control Decision For Transit Recorded

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Event Type	End event
Description	The process ends at the Customs Office of Transit when the Risk Analysis is completed and control decision is recorded.
Previous Objects	Record Additional Information On Control Decision

Table 5.286: Event Additional Information On Control Decision For Transit Recorded

5.18. Process L4-TRA-01-02-04-Handle Advanced Arrival Notification

5.18.1. Objective of Process

When an IE001 is received at the declared Customs Office of Destination, the Customs Office of Destination executes an automatic risk analysis on the received anticipated arrival record. If risk analysis results are communicated by the Customs Office of Departure, the results shall be recorded.
Control decision shall be based on the risk analysis result.

Final situation :

Customs Office of Destination is ready to receive the consignment.

5.18.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-02-04
Full Name	Handle Advanced Arrival Notification
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.287: Process Details

5.18.3. Tasks and Events

Task Record Anticipated Arrival Record

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Anticipated Arrival Record
Task Type	Service task
Description	When Anticipated Arrival Record "IE001" is received from Customs Office of Destination, the data elements following this registration are automatically recorded in the System.

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Actor	NCTS
Input Data	
Output Data	C_AAR_SND Transit Operation
Previous Objects	Anticipated Arrival Record Received
Information Exchange	Anticipated Arrival Record
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Anticipated Arrival Record Received
State	AAR Created

Table 5.288: Task Record Anticipated Arrival Record

Event Anticipated Arrival Record Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Anticipated Arrival Record Received
Event Type	Conditional start event
Description	The process starts when an "Anticipated Arrival Record" (IE001) message is received at the Declared Customs Office Of Destination. The start event triggers an automatic risk analysis followed by registration and recording of additional information on the Control Decision.
Previous Objects	

Table 5.289: Event Anticipated Arrival Record Received

Task Request And Record Risk Analysis For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request And Record Risk Analysis For NCTS
Task Type	Subprocess (collapsed)
Description	Request and Record of Risk analysis starts when risk analysis is to be triggered by the Customs Office of Destination on the received anticipated arrival record. If risk analysis results are communicated by the Customs Office of Departure, the results shall be recorded.
Actor	NCTS
Input Data	

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Output Data	
Previous Objects	Record Anticipated Arrival Record
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.290: Task Request And Record Risk Analysis For NCTS

Task Register Additional Information On Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Additional Information On Control Decision
Task Type	User task
Description	<p>When automatic Risk Analysis is completed, the Customs Officer submits the findings to a preliminary movement follow-up; i.e. the Customs Officer has the option to update, or not to update the decision to control proposed by the system.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The Customs Officer does not update the decision to control proposed by the system. - The Customs Officer updates the decision to control proposed by the system.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-02-07-Handle Advanced Exit For Transit Notification L4-TRA-01-02-03-Handle Advanced Transit Notification
Input Data	Risk Analysis Control Result MRN
Output Data	Control Result
Previous Objects	Request And Record Risk Analysis For NCTS
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.291: Task Register Additional Information On Control Decision

Task Record Additional Information On Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Additional Information On Control Decision
Task Type	Service task
Description	When additional information on control decision is registered, the data elements following this registration are automatically recorded in the System.
Actor	NCTS
Input Data	MRN Control Result
Output Data	Control Result
Previous Objects	Register Additional Information On Control Decision
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.292: Task Record Additional Information On Control Decision

Event Additional Information On Control Decision For AAR Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Additional Information On Control Decision For AAR Recorded
Event Type	End event
Description	The process ends after the completion of Risk Analysis and the recording of the control decision.
Previous Objects	Record Additional Information On Control Decision

Table 5.293: Event Additional Information On Control Decision For AAR Recorded

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PROCESS MODELS

5.19. Process L4-TRA-01-02-05-Handle Unsatisfactory Guarantee Result

5.19.1. Objective of Process

When after an invalidation of the declared guarantee(s), the Holder of the Transit procedure does not amend the guarantee in time (timer “Guarantee awaiting for amendment” expires), the system registers automatically the unsatisfactory control results in order to have a history log of the declaration. In addition, in case the Goods are not released, the System identifies if Transit follows Export and a notification IE will automatically be sent to AES informing that there is a no release for Transit. The system also notifies (IE051) the Holder of the Transit procedure that the goods are not released for Transit.

Final situation :

The goods are not released, the state of the Transit Operation is set to ‘Not released for Transit’.

5.19.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-02-05
Full Name	Handle Unsatisfactory Guarantee Result
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-16-Registration of Guarantee
Existing Specifications	DDNTA v5.13

Table 5.294: Process Details

5.19.3. Tasks and Events

Task Record Time Limit -Guarantee Awaiting for Amendment- Expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Time Limit -Guarantee Awaiting for Amendment- Expired
Task Type	Service task
Description	When the Timer 'Guarantee Awaiting for Amendment' expiration is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Guarantee Amendment Start Date MRN Guarantee Amendment Expiry Date
Output Data	Guarantee Amendment Start Date Guarantee Amendment Expiry Date

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	Transit Operation
Previous Objects	Time Limit -Guarantee Awaiting for Amendment- Expired
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Time Limit -Guarantee Awaiting for Amendment- Expired
State	Not Released for Transit

Table 5.295: Task Record Time Limit -Guarantee Awaiting for Amendment- Expired

Event Time Limit -Guarantee Awaiting for Amendment- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit -Guarantee Awaiting for Amendment- Expired
Event Type	Conditional start event
Description	This process is initiated when the timer to receive acceptable guarantee details is expired from the process 'Process Departure-Release'.
Previous Objects	

Table 5.296: Event Time Limit -Guarantee Awaiting for Amendment- Expired

Task Notify Holder Of Transit Procedure For No Release For Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of Transit Procedure For No Release For Transit
Task Type	Send task
Description	<p>When the Holder Of Transit Procedure is to be notified of a No release for Transit, a notification IE051 will automatically be sent to the Holder Of Transit Procedure informing that the Transit cannot be released.</p> <p>The Customs Office of Departure notifies (IE051) the Holder Of Transit Procedure that the movement may not go to Destination, sanctions may be applied.</p>
Actor	NCTS
Appears also in the following processes	<p>L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure</p> <p>L4-TRA-01-01-06-Take Decision</p> <p>L4-TRA-01-01-04-Handle Results Of Control</p>

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PROCESS MODELS

Input Data	E_REL_NOT
Output Data	Transit Operation
Previous Objects	Record Time Limit -Guarantee Awaiting for Amendment- Expired
Information Exchange	No Release For Transit
Requirements	TRA_FUR_0022
Data Set	Transit Operation
Events	Receive Departure Control Results Final Decision Is Required
State	Not Released for Transit Under Release Request

Table 5.297: Task Notify Holder Of Transit Procedure For No Release For Transit

Task Identify If At Least One Export MRN Is Referenced In Transit Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If At Least One Export MRN Is Referenced In Transit Declaration
Task Type	Service task
Description	When the transit declaration is recorded, the System will automatically check if At Least One Export MRN Is Referenced In Transit Declaration. Final situation: The result is positive: At Least One Export MRN Is Referenced In Transit Declaration. The result is negative: No Export MRN Is Referenced In Transit Declaration.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-01-Processing Of Declaration L4-TRA-01-01-06-Take Decision L4-TRA-01-01-04-Handle Results Of Control
Input Data	E_DEC_DAT
Output Data	Result Of Export MRN Identification E_DEC_DAT
Previous Objects	Notify Holder Of Transit Procedure For No Release For Transit

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PROCESS MODELS

Information Exchange	
Requirements	TRA_FUR_0190 TRA_FUR_0188 TRA_FUR_0189
Data Set	
Events	
State	

Table 5.298: Task Identify If At Least One Export MRN Is Referenced In Transit Declaration

Task Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit Of Transit Invalidation Notification To AES
Task Type	Send task
Description	When the holder of the transit procedure does not provide an updated amendment declaration within the expected time limit, a notification IE040 will automatically be sent to the AES system informing of Invalidation Notification
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure L4-TRA-01-01-06-Take Decision L4-TRA-01-01-08-Handle Cancellation/Invalidation Request L4-TRA-01-01-04-Handle Results Of Control
Input Data	Result Of Export MRN Identification N_INV_TRA
Output Data	
Previous Objects	Is Export MRN Identified?
Information Exchange	Transit Invalidation Notification To AES
Requirements	TRA_FUR_0149
Data Set	
Events	
State	

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PROCESS MODELS

Table 5.299: Task Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

Event Holder Of Transit Procedure Notified Of No Release For Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Holder Of Transit Procedure Notified Of No Release For Transit
Event Type	End event
Description	The process ends at the Customs Office Of Departure when the Holder of the Transit procedure is notified of No Release for Transit.
Previous Objects	Is Export MRN Identified? Notify Customs Office Of Exit Of Transit Invalidation Notification To AES

Table 5.300: Event Holder Of Transit Procedure Notified Of No Release For Transit

5.20. Process L4-TRA-01-02-06-Handle Amendment Of The Declaration Guarantee Details

5.20.1. Objective of Process

This process handles amendment requests on the declaration guarantee details (IE013), when there is the indication that it concerns an amendment on the declaration guarantee details (and not on the entire declaration data).

The Customs Office of Departure receives a guarantee amendment within the agreed time limit, this means before the expiration of the ‘Guarantee awaiting for amendment’ timer.

When the guarantee amendment failed to pass the formal validation process performed by the system, or when the amendment request contains other data to amend than guarantee details, it is rejected. The Customs Office of Departure notifies (IE056) the Holder of the Transit procedure of the invalidation of the guarantee amendment with the justification.

When the formal validation process is successful, NCTS accepts the amended guarantee data and the processing continues with the check and the registration of the Guarantee. The timer “Guarantee awaiting for amendment” is stopped.

Final situation :

Either the guarantee amendment is valid, the Guarantee amendment is recorded and the timer is stopped, or the guarantee amendment is rejected and the Holder of the Transit procedure is notified of the rejection.

5.20.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-02-06
Full Name	Handle Amendment Of The Declaration Guarantee Details
Assumptions	N/A
Constraints	N/A

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PROCESS MODELS

L3 Reference Models	L3-TRA-01-16-Registration of Guarantee
Existing Specifications	DDNTA v5.13

Table 5.301: Process Details

5.20.3. Tasks and Events

Task Perform Validation Of Declaration Amendment

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation Of Declaration Amendment
Task Type	Service task
Description	<p>When a Declaration Amendment is received, it must be automatically validated.</p> <p>Syntactic checks should include, at minimum:</p> <ul style="list-style-type: none"> - Data compliance to its associated Rules & Conditions. <p>Final situation:</p> <p>The result is positive: the IE has been proven to be valid and the process will continue.</p> <p>The result is negative: the IE has not been proven to be valid and it is rejected.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-01-Amend Transit Declaration Having Export As Previous Procedure
Input Data	
Output Data	Result Identify if Guarantee Amendment is Valid Transit Operation
Previous Objects	Declaration Amendment Is Received
Information Exchange	Declaration Amendment
Requirements	TRA_FUR_0001 TRA_FUR_0142
Data Set	Transit Operation
Events	Declaration Amendment Is Accepted
State	Pending Response From AES

Table 5.302: Task Perform Validation Of Declaration Amendment

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Event Declaration Amendment Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Amendment Is Received
Event Type	Conditional start event
Description	<p>The Customs Office of Departure receives (IE013) a guarantee amendment within the agreed time limit, this means before the expiration of the 'Guarantee awaiting for amendment' timer.</p> <p>This process handles amendment requests on the declaration guarantee details (IE013), this means that the amendment flag (IE013.Amendment type flag) indicates that it concerns an amendment on the declaration guarantee details (and not on the entire declaration data). The amendment request (IE013) transports only the values of the guarantee.</p>
Previous Objects	

Table 5.303: Event Declaration Amendment Is Received

Task Record Guarantee Amendment

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Guarantee Amendment
Task Type	Service task
Description	When the Guarantee Amendment positive validity is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Amendment Acceptance Date E_DEC_AMD Date of Amendment
Output Data	Amendment Acceptance Date Transit Operation E_DEC_AMD Date of Amendment
Previous Objects	Is the Guarantee Amendment Valid?
Information Exchange	
Requirements	TRA_FUR_0157 TRA_FUR_0009
Data Set	Transit Operation
Events	Declaration Amendment Is Received

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PROCESS MODELS

State	Guarantee under Registration
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Table 5.304: Task Record Guarantee Amendment

Task Notify The Holder Of The Transit Procedure Of Rejection From Office Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify The Holder Of The Transit Procedure Of Rejection From Office Of Departure
Task Type	Send task
Description	When the Guarantee has been proven not to be valid, a notification IE will automatically be sent to the Holder Of The Transit Procedure informing that the Guarantee Amendment is rejected.
Actor	NCTS
Input Data	Result Identify if Guarantee Amendment is Valid Transit Operation
Output Data	Transit Operation
Previous Objects	Is the Guarantee Amendment Valid?
Information Exchange	Rejection From Office Of Departure
Requirements	TRA_FUR_0022
Data Set	Transit Operation
Events	Declaration Amendment Is Received
State	Guarantee under Amendment

Table 5.305: Task Notify The Holder Of The Transit Procedure Of Rejection From Office Of Departure

Task Stop Time Limit -Guarantee Awaiting For Amendment-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Guarantee Awaiting For Amendment-
Task Type	Service task
Description	When the Guarantee Amendment is recorded, the system automatically stops the timer “Guarantee awaiting for amendment”. In L4-TRA-01-01-08 BPM, the timer Guarantee awaiting for amendment shall stop if the Invalidation Decision is positive.

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	Final situation: The timer to wait for Guarantee amendment is stopped.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	MRN Guarantee Amendment Record Date Guarantee Amendment Expiry Date Guarantee Amendment Start Date
Output Data	Expired Calendar Days of the Time Limit 'Guarantee Awaiting for Amendment' Guarantee Amendment End Date
Previous Objects	Record Guarantee Amendment
Information Exchange	
Requirements	TRA_FUR_0140 TRA_FUR_0048
Data Set	
Events	
State	

Table 5.306: Task Stop Time Limit -Guarantee Awaiting For Amendment-

Event Guarantee Amendment Is Accepted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Amendment Is Accepted
Event Type	End event
Description	The guarantee amendment is valid, the state of the Transit Operation is set to 'Guarantee under registration'. The process ends.
Previous Objects	Stop Time Limit -Guarantee Awaiting For Amendment-

Table 5.307: Event Guarantee Amendment Is Accepted

Event Guarantee Amendment Is Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Amendment Is Rejected
Event Type	End event

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Description	The guarantee amendment is rejected, the state of the Transit Operation stays 'Guarantee under amendment'. The process ends.
Previous Objects	Notify The Holder Of The Transit Procedure Of Rejection From Office Of Departure

Table 5.308: Event Guarantee Amendment Is Rejected

5.21. Process L4-TRA-01-02-07-Handle Advanced Exit For Transit Notification

5.21.1. Objective of Process

The Declared Customs Office of Exit for Transit receives and stores (IE160), in advance, the movement description information of any movement in which it is declared as Customs Office of Exit for Transit [IE015. CUSTOMS OFFICE OF EXIT FOR TRANSIT (DECLARED)]. This office role is situated at the frontier of the EU Security Area and either allows or not the transit movement to leave the EU Security Area. Upon reception of the Anticipated Exit for Transit record (IE160), the Customs Office of Exit for Transit executes an automatic risk analysis on the received Anticipated Exit for Transit record.

After arrival of the movement at the Declared Office of Exit for Transit, a decision (whether to leave the EU Security Area or not) shall also be based on the risk analysis result from the previous risk assessment (upon reception of the IE160) and the new risk assessment after arrival of the movement.

NOTE: In case the movement is not allowed to leave the EU Security Area due to safety and security reasons, the Declared Office of Exit for Transit becomes Actual Office of Destination and the destination formalities will follow. In that case, the Actual Office of Destination records in NCTS the arrival of the movement.

5.21.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-02-07
Full Name	Handle Advanced Exit For Transit Notification
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.309: Process Details

5.21.3. Tasks and Events

Event Anticipated Exit For Transit Record Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Anticipated Exit For Transit Record Received

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Event Type	Start event
Description	This process starts upon the reception of an "Anticipated Exit for Transit Record" (IE160) which informs about the movement description information of any movement in which it is declared as Customs Office of Exit for Transit. This start event triggers the process of handling the Advanced Exit For Transit Notification and is followed by the recording of the Anticipated Record.
Previous Objects	

Table 5.310: Event Anticipated Exit For Transit Record Received

Task Record Anticipated Exit For Transit Record

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Anticipated Exit For Transit Record
Task Type	Service task
Description	When the Anticipated Record Exit For Transit Record is received, it is automatically recorded in NCTS.
Actor	NCTS
Input Data	C_EXT_TRA
Output Data	E_DEC_DAT C_EXT_TRA
Previous Objects	Anticipated Exit For Transit Record Received
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.311: Task Record Anticipated Exit For Transit Record

Event Goods Arrived At Customs Office Of Exit For Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Goods Arrived At Customs Office Of Exit For Transit
Event Type	Conditional intermediate event
Description	The consignment has physically arrived at the Customs Office Of Exit For Transit.

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

Previous Objects	Record Anticipated Exit For Transit Record
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Table 5.312: Event Goods Arrived At Customs Office Of Exit For Transit

Task Request And Record Risk Analysis For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request And Record Risk Analysis For NCTS
Task Type	Subprocess (collapsed)
Description	Request and Record of Risk analysis starts when risk analysis is to be triggered by the Customs Office of Transit (usually based on the information provided from the Customs Office of Departure) the process starts. There are 2 parallel flows: - national risk analysis system performs the analysis and records the risk analysis results in the NCTS transit declaration. - As well, if Safety and Security Risk analysis is to be performed, the Risk Analysis system performs the analysis and records the results in the NCTS transit declaration.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-04-01-Capture MRN At Customs Office Of Transit L4-TRA-01-02-03-Handle Advanced Transit Notification
Input Data	
Output Data	
Previous Objects	Goods Arrived At Customs Office Of Exit For Transit
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.313: Task Request And Record Risk Analysis For NCTS

Task Register Additional Information On Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Additional Information On Control Decision
Task Type	User task
Description	When automatic Risk Analysis is completed, the Customs Officer submits the findings to a preliminary movement follow-up; i.e. the Customs Officer has the option to update, or not to update the decision to control proposed by the system.

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	<p>Final situation:</p> <ul style="list-style-type: none"> - The Customs Officer does not update the decision to control proposed by the system. - The Customs Officer updates the decision to control proposed by the system.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-02-04-Handle Advanced Arrival Notification L4-TRA-01-02-03-Handle Advanced Transit Notification
Input Data	Risk Analysis Control Result MRN
Output Data	Control Result
Previous Objects	Request And Record Risk Analysis For NCTS
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.314: Task Register Additional Information On Control Decision

Task Record Additional Information On Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Additional Information On Control Decision
Task Type	Service task
Description	When additional information on control decision is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-02-03-Handle Advanced Transit Notification
Input Data	MRN Control Result
Output Data	Control Result

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Previous Objects	Register Additional Information On Control Decision
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.315: Task Record Additional Information On Control Decision

Event Additional Information On Control Decision For Exit For Transit Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Additional Information On Control Decision For Exit For Transit Recorded
Event Type	End event
Description	The process of Handling Advanced Exit For Transit Notification at the Customs Office of Exit for Transit ends when Additional Information On Control Decision For Exit For Transit is recorded.
Previous Objects	Record Additional Information On Control Decision

Table 5.316: Event Additional Information On Control Decision For Exit For Transit Recorded

5.22. Process L4-TRA-01-02-Process Departure - Release

5.22.1. Objective of Process

The process is initiated by the end event 'Guarantee is to be checked' from the process 'Process Departure - Acceptance and Controls' after the Transit Declaration is accepted. The Transit Declaration Guarantee is evaluated and it is accepted, the Goods are finally released for Transit and that Transit Transaction Data is issued where the anticipated Transit and Destination Offices are notified of the Transit movement data.

5.22.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-02
Full Name	Process Departure - Release
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee

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Existing Specifications	DDNTA v5.13
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Table 5.317: Process Details

5.22.3. Tasks and Events

Task Evaluate Guarantee Registration Result

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Evaluate Guarantee Registration Result
Task Type	Call activity (collapsed)
Description	<p>When one of the checks of the registration of the guarantee usage failed, the Holder of the Transit procedure is notified with a "Guarantee not Valid" (IE055) message that the declared guarantee is not valid.</p> <p>The Holder of the Transit procedure has the possibility to amend the invalid guarantee, so that the movement can still be released. A timer "Guarantee awaiting for amendment" is started to protect a non amendment of the guarantee.</p> <p>When all the checks of the registration of the guarantee usage are successful, then the movement is ready to be issued.</p> <p>The final result of this process will be either the guarantee is registered and the state of the Transit Operation is set to 'Guarantee registered', or</p> <p>The Holder of the Transit procedure has to amend the guarantee and the state of the Transit Operation is set to 'Guarantee under amendment'</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	<p>Guarantee Usage Is Registered</p> <p>Is Guarantee Amendment Accepted ?</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.318: Task Evaluate Guarantee Registration Result

Event Guarantee Usage Is Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Usage Is Registered
Event Type	Conditional start event
Description	The process starts after the results of the check and the registration of the

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	guarantees are available.
Previous Objects	

Table 5.319: Event Guarantee Usage Is Registered

Task Issue Transit Transaction Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Issue Transit Transaction Data
Task Type	Call activity (collapsed)
Description	When the data of the Transaction is to be issued, the process starts in order the key dates of the Transit Declaration to be defined and communicated to the anticipated Offices of Transit and Destination. The timers 'Awaiting Receipt of Arrival Advice' and 'Awaiting Receipt of Control Results' are also started in order for the normal execution of the Transit movement to be supervised.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Is Guarantee Accepted ?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.320: Task Issue Transit Transaction Data

Event Time Limit -Guarantee Awaiting For Amendment- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit -Guarantee Awaiting For Amendment- Expired
Event Type	Timer intermediate event
Description	The time limit “Guarantee awaiting for amendment” expired. No (acceptable) Guarantee Amendment was received in time.
Previous Objects	Is Guarantee Accepted ? Is Guarantee Amendment Accepted ?

Table 5.321: Event Time Limit -Guarantee Awaiting For Amendment- Expired

Event Receive Declaration Amendment

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Declaration Amendment
Event Type	Message intermediate event (catch)
Description	The Customs Office of Departure receives (IE013) a guarantee amendment within the agreed time limit, this means before the expiration of the 'Guarantee awaiting for amendment' timer. The amendment request (IE013) transports only the values of the guarantee.
Previous Objects	Premises Of The Trader At Departure Is Guarantee Accepted ? Is Guarantee Amendment Accepted ?

Table 5.322: Event Receive Declaration Amendment

Task Handle Unsatisfactory Guarantee Result

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Unsatisfactory Guarantee Result
Task Type	Call activity (collapsed)
Description	When after an invalidation of the declared guarantee(s), the Holder of the Transit procedure does not amend the guarantee in time (timer "Guarantee awaiting for amendment" expires), the system registers automatically the unsatisfactory control results in order to have a history log of the declaration. The system also notifies with a "No Release for Transit" (IE051) the Holder of the Transit procedure that the goods are not released for Transit. Final situation : The goods are not released and the state of the Transit Operation is set to 'Not released for Transit'.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Time Limit -Guarantee Awaiting For Amendment- Expired
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.323: Task Handle Unsatisfactory Guarantee Result

Event Goods Are Released For Transit

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Goods Are Released For Transit
Event Type	End event
Description	Release for transit is accepted. The Union/Common Transit movement may start and consignment go to the destination. In normal procedure, as per Article 41 of Appendix 1 of CTC, in case of CTC country, Customs Office of Departure has to provide printout of TAD to the Declarant.
Previous Objects	Issue Transit Transaction Data

Table 5.324: Event Goods Are Released For Transit

Event Goods Are Not Released For Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Goods Are Not Released For Transit
Event Type	End event
Description	Goods are Not Released for Transit. The process ends.
Appears also in the following processes	L4-TRA-01-01-06-Take Decision L4-TRA-01-01-04-Handle Results Of Control
Previous Objects	Handle Unsatisfactory Guarantee Result

Table 5.325: Event Goods Are Not Released For Transit

Task Handle Amendment Of The Declaration Guarantee Details

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Amendment Of The Declaration Guarantee Details
Task Type	Call activity (collapsed)
Description	<p>This process handles amendment requests on the declaration guarantee details "Declaration Amendment" (IE013), when there is the indication that it concerns an amendment on the declaration guarantee details (and not on the entire declaration data).</p> <p>The Customs Office of Departure receives a guarantee amendment within the agreed time limit, this means before the expiration of the 'Guarantee awaiting for amendment' timer.</p> <p>When the guarantee amendment failed to pass the formal validation process performed by the system, or when the amendment request contains other data to amend than guarantee details, it is rejected. The Customs Office of Departure notifies with a "Rejection from Office of Departure" (IE056) the Holder of the Transit procedure of the invalidation of the guarantee amendment with reasons.</p> <p>When the formal validation process is successful, NCTS accepts the</p>

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	<p>amended guarantee data and the processing continues with the check and the registration of the Guarantee. The timer “Guarantee awaiting for amendment” is stopped.</p> <p>Final situation :</p> <p>Either the guarantee amendment is valid, the Guarantee amendment is recorded and the timer is stopped, or</p> <p>the guarantee amendment is rejected and the Holder of the Transit procedure is notified of the rejection.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Declaration Amendment
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.326: Task Handle Amendment Of The Declaration Guarantee Details

Event Receive Anticipated Arrival Record

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Anticipated Arrival Record
Event Type	Message start event
Description	This process starts upon reception of the Anticipated Arrival Record (IE001) from the Customs Office of Departure.
Appears also in the following processes	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Previous Objects	Issue Transit Transaction Data

Table 5.327: Event Receive Anticipated Arrival Record

Task Handle Advanced Arrival Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Advanced Arrival Notification
Task Type	Call activity (collapsed)

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Description	<p>When an IE001 is received at the declared Customs Office of Destination, the Customs Office of Destination executes an automatic risk analysis on the received anticipated arrival record. If risk analysis results are communicated by the Customs Office of Departure, the results shall be recorded and either accepted or taken into account when carrying out own risk analysis.</p> <p>The risk analysis results should influence the Customs' decision to control the consignment or not when it will be presented.</p> <p>Once the anticipated arrival record has passed through the automatic risk analysis, the Customs Office of Destination submits the findings to a preliminary movement follow-up; i.e. the Customs Officer has the possibility to update, or not to update the decision to control proposed by the system, to add practical information, such as who is the Customs Officer in charge of this movement or what is the estimated arrival date.</p> <p>Final situation : Customs Office of Destination is ready to receive the consignment.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Anticipated Arrival Record
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.328: Task Handle Advanced Arrival Notification

Event Customs Office Of Destination Notified Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Customs Office Of Destination Notified Of Departure
Event Type	End event
Description	The declared Customs Office of Destination is notified of the departure and where relevant, also of the safety and security risk analysis results of a movement.
Previous Objects	Handle Advanced Arrival Notification

Table 5.329: Event Customs Office Of Destination Notified Of Departure

Event Receive Anticipated Transit Record

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Anticipated Transit Record
Event Type	Message start event
Description	The process starts after the Anticipated Transit Record is received from the Customs Office of Departure.
Appears also in the following processes	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Previous Objects	Issue Transit Transaction Data

Table 5.330: Event Receive Anticipated Transit Record

Task Handle Advanced Transit Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Advanced Transit Notification
Task Type	Call activity (collapsed)
Description	<p>When an IE050 is received at the declared Customs Office(s) of Transit, each Customs Office of Transit executes an automatic risk analysis on the received anticipated transit record. If risk analysis results are communicated by the Customs Office of Departure, the results shall be recorded and either accepted or taken into account when carrying out own risk analysis.</p> <p>The risk analysis results should influence the Customs' decision to control the consignment or not when it will be presented.</p> <p>Once the anticipated transit record has passed through the automatic risk analysis, the Customs Office of Transit submits the findings to a preliminary movement follow-up; i.e. the Customs Officer has the possibility to update, or not to update the decision to control proposed by the system, to add practical information, such as who is the Customs Officer in charge of this movement or what is the estimated arrival date.</p> <p>Final situation : Customs Office of Transit is ready to receive the consignment.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Anticipated Transit Record
Information Exchange	
Requirements	

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Data Set	
Events	
State	

Table 5.331: Task Handle Advanced Transit Notification

Event Office Of Transit Notified Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Office Of Transit Notified Of Departure
Event Type	End event
Description	The declared Customs Office(s) of Transit is (are) notified of the departure and where relevant, also of the safety and security risk analysis results of a movement.
Previous Objects	Handle Advanced Transit Notification

Table 5.332: Event Office Of Transit Notified Of Departure

Event Receive Anticipated Exit For Transit Record

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Anticipated Exit For Transit Record
Event Type	Message start event
Description	This process starts upon reception of the Anticipated Exit for Transit Record (IE160) from the Customs Office of Departure.
Appears also in the following processes	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Previous Objects	Issue Transit Transaction Data

Table 5.333: Event Receive Anticipated Exit For Transit Record

Task Handle Advanced Exit For Transit Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Advanced Exit For Transit Notification
Task Type	Call activity (collapsed)
Description	The Declared Customs Office of Exit for Transit receives and stores an "Anticipated Exit for Transit Record" (IE160), in advance, the movement description information of any movement in which it is declared as Customs Office of Exit for Transit with a "Declaration Data" (IE015) message. CUSTOMS OFFICE OF EXIT FOR TRANSIT (DECLARED)]. This office role is situated at the frontier of the EU Security Area and either

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	<p>allows or not the transit movement to leave the EU Security Area.</p> <p>Upon reception of the "Anticipated Exit for Transit Record" (IE160), the Customs Office of Exit for Transit executes an automatic risk analysis on the received Anticipated Exit for Transit record.</p> <p>After arrival of the movement at the Declared Office of Exit for Transit, a decision (whether to leave the EU Security Area or not) shall also be based on the risk analysis result from the previous risk assessment (upon reception of the IE160) and the new risk assessment after arrival of the movement.</p> <p>NOTE: In case the movement is not allowed to leave the EU Security Area due to safety and security reasons, the Declared Office of Exit for Transit becomes Actual Office of Destination and the destination formalities will follow. In that case, the Actual Office of Destination records in NCTS the arrival of the movement.</p> <p>Final situation :</p> <p>The Customs Office of Exit for Transit expects the consignment; the state of the Transit Operation is set to 'AXR Created'.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Anticipated Exit For Transit Record
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.334: Task Handle Advanced Exit For Transit Notification

Event Customs Office Of Exit For Transit Notified Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Customs Office Of Exit For Transit Notified Of Departure
Event Type	End event
Description	The process ends at the "Customs Office Of Exit for Transit" where the office is notified of the departure where relevant, also of the safety and security risk analysis results of a movement.
Previous Objects	Handle Advanced Exit For Transit Notification

Table 5.335: Event Customs Office Of Exit For Transit Notified Of Departure

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5.23. Process L4-TRA-01-03-01-Process Arrival Notification

5.23.1. Objective of Process

When an IE007 Arrival notification is received by the Actual Customs Office of Destination or Customs Office of Incident Registration is considered to be the Actual Customs Office of Destination or Movement Information is received or the movement has arrived at Actual Customs Office of Destination, then the process is initiated. In case an IE007 is received, the office performs validation of the information received and accepts or rejects, based on the validation results. If rejected, it informs the Trader at destination by sending IE057.

Otherwise, the system requests the movement information and if the information is not already known then it requests the information from the Customs Office of Departure.

If the movement information is known then it identifies whether the movement is under the simplified procedure. If so, the system automatically starts the timer to wait for automatic unloading permission, informs the Customs Office of Destination that the Arrival is recorded and performs Risk Analysis.

The final states of this process are:

- Arrival Notification Accepted
- AAR is Requested

5.23.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-03-01
Full Name	Process Arrival Notification
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee L3-TRA-01-03-Incidents En Route
Existing Specifications	DDNTA v5.13

Table 5.336: Process Details

5.23.3. Tasks and Events

Event Movement Information Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Is Received
Event Type	Conditional start event
Description	The movement information is received and the process 'Process Arrival ' is triggered. The current process ends.
Appears also in the following	L4-TRA-01-03-04-Process Arrival - Handle AAR Response

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processes	L4-TRA-01-03-Process Arrival
Previous Objects	

Table 5.337: Event Movement Information Is Received

Event Movement Is Stopped And Customs Office Of Incident Registration Is Actual Customs Office Of Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Is Stopped And Customs Office Of Incident Registration Is Actual Customs Office Of Destination
Event Type	Conditional start event
Description	The Customs Office of the Incident Registration is considered to be the actual Customs Office of destination in case when Transit cannot continue. The process 'Process Arrival Notification' is triggered and the current process ends.
Appears also in the following processes	L4-TRA-01-12-Process Incidents En Route
Previous Objects	

Table 5.338: Event Movement Is Stopped And Customs Office Of Incident Registration Is Actual Customs Office Of Destination

Event Arrival Notification Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival Notification Is Received
Event Type	Start event
Description	NCTS at the Actual Customs Office of Destination receives an Arrival Notification from the Trader at Destination.
Previous Objects	

Table 5.339: Event Arrival Notification Is Received

Task Perform Validation Of Arrival Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation Of Arrival Notification
Task Type	Service task
Description	When an Arrival Notification (IE007) is received, it must be automatically validated. Syntactic checks should include, at minimum: - Data compliance to its associated Rules & Conditions. Final situation:

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	<p>The result is positive: the IE has been proven to be valid and the process will continue.</p> <p>The result is negative: the IE has not been proven to be valid and the Arrival notification is to be rejected.</p>
Actor	NCTS
Input Data	<p>Consignment</p> <p>Transit Operation</p> <p>MRN</p> <p>Customs Office Presentation Office</p> <p>Trader At Destination</p>
Output Data	Result Check If Arrival Notification Is Valid
Previous Objects	<p>Arrival Notification Is Received</p> <p>Arrival At Actual Office Of Destination</p>
Information Exchange	Arrival Notification
Requirements	<p>TRA_FUR_0082</p> <p>TRA_FUR_0077</p> <p>TRA_FUR_0012</p>
Data Set	
Events	
State	

Table 5.340: Task Perform Validation Of Arrival Notification

Event Arrival At Actual Office Of Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival At Actual Office Of Destination
Event Type	Conditional start event
Description	The movement has arrived at Actual Customs Office of Destination.
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Previous Objects	

Table 5.341: Event Arrival At Actual Office Of Destination

Task Record Arrival Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Arrival Notification

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Task Type	Service task
Description	When a IE007 has been proven to be valid is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	E_ARR_NOT
Output Data	Arrival Notification Date E_ARR_NOT
Previous Objects	Is Arrival Notification Valid?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.342: Task Record Arrival Notification

Task Notify Trader at Destination of Rejection from Office of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Trader at Destination of Rejection from Office of Destination
Task Type	Send task
Description	When a negative IE003 is received (the data element "Request rejection reason code" is present), the Actual Office of Destination notifies the Trader at Destination with the "Rejection from Office of Destination" message (IE057).
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-04-Process Arrival - Handle AAR Response
Input Data	E_ARR_REJ
Output Data	
Previous Objects	Is Arrival Notification Valid?
Information Exchange	Rejection From Office Of Destination
Requirements	TRA_FUR_0022

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Data Set	
Events	
State	

Table 5.343: Task Notify Trader at Destination of Rejection from Office of Destination

Task Identify If Movement Information Is Available

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Movement Information Is Available
Task Type	Service task
Description	When IE007 is recorded or Customs Office of Incident Registration is considered to be the Actual Customs Office of Destination, the System will automatically check if movement information is available. Final situation: The result is positive: Movement information is available. The result is negative: Movement information is not available.
Actor	NCTS
Input Data	MRN
Output Data	Result Identify If Movement Information Is Available
Previous Objects	Movement Is Stopped And Customs Office Of Incident Registration Is Actual Customs Office Of Destination Record Arrival Notification
Information Exchange	
Requirements	TRA_FUR_0080
Data Set	
Events	
State	

Table 5.344: Task Identify If Movement Information Is Available

Event Arrival Notification Is Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival Notification Is Rejected
Event Type	End event
Description	The Trader is notified of the fact that the presentation is not valid.
Appears also in the following processes	L4-TRA-01-03-Process Arrival

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Previous Objects	Notify Trader at Destination of Rejection from Office of Destination
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Table 5.345: Event Arrival Notification Is Rejected

Task Record Arrival

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Arrival
Task Type	Service task
Description	When the movement information is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	C_AAR_SND C_AAR_RSP E_ARR_NOT
Output Data	E_ARR_NOT C_AAR_SND C_AAR_RSP Transit Operation
Previous Objects	Is Movement Information Available? Movement Information Is Received
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Positive AAR Response Is Received and Arrival Advice Is Received
State	Arrival Accepted

Table 5.346: Task Record Arrival

Task Notify Office Of Departure Of Anticipated Arrival Record Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Office Of Departure Of Anticipated Arrival Record Request
Task Type	Send task
Description	When the movement information is not available, a notification IE002 will automatically be sent to the Customs Office of Departure informing that the

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	<p>Movement information relevant to the provided MRN is not available.</p> <p>The state of the Transit Operation is set to 'AAR Requested'.</p>
Actor	NCTS
Input Data	Customs Office Presentation Office Transit Operation
Output Data	Transit Operation
Previous Objects	Is Movement Information Available?
Information Exchange	AAR Request
Requirements	TRA_FUR_0023
Data Set	Transit Operation
Events	Arrival Notification Is Received
State	AAR Requested

Table 5.347: Task Notify Office Of Departure Of Anticipated Arrival Record Request

Task Request And Record Risk Analysis For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request And Record Risk Analysis For NCTS
Task Type	Subprocess (collapsed)
Description	<p>An analysis of risk is done at this stage. NCTS triggers the risk analysis process. Data received is sent to the national risk analysis system and is processed against risk rules (including common risk criteria and standards where applicable). Risk analysis returns the risk analysis result code together with the control recommendation. Risk analysis results, control recommendation and control results communicated by the Customs Office of Departure can be taken into account</p> <p>NCTS records the results of the risk analysis.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Record Arrival
Information Exchange	

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Requirements	
Data Set	
Events	
State	

Table 5.348: Task Request And Record Risk Analysis For NCTS

Event AAR Is Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	AAR Is Requested
Event Type	End event
Description	The Customs Office of Departure is requested of the Anticipated Arrival Record. The process 'Process Arrival- Handle AAR Request' is triggered and the current process ends.
Previous Objects	Notify Office Of Departure Of Anticipated Arrival Record Request

Table 5.349: Event AAR Is Requested

Task Identify If Simplifications For Authorised Consignee Apply

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Simplifications For Authorised Consignee Apply
Task Type	Service task
Description	When needed, the System will automatically check if Simplifications for Authorised Consignee apply. Final situation: The result is positive: Simplifications for Authorised Consignee apply. The result is negative: Simplifications for Authorised Consignee do not apply.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Input Data	MRN Simplified Procedure Flag
Output Data	Result Identify If Simplifications For Authorised Consignee Apply
Previous Objects	Request And Record Risk Analysis For NCTS
Information Exchange	
Requirements	TRA_FUR_0134

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Data Set	
Events	
State	

Table 5.350: Task Identify If Simplifications For Authorised Consignee Apply

Task Identify If Transit Movement Is Of High Risk

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Transit Movement Is Of High Risk
Task Type	Task
Description	When the risk analysis results for NCTS are recorded, then the System identifies whether the movement is categorised as high risk.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-01-Accept Declaration
Input Data	E_DEC_DAT Result Check If Movement is of High Risk
Output Data	Result Identify If Movement is of High Risk E_DEC_DAT
Previous Objects	Do Simplifications Apply For Authorised Consignee?
Information Exchange	
Requirements	TRA_FUR_0172
Data Set	
Events	
State	

Table 5.351: Task Identify If Transit Movement Is Of High Risk

Task Start Time Limit -Wait For Automatic Unloading Permission-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Time Limit -Wait For Automatic Unloading Permission-
Task Type	Service task
Description	When simplifications apply for authorised consignee, the time limit to wait for automatic unloading permission is started. This time limit is used to protect a non decision to control the goods that could be taken by the Customs Officer.

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

	Final situation: The timer to wait for automatic unloading permission is started.
Actor	NCTS
Input Data	Automatic Unloading Permission Start Date Automatic Unloading Permission Default Time Limit MRN
Output Data	Automatic Unloading Permission Start Date Automatic Unloading Permission Expiry Date
Previous Objects	Is Transit Movement Of High Risk?
Information Exchange	
Requirements	TRA_FUR_0032
Data Set	
Events	
State	

Table 5.352: Task Start Time Limit -Wait For Automatic Unloading Permission-

Event Register Decision For Control

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Register Decision For Control
Event Type	End event
Description	When the system identifies that the movement is considered of high risk, then a decision for control should be registered.
Previous Objects	Is Transit Movement Of High Risk?

Table 5.353: Event Register Decision For Control

Task Notify IE006 To Office Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify IE006 To Office Of Departure
Task Type	Send task
Description	When the Customs Office of Destination has to inform the Customs Office of Departure that a movement has arrived, a notification IE will automatically be sent to the Customs Office of Departure informing that the movement has arrived.

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Actor	NCTS
Input Data	Transit Operation Customs Office Presentation Office Customs Office Of Departure
Output Data	
Previous Objects	Do Simplifications Apply For Authorised Consignee? Start Time Limit -Wait For Automatic Unloading Permission-
Information Exchange	Arrival Advice
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.354: Task Notify IE006 To Office Of Departure

Event Arrival Accepted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival Accepted
Event Type	End event
Description	The Notification of Arrival is accepted. The process ends.
Previous Objects	Notify IE006 To Office Of Departure

Table 5.355: Event Arrival Accepted

5.24. Process L4-TRA-01-03-02-Process Arrival - Handle AAR Request

5.24.1. Objective of Process

The process is initiated by the end event of the process 'Process Arrival Notification', when the Anticipated Arrival Record is requested by the Customs Office of Destination. The Office of Departure records the request (IE002) and then checks if movement information is available or not. If the movement is not available, then a negative IE003 is sent to the Office of Destination.

If the movement is available, then a positive IE003 is sent to the Office of Destination. The Office of Departure then checks if incident information is available for the particular movement. If yes, the movement information is updated with the Incident information. The Customs Office of Departure finally notifies the Customs Office of Destination with an IE003 with the full information package available for the movement.

5.24.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
------------------------	-------------------------

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PROCESS MODELS

Process ID	L4-TRA-01-03-02
Full Name	Process Arrival - Handle AAR Request
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.356: Process Details

5.24.3. Tasks and Events

Task Record AAR Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record AAR Request
Task Type	Service task
Description	When an Anticipated Arrival Record Request "IE002" is received, the data elements following these receipts are automatically recorded in the System.
Actor	NCTS
Input Data	
Output Data	C_AAR_REQ
Previous Objects	AAR Requested
Information Exchange	AAR Request
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.357: Task Record AAR Request

Event AAR Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	AAR Requested

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

Event Type	Message start event
Description	The Country of Departure is asked (IE002) by the Customs Office of Destination to provide information about a movement. NCTS retrieves the movement information referenced by the MRN brought in the received information.
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Previous Objects	Customs Office Of Destination

Table 5.358: Event AAR Requested

Task Identify If Incident Information Is Available

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Incident Information Is Available
Task Type	Service task
Description	When needed, the System will automatically check if incident information is available. Final situation: The result is positive: Incident information is available. The result is negative: Incident information is not available.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-04-02-Provide Anticipated Transit Record Information L4-TRA-01-13-02-Provide Anticipated Exit For Transit Record Information
Input Data	Incident MRN
Output Data	Result Identify If Incident Information Is Available
Previous Objects	Record AAR Request
Information Exchange	
Requirements	TRA_FUR_0146
Data Set	
Events	
State	

Table 5.359: Task Identify If Incident Information Is Available

Task Update Movement Information With Incident Information

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Update Movement Information With Incident Information
Task Type	Service task
Description	The Transit movement information is updated with the Incident information.
Actor	NCTS
Input Data	C_AAR_RSP Incident
Output Data	C_AAR_RSP
Previous Objects	Is Incident Information Available?
Information Exchange	
Requirements	TRA_FUR_0147 TRA_FUR_0085
Data Set	
Events	
State	

Table 5.360: Task Update Movement Information With Incident Information

Task Notify Customs Office Of Destination Of AAR Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Destination Of AAR Response
Task Type	Send task
Description	When all relevant information of the movement is collected, a notification Anticipated Arrival Record Response "IE003" will automatically be sent to The Customs Office of Destination informing that the requested movement information is available.
Actor	NCTS
Input Data	C_AAR_RSP
Output Data	
Previous Objects	Is Incident Information Available? Update Movement Information With Incident Information
Information Exchange	AAR Response
Requirements	TRA_FUR_0023

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

Data Set	
Events	
State	

Table 5.361: Task Notify Customs Office Of Destination Of AAR Response

Event AAR Response Is Sent To Customs Office Of Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	AAR Response Is Sent To Customs Office Of Destination
Event Type	End event
Description	The movement information is sent to the Customs Office of Destination. The process ends.
Previous Objects	Notify Customs Office Of Destination Of AAR Response

Table 5.362: Event AAR Response Is Sent To Customs Office Of Destination

5.25. Process L4-TRA-01-03-03-Store and Forward Arrival Advice

5.25.1. Objective of Process

The process starts when the Customs Office of Departure is notified (IE006) about the arrival of the consignment at the Actual Customs Office of Destination. The Office of Departure record the arrival and assess whether there is diversion of the consignment.

Upon reception of the IE006, and in case there is diversion, the Office of Departure forwards the arrival advice (IE024) to the Declared Customs Office of Destination (in case of diversion at the Office of Destination) and/or to the Declared Offices of Transit (which have not notified that the consignment has crossed the frontier) and/or to the Declared Offices of Exit of Transit (which have not notified that the consignment has left the EU Security Area).

Moreover, in the case of diversion, NCTS notifies the Customs Officer that the movement has ended in Contracting Party(ies) other than the one declared in the initial declaration data so that the Customs Office of Departure can take, where necessary, measures to regularize the situation.

Lastly, in case of use of Guarantee codes '0' and '1', the Customs Office of Departure notifies the Customs office of Guarantee to credit the reference amount.

Final situation:

The Customs Office of Departure is notified of the arrival of the movement at destination; and the credit of the reference amount is asked for guarantee types '0' or '1'.

5.25.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-03-03

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PROCESS MODELS

Full Name	Store and Forward Arrival Advice
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.363: Process Details

5.25.3. Tasks and Events

Task Identify If There Is Diversion

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If There Is Diversion
Task Type	Service task
Description	When IE006 is recorded, then the System will automatically check if there is Diversion at the Customs Office of Exit for Transit or at the Customs Office of Transit or at the Customs Office of Destination. Final situation: The result is positive: There is Diversion. The result is negative: There is no Diversion.
Actor	NCTS
Input Data	C_ARR_ADV MRN C_AAR_SND
Output Data	Result Identify If There Is Diversion
Previous Objects	Record Arrival Advice
Information Exchange	
Requirements	TRA_FUR_0088
Data Set	
Events	
State	

Table 5.364: Task Identify If There Is Diversion

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PROCESS MODELS

Task Record Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Arrival Advice
Task Type	Service task
Description	When IE006 is registered, the data elements following this registration are automatically recorded into the System - linked to the MRN of the transit movement.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-04-Check If The Statuses Are Synchronised
Input Data	
Output Data	C_ARR_ADV Transit Operation
Previous Objects	Arrival Advice Is Received
Information Exchange	Arrival Advice
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Arrival Advice Is Received
State	Arrived

Table 5.365: Task Record Arrival Advice

Event Arrival Advice Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival Advice Is Received
Event Type	Message start event
Description	The Customs Office of Departure is notified that the arrival is accepted.
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Previous Objects	

Table 5.366: Event Arrival Advice Is Received

Task Identify If Notification Leaving Security Area Has Been Received

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Notification Leaving Security Area Has Been Received
Task Type	Service task
Description	If the 'Notification Leaving Security Area' (IE168) is possibly lost by the Office of Departure or missing from an Office of Exit for Transit when the movement leaves the Security Area without the Office of Departure being aware of it, then after receipt of the 'Arrival Advice' (IE006), the system will automatically identify whether or not the 'Notification Leaving Security Area' (IE168) has been received.
Actor	NCTS
Input Data	C_ARR_ADV C_AAR_SND
Output Data	Result Of Identify If Notification Leaving Security Area Has Been Received
Previous Objects	Record Arrival Advice
Information Exchange	
Requirements	TRA_FUR_0173 TRA_FUR_0079
Data Set	
Events	
State	

Table 5.367: Task Identify If Notification Leaving Security Area Has Been Received

Task Identify if Notification Crossing Frontier Has Been Received

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Notification Crossing Frontier Has Been Received
Task Type	Service task
Description	If the 'Notification Crossing Frontier' (IE118) is possibly lost or missing from an Office of Transit when the movement crosses its borders without the Office of Departure being aware of it, then, after receipt of the 'Arrival Advice' (IE006), the system will automatically identify whether or not IE118 has been received.
Actor	NCTS
Input Data	C_ARR_ADV C_AAR_SND
Output Data	Result Of Identify If Notification Crossing Frontier Has Been Received

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PROCESS MODELS

Previous Objects	Record Arrival Advice
Information Exchange	
Requirements	TRA_FUR_0079 TRA_FUR_0174
Data Set	
Events	
State	

Table 5.368: Task Identify if Notification Crossing Frontier Has Been Received

Task Notify Customs Office Of Exit For Transit of Forwarded Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit For Transit of Forwarded Arrival Advice
Task Type	Send task
Description	<p>When the system identifies that the 'Notification Leaving Security Area' (IE168) message has not been received, then it will notify the Office(s) of Exit for Transit (from where the 'Notification Leaving Security Area' (IE168) message was expected but not received) of the arrival (and acceptance) of the consignment with the 'Forwarded Arrival Advice' (IE024) message.</p> <p>This task covers the case of the missing Notification Leaving Security Area' (IE168).</p>
Actor	NCTS
Input Data	C_AAR_SND C_ARR_ADV Result Of Identify If Notification Leaving Security Area Has Been Received
Output Data	
Previous Objects	Notification Leaving Security Area Has Been Received?
Information Exchange	Forwarded Arrival Advice
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

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PROCESS MODELS

Table 5.369: Task Notify Customs Office Of Exit For Transit of Forwarded Arrival Advice

Task Identify If Diversion Occurred At Customs Office of Exit for Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Diversion Occurred At Customs Office of Exit for Transit
Task Type	Service task
Description	When Customs Office(s) of Exit for Transit is/are identified that the Transit movement has not passed through yet (which have not notified that the consignment has left the EU Security Area), a notification IE024 will automatically be sent to this/these Office(s) informing that a diversion has occurred.
Actor	NCTS
Input Data	C_ARR_ADV Result Identify If There Is Diversion C_AAR_SND
Output Data	Result Of Identify If Diversion Occurred At Customs Office of Exit for Transit
Previous Objects	Is There Diversion?
Information Exchange	
Requirements	TRA_FUR_0088 TRA_FUR_0079
Data Set	
Events	
State	

Table 5.370: Task Identify If Diversion Occurred At Customs Office of Exit for Transit

Task Identify If Diversion Occurred At Customs Office Of Transit?

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Diversion Occurred At Customs Office Of Transit?
Task Type	Service task
Description	When Customs Office(s) of Transit is/are identified that the Transit movement has not passed through yet (which have not notified that the consignment has crossed the frontier), a notification IE024 will automatically be sent to this/these Office(s) informing that a diversion has occurred.
Actor	NCTS

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Input Data	C_ARR_ADV C_AAR_SND Result Identify If There Is Diversion
Output Data	Result Identify If Diversion Occurred At Customs Office Of Transit
Previous Objects	Is There Diversion?
Information Exchange	
Requirements	TRA_FUR_0088 TRA_FUR_0079
Data Set	
Events	
State	

Table 5.371: Task Identify If Diversion Occurred At Customs Office Of Transit?

Task Identify If Diversion Occurred At Customs Office Of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Diversion Occurred At Customs Office Of Destination
Task Type	Service task
Description	When Customs Office of Destination is identified that the Transit movement has not passed through yet (which have not notified that the consignment has arrived at this Customs Office), a notification IE024 will automatically be sent to this Customs Office of Destination informing that a diversion has occurred.
Actor	NCTS
Input Data	C_ARR_ADV Result Identify If There Is Diversion C_AAR_SND
Output Data	Result Of Identify If Diversion Occurred At Customs Office Of Destination
Previous Objects	Is There Diversion?
Information Exchange	
Requirements	TRA_FUR_0079 TRA_FUR_0088
Data Set	
Events	

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

State	
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Table 5.372: Task Identify If Diversion Occurred At Customs Office Of Destination

Task Notify Customs Office Of Transit of Forwarded Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Transit of Forwarded Arrival Advice
Task Type	Send task
Description	When the system identifies that the 'Notification Crossing Frontier' (IE118) from the Office of Transit has not been received, it will notify the Office(s) of Transit (from where the 'Notification Crossing Frontier' (IE118) was expected but has not been received) of the arrival (and acceptance) of the consignment with the 'Forwarded Arrival Advice' (IE024). This task covers the case of missing 'Notification Crossing Frontier' (IE118).
Actor	NCTS
Input Data	C_AAR_SND Result Of Identify If Notification Crossing Frontier Has Been Received C_ARR_ADV
Output Data	
Previous Objects	Notification Crossing Frontier Has Been Received?
Information Exchange	Forwarded Arrival Advice
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.373: Task Notify Customs Office Of Transit of Forwarded Arrival Advice

Task Notify Declared Customs Office Of Exit For Transit of Forwarded Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Declared Customs Office Of Exit For Transit of Forwarded Arrival Advice
Task Type	Send task
Description	Notification task to send to the Customs Office of Exit For Transit the Forwarded Arrival Advice (IE024).
Actor	NCTS

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PROCESS MODELS

Input Data	Result Of Identify If Diversion Occurred At Customs Office of Exit for Transit C_ARR_ADV C_AAR_SND
Output Data	
Previous Objects	Diversion Occurred At Customs Office of Exit for Transit?
Information Exchange	Forwarded Arrival Advice
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.374: Task Notify Declared Customs Office Of Exit For Transit of Forwarded Arrival Advice

Task Notify Declared Customs Office Of Transit Of Forwarded Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Declared Customs Office Of Transit Of Forwarded Arrival Advice
Task Type	Send task
Description	Notification task to send to the Customs Office of Transit the Forwarded Arrival Advice (IE024).
Actor	NCTS
Input Data	C_AAR_SND Result Identify If Diversion Occurred At Customs Office Of Transit C_ARR_ADV
Output Data	
Previous Objects	Diversion Occurred At Customs Office of Transit?
Information Exchange	Forwarded Arrival Advice
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.375: Task Notify Declared Customs Office Of Transit Of Forwarded Arrival Advice

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PROCESS MODELS

Task Notify Declared Customs Office Of Destination Of Forwarded Arrival Advise

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Declared Customs Office Of Destination Of Forwarded Arrival Advise
Task Type	Send task
Description	Notification task to send to the Customs Office of Destination the Forwarded Arrival Advice (IE024).
Actor	NCTS
Input Data	Result Identify If Diversion Occurred At Customs Office Of Transit C_AAR_SND C_ARR_ADV
Output Data	
Previous Objects	Diversion Occurred At Customs Office of Destination?
Information Exchange	Forwarded Arrival Advice
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.376: Task Notify Declared Customs Office Of Destination Of Forwarded Arrival Advise

Task Identify If The Guarantee Codes 0 and 1 Are Used

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If The Guarantee Codes 0 and 1 Are Used
Task Type	Service task
Description	NCTS identifies if the Guarantee types '0' and '1' are used.
Actor	NCTS
Input Data	MRN E_REL_TRA
Output Data	Result Identify If The Guarantee Codes 0 and 1 Are Used
Previous Objects	Notification Leaving Security Area Has Been Received? Notify Customs Office Of Exit For Transit of Forwarded Arrival Advice Notify Declared Customs Office Of Transit Of Forwarded Arrival Advise Diversion Occurred At Customs Office of Transit? Notify Declared Customs Office Of Exit For Transit of Forwarded Arrival

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PROCESS MODELS

	Advice Diversion Occurred At Customs Office of Exit for Transit? Diversion Occurred At Customs Office of Destination? Notify Declared Customs Office Of Destination Of Forwarded Arrival Advice Is There Diversion? Notification Crossing Frontier Has Been Received? Notify Customs Office Of Transit of Forwarded Arrival Advice
Information Exchange	
Requirements	TRA_FUR_0090
Data Set	
Events	
State	

Table 5.377: Task Identify If The Guarantee Codes 0 and 1 Are Used

Task Identify If Internal Transit Follows Export And Customs Office Of Destination Is Inappropriate

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Internal Transit Follows Export And Customs Office Of Destination Is Inappropriate
Task Type	Service task
Description	In case Export follows Internal Transit, the IE042 is sent to AES after the reception of the Arrival Advice (IE006) when the Actual Office of Destination is inappropriate. The Customs Office of Destination is considered inappropriate when in case of EU MS, the Office of Destination does not possess the EXT role, or the Customs Office of Destination does not belong to CTC.
Actor	NCTS
Input Data	MRN C_AAR_SND C_ARR_ADV
Output Data	Result Of Identify If Internal Transit Follows Export And Customs Office Of Destination Is Inappropriate
Previous Objects	Notification Leaving Security Area Has Been Received? Notify Customs Office Of Exit For Transit of Forwarded Arrival Advice Notify Declared Customs Office Of Transit Of Forwarded Arrival Advice Diversion Occurred At Customs Office of Transit? Notify Declared Customs Office Of Exit For Transit of Forwarded Arrival Advice Diversion Occurred At Customs Office of Exit for Transit?

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PROCESS MODELS

	Diversion Occurred At Customs Office of Destination? Notify Declared Customs Office Of Destination Of Forwarded Arrival Advice Is There Diversion? Notification Crossing Frontier Has Been Received? Notify Customs Office Of Transit of Forwarded Arrival Advice
Information Exchange	
Requirements	TRA_FUR_0148 TRA_FUR_0189 TRA_FUR_0190
Data Set	
Events	
State	

Table 5.378: Task Identify If Internal Transit Follows Export And Customs Office Of Destination Is Inappropriate

Task Credit Reference Amount

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Credit Reference Amount
Task Type	Call activity (collapsed)
Description	<p>The Credit Reference Amount process starts when the Customs Office of Departure asks to credit the reference amount.</p> <p>The request is generated within the Process Arrival, upon receipt of message IE006 (Arrival Advice). If the movements is covered by a guarantee of types '1' or '0', NCTS identifies which Customs Offices of Guarantee are concerned and asks them to credit the reference amount (IE209). The locked reference amount for the particular Transit movement is credited back to the balance of guarantee and this is recorded in the guarantee management subsystem in the Customs Office of Guarantee.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Are Guarantee Codes 0 and 1 Used?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.379: Task Credit Reference Amount

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PROCESS MODELS

Task Notify AES Of Destination Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify AES Of Destination Control Results
Task Type	Send task
Description	Notification task to send to the Customs Office of Exit the Destination Control Results to AES (IE042).
Actor	NCTS
Input Data	N_XFT_RSP C_AAR_SND C_ARR_ADV Result Of Identify If Internal Transit Follows Export And Customs Office Of Destination Is Inappropriate
Output Data	
Previous Objects	Internal Transit Follows Export And Customs Office Of Destination Is Inappropriate?
Information Exchange	Destination Control Results To AES
Requirements	TRA_FUR_0149
Data Set	
Events	
State	

Table 5.380: Task Notify AES Of Destination Control Results

Event Arrival Advice Is Forwarded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival Advice Is Forwarded
Event Type	End event
Description	The Arrival advice is forwarded. The process ends.
Previous Objects	Notify AES Of Destination Control Results Internal Transit Follows Export And Customs Office Of Destination Is Inappropriate? Credit Reference Amount Are Guarantee Codes 0 and 1 Used?

Table 5.381: Event Arrival Advice Is Forwarded

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PROCESS MODELS

5.26. Process L4-TRA-01-03-04-Process Arrival - Handle AAR Response

5.26.1. Objective of Process

When the Customs Office of Destination receives (IE003) the answer from the Customs Office of Departure about the Transit movement and the answer does not contain the requested Anticipated Arrival Record, NCTS rejects the arrival and notifies (IE057) the Trader at Destination about the rejection.

In case of negative IE003, the Actual Office of Destination checks whether the rejection concerns deviation from the Binding Itinerary and decides whether the movement can end its journey to this Office of Destination or not. In case the movement can end its journey a relevant incident is registered, otherwise the transit movement cannot deviate from its Binding Itinerary and turns back.

5.26.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-03-04
Full Name	Process Arrival - Handle AAR Response
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.382: Process Details

5.26.3. Tasks and Events

Task Record AAR Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record AAR Response
Task Type	Service task
Description	After the Anticipated Arrival Record Response (IE003) is received, the data elements following this registration are automatically recorded in the System. Additionally, when a positive 'Anticipated Arrival Record Response' (IE003) is received, the Customs Office of Destination executes an automatic risk analysis on the received anticipated arrival record.
Actor	NCTS
Input Data	C_AAR_RSP

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PROCESS MODELS

Output Data	C_AAR_RSP Transit Operation
Previous Objects	Receive AAR Response
Information Exchange	AAR Response
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Receive AAR Response
State	Diversion Rejected

Table 5.383: Task Record AAR Response

Event Receive AAR Response

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive AAR Response
Event Type	Message start event
Description	An Anticipated Arrival Record Response "IE003" is received. The process starts.
Previous Objects	Customs Office Of Departure

Table 5.384: Event Receive AAR Response

Task Identify If Movement Information Is Received

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Movement Information Is Received
Task Type	Service task
Description	<p>When IE003 is recorded, the System will automatically check if movement information is available. This is performed by checking whether the data element "Request rejection reason code" is present or not (if present, the movement information is not available).</p> <p>Final situation:</p> <p>The result is positive: Movement information is available.</p> <p>The result is negative: Movement information is not available.</p>

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

Actor	NCTS
Input Data	C_AAR_SND MRN
Output Data	Result Identify If Movement Information Is Received
Previous Objects	Record AAR Response
Information Exchange	
Requirements	TRA_FUR_0081
Data Set	
Events	
State	

Table 5.385: Task Identify If Movement Information Is Received

Task Record Rejection AAR Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Rejection AAR Notification
Task Type	Service task
Description	The data element "Request rejection reason code" is present into the IE003, meaning that the movement information is not available. This is recorded into the system.
Actor	NCTS
Input Data	Diversion Rejection Code MRN E_REJ_NOT
Output Data	E_REJ_NOT Transit Operation Diversion Rejection Code
Previous Objects	Is Movement Information Received?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Negative AAR Response Is Received

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

State	Diversion Rejected
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Table 5.386: Task Record Rejection AAR Notification

Event Movement Information Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Is Received
Event Type	End event
Description	The movement information is received and the process 'Process Arrival ' is triggered. The current process ends.
Appears also in the following processes	L4-TRA-01-03-01-Process Arrival Notification L4-TRA-01-03-Process Arrival
Previous Objects	Is Movement Information Received?

Table 5.387: Event Movement Information Is Received

Task Identify If There Is Deviation From The Binding Itinerary

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If There Is Deviation From The Binding Itinerary
Task Type	Service task
Description	The Actual Office of Destination identifies whether the value of the data element "Request rejection reason code" in the IE003 relates to a deviation from the Binding Itinerary.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Input Data	Transit Operation
Output Data	Result Identify If There Is Deviation From The Binding Itinerary
Previous Objects	Record Rejection AAR Notification
Information Exchange	
Requirements	TRA_FUR_0180
Data Set	
Events	

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

State	
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Table 5.388: Task Identify If There Is Deviation From The Binding Itinerary

Task Register Decision Whether Transit Movement Can Deviate From Itinerary

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision Whether Transit Movement Can Deviate From Itinerary
Task Type	User task
Description	When the movement deviates from its binding itinerary, the customs officer at the Customs Office of Destination registers his/her decision whether the movement can deviate or not from its binding itinerary by ending its journey to this Actual Office of Destination.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Input Data	Transit Operation
Output Data	Result Identify If Movement Can Deviate From Itinerary Movement Can Deviate From Itinerary
Previous Objects	Is There Deviation From Binding Itinerary?
Information Exchange	
Requirements	TRA_FUR_0181
Data Set	
Events	
State	

Table 5.389: Task Register Decision Whether Transit Movement Can Deviate From Itinerary

Task Notify Trader at Destination of Rejection from Office of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Trader at Destination of Rejection from Office of Destination
Task Type	Send task
Description	When a negative IE003 is received (the data element "Request rejection reason code" is present), the Actual Office of Destination notifies the Trader at Destination with the "Rejection from Office of Destination" message (IE057).
Actor	NCTS

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PROCESS MODELS

Appears also in the following processes	L4-TRA-01-03-01-Process Arrival Notification
Input Data	E_ARR_REJ
Output Data	
Previous Objects	Is There Deviation From Binding Itinerary?
Information Exchange	Rejection From Office Of Destination
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.390: Task Notify Trader at Destination of Rejection from Office of Destination

Event Rejection from Office of Destination Is Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejection from Office of Destination Is Sent
Event Type	End event
Description	The Anticipated Arrival Record (IE003) is rejected and the Trader at Destination has been notified. The process ends.
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Previous Objects	Notify Trader at Destination of Rejection from Office of Destination

Table 5.391: Event Rejection from Office of Destination Is Sent

Task Record Decision Whether Transit Movement Can Deviate From Itinerary

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision Whether Transit Movement Can Deviate From Itinerary
Task Type	Service task
Description	The decision of the Customs Officer at the Actual Office of Destination whether the transit movement can deviate from its binding itinerary is recorded into the NCTS system.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information

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PROCESS MODELS

Input Data	MRN Movement Can Deviate From Itinerary
Output Data	Movement Can Deviate From Itinerary
Previous Objects	Register Decision Whether Transit Movement Can Deviate From Itinerary
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.392: Task Record Decision Whether Transit Movement Can Deviate From Itinerary

Event Incident To Be Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident To Be Registered
Event Type	End event
Description	When the transit movement is allowed by the Customs Office of Destination to deviate from its binding itinerary, a related incident needs to be registered and the process stops
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Previous Objects	Can Transit Movement Deviate From Itinerary?

Table 5.393: Event Incident To Be Registered

Event Movement Turned Back

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Turned Back
Event Type	End event
Description	When the transit movement is not allowed by the Customs Office of Destination to deviate from its binding itinerary, the transit movement needs to turn back and follow its binding itinerary. The process stops.
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs

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PROCESS MODELS

	Office Of Exit For Transit L4-TRA-01-04-Process Movement At Customs Office Of Transit L4-TRA-01-03-Process Arrival L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Previous Objects	Can Transit Movement Deviate From Itinerary?

Table 5.394: Event Movement Turned Back

5.27. Process L4-TRA-01-03-05-Decide To Control Unloaded Goods

5.27.1. Objective of Process

The process starts when simplifications apply for the Authorized Consignee and the Customs Officer may decide whether the Goods are to be controlled or not. In case the Customs Office of Destination decides to control the movement, the Timer "Wait for Automatic Unloading Permission" stops and in that case, the process ends.

In case it is decided not to control the goods, the Customs Office of Destination sends the unloading permission to the Authorized Consignee to start the unloading. At any time, the Authorized Consignee can send unloading remarks. The Office of Destination checks these unloading remarks and may decide to control the goods. In case the Office of Destination decides not to control the goods following the unloading remarks

and the unloading is not completed, the Office of Destination notifies the Authorized Consignee of the decision allowing him to continue the unloading. In case the Office of Destination decides to control the goods following the unloading remarks, control on the goods is to be performed and the process ends.

When unloading is completed, the Office of Destination sends the Destination Control Results (considered satisfactory) to the Office of Departure. The process ends.

5.27.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-03-05
Full Name	Decide To Control Unloaded Goods
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.395: Process Details

5.27.3. Tasks and Events

Event Unloading Is not Completed

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PROCESS MODELS

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Unloading Is not Completed
Event Type	Conditional start event
Description	When the unloading is not completed and thus there are unloading remarks, the process continues when the Customs Officer provides his/her permission to continue unloading provided that the Customs Office of Destination has decided not to control the movement.
Appears also in the following processes	L4-TRA-01-03-07-Store and Analyse Unloading Remarks [DEPRECATED]
Previous Objects	

Table 5.396: Event Unloading Is not Completed

Event Simplifications Apply For Authorised Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Simplifications Apply For Authorised Consignee
Event Type	Conditional start event
Description	The process is initiated when simplifications apply for the Authorised consignee and the timer for automatic unloading permission expires.
Appears also in the following processes	L4-TRA-01-03-06-Force Unloading Permission
Previous Objects	

Table 5.397: Event Simplifications Apply For Authorised Consignee

Task Register Control Decision For Simplifications For Authorised Consignee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Control Decision For Simplifications For Authorised Consignee
Task Type	User task
Description	The Customs Office of Destination register his/her decision to control or not the consignment.
Actor	Customs Officer
Input Data	E_ULD_REM MRN Risk Analysis
Output Data	Result Check If Goods Are To Be Controlled
Previous Objects	Simplifications Apply For Authorised Consignee Unloading Is not Completed

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PROCESS MODELS

Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.398: Task Register Control Decision For Simplifications For Authorised Consignee

Task Record Control Decision For Simplifications For Authorised Consignee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Decision For Simplifications For Authorised Consignee
Task Type	Service task
Description	When the Control Decision is registered, the data elements following this registration are automatically recorded in the System - linked to the MRN.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-05-Decide To Control Unloaded Goods
Input Data	Transit Operation Result Check If Goods Are To Be Controlled
Output Data	Result Check If Goods Are To Be Controlled Transit Operation
Previous Objects	Register Control Decision For Simplifications For Authorised Consignee
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.399: Task Record Control Decision For Simplifications For Authorised Consignee

Task Identify If Goods Are To Be Controlled

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Goods Are To Be Controlled
Task Type	Service task
Description	The System will automatically check if the Customs Office of Destination

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PROCESS MODELS

	<p>has decided to control the movement and thus the Timer 'Wait for Automatic Unloading Permission' has stopped. Otherwise, in case the Timer 'Wait for Automatic Unloading Permission' has expired, then no control decision is assumed.</p> <p>Final situation: The result is positive: Goods are to be controlled. The result is negative: Goods are not to be controlled.</p>
Actor	NCTS
Input Data	Result Check If Goods Are To Be Controlled MRN
Output Data	Result Check If Goods Are To Be Controlled
Previous Objects	Record Control Decision For Simplifications For Authorised Consignee
Information Exchange	
Requirements	TRA_FUR_0067
Data Set	
Events	
State	

Table 5.400: Task Identify If Goods Are To Be Controlled

Task Stop Time Limit _Wait For Automatic Unloading Permission_

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit _Wait For Automatic Unloading Permission_
Task Type	Service task
Description	When the Customs Office of Destination decides to control the movement, the Control Decision is recorded and the Timer 'Wait for Automatic Unloading Permission' stops.
Actor	NCTS
Input Data	Automatic Unloading Permission Record Date Automatic Unloading Permission Start Date MRN Automatic Unloading Permission Default Time Limit
Output Data	Automatic Unloading Permission End Date Expired Calendar Days of Time Limit 'Wait for Automatic Unloading Permission'

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Previous Objects	Goods To Be Controlled?
Information Exchange	
Requirements	TRA_FUR_0139 TRA_FUR_0084
Data Set	
Events	
State	

Table 5.401: Task Stop Time Limit _Wait For Automatic Unloading Permission_

Task Notify Authorised Consignee Of Unloading Permission

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Authorised Consignee Of Unloading Permission
Task Type	Send task
Description	A Notification task to send the "Unloading Permission" (IE043) message to the Authorized Consignee to start the unloading of the goods from the means of transport.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-06-Force Unloading Permission
Input Data	E_ULD_PER
Output Data	Transit Operation
Previous Objects	Unloading Completed? Goods To Be Controlled?
Information Exchange	Unloading Permission
Requirements	TRA_FUR_0165
Data Set	Transit Operation
Events	Simplifications Apply For Authorised Consignee
State	Unloading

Table 5.402: Task Notify Authorised Consignee Of Unloading Permission

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PROCESS MODELS

Event Unloading Remarks Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Unloading Remarks Received
Event Type	Message intermediate event (catch)
Description	An "Unloading Remarks" message (IE044) has been received by the Actual Office of Destination from the Authorized Consignee.
Previous Objects	Premises Of The Trader At Destination Notify Authorised Consignee of Rejection from Office of Destination Notify Authorised Consignee Of Unloading Permission

Table 5.403: Event Unloading Remarks Received

Task Perform Validation Of Unloading Remarks

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation Of Unloading Remarks
Task Type	Service task
Description	The "Unloading Remarks" message (IE044) is validated upon its reception in regards to Syntax and R/Cs.
Actor	NCTS
Input Data	E_ULD_REM
Output Data	Result If Validation Is Successful
Previous Objects	Unloading Remarks Received
Information Exchange	Unloading Remarks
Requirements	TRA_FUR_0001
Data Set	
Events	
State	

Table 5.404: Task Perform Validation Of Unloading Remarks

Task Notify Authorised Consignee of Rejection from Office of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Authorised Consignee of Rejection from Office of Destination
Task Type	Send task

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Description	A notification task when the "Unloading Remarks" message (IE044) is invalid and the Authorized Consignee is informed about the rejection (IE057).
Actor	NCTS
Input Data	Transit Operation
Output Data	
Previous Objects	Unloading Remarks Valid?
Information Exchange	Rejection From Office Of Destination
Requirements	TRA_FUR_0165
Data Set	
Events	
State	

Table 5.405: Task Notify Authorised Consignee of Rejection from Office of Destination

Task Record Unloading Remarks

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Unloading Remarks
Task Type	Service task
Description	The "Unloading Remarks" message (IE044) is valid and is recorded into the system - linked to MRN.
Actor	NCTS
Input Data	Result If Validation Is Successful E_ULD_REM
Output Data	E_ULD_REM Transit Operation
Previous Objects	Unloading Remarks Valid?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Unloading Remarks Are Received

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PROCESS MODELS

State	Unloading Remarks
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Table 5.406: Task Record Unloading Remarks

Task Identify If Unloading Is Completed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Unloading Is Completed
Task Type	Service task
Description	<p>Upon reception of the "Unloading Remarks" message (IE044) message, the System will automatically check if Unloading is completed (i.e. whether the value of the 'UNLOADING REMARK.Unloading completion' flag is 'Yes').</p> <p>Final situation:</p> <p>The value of the flag is 'Yes': Unloading is completed.</p> <p>The value of the flag is 'No': Unloading is not completed.</p>
Actor	NCTS
Appears also in the following processes	<p>L4-TRA-01-03-05-Decide To Control Unloaded Goods</p> <p>L4-TRA-01-03-07-Store and Analyse Unloading Remarks [DEPRECATED]</p>
Input Data	<p>E_ULD_REM</p> <p>MRN</p> <p>Unloading Completion</p>
Output Data	Result Check If Unloading Is Completed
Previous Objects	Record Unloading Remarks
Information Exchange	
Requirements	TRA_FUR_0086
Data Set	
Events	
State	

Table 5.407: Task Identify If Unloading Is Completed

Task Identify If Seals Are Intact

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Seals Are Intact
Task Type	Service task

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Description	Upon reception of the "Unloading Remarks" message (IE044) message, the System will automatically check if seals are in good condition (i.e. whether the value of the 'UNLOADING REMARK.State of seals' flag is 'Yes'). Final situation: The value of the flag is 'Yes': Seals are in good condition. The value of the flag is 'No': Seals are not in good condition.
Actor	NCTS
Input Data	E_ULD_REM MRN
Output Data	Result Check If Seals are Intact
Previous Objects	Record Unloading Remarks
Information Exchange	
Requirements	TRA_FUR_0169
Data Set	
Events	
State	

Table 5.408: Task Identify If Seals Are Intact

Task Identify If Unloading Remarks Are Reported

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Unloading Remarks Are Reported
Task Type	Service task
Description	Upon reception of the "Unloading Remarks" message (IE044) message, the System will automatically check if unloading remarks are present (i.e. whether the value of the 'UNLOADING REMARK.Conform' flag is 'Yes'). Final situation: The value of the flag is 'Yes': Unloading remarks exist. The value of the flag is 'No': Unloading remarks do not exist.
Actor	NCTS
Input Data	MRN E_ULD_REM
Output Data	Result Check If Unloading Remarks Are Reported
Previous Objects	Record Unloading Remarks

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PROCESS MODELS

Information Exchange	
Requirements	TRA_FUR_0170
Data Set	
Events	
State	

Table 5.409: Task Identify If Unloading Remarks Are Reported

Task Record Results On Unloading Remarks And Seals

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Results On Unloading Remarks And Seals
Task Type	Service task
Description	The system records the "Unloading Remarks" message (IE044) and whether unloading is completed, and/or state of seals and/or presence of unloading remarks.
Actor	NCTS
Input Data	Result Check If Unloading Is Completed Result Check If Unloading Remarks Are Reported E_ULD_REM Result Check If Seals are Intact
Output Data	E_ULD_REM
Previous Objects	Identify If Seals Are Intact Identify If Unloading Is Completed Identify If Unloading Remarks Are Reported
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.410: Task Record Results On Unloading Remarks And Seals

Task Register Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Control Decision
Task Type	User task

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Description	Based on the received "Unloading Remarks" message (IE044), the Customs Office of Destination register whether to control or not the movement.
Actor	Customs Officer
Input Data	
Output Data	
Previous Objects	Unloading Completed? Seals Intact? Unloading Remarks Present?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.411: Task Register Control Decision

Task Record Control Decision For Simplifications For Authorised Consignee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Decision For Simplifications For Authorised Consignee
Task Type	Service task
Description	When the Control Decision is registered, the data elements following this registration are automatically recorded in the System - linked to the MRN.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-05-Decide To Control Unloaded Goods
Input Data	Transit Operation Result Check If Goods Are To Be Controlled
Output Data	Result Check If Goods Are To Be Controlled Transit Operation
Previous Objects	Register Control Decision
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

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Table 5.412: Task Record Control Decision For Simplifications For Authorised Consignee

Task Identify If Goods Under Simplified Procedure Are To Be Controlled

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Goods Under Simplified Procedure Are To Be Controlled
Task Type	Task
Description	<p>The system identifies whether the goods of the consignment need to be controlled or not based on the control decision given by the Customs Office of Destination.</p> <p>Final situation: The result is positive: The goods are to be controlled. The result is negative: The goods are not to be controlled.</p>
Actor	NCTS
Input Data	Transit Operation Result Check If Goods Are To Be Controlled
Output Data	Result Check If Goods Are To Be Controlled
Previous Objects	Record Control Decision For Simplifications For Authorised Consignee
Information Exchange	
Requirements	TRA_FUR_0067
Data Set	
Events	
State	

Table 5.413: Task Identify If Goods Under Simplified Procedure Are To Be Controlled

Task Identify If Unloading Is Completed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Unloading Is Completed
Task Type	Service task
Description	<p>Upon reception of the "Unloading Remarks" message (IE044) message, the System will automatically check if Unloading is completed (i.e. whether the value of the 'UNLOADING REMARK.Unloading completion' flag is 'Yes').</p> <p>Final situation: The value of the flag is 'Yes': Unloading is completed. The value of the flag is 'No': Unloading is not completed.</p>

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Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-05-Decide To Control Unloaded Goods L4-TRA-01-03-07-Store and Analyse Unloading Remarks [DEPRECATED]
Input Data	E_ULD_REM MRN Unloading Completion
Output Data	Result Check If Unloading Is Completed
Previous Objects	Goods To Be Controlled?
Information Exchange	
Requirements	TRA_FUR_0086
Data Set	
Events	
State	

Table 5.414: Task Identify If Unloading Is Completed

Event Under Control

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Under Control
Event Type	End event
Description	The Goods are to be controlled. The process ends.
Previous Objects	Stop Time Limit _Wait For Automatic Unloading Permission_ Goods To Be Controlled?

Table 5.415: Event Under Control

Task Notify Customs Office Of Departure Of Destination Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Departure Of Destination Control Results
Task Type	Send task
Description	A notification task where the Actual Customs Office of Destination send the destination control results message (IE018) to the Office of Departure.
Actor	NCTS
Appears also in the following	L4-TRA-01-03-11-Record Control Results

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PROCESS MODELS

processes	
Input Data	C_DES_CON
Output Data	
Previous Objects	Unloading Completed?
Information Exchange	Destination Control Results
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.416: Task Notify Customs Office Of Departure Of Destination Control Results

Event Goods Released

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Goods Released
Event Type	End event
Description	The goods are released at the Actual Office of Destination. The process ends.
Previous Objects	Notify Customs Office Of Departure Of Destination Control Results

Table 5.417: Event Goods Released

5.28. Process L4-TRA-01-03-06-Force Unloading Permission

5.28.1. Objective of Process

When the Customs Officer at Destination did not take the decision to control the goods within the agreed time period, the process is initiated. NCTS notifies the Authorized Consignee that he/she can start the unloading of the goods and records the unloading permission.

5.28.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-03-06
Full Name	Force Unloading Permission
Assumptions	N/A
Constraints	N/A

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PROCESS MODELS

L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.418: Process Details

5.28.3. Tasks and Events

Event Simplifications Apply For Authorised Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Simplifications Apply For Authorised Consignee
Event Type	Conditional start event
Description	The process is initiated when simplifications apply for the Authorised consignee and the timer for automatic unloading permission expires.
Appears also in the following processes	L4-TRA-01-03-05-Decide To Control Unloaded Goods
Previous Objects	

Table 5.419: Event Simplifications Apply For Authorised Consignee

Task Record Time Limit -Wait for Automatic Unloading Permission Expired-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Time Limit -Wait for Automatic Unloading Permission Expired-
Task Type	Service task
Description	The expiration of the Timer 'Wait for Automatic Unloading Permission' is recorded in the System.
Actor	NCTS
Input Data	MRN Automatic Unloading Permission Record Date Automatic Unloading Permission Expiry Date
Output Data	Automatic Unloading Permission Record Date Automatic Unloading Permission Expiry Date
Previous Objects	Simplifications Apply For Authorised Consignee
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.420: Task Record Time Limit -Wait for Automatic Unloading Permission Expired-

Task Record Unloading Permission

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Unloading Permission
Task Type	Service task
Description	Upon expiration off the Timer 'Wait for Automatic Unloading Permission', the system records the unloading permission and prepares to send the "Unloading Permission" message (IE043).
Actor	NCTS
Input Data	MRN CTL_Control
Output Data	Transit Operation CTL_Control
Previous Objects	Record Time Limit -Wait for Automatic Unloading Permission Expired-
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Simplifications Apply For Authorised Consignee
State	Unloading

Table 5.421: Task Record Unloading Permission

Task Notify Authorised Consignee Of Unloading Permission

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Authorised Consignee Of Unloading Permission
Task Type	Send task
Description	A Notification task to send the "Unloading Permission" (IE043) message to the Authorized Consignee to start the unloading of the goods from the means of transport.
Actor	NCTS

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Appears also in the following processes	L4-TRA-01-03-05-Decide To Control Unloaded Goods
Input Data	E_ULD_PER
Output Data	Transit Operation
Previous Objects	Record Unloading Permission
Information Exchange	Unloading Permission
Requirements	TRA_FUR_0165
Data Set	Transit Operation
Events	Simplifications Apply For Authorised Consignee
State	Unloading

Table 5.422: Task Notify Authorised Consignee Of Unloading Permission

Event Trader At Destination Notified Of Unloading Permission

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Trader At Destination Notified Of Unloading Permission
Event Type	End event
Description	The Trader at destination is notified of the unloading permission and the process ends.
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Previous Objects	Notify Authorised Consignee Of Unloading Permission

Table 5.423: Event Trader At Destination Notified Of Unloading Permission

5.29. Process L4-TRA-01-03-08-Decide To Control

5.29.1. Objective of Process

The process is started when an Arrival Notification is accepted under the Normal procedure. The Customs Officer registers his/her decision whether to control or not the Goods. If the decision is 'Goods to be Controlled' then a manual control of the goods is to be performed. Otherwise, the Customs Office of Departure is notified that the control results of the Customs Office of Departure are considered satisfactory and the Destination Control Results are sent the Office of Departure with control result code 'A2'.

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5.29.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-03-08
Full Name	Decide To Control
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.424: Process Details

5.29.3. Tasks and Events

Event Arrival Accepted Under Normal Procedure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival Accepted Under Normal Procedure
Event Type	Conditional start event
Description	The arrival of the movement is accepted and the Trader at Destination operates under the Normal Procedure.
Previous Objects	

Table 5.425: Event Arrival Accepted Under Normal Procedure

Task Register Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Control Decision
Task Type	User task
Description	The Customs Officer at the Actual Office of Destination registers his/her control decision.
Actor	Customs Officer
Input Data	Risk Analysis Transit Operation
Output Data	Result Check If Goods Are To Be Controlled
Previous Objects	Arrival Accepted Under Normal Procedure

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PROCESS MODELS

Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.426: Task Register Control Decision

Task Record Control Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Decision
Task Type	Service task
Description	<p>The Customs Officer records the decision (not) to control the consignment.</p> <p>Final situation :</p> <p>One of the following decision is taken: no control at all, the state of the Transit Operation is set to 'Goods released', or a control will be performed, the state of the Transit Operation is set to 'Under Control'.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-02-Evaluate Correction/Amendment Request
Input Data	Result Check If Goods Are To Be Controlled MRN
Output Data	Result Check If Goods Are To Be Controlled Result Identify if Goods To Be Controlled Result Check If Goods Are To Be Controlled Transit Operation
Previous Objects	Register Control Decision
Information Exchange	
Requirements	TRA_FUR_0067 TRA_FUR_0009
Data Set	Transit Operation
Events	Arrival Accepted Under Normal Procedure
State	Under Control

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PROCESS MODELS

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Table 5.427: Task Record Control Decision

Task Notify To Customs Office Of Departure Of Destination Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify To Customs Office Of Departure Of Destination Control Results
Task Type	Send task
Description	A notification task to send the Destination Control Results (IE018) message to the Office of Departure.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-07-Store and Analyse Unloading Remarks [DEPRECATED]
Input Data	C_DES_CON
Output Data	
Previous Objects	Goods To Be Controlled?
Information Exchange	Destination Control Results
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.428: Task Notify To Customs Office Of Departure Of Destination Control Results

Event Control Results Communicated To Customs Office Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Results Communicated To Customs Office Of Departure
Event Type	End event
Description	The Results of the Control are communicated to the Customs Office of Departure. The process ends.
Previous Objects	Notify To Customs Office Of Departure Of Destination Control Results

Table 5.429: Event Control Results Communicated To Customs Office Of Departure

Event Physical Control Of Goods Is To Be Performed

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Physical Control Of Goods Is To Be Performed
Event Type	End event
Description	A manual Control of the Goods is to be performed. The process ends.
Previous Objects	Goods To Be Controlled?

Table 5.430: Event Physical Control Of Goods Is To Be Performed

5.30. Process L4-TRA-01-03-09-Handle Destination Control Results

5.30.1. Objective of Process

The Customs Office of Departure receives (IE018) the control results from the Customs Office of Destination. Based on the MRN brought in the control results, NCTS records these control results together with the incidents if they occurred and the timer “Awaiting Receipt Of Control Results” is stopped. In case, Transit follows Export, NCTS notifies AES (IE042) about the Control Results as well.

When there are major discrepancies detected then :

- The Customs Officer or NCTS records that the Holder of Transit procedure responsibility is extended and notifies (IE019) the Guarantor and the Holder of Transit procedure about their extended responsibility.
- When the Customs Officer at the Customs Office of Destination decided to wait for the discrepancies resolution before releasing the goods, NCTS notifies the Customs Officer at Departure that the Customs Office of Destination is waiting for the discrepancies resolution.

When there are minor or no discrepancies detected then :

- The Customs Officer or NCTS records the write-off.
- NCTS asks the release of the guarantee;
- When an enquiry was in progress, NCTS notifies (IE045) the Holder of the Transit procedure and the Guarantor that the movement is written-off.

5.30.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-03-09
Full Name	Handle Destination Control Results
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.431: Process Details

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PROCESS MODELS

5.30.3. Tasks and Events

Task Record Destination Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Destination Control Results
Task Type	Service task
Description	When Destination Control results are registered, the data elements following this registration are automatically recorded in the System - linked to the MRN
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B L4-TRA-01-06-04-Check If The Statuses Are Synchronised
Input Data	
Output Data	C_DES_CON
Previous Objects	Destination Control Results Is Received
Information Exchange	Destination Control Results
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.432: Task Record Destination Control Results

Event Destination Control Results Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Destination Control Results Is Received
Event Type	Conditional start event
Description	The Customs Office of Departure receives (IE018) the control results from the Customs Office of Destination.
Previous Objects	

Table 5.433: Event Destination Control Results Is Received

Task Stop Timer Awaiting Receipt Of Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Timer Awaiting Receipt Of Control Results

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Task Type	Service task
Description	When the IE018 is recorded, the timer Awaiting Receipt of Control Results is automatically stopped (if still running). Final situation: The timer Awaiting Receipt of Control Results is stopped (if still running).
Actor	NCTS
Input Data	MRN Control Results Expected Arrival Date Receipt of Control Results Default Time Limit Receipt of Control Results Record Date
Output Data	Expired Calendar Days of Time Limit 'Awaiting Receipt Of Control Results' Receipt Of Control Results End Date
Previous Objects	Record Destination Control Results
Information Exchange	
Requirements	TRA_FUR_0156 TRA_FUR_0009
Data Set	
Events	
State	

Table 5.434: Task Stop Timer Awaiting Receipt Of Control Results

Task Identify If Transit Follows Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Transit Follows Export
Task Type	Service task
Description	The System will automatically check if Transit follows Export. If that's the case and Internal Transit is involved, the "Destination control results to AES" message (IE042) needs to be sent to AES. Final situation: The result is positive: Transit follows Export. The result is negative: Transit does not follow Export.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-02-02-Issue Transit Transaction Data
Input Data	MRN C_AAR_SND

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Output Data	Result Identify If Transit Follows Export
Previous Objects	Stop Timer Awaiting Receipt Of Control Results
Information Exchange	
Requirements	TRA_FUR_0189 TRA_FUR_0148 TRA_FUR_0190
Data Set	
Events	
State	

Table 5.435: Task Identify If Transit Follows Export

Task Notify Destination Control Results To AES

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Destination Control Results To AES
Task Type	Send task
Description	When Internal Transit follows Export, a notification task sends the "Destination Control Results to AES " (IE042) message informing AES that Transit Destination Control Results (Type A or B) are available.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-02-02-Issue Transit Transaction Data
Input Data	Export Operation Result Identify If Transit Follows Export C_DES_CON N_XFT_REQ Transit Operation
Output Data	
Previous Objects	Internal Transit Follows Export?
Information Exchange	Destination Control Results To AES
Requirements	TRA_FUR_0149
Data Set	
Events	

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PROCESS MODELS

State	
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Table 5.436: Task Notify Destination Control Results To AES

Task Identify If Discrepancies Are Detected

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Discrepancies Are Detected
Task Type	Service task
Description	The control result code of the "Destination Control Results" (IE018) message is checked to identify if major discrepancies are detected (i.e. control result code 'B1') or no/minor discrepancies (i.e. control result code 'A1' or 'A2' or 'A5'). Final situation: Major Discrepancies are detected. No/Minor Discrepancies are detected.
Actor	NCTS
Input Data	C_DES_CON MRN
Output Data	Result Identify If Discrepancies Are Detected
Previous Objects	Internal Transit Follows Export? Notify Destination Control Results To AES
Information Exchange	
Requirements	TRA_FUR_0072
Data Set	
Events	
State	

Table 5.437: Task Identify If Discrepancies Are Detected

Task Notify Trader Of Discrepancies

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Trader Of Discrepancies
Task Type	Send task
Description	A notification task to inform the Trader at Destination (IE019) with a message about major discrepancies in the Destination Control Results.
Actor	NCTS
Input Data	C_DES_CON

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PROCESS MODELS

	Transit Operation Guarantor
Output Data	
Previous Objects	Are Major Discrepancies Detected?
Information Exchange	Discrepancies
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.438: Task Notify Trader Of Discrepancies

Task Write-Off Transit Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Write-Off Transit Movement
Task Type	Service task
Description	When there are minor or no discrepancies detected then the system records the write-off of the transit movement.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies
Input Data	MRN C_DES_CON C_DIS_NOT
Output Data	Transit Operation
Previous Objects	Are Major Discrepancies Detected?
Information Exchange	
Requirements	TRA_FUR_0064
Data Set	Transit Operation
Events	Solution On Discrepancies Recorded Destination Control Results Is Received

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PROCESS MODELS

State	Movement Written Off
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Table 5.439: Task Write-Off Transit Movement

Task Start Timer Awaiting For Discrepancies Resolution

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Timer Awaiting For Discrepancies Resolution
Task Type	Task
Description	Upon reception of the IE018 with control result code 'B1', the Timer "Discrepancies Resolution" starts.
Actor	NCTS
Input Data	Destination Control Results Received Date Result Identify If Discrepancies Are Detected MRN Awaiting For Discrepancies Resolution Timer Start Date Awaiting For Discrepancies Resolution Timer Initial Time Limit
Output Data	Awaiting For Discrepancies Resolution Timer Expiry Date
Previous Objects	Notify Trader Of Discrepancies
Information Exchange	
Requirements	TRA_FUR_0178
Data Set	
Events	
State	

Table 5.440: Task Start Timer Awaiting For Discrepancies Resolution

Task Notify Holder Of Transit Procedure Of Write-Off Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of Transit Procedure Of Write-Off Notification
Task Type	Send task
Description	When 'Write-off' is recorded for a Transit Movement, a notification task where a message (IE045) will automatically be sent to the Holder of the Transit Procedure informing him/her that the movement is written-off.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies

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Input Data	E_DEC_DAT C_DES_CON Transit Operation
Output Data	
Previous Objects	Write-Off Transit Movement
Information Exchange	Write-Off Notification
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.441: Task Notify Holder Of Transit Procedure Of Write-Off Notification

Event Movement Under Resolution

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Under Resolution
Event Type	End event
Description	Major Discrepancies are identified in the Destination Control Results. The process starts.
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies
Previous Objects	Start Timer Awaiting For Discrepancies Resolution

Table 5.442: Event Movement Under Resolution

Task Release Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Release Guarantee
Task Type	Send task
Description	A notification task to release the Guarantee maintained by GMS by sending the Guarantee Use Cancellation (IE204) message to the Office of Guarantee.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies
Input Data	C_GUA_USR

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PROCESS MODELS

Output Data	
Previous Objects	Notify Holder Of Transit Procedure Of Write-Off Notification
Information Exchange	Guarantee Use Cancellation
Requirements	TRA_FUR_0127
Data Set	
Events	
State	

Table 5.443: Task Release Guarantee

Task Stop Time Limit -Recovery Recommended-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Recovery Recommended-
Task Type	Service task
Description	<p>When the time limit 'Recovery recommended' is not expired, the timer is automatically stopped.</p> <p>Final situation : The timer 'Recovery recommended' is stopped.</p>
Actor	NCTS
Appears also in the following processes	<p>L4-TRA-01-09-01-Decide About Transfer Of Competency</p> <p>L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure</p> <p>L4-TRA-01-08-06-Send Information To Involved Parties</p> <p>L4-TRA-01-03-10-Solve Discrepancies</p>
Input Data	<p>Transit Operation</p> <p>Destination Control Results Received Date</p> <p>Recovery Recommended Expiry Date</p>
Output Data	<p>Expired Calendar Days of the Time Limit 'Recovery Recommended'</p> <p>Recovery Recommended Expiry Date</p>
Previous Objects	Release Guarantee
Information Exchange	
Requirements	TRA_FUR_0101
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.444: Task Stop Time Limit -Recovery Recommended-

Event Movement Written Off

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Written Off
Event Type	End event
Description	The movement is written-off. The process ends.
Appears also in the following processes	L4-TRA-01-08-Handle Recovery - Recovery Procedure Option A L4-TRA-01-03-10-Solve Discrepancies
Previous Objects	Stop Time Limit -Recovery Recommended-

Table 5.445: Event Movement Written Off

5.31. Process L4-TRA-01-03-10-Solve Discrepancies

5.31.1. Objective of Process

This process is started if control results are of type 'B1'. If the Customs Office of Destination decided to wait for the discrepancies resolution, the Customs Officer at the Customs Office of Departure is notified of that decision by the system. Waiting for discrepancies resolution is set on yes. He is then aware that the further process of the goods is suspended until the entire clarification of the irregularities.

This procedure mainly consists of exchanging information between the Actual Customs Office of Destination or the Holder of Transit procedure and the Customs Office of Departure. Papers related to the movement are checked against the data in the system. During the enquiry and the recovery procedure the IE144 and IE145 can be used to exchange information or questions, whereas the IE144 is sent only by the Customs Office of Departure and IE145 is sent only by the Customs Office of Destination. This information exchange can be started either by the Customs Office of Departure or the Actual Customs Office of Destination, no reply is needed since it can be only information sent and not a question asked. NCTS will provide the Customs Officer at the Customs Office of Departure and the Actual Customs Office of Destination with:

1. Access to the information about that movement, based on the MRN brought in the control results;
2. Tools to allow them to record and track the operations performed in order to solve those.

After the discrepancies are solved:

- NCTS records the write-off, notifies (IE045) the Holder of Transit procedure and the Guarantor that the movement is written-off and asks the release of the guarantee.

- When the Actual Customs Office of Destination decided to wait for the discrepancies resolution, NCTS notifies (IE020) this Actual Customs Office of Destination that the discrepancies are solved or not, so that the Customs Office of Destination can release the goods in case there is a solution on discrepancies. In case there is no solution on discrepancies, the Customs Office of Departure can write off the Transit movement when the write off notification (IE049) is received from Actual Customs Office of Destination.

- When the Customs Office of Destination decided not to wait for the discrepancies resolution, NCTS identifies whether a solution on discrepancies exist, so that the Customs Office of Destination can release the goods in case there is a solution. In case there is no solution on discrepancies, the Customs Office of

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Departure can write off the Transit movement when the write off notification (IE049) is received from Actual Customs Office of Destination.

5.31.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-03-10
Full Name	Solve Discrepancies
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.446: Process Details

5.31.3. Tasks and Events

Task Register Discrepancy Solution Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Discrepancy Solution Results
Task Type	User task
Description	The Customs Officer at the Actual Office of Destination registers his/her assessment about the resolution of the major discrepancies reported by the Destination Control Results message (IE018).
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies
Input Data	C_DES_CON MRN
Output Data	Discrepancy Solution Results
Previous Objects	Movement Under Resolution
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	

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Events	
State	

Table 5.447: Task Register Discrepancy Solution Results

Event Movement Under Resolution

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Under Resolution
Event Type	Conditional start event
Description	Major Discrepancies are identified in the Destination Control Results. The process starts.
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results
Previous Objects	

Table 5.448: Event Movement Under Resolution

Task Record Discrepancy Solution Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Discrepancy Solution Results
Task Type	Service task
Description	The assessment provided by the Customs Office of Destination about the resolution of major discrepancies is recorded in NCTS - linked with the MRN.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies
Input Data	Discrepancy Solution Results
Output Data	Discrepancy Solution Results
Previous Objects	Register Discrepancy Solution Results
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.449: Task Record Discrepancy Solution Results

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PROCESS MODELS

Task Identify if Discrepancies Resolution Timer has expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Discrepancies Resolution Timer has expired
Task Type	Service task
Description	An identification task to check whether the Timer "Discrepancies resolution" has expired.
Actor	NCTS
Input Data	Awaiting For Discrepancies Resolution Timer Initial Time Limit Awaiting For Discrepancies Resolution Timer Start Date Destination Control Results Received Date
Output Data	Result Of Identify If Discrepancies Resolution Timer Has Expired Awaiting For Discrepancies Resolution Timer Expiry Date
Previous Objects	Record Discrepancy Solution Results
Information Exchange	
Requirements	TRA_FUR_0191
Data Set	
Events	
State	

Table 5.450: Task Identify if Discrepancies Resolution Timer has expired

Task Identify If Discrepancies Have Been Resolved

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Discrepancies Have Been Resolved
Task Type	Service task
Description	An identification task to check if the major discrepancies have been resolved.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-12-Release Goods From Transit After Resolution Of Discrepancies L4-TRA-01-03-07-Store and Analyse Unloading Remarks [DEPRECATED]
Input Data	MRN Discrepancy Solution Results

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Output Data	Transit Operation Result Of Identify If Discrepancies Have Been Resolved
Previous Objects	Has the Discrepancies Resolution Timer expired?
Information Exchange	
Requirements	TRA_FUR_0159
Data Set	Transit Operation
Events	Discrepancies Solved Notification Is Received and Discrepancies Are Solved Discrepancies Solved Notification Is Received and Discrepancies Are Not Solved
State	Goods Released Under Recovery Decision

Table 5.451: Task Identify If Discrepancies Have Been Resolved

Task Record Time Limit Discrepancies Resolution expiration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Time Limit Discrepancies Resolution expiration
Task Type	Service task
Description	The Timer "Discrepancies resolution" has expired and the time limit is recorded.
Actor	NCTS
Input Data	Awaiting For Discrepancies Resolution Timer Expiry Date
Output Data	Awaiting For Discrepancies Resolution Timer Expiry Date
Previous Objects	Has the Discrepancies Resolution Timer expired?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

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Table 5.452: Task Record Time Limit Discrepancies Resolution expiration

Task Register Discrepancy Solution Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Discrepancy Solution Results
Task Type	User task
Description	The Customs Officer at the Actual Office of Destination registers his/her assessment about the resolution of the major discrepancies reported by the Destination Control Results message (IE018).
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies
Input Data	C_DES_CON MRN
Output Data	Discrepancy Solution Results
Previous Objects	Notify Office of Departure that Discrepancies Resolution Timer has Expired
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.453: Task Register Discrepancy Solution Results

Task Notify Office of Departure that Discrepancies Resolution Timer has Expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Office of Departure that Discrepancies Resolution Timer has Expired
Task Type	Send task
Description	A notification task to inform the Office of Departure (IE049) that the Timer "Discrepancies resolution" has expired.
Actor	NCTS
Input Data	Result Of Identify If Discrepancies Have Been Resolved Awaiting For Discrepancies Resolution Timer Expiry Date C_DES_CON

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Output Data	
Previous Objects	Record Time Limit Discrepancies Resolution expiration
Information Exchange	Discrepancies Solved Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.454: Task Notify Office of Departure that Discrepancies Resolution Timer has Expired

Task Record Discrepancy Solution Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Discrepancy Solution Results
Task Type	Service task
Description	The assessment provided by the Customs Office of Destination about the resolution of major discrepancies is recorded in NCTS - linked with the MRN.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies
Input Data	Discrepancy Solution Results
Output Data	Discrepancy Solution Results
Previous Objects	Register Discrepancy Solution Results
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.455: Task Record Discrepancy Solution Results

Task Notify Office of Destination that discrepancies have been resolved by Office of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Notify Office of Destination that discrepancies have been resolved by Office of Departure
Task Type	Send task
Description	A notification task to inform the Office of Destination (IE049) that the major discrepancies have been resolved by the Office of Departure.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies
Input Data	Result Of Identify If Discrepancies Have Been Resolved C_DES_CON Discrepancy Solution Results
Output Data	
Previous Objects	Have the discrepancies been resolved?
Information Exchange	Discrepancies Solved Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.456: Task Notify Office of Destination that discrepancies have been resolved by Office of Departure

Task Stop Timer Discrepancies Resolution

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Timer Discrepancies Resolution
Task Type	Service task
Description	The Timer "Discrepancies resolution" stops.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies
Input Data	Write-Off-Date MRN Result Of Identify If Discrepancies Have Been Resolved
Output Data	Expired Calendar Days of the Time Limit 'Discrepancies Resolution' Write-Off-Date
Previous Objects	Have the discrepancies been resolved?

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Information Exchange	
Requirements	TRA_FUR_0187 TRA_FUR_0009
Data Set	
Events	
State	

Table 5.457: Task Stop Timer Discrepancies Resolution

Task Identify If Discrepancies resolved after expiration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Discrepancies resolved after expiration
Task Type	Task
Description	An identification task to check if the major discrepancies have been resolved.
Actor	NCTS
Input Data	MRN Discrepancy Solution Results
Output Data	Result Of Identify If Discrepancies Have Been Resolved
Previous Objects	Record Discrepancy Solution Results
Information Exchange	
Requirements	TRA_FUR_0159
Data Set	
Events	
State	

Table 5.458: Task Identify If Discrepancies resolved after expiration

Task Write-Off Transit Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Write-Off Transit Movement
Task Type	Service task
Description	When there are minor or no discrepancies detected then the system records

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	the write-off of the transit movement.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-03-10-Solve Discrepancies
Input Data	MRN C_DES_CON C_DIS_NOT
Output Data	Transit Operation
Previous Objects	Notify Office of Destination that discrepancies have been resolved by Office of Departure
Information Exchange	
Requirements	TRA_FUR_0064
Data Set	Transit Operation
Events	Solution On Discrepancies Recorded Destination Control Results Is Received
State	Movement Written Off

Table 5.459: Task Write-Off Transit Movement

Task Notify Holder Of Transit Procedure Of Write-Off Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of Transit Procedure Of Write-Off Notification
Task Type	Send task
Description	When 'Write-off' is recorded for a Transit Movement, a notification task where a message (IE045) will automatically be sent to the Holder of the Transit Procedure informing him/her that the movement is written-off.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-03-10-Solve Discrepancies
Input Data	E_DEC_DAT C_DES_CON Transit Operation
Output Data	

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Previous Objects	Write-Off Transit Movement
Information Exchange	Write-Off Notification
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.460: Task Notify Holder Of Transit Procedure Of Write-Off Notification

Task Notify Office of Destination that discrepancies have been resolved by Office of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Office of Destination that discrepancies have been resolved by Office of Departure
Task Type	Send task
Description	A notification task to inform the Office of Destination (IE049) that the major discrepancies have been resolved by the Office of Departure.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies
Input Data	Result Of Identify If Discrepancies Have Been Resolved C_DES_CON Discrepancy Solution Results
Output Data	
Previous Objects	Have The Discrepancies Resolved After Expiration?
Information Exchange	Discrepancies Solved Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.461: Task Notify Office of Destination that discrepancies have been resolved by Office of Departure

Task Release Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Release Guarantee
Task Type	Send task
Description	A notification task to release the Guarantee maintained by GMS by sending the Guarantee Use Cancellation (IE204) message to the Office of Guarantee.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-03-10-Solve Discrepancies
Input Data	C_GUA_USR
Output Data	
Previous Objects	Notify Holder Of Transit Procedure Of Write-Off Notification
Information Exchange	Guarantee Use Cancellation
Requirements	TRA_FUR_0127
Data Set	
Events	
State	

Table 5.462: Task Release Guarantee

Task Write-Off Transit Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Write-Off Transit Movement
Task Type	Service task
Description	When there are minor or no discrepancies detected then the system records the write-off of the transit movement.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-03-10-Solve Discrepancies
Input Data	MRN C_DES_CON C_DIS_NOT
Output Data	Transit Operation
Previous Objects	Notify Office of Destination that discrepancies have been resolved by

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PROCESS MODELS

	Office of Departure
Information Exchange	
Requirements	TRA_FUR_0064
Data Set	Transit Operation
Events	Solution On Discrepancies Recorded Destination Control Results Is Received
State	Movement Written Off

Table 5.463: Task Write-Off Transit Movement

Task Stop Timer Discrepancies Resolution

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Timer Discrepancies Resolution
Task Type	Service task
Description	The Timer "Discrepancies resolution" stops.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-10-Solve Discrepancies
Input Data	Write-Off-Date MRN Result Of Identify If Discrepancies Have Been Resolved
Output Data	Expired Calendar Days of the Time Limit 'Discrepancies Resolution' Write-Off-Date
Previous Objects	Release Guarantee
Information Exchange	
Requirements	TRA_FUR_0187 TRA_FUR_0009
Data Set	
Events	
State	

Table 5.464: Task Stop Timer Discrepancies Resolution

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Task Notify Holder Of Transit Procedure Of Write-Off Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of Transit Procedure Of Write-Off Notification
Task Type	Send task
Description	When 'Write-off' is recorded for a Transit Movement, a notification task where a message (IE045) will automatically be sent to the Holder of the Transit Procedure informing him/her that the movement is written-off.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-03-10-Solve Discrepancies
Input Data	E_DEC_DAT C_DES_CON Transit Operation
Output Data	
Previous Objects	Write-Off Transit Movement
Information Exchange	Write-Off Notification
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.465: Task Notify Holder Of Transit Procedure Of Write-Off Notification

Task Stop Time Limit -Recovery Recommended-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Recovery Recommended-
Task Type	Service task
Description	When the time limit 'Recovery recommended' is not expired, the timer is automatically stopped. Final situation : The timer 'Recovery recommended' is stopped.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-09-01-Decide About Transfer Of Competency L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure

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PROCESS MODELS

	L4-TRA-01-08-06-Send Information To Involved Parties L4-TRA-01-03-10-Solve Discrepancies
Input Data	Transit Operation Destination Control Results Received Date Recovery Recommended Expiry Date
Output Data	Expired Calendar Days of the Time Limit 'Recovery Recommended' Recovery Recommended Expiry Date
Previous Objects	Stop Timer Discrepancies Resolution
Information Exchange	
Requirements	TRA_FUR_0101
Data Set	
Events	
State	

Table 5.466: Task Stop Time Limit -Recovery Recommended-

Task Release Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Release Guarantee
Task Type	Send task
Description	A notification task to release the Guarantee maintained by GMS by sending the Guarantee Use Cancellation (IE204) message to the Office of Guarantee.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-03-10-Solve Discrepancies
Input Data	C_GUA_USR
Output Data	
Previous Objects	Notify Holder Of Transit Procedure Of Write-Off Notification
Information Exchange	Guarantee Use Cancellation
Requirements	TRA_FUR_0127
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.467: Task Release Guarantee

Task Stop Time Limit -Recovery Recommended-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Recovery Recommended-
Task Type	Service task
Description	When the time limit 'Recovery recommended' is not expired, the timer is automatically stopped. Final situation : The timer 'Recovery recommended' is stopped.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-09-01-Decide About Transfer Of Competency L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure L4-TRA-01-08-06-Send Information To Involved Parties L4-TRA-01-03-10-Solve Discrepancies
Input Data	Transit Operation Destination Control Results Received Date Recovery Recommended Expiry Date
Output Data	Expired Calendar Days of the Time Limit 'Recovery Recommended' Recovery Recommended Expiry Date
Previous Objects	Release Guarantee
Information Exchange	
Requirements	TRA_FUR_0101
Data Set	
Events	
State	

Table 5.468: Task Stop Time Limit -Recovery Recommended-

Task Notify Office of Destination that discrepancies have not been resolved by Office of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Office of Destination that discrepancies have not been resolved by

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	Office of Departure
Task Type	Send task
Description	The Office of Destination notifies the Office of Departure (IE049) that major discrepancies are not resolved and recovery process is justified.
Actor	NCTS
Input Data	C_DES_CON Result Of Identify If Discrepancies Have Been Resolved
Output Data	
Previous Objects	Have The Discrepancies Resolved After Expiration? Stop Timer Discrepancies Resolution
Information Exchange	Discrepancies Solved Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.469: Task Notify Office of Destination that discrepancies have not been resolved by Office of Departure

Event Movement Written Off

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Written Off
Event Type	End event
Description	The movement is written-off. The process ends.
Appears also in the following processes	L4-TRA-01-08-Handle Recovery - Recovery Procedure Option A L4-TRA-01-03-09-Handle Destination Control Results
Previous Objects	Stop Time Limit -Recovery Recommended- Stop Time Limit -Recovery Recommended-

Table 5.470: Event Movement Written Off

Event Recovery is Recommended

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery is Recommended
Event Type	End event
Description	Recovery process is recommended. The process ends.

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PROCESS MODELS

Previous Objects	Notify Office of Destination that discrepancies have not been resolved by Office of Departure
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Table 5.471: Event Recovery is Recommended

5.32. Process L4-TRA-01-03-11-Record Control Results

5.32.1. Objective of Process

The process starts when the Customs Office of Destination has to record the results of a control after he/she returns from the control of the goods or (only under Simplified Procedure) unloading is completed with remarks but no control is decided.

In case of control, if major discrepancies are discovered, the Customs Officer at the Customs Office of Destination decides whether the goods can be partially released to the next procedure or not. The Customs Office of Destination will inform the Trader at Destination about the partial/no release of the goods. Even though some goods may be released to the next procedure / activity, the Customs Office of Departure needs to resolve the major discrepancies. The process ends.

When there are no or minor discrepancies, then the Office of Destination fully release the goods for the next procedure / activity.

5.32.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-03-11
Full Name	Record Control Results
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.472: Process Details

5.32.3. Tasks and Events

Event Control Of Goods Performed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Of Goods Performed
Event Type	Conditional start event
Description	When Control of Goods is performed, the process is initiated.
Previous Objects	

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PROCESS MODELS

Table 5.473: Event Control Of Goods Performed

Task Register Results Of Control Of The Goods

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Results Of Control Of The Goods
Task Type	User task
Description	When the Customs Officer controls the consignment and the supporting documents he/she registers the Results of the Control into the system. Final situation : Seals, documents and consignment are controlled, this information is registered in NCTS.
Actor	Customs Officer
Input Data	Transit Operation
Output Data	Control Result Code Result Check If Discrepancies Are Detected Consignment Item
Previous Objects	Control Of Goods Performed
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.474: Task Register Results Of Control Of The Goods

Event Completion With Unloading Remarks And No control Of Goods

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Completion With Unloading Remarks And No control Of Goods
Event Type	Conditional start event
Description	The process is initiated by the relevant end event of the process 'Decide To Control Unloaded Goods'.
Previous Objects	

Table 5.475: Event Completion With Unloading Remarks And No control Of Goods

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PROCESS MODELS

Task Record Results Of Control Of The Goods

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Results Of Control Of The Goods
Task Type	Service task
Description	When Results of the Control are registered, the data elements following this registration are automatically recorded in the System - linked to the MRN.
Actor	NCTS
Input Data	Result Check If Discrepancies Are Detected Control Result Code Transit Operation
Output Data	Control Result Code Result Check If Discrepancies Are Detected
Previous Objects	Register Results Of Control Of The Goods
Information Exchange	
Requirements	TRA_FUR_0009 TRA_FUR_0072
Data Set	
Events	
State	

Table 5.476: Task Record Results Of Control Of The Goods

Task Identify If Results Of Control Are Satisfactory

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Results Of Control Are Satisfactory
Task Type	Service task
Description	When the results of control are recorded in the system, the System automatically identifies whether or not these results are with major discrepancies.
Actor	NCTS
Input Data	Result Check Results Of Control Are Satisfactory
Output Data	Result Identify If Results Of Control Are Satisfactory C_DES_CON
Previous Objects	Record Results Of Control Of The Goods

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PROCESS MODELS

Information Exchange	
Requirements	TRA_FUR_0072
Data Set	
Events	
State	

Table 5.477: Task Identify If Results Of Control Are Satisfactory

Task Notify Office Of Departure Of Negative Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Office Of Departure Of Negative Control Results
Task Type	Send task
Description	When the System automatically identifies that the control results contain major discrepancies, then a notification is sent to the Office of Departure to inform them about the negative control results.
Actor	NCTS
Input Data	Consignment Item Control Result Code
Output Data	Transit Operation
Previous Objects	Are There Discrepancies?
Information Exchange	Destination Control Results
Requirements	TRA_FUR_0023
Data Set	Transit Operation
Events	Office Of Departure Is Notified Of Destination Control Results B1
State	Waiting For Discrepancies Resolution

Table 5.478: Task Notify Office Of Departure Of Negative Control Results

Task Identify If Any Goods Can Be Partially Released

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Any Goods Can Be Partially Released

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Task Type	Service task
Description	Identification task to check whether some goods can be partially released to the next procedure.
Actor	NCTS
Input Data	Result Check If It Can Be Partially Released
Output Data	Result Identify If It Can Be Partially Released Transit Operation
Previous Objects	Notify Office Of Departure Of Negative Control Results
Information Exchange	
Requirements	TRA_FUR_0168
Data Set	
Events	
State	

Table 5.479: Task Identify If Any Goods Can Be Partially Released

Task Notify Customs Office Of Departure Of Destination Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Departure Of Destination Control Results
Task Type	Send task
Description	A notification task where the Actual Customs Office of Destination send the destination control results message (IE018) to the Office of Departure.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-05-Decide To Control Unloaded Goods
Input Data	C_DES_CON
Output Data	
Previous Objects	Are There Discrepancies? Completion With Unloading Remarks And No control Of Goods
Information Exchange	Destination Control Results
Requirements	TRA_FUR_0023
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.480: Task Notify Customs Office Of Departure Of Destination Control Results

Task Notify Trader At Destination Of No Release

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Trader At Destination Of No Release
Task Type	Send task
Description	Notification task to inform the Trader at Destination of no partial release of goods(IE025) is allowed until the resolution of the major discrepancies.
Actor	NCTS
Input Data	Transit Operation Result Identify If It Can Be Partially Released
Output Data	
Previous Objects	Can Goods Be Partially Released?
Information Exchange	Goods Release Notification
Requirements	TRA_FUR_0165
Data Set	
Events	
State	

Table 5.481: Task Notify Trader At Destination Of No Release

Task Notify Trader At Destination Of Full Release Of Goods

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Trader At Destination Of Full Release Of Goods
Task Type	Send task
Description	Notification task to inform the Trader at Destination of full release of goods from transit (IE025).
Actor	NCTS
Input Data	C_DES_CON
Output Data	
Previous Objects	Notify Customs Office Of Departure Of Destination Control Results

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Information Exchange	Goods Release Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.482: Task Notify Trader At Destination Of Full Release Of Goods

Task Notify Trader At Destination Of Partial Release

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Trader At Destination Of Partial Release
Task Type	Send task
Description	Notification task to inform the Trader at Destination that partial release of goods (IE025) is allowed.
Actor	NCTS
Input Data	Transit Operation Result Identify If It Can Be Partially Released
Output Data	
Previous Objects	Can Goods Be Partially Released?
Information Exchange	Goods Release Notification
Requirements	TRA_FUR_0165
Data Set	
Events	
State	

Table 5.483: Task Notify Trader At Destination Of Partial Release

Event Goods Released From Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Goods Released From Transit
Event Type	End event
Description	The Goods are released for Transit and the process ends.
Appears also in the following processes	L4-TRA-01-03-Process Arrival

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PROCESS MODELS

Previous Objects	Notify Trader At Destination Of Full Release Of Goods
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Table 5.484: Event Goods Released From Transit

Event Resolution Of The Major Discrepancies Is Expected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Resolution Of The Major Discrepancies Is Expected
Event Type	End event
Description	The resolution of the major discrepancies is expected. The process ends.
Previous Objects	Notify Trader At Destination Of Partial Release Notify Trader At Destination Of No Release

Table 5.485: Event Resolution Of The Major Discrepancies Is Expected

5.33. Process L4-TRA-01-03-12-Release Goods From Transit After Resolution Of Discrepancies

5.33.1. Objective of Process

When Destination Control Results are communicated to the Customs Office of Departure, and in case of major discrepancies, the Customs Office of Destination is notified (IE049) by the Customs Office of Departure that the discrepancies for the movement are solved or not at the Customs Office of Departure.

5.33.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-03-12
Full Name	Release Goods From Transit After Resolution Of Discrepancies
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.486: Process Details

5.33.3. Tasks and Events

Event Wait For Discrepancies Resolution

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Wait For Discrepancies Resolution
Event Type	Start event
Description	The Actual Office of Destination handles the reception of the Discrepancies resolution notification (IE049). The process starts.
Previous Objects	

Table 5.487: Event Wait For Discrepancies Resolution

Task Record Discrepancies Solved Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Discrepancies Solved Notification
Task Type	Service task
Description	The received message (IE049) is registered, the data elements following this registration are automatically recorded in the System - linked to the MRN.
Actor	NCTS
Input Data	
Output Data	C_DIS_SOL
Previous Objects	Receive Discrepancies Solved Notification
Information Exchange	Discrepancies Solved Notification
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.488: Task Record Discrepancies Solved Notification

Event Receive Discrepancies Solved Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Discrepancies Solved Notification
Event Type	Message intermediate event (catch)
Description	The Discrepancies resolution notification (IE049) is received.
Previous Objects	Wait For Discrepancies Resolution Customs Office Of Departure

Table 5.489: Event Receive Discrepancies Solved Notification

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Task Identify If Discrepancies Have Been Resolved

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Discrepancies Have Been Resolved
Task Type	Service task
Description	An identification task to check if the major discrepancies have been resolved.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-12-Release Goods From Transit After Resolution Of Discrepancies L4-TRA-01-03-07-Store and Analyse Unloading Remarks [DEPRECATED] L4-TRA-01-03-10-Solve Discrepancies
Input Data	MRN Discrepancy Solution Results
Output Data	Transit Operation Result Of Identify If Discrepancies Have Been Resolved
Previous Objects	Record Discrepancies Solved Notification
Information Exchange	
Requirements	TRA_FUR_0159
Data Set	Transit Operation
Events	Discrepancies Solved Notification Is Received and Discrepancies Are Solved Discrepancies Solved Notification Is Received and Discrepancies Are Not Solved
State	Goods Released Under Recovery Decision

Table 5.490: Task Identify If Discrepancies Have Been Resolved

Event Discrepancies Solved Notification Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Discrepancies Solved Notification Is Received

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Event Type	Message intermediate event (catch)
Description	The Discrepancies resolution notification (IE049) is received.
Previous Objects	Have Discrepancies Been Resolved? Customs Office Of Departure

Table 5.491: Event Discrepancies Solved Notification Is Received

Event No Discrepancies resolution Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No Discrepancies resolution Is Received
Event Type	Conditional intermediate event
Description	No Discrepancies resolution message (IE049) is received
Previous Objects	Have Discrepancies Been Resolved?

Table 5.492: Event No Discrepancies resolution Is Received

Task Identify If Discrepancies Have Been Resolved

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Discrepancies Have Been Resolved
Task Type	Service task
Description	An identification task to check if the major discrepancies have been resolved.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-07-Store and Analyse Unloading Remarks [DEPRECATED] L4-TRA-01-03-12-Release Goods From Transit After Resolution Of Discrepancies L4-TRA-01-03-10-Solve Discrepancies
Input Data	MRN Discrepancy Solution Results
Output Data	Transit Operation Result Of Identify If Discrepancies Have Been Resolved
Previous Objects	Discrepancies Solved Notification Is Received
Information Exchange	

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Requirements	TRA_FUR_0159
Data Set	Transit Operation
Events	Discrepancies Solved Notification Is Received and Discrepancies Are Solved Discrepancies Solved Notification Is Received and Discrepancies Are Not Solved
State	Goods Released Under Recovery Decision

Table 5.493: Task Identify If Discrepancies Have Been Resolved

Task Notify Trader At Destination Of Goods Release

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Trader At Destination Of Goods Release
Task Type	Send task
Description	When 'Goods are ready for the next procedure or activity, a notification message (IE025) will automatically be sent to Trader at Destination informing him/her that the goods are ready for the next procedure/ activity.
Actor	NCTS
Input Data	Transit Operation
Output Data	Transit Operation
Previous Objects	Have Discrepancies Been Resolved? Have Discrepancies Been Resolved?
Information Exchange	Goods Release Notification
Requirements	TRA_FUR_0022
Data Set	Transit Operation
Events	Goods Release Notification Is Sent
State	Goods Released

Table 5.494: Task Notify Trader At Destination Of Goods Release

Event Under Recovery Decision

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Under Recovery Decision
Event Type	End event
Description	The Customs Office of Destination expects the Office of Departure to initiate recovery.
Previous Objects	Have Discrepancies Been Resolved? No Discrepancies resolution Is Received

Table 5.495: Event Under Recovery Decision

Event Goods Released

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Goods Released
Event Type	End event
Description	The goods are released from transit. The process ends.
Previous Objects	Notify Trader At Destination Of Goods Release

Table 5.496: Event Goods Released

5.34. Process L4-TRA-01-03-Process Arrival

5.34.1. Objective of Process

Process Arrival starts when the Customs Office of Destination receives the Arrival Notification (IE007) or when the movement has arrived at the Actual Customs Office of Destination. The arrival notification is rejected or accepted (described in process Acceptance of Arrival Notification). If rejected, the process finishes. If accepted, the Customs Officer registers the control decision. If simplifications apply, the Trader waits for the unloading permission, after the defined time, the goods are released for the next procedure. However, the Customs Officer can in any time stop the timer and ask for control of the goods, an activity which applies to the regular procedure as well.

After having controlled, the Customs Officer register the results of control of goods. If there are discrepancies detected, the Customs Office of Departure is notified on this. After receiving a notification that the discrepancies are solved, the Trader at Destination is notified on the release from transit. The Customs Office of Departure receives destination control results (IE018), it handles the results (process: Handle Destination Control Results) and it notifies the Actual Customs Office of Destination if discrepancies are solved. In case the discrepancies are not solved, the Office of Departure notifies the Office of Destination, which expects the recovery decision.

The final situation of this process is:

- Goods released from transit
- Arrival Notification is rejected
- AAR rejection is notified.

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5.34.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-03
Full Name	Process Arrival
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.497: Process Details

5.34.3. Tasks and Events

Task Process Arrival Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Arrival Notification
Task Type	Call activity (collapsed)
Description	<p>When an IE007 Arrival notification is received or Customs office of Incident Registration is considered to be the Actual Customs y Office of Destination or Movement Information is received, then the process is initiated.</p> <p>In case an IE007 is received it is validated and in the case it is invalid, the Trader is informed of the Arrival Notification rejection and the process ends.</p> <p>Otherwise, the system requests the movement information and if the information is not already known then it requests the information from the Customs Office of Departure.</p> <p>If the movement information is known then it identifies whether the movement is under the simplified procedure. If so, the system automatically starts the timer to wait for automatic unloading permission and informs the Customs Office of Departure that the Arrival is recorded.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Process Incident Receive Arrival Notification Arrival At Actual Office Of Destination

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.498: Task Process Arrival Notification

Event Receive Arrival Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Arrival Notification
Event Type	Message start event
Description	The consignment has reached its destination. The consignment may either be at the Customs Office of Destination or in some other place called the 'goods place' which will be specified to the Customs Office of Destination. If the consignment is under the responsibility of an Authorised Consignee, the goods lay in the goods place.
Previous Objects	Premises Of The Trader At Destination

Table 5.499: Event Receive Arrival Notification

Event Arrival At Actual Office Of Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival At Actual Office Of Destination
Event Type	Conditional start event
Description	The movement has arrived at Actual Customs Office of Destination.
Appears also in the following processes	L4-TRA-01-03-01-Process Arrival Notification
Previous Objects	

Table 5.500: Event Arrival At Actual Office Of Destination

Event AAR Is Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	AAR Is Requested
Event Type	Conditional intermediate event
Description	Anticipated Arrival Record is requested.
Previous Objects	Process Arrival Notification

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Table 5.501: Event AAR Is Requested

Event Arrival Notification Is Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival Notification Is Rejected
Event Type	End event
Description	The Trader is notified of the fact that the presentation is not valid.
Appears also in the following processes	L4-TRA-01-03-01-Process Arrival Notification
Previous Objects	Is Arrival Notification Valid?

Table 5.502: Event Arrival Notification Is Rejected

Task Handle AAR Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle AAR Response
Task Type	Call activity (collapsed)
Description	<p>When the Customs Office of Destination receives (IE003) the answer from the Customs Office of Departure about a Transit movement and the answer does not contain the requested AAR, NCTS rejects the arrival and notifies (IE021) the Trader about the rejection.</p> <p>NCTS identifies if goods are diverted to the Customs Office of First Entry, which is in the same time Customs Office of Destination. NCTS checks whether the AAR Information was received immediately. If this was done, then the risk analysis results should influence the Custom's decision to control the consignment or not when it is presented.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Handle AAR Request AAR Is Requested
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.503: Task Handle AAR Response

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Task Identify If Simplifications For Authorised Consignee Apply

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Simplifications For Authorised Consignee Apply
Task Type	Service task
Description	When needed, the System will automatically check if Simplifications for Authorised Consignee apply. Final situation: The result is positive: Simplifications for Authorised Consignee apply. The result is negative: Simplifications for Authorised Consignee do not apply.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-01-Process Arrival Notification
Input Data	MRN Simplified Procedure Flag
Output Data	Result Identify If Simplifications For Authorised Consignee Apply
Previous Objects	Is Arrival Notification Valid?
Information Exchange	
Requirements	TRA_FUR_0134
Data Set	
Events	
State	

Table 5.504: Task Identify If Simplifications For Authorised Consignee Apply

Event Movement Information Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Is Received
Event Type	End event
Description	The movement information is received and the process 'Process Arrival ' is triggered. The current process ends.
Appears also in the following processes	L4-TRA-01-03-01-Process Arrival Notification L4-TRA-01-03-04-Process Arrival - Handle AAR Response
Previous Objects	Is Movement Information Received?

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PROCESS MODELS

Table 5.505: Event Movement Information Is Received

Task Decide To Control Unloaded Goods

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Decide To Control Unloaded Goods
Task Type	Call activity (collapsed)
Description	The process starts when simplifications apply for the Authorised Consignee and the Customs Officer must decide whether the Goods are to be controlled or not. The system records the Customs Officer decision and stops the timer 'Wait for Automatic unloading permission'. When the Customs Officer decides not to control and the unloading is completed, NTCS notifies the Customs Officer at the Customs Office of Destination that he has to analyse the unloading remarks in order to translate them into controls results of type “A” or “B”. If the unloading is not completed then the Authorised consignee is notified of a Unloading permission.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Is The Unloading Completed? Do Simplifications Apply For Authorised Consignee?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.506: Task Decide To Control Unloaded Goods

Event Time Limit -Wait For Automatic Unloading Permission- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit -Wait For Automatic Unloading Permission- Expired
Event Type	Timer intermediate event
Description	The Customs Officer at Destination didn't take the decision to control the goods within the agreed time period, so the time limit 'wait for automatic unloading permission' expired.
Previous Objects	Decide To Control Unloaded Goods

Table 5.507: Event Time Limit -Wait For Automatic Unloading Permission- Expired

Event Rejection from Office of Destination Is Sent

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PROCESS MODELS

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejection from Office of Destination Is Sent
Event Type	End event
Description	The Anticipated Arrival Record (IE003) is rejected and the Trader at Destination has been notified. The process ends.
Appears also in the following processes	L4-TRA-01-03-04-Process Arrival - Handle AAR Response
Previous Objects	Is There Deviation From Binding Itinerary?

Table 5.508: Event Rejection from Office of Destination Is Sent

Event Control Of Goods Is To Be Performed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Of Goods Is To Be Performed
Event Type	End event
Description	The process ends with the decision to perform control the goods.
Previous Objects	Goods To Be Controlled?

Table 5.509: Event Control Of Goods Is To Be Performed

Event Movement Turned Back

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Turned Back
Event Type	End event
Description	When the transit movement is not allowed by the Customs Office of Destination to deviate from its binding itinerary, the transit movement needs to turn back and follow its binding itinerary. The process stops.
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit L4-TRA-01-03-04-Process Arrival - Handle AAR Response L4-TRA-01-04-Process Movement At Customs Office Of Transit L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Previous Objects	Can Transit Movement Deviate From Itinerary?

Table 5.510: Event Movement Turned Back

Task Force Unloading Permission

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Force Unloading Permission
Task Type	Call activity (collapsed)
Description	When the Customs Officer at Destination didn't take the decision to control the goods within the agreed time period, the process is initiating. NCTS notifies the Trader that he can start the unloading of the goods and records the unloading permission.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Time Limit -Wait For Automatic Unloading Permission- Expired
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.511: Task Force Unloading Permission

Task Process Incident

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Incident
Task Type	Call activity (collapsed)
Description	<p>During the movement of goods under the Union or common transit procedure, incidents En-Route can happen. For instance, we may have one or more of the following incidents:</p> <p>Code 1: The carrier is obliged to deviate from the itinerary prescribed in accordance with Article 298 of UCC/IA Regulation due to circumstances beyond his control.</p> <p>Code 2: Seals are broken or tampered with in the course of a transport operation for reasons beyond the carrier's control.</p> <p>Code 3: Under the supervision of the customs authority, goods are transferred from one means of transport to another means of transport.</p> <p>Code 4: Imminent danger necessitates immediate partial or total unloading of the sealed means of transport.</p> <p>Code 5: There is an incident which may affect the ability of the Holder of the procedure or the carrier to comply with his obligations.</p> <p>Code 6: Any of the elements constituting a single means of transport as referred to in Article 296(2) UCC/IA is changed.</p>

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	<p>The carrier presents the goods together with the MRN of the transit declaration to the nearest customs Office of the National Administration in whose territory the means of transport is located at that time. This customs Office is named the Office of Incident Registration. If the nearest Customs Office for the registration of incident(s) is also involved in the movement as Customs Office of Transit or as Customs Office of Exit for Transit or as Customs Office of Destination, then this Customs Office acts first as a Customs Office of Incident Registration and then as involved Customs Office of the movement.</p> <p>The nearest Customs Office for the registration of incident(s) should be located in the same Contracting Party as the place where the incident occurred. Additionally, the Office of Departure should be able to accept the 'Incident Notification' (IE180) message that would have been prepared before the 'Destination Control Results' (IE018) message was sent but is received by Office of Departure after the 'Destination Control Results' (IE018) message is received.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit L4-TRA-01-04-Process Movement At Customs Office Of Transit
Input Data	
Output Data	
Previous Objects	Can Transit Movement Deviate From Itinerary?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.512: Task Process Incident

Task Decide To Control

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Decide To Control
Task Type	Call activity (collapsed)
Description	<p>The process is started when an Arrival Notification is accepted under the Normal procedure. The Customs Officer registers his decision whether to control or not the Goods. If the decision is 'Goods to be Controlled' then a manual control of the goods is to be performed. Otherwise, the Customs Office of Departure is notified that the control results of the Customs Office of Departure are considered satisfactory.</p>

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Actor	NCTS
Input Data	
Output Data	
Previous Objects	Do Simplifications Apply For Authorised Consignee?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.513: Task Decide To Control

Event Trader At Destination Notified Of Unloading Permission

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Trader At Destination Notified Of Unloading Permission
Event Type	End event
Description	The Trader at destination is notified of the unloading permission and the process ends.
Appears also in the following processes	L4-TRA-01-03-06-Force Unloading Permission
Previous Objects	Is The Unloading Completed?

Table 5.514: Event Trader At Destination Notified Of Unloading Permission

Task Handle AAR Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle AAR Request
Task Type	Call activity (collapsed)
Description	The process is initiated by the end event of the process 'Process Arrival Notification' when Anticipated Arrival Record is requested by the Customs Office of Destination. The system records the request (IE002) and then checks if incident information is available for the particular movement. If yes, the movement information is updated with the Incident information. The Office of departure finally notifies the Customs Office of Destination with an IE003 of the full information package available for the movement.
Actor	NCTS
Input Data	
Output Data	

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Previous Objects	AAR Requested
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.515: Task Handle AAR Request

Event AAR Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	AAR Requested
Event Type	Message start event
Description	The Country of Departure is asked (IE002) by the Customs Office of Destination to provide information about a movement. NCTS retrieves the movement information referenced by the MRN brought in the received information.
Appears also in the following processes	L4-TRA-01-03-02-Process Arrival - Handle AAR Request
Previous Objects	Process Arrival Notification

Table 5.516: Event AAR Requested

Task Store And Forward Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store And Forward Arrival Advice
Task Type	Call activity (collapsed)
Description	<p>The process starts when the Customs Office of Departure is notified (IE006) about the acceptance of an arrival by the Customs Office of Destination. NCTS records the arrival or diversion of the consignment.</p> <p>NCTS notifies (IE024) the declared Customs Office of Destination (in case of diversion) and the declared Offices of Transit (which have not notified that the consignment has crossed the frontier) related to the movement of the arrival at destination</p> <p>If the case of diversion, NCTS notifies the Customs Officer that the movement has ended in a Contracting Party other than the one declared so that the Customs Office of Departure can take, where necessary, measures to regularize the situation.</p> <p>In case of use of Guarantee codes '0' and '1', NCTS asks the Customs office of Guarantee to credit the reference amount.</p>

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	Final situation : The Customs Office of Departure is notified of the arrival of the movement at destination; the credit of the reference amount is asked.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Arrival Advice Is Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.517: Task Store And Forward Arrival Advice

Event Arrival Advice Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival Advice Is Received
Event Type	Message start event
Description	The Customs Office of Departure is notified that the arrival is accepted.
Appears also in the following processes	L4-TRA-01-03-03-Store and Forward Arrival Advice
Previous Objects	Process Arrival Notification

Table 5.518: Event Arrival Advice Is Received

Event AAR Response Is Notified To Office Of Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	AAR Response Is Notified To Office Of Destination
Event Type	End event
Description	Anticipated Arrival Record is notified to the Customs Office of Destination. The process ends.
Previous Objects	Handle AAR Request

Table 5.519: Event AAR Response Is Notified To Office Of Destination

Event Destination Control Results Communicated To Customs Office Of Departure

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Destination Control Results Communicated To Customs Office Of Departure
Event Type	End event
Description	The control results of the Customs Office of Destination are communicated to the Customs Office of Departure. The process ends.
Appears also in the following processes	L4-TRA-01-03-07-Store and Analyse Unloading Remarks [DEPRECATED] L4-TRA-01-03-Process Arrival
Previous Objects	Goods To Be Controlled?

Table 5.520: Event Destination Control Results Communicated To Customs Office Of Departure

Event Arrival Advice Is Communicated To Relevant Actors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival Advice Is Communicated To Relevant Actors
Event Type	End event
Description	Arrival Advice Is Communicated To Relevant Actors. The process ends.
Previous Objects	Store And Forward Arrival Advice

Table 5.521: Event Arrival Advice Is Communicated To Relevant Actors

Event Destination Control Results Communicated To Customs Office Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Destination Control Results Communicated To Customs Office Of Departure
Event Type	End event
Description	The control results of the Customs Office of Destination are communicated to the Customs Office of Departure. The process ends.
Appears also in the following processes	L4-TRA-01-03-07-Store and Analyse Unloading Remarks [DEPRECATED] L4-TRA-01-03-Process Arrival
Previous Objects	Is The Unloading Completed?

Table 5.522: Event Destination Control Results Communicated To Customs Office Of Departure

Event Destination Control Results Are Received

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Destination Control Results Are Received
Event Type	Message start event
Description	An IE018 is received.
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Previous Objects	Decide To Control Unloaded Goods

Table 5.523: Event Destination Control Results Are Received

Task Record Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Results
Task Type	Call activity (collapsed)
Description	<p>The process starts when the Customs Officer at the Customs Office of Destination has to record the results of a control after he returns from the control of the goods (IE120) or (only under Simplified Procedure) he has to translate unloading remarks received (IE044) from an Authorised Consignee into control results of type A (satisfactory) or B (discrepancies found).</p> <p>In case of control results of type B (Discrepancies), the Customs Officer at the Customs Office of Destination may decide on his own to wait for the resolution of the discrepancies at the Customs Office Of Departure before releasing the goods. The Customs Office of Departure will be informed if the Customs Office of Destination decided so.</p> <p>When there are no discrepancies or the Customs Officer decides not to wait for the discrepancies resolution at the Customs Office of Departure, then NCTS records that the goods are ready for the next procedure / activity.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Is The Unloading Completed? Goods To Be Controlled?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.524: Task Record Control Results

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Event Control Results Are Received From Competent Authority At Destination and Arrival Processing Is To Be Resumed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Results Are Received From Competent Authority At Destination and Arrival Processing Is To Be Resumed
Event Type	Conditional start event
Description	<p>After receiving a status response from the Competent Authority at departure, if the state does not correspond and an IE018 has been received the Arrival processing is resumed.</p> <p>Final situation : The movement can be written off.</p> <p>After receiving a IE143 containing Code 3 (Return Copy returned on) from the Competent Authority at departure and (Paper) control result arrived, arrival process can be resumed, all involved offices are informed about the closing.</p>
Previous Objects	

Table 5.525: Event Control Results Are Received From Competent Authority At Destination and Arrival Processing Is To Be Resumed

Event Destination Control Results Are Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Destination Control Results Are Received
Event Type	Message start event
Description	An IE018 is received.
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Previous Objects	Decide To Control

Table 5.526: Event Destination Control Results Are Received

Event Destination Control Results Are Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Destination Control Results Are Received
Event Type	Message start event
Description	An IE018 is received.
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Previous Objects	Record Control Results

Table 5.527: Event Destination Control Results Are Received

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Task Release Goods From Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Release Goods From Transit
Task Type	Call activity (collapsed)
Description	The process starts when the Customs Office of Destination is notified (IE020) by the Customs Office of Departure that the discrepancies for the movement are solved or not at the Customs Office of Departure. The system records that the goods are ready for the next procedure / activity. In case of internal union transit, the goods are released from transit and the system notifies (IE025) the Trader of that fact.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Solve Discrepancies Record Control Results
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.528: Task Release Goods From Transit

Event Forwarded Arrival Advise Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Forwarded Arrival Advise Is Received
Event Type	Message start event
Description	The declared Customs Office of Transit/Destination, related to the movement, is notified (IE024) by the Customs Office of Departure.
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Previous Objects	Store And Forward Arrival Advice

Table 5.529: Event Forwarded Arrival Advise Is Received

Task Record Forwarded Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Forwarded Arrival Advice

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Task Type	Service task
Description	When an IE024 is registered, the data elements following this registration are automatically recorded in the NCTS System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Input Data	
Output Data	C_FWD_ARR Transit Operation
Previous Objects	Forwarded Arrival Advise Is Received
Information Exchange	Forwarded Arrival Advice
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Forwarded Arrival Advise Is Received
State	Arrived

Table 5.530: Task Record Forwarded Arrival Advice

Task Handle Destination Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Destination Control Results
Task Type	Call activity (collapsed)
Description	<p>The Customs Office of Departure receives (IE018) the control results from the Customs Office of Destination. Based on the MRN brought in the control results, NCTS records these control results together with the incidents if they occurred and the timer “Awaiting Receipt Of Control Results” is stopped.</p> <p>When there are discrepancies detected then :</p> <ul style="list-style-type: none"> · The Customs Officer or NCTS records that the Holder of Transit procedure responsibility is extended and notifies (IE019) the Guarantor and the Holder of Transit procedure about their extended responsibility. · When the Customs Officer at the Customs Office of Destination decided to wait for the discrepancies resolution before releasing of the goods, NCTS notifies the Customs Officer at Departure that the Customs Office of Destination is waiting for the discrepancies resolution.

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	<p>When there are no discrepancies detected then :</p> <ul style="list-style-type: none"> · The Customs Officer or NCTS records the write-off. · NCTS asks the release of the guarantee; · When an enquiry was in progress, NCTS notifies (IE045) the the Holder of the Transit procedure and the Guarantor that the movement is written-off. <p>When an enquiry is in progress about the movement, NCTS records the cancellation of the enquiry and notifies (IE059) all Customs Offices (except the one which sent the control results) that were requested to answer to the enquiry about the cancellation.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	<p>Destination Control Results Are Received</p> <p>Control Results Are Received From Competent Authority At Destination</p> <p>and Arrival Processing Is To Be Resumed</p> <p>Destination Control Results Are Received</p> <p>Destination Control Results Are Received</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.531: Task Handle Destination Control Results

Event Declared Customs Office of Destination Handles Forwarded Arrival Advise

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declared Customs Office of Destination Handles Forwarded Arrival Advise
Event Type	End event
Description	The AAR notification is handled at the declared office of destination.
Previous Objects	Record Forwarded Arrival Advice

Table 5.532: Event Declared Customs Office of Destination Handles Forwarded Arrival Advise

Event Goods Released From Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Goods Released From Transit
Event Type	End event

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Description	The Goods are released for Transit and the process ends.
Appears also in the following processes	L4-TRA-01-03-11-Record Control Results
Previous Objects	Release Goods From Transit

Table 5.533: Event Goods Released From Transit

Task Record Forwarded Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Forwarded Arrival Advice
Task Type	Service task
Description	When an IE024 is registered, the data elements following this registration are automatically recorded in the NCTS System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Input Data	
Output Data	C_FWD_ARR Transit Operation
Previous Objects	Forwarded Arrival Advise Is Received
Information Exchange	Forwarded Arrival Advice
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Forwarded Arrival Advise Is Received
State	Arrived

Table 5.534: Task Record Forwarded Arrival Advice

Event Forwarded Arrival Advise Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Forwarded Arrival Advise Is Received
Event Type	Message start event

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Description	The declared Customs Office of Transit/Destination, related to the movement, is notified (IE024) by the Customs Office of Departure.
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Previous Objects	Store And Forward Arrival Advice

Table 5.535: Event Forwarded Arrival Advise Is Received

Task Solve Discrepancies

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Solve Discrepancies
Task Type	Call activity (collapsed)
Description	<p>This process is started if control results are of type 'B1'. If the Customs Office of Destination decided to wait for the discrepancies resolution, the Customs Officer at the Customs Office of Departure is notified of that decision by the system. Waiting for discrepancies resolution is set on yes). He is then aware that the further process of the goods is suspended until the entire clarification of the irregularities.</p> <p>This procedure mainly consists of exchanging information between the Customs Office of Destination or the Holder of Transit procedure and the Customs Office of Departure. Papers related to the movement are checked against the data in the system. During the enquiry and the recovery procedure the IE144 and IE145 can be used to exchange information or questions, whereas the IE144 is sent only by the Customs Office of Departure and IE145 is sent only by the Customs Office of Destination. This information exchange can be started either by the Customs Office of Departure or the Customs Office of Destination, no reply is needed since it can be only information sent and not a question asked. NCTS will provide Customs Officer at Customs Office of Departure and Customs Office of Destination with:</p> <ol style="list-style-type: none"> 1. Access to the information about that movement, based on the MRN brought in the control results; 2. Tools to allow them to record and track the operations performed in order to solve those. <p>After the discrepancies are solved:</p> <ul style="list-style-type: none"> · NCTS records the write-off, notifies (IE045) the Holder of Transit procedure and the Guarantor that the movement is written-off and asks the release of the guarantee. · When the Customs Office of Destination decided to wait for the discrepancies resolution, NCTS notifies (IE020) this Customs Office of Destination that the discrepancies are solved so that Customs Office of Destination can release the goods.
Actor	NCTS
Input Data	
Output Data	

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Previous Objects	Are Major Discrepancies Detected?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.536: Task Solve Discrepancies

Event Guarantee Is To Be Released

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Is To Be Released
Event Type	End event
Description	Guarantee is to be Released
Appears also in the following processes	L4-TRA-01-03-Process Arrival L4-TRA-02-05-Release Guarantee L4-TRA-01-09-Handle Recovery - Recovery Procedure Option B
Previous Objects	Are Major Discrepancies Detected?

Table 5.537: Event Guarantee Is To Be Released

Event Declared Customs Office Of Transit Notified Of Arrival Or Diversion

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declared Customs Office Of Transit Notified Of Arrival Or Diversion
Event Type	End event
Description	The arrival advice is received by the declared Customs Office(s) of Transit.
Previous Objects	Record Forwarded Arrival Advice

Table 5.538: Event Declared Customs Office Of Transit Notified Of Arrival Or Diversion

Event Guarantee Is To Be Released

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Is To Be Released
Event Type	End event
Description	Guarantee is to be Released
Appears also in the following	L4-TRA-01-03-Process Arrival L4-TRA-02-05-Release Guarantee

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processes	L4-TRA-01-09-Handle Recovery - Recovery Procedure Option B
Previous Objects	Solve Discrepancies

Table 5.539: Event Guarantee Is To Be Released

Task Record Forwarded Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Forwarded Arrival Advice
Task Type	Service task
Description	When an IE024 is registered, the data elements following this registration are automatically recorded in the NCTS System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-Process Arrival
Input Data	
Output Data	C_FWD_ARR Transit Operation
Previous Objects	Forwarded Arrival Advise Is Received
Information Exchange	Forwarded Arrival Advice
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Forwarded Arrival Advise Is Received
State	Arrived

Table 5.540: Task Record Forwarded Arrival Advice

Event Forwarded Arrival Advice Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Forwarded Arrival Advise Is Received
Event Type	Message start event
Description	The declared Customs Office of Transit/Destination, related to the movement, is notified (IE024) by the Customs Office of Departure.

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Appears also in the following processes	L4-TRA-01-03-Process Arrival
Previous Objects	Store And Forward Arrival Advice

Table 5.541: Event Forwarded Arrival Advise Is Received

Event Declared Customs Office of Exit for Transit Notified of Arrival Or Diversion

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declared Customs Office of Exit for Transit Notified of Arrival Or Diversion
Event Type	End event
Description	The process at the Office of Exit for Transit ends when this Declared Customs Office of Exit for Transit is notified of arrival or diversion.
Previous Objects	Record Forwarded Arrival Advice

Table 5.542: Event Declared Customs Office of Exit for Transit Notified of Arrival Or Diversion

5.35. Process L4-TRA-01-04-01-Capture MRN At Customs Office Of Transit

5.35.1. Objective of Process

The Customs Officer is notified by the Goods Carrier about the arrived consignment and he captures the MRN of Transit Declaration into NCTS.

NCTS looks for the Anticipated Transit Record (ATR) information:

- when the movement information is not available either in case of international diversion or in exceptional situations, NCTS asks (IE114) that information to the Country of Departure and warns the Customs Officer.
- when the ATR information is available then the passage can be validated by the Customs Officer.

Final situation:

- If the ATR-information is available the passage can be validated.
- If there is an international diversion, the Customs Office of Transit waits for the information from the Customs Office of Departure.

5.35.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-04-01
Full Name	Capture MRN At Customs Office Of Transit
Assumptions	N/A
Constraints	This process must be done as soon as possible in order not to slow down the traffic flow.

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L3 Reference Models	L3-TRA-01-14-Formalities at Office of Transit
Existing Specifications	DDDNTA v5.13

Table 5.543: Process Details

5.35.3. Tasks and Events

Task Register MRN

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register MRN
Task Type	User task
Description	When a movement arrives to the Customs Office of Transit, the Customs Officer is notified by the Goods Carrier about the arrived consignment and he captures the MRN of Transit Declaration into NCTS. Final situation: The MRN assigned to the Transit movement is captured by the Customs Officer.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-13-01-Capture MRN At Customs Office Of Exit For Transit
Input Data	MRN
Output Data	MRN
Previous Objects	Movement Arrives At Customs Office Of Transit
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.544: Task Register MRN

Event Movement Arrives At Customs Office Of Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Arrives At Customs Office Of Transit
Event Type	Conditional start event
Description	The vehicle with the Transit consignment arrives at the Customs Office of Transit. The consignment and the NCTS Accompanying Document or MRN of Transit Declaration in an electronically readable format are

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	presented to the Customs Office of Transit. In normal procedure, as per Article 41 of Appendix 1 of CTC, in case of CTC country, Customs Office of Departure has to provide printout of TAD to the Declarant.
Appears also in the following processes	L4-TRA-01-04-Process Movement At Customs Office Of Transit
Previous Objects	

Table 5.545: Event Movement Arrives At Customs Office Of Transit

Task Record MRN

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record MRN
Task Type	Service task
Description	When MRN is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-13-01-Capture MRN At Customs Office Of Exit For Transit
Input Data	MRN
Output Data	MRN
Previous Objects	Register MRN
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.546: Task Record MRN

Task Identify If ATR Information Is Available

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If ATR Information Is Available
Task Type	Service task
Description	When MRN is recorded, the System will automatically check if Anticipated Transit Record (ATR) is available. Final situation: The result is positive: ATR is available.

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	The result is negative: ATR is not available.
Actor	NCTS
Input Data	MRN C_ATR_SND
Output Data	Result Identify If ATR Information Is Available
Previous Objects	Record MRN
Information Exchange	
Requirements	TRA_FUR_0053
Data Set	
Events	
State	

Table 5.547: Task Identify If ATR Information Is Available

Task Request And Record Risk Analysis For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request And Record Risk Analysis For NCTS
Task Type	Subprocess (collapsed)
Description	Request and Record of Risk analysis starts when risk analysis is to be triggered by the Customs Office of Transit (usually based on the information provided from the Customs Office of Departure) the process starts. There are 2 parallel flows: - national risk analysis system performs the analysis and records the risk analysis results in the NCTS transit declaration. - As well, if Safety and Security Risk analysis is to be performed, the Risk Analysis system performs the analysis and records the results in the NCTS transit declaration.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-02-07-Handle Advanced Exit For Transit Notification L4-TRA-01-02-03-Handle Advanced Transit Notification
Input Data	
Output Data	
Previous Objects	Is ATR Information Available?
Information Exchange	
Requirements	

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Data Set	
Events	
State	

Table 5.548: Task Request And Record Risk Analysis For NCTS

Task Notify Customs Office of Departure for Anticipated Transit Record Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office of Departure for Anticipated Transit Record Request
Task Type	Send task
Description	When ATR information is not available, a notification IE will automatically be sent to the Customs Office of Departure informing that the movement information is not available.
Actor	NCTS
Input Data	Transit Operation
Output Data	Transit Operation
Previous Objects	Is ATR Information Available?
Information Exchange	Anticipated Transit Record Request
Requirements	TRA_FUR_0023
Data Set	Transit Operation
Events	Anticipated Transit Record Request Is Sent
State	ATR Requested

Table 5.549: Task Notify Customs Office of Departure for Anticipated Transit Record Request

Event Passage To Be Validated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Passage To Be Validated
Event Type	End event
Description	The Transit movement information is available. The process ends.
Appears also in the following processes	L4-TRA-01-13-01-Capture MRN At Customs Office Of Exit For Transit L4-TRA-01-04-03-Receive Anticipated Transit Record Information

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	L4-TRA-01-04-04-Validate Passage
Previous Objects	Request And Record Risk Analysis For NCTS

Table 5.550: Event Passage To Be Validated

Event ATR Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	ATR Requested
Event Type	End event
Description	The Transit movement information is not available and is requested from the Customs Office of Departure. The process ends.
Appears also in the following processes	L4-TRA-01-04-02-Provide Anticipated Transit Record Information
Previous Objects	Notify Customs Office of Departure for Anticipated Transit Record Request

Table 5.551: Event ATR Requested

5.36. Process L4-TRA-01-04-02-Provide Anticipated Transit Record Information

5.36.1. Objective of Process

When IE114 is requested by the Customs Office of Transit, the process starts. The Customs Office of Departure records the request for movement information and generates the notification response IE115 along with any incident information available and sends the response IE115 to the requested Customs Office of Transit.

5.36.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-04-02
Full Name	Provide Anticipated Transit Record Information
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-14-Formalities at Office of Transit
Existing Specifications	DDDNTA v5.13

Table 5.552: Process Details

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5.36.3. Tasks and Events

Task Record ATR Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record ATR Request
Task Type	Service task
Description	When the Anticipated Transit Record Request (IE114) is received, the System automatically records the message data.
Actor	NCTS
Input Data	
Output Data	C_ATR_REQ
Previous Objects	ATR Requested
Information Exchange	Anticipated Transit Record Request
Requirements	TRA_FUR_0009
Data Set	C_ATR_REQ
Events	
State	

Table 5.553: Task Record ATR Request

Event ATR Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	ATR Requested
Event Type	Conditional start event
Description	The Transit movement information is not available and is requested from the Customs Office of Departure. The process ends.
Appears also in the following processes	L4-TRA-01-04-01-Capture MRN At Customs Office Of Transit
Previous Objects	

Table 5.554: Event ATR Requested

Task Generate ATR Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Generate ATR Response

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Task Type	Service task
Description	When an IE114 is recorded the system looks for the movement information identified by the MRN.
Actor	NCTS
Input Data	Risk Analysis Result Coded MRN Country of dispatch/export code C_ATR_RSP Security
Output Data	Transit Operation C_ATR_RSP ATR Rejection Reason
Previous Objects	Record ATR Request
Information Exchange	
Requirements	TRA_FUR_0158
Data Set	Transit Operation
Events	ATR Request Received
State	Movement Released

Table 5.555: Task Generate ATR Response

Task Identify If Incident Information Is Available

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Incident Information Is Available
Task Type	Service task
Description	When needed, the System will automatically check if incident information is available. Final situation: The result is positive: Incident information is available. The result is negative: Incident information is not available.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-13-02-Provide Anticipated Exit For Transit Record Information L4-TRA-01-03-02-Process Arrival - Handle AAR Request

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Input Data	Incident MRN
Output Data	Result Identify If Incident Information Is Available
Previous Objects	Generate ATR Response
Information Exchange	
Requirements	TRA_FUR_0146
Data Set	
Events	
State	

Table 5.556: Task Identify If Incident Information Is Available

Task Update ATR Response With Incident Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Update ATR Response With Incident Information
Task Type	Service task
Description	The system consolidates the IE115 data with the incident information.
Actor	NCTS
Input Data	C_ATR_RSP Incident
Output Data	C_ATR_RSP
Previous Objects	Is Incident Information Available?
Information Exchange	
Requirements	TRA_FUR_0085 TRA_FUR_0147
Data Set	
Events	
State	

Table 5.557: Task Update ATR Response With Incident Information

Task Notify Customs Office of Transit for Anticipated Transit Record Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Notify Customs Office of Transit for Anticipated Transit Record Response
Task Type	Send task
Description	When an ATR Response is to be sent, a notification IE will automatically be sent to the requested Customs Office of Transit informing that ATR-information is provided.
Actor	NCTS
Input Data	C_ATR_RSP
Output Data	
Previous Objects	Update ATR Response With Incident Information Is Incident Information Available?
Information Exchange	Anticipated Transit Record Response
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.558: Task Notify Customs Office of Transit for Anticipated Transit Record Response

Event ATR Response Provided

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	ATR Response Provided
Event Type	End event
Description	The Anticipated Transit Record is provided. The process ends.
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information
Previous Objects	Notify Customs Office of Transit for Anticipated Transit Record Response

Table 5.559: Event ATR Response Provided

5.37. Process L4-TRA-01-04-03-Receive Anticipated Transit Record Information

5.37.1. Objective of Process

When the Customs Office of Transit receives (IE115) the answer from the Customs Office of Departure about the Transit movement and the answer does not contain the requested Anticipated Transit Record, NCTS rejects the arrival at this Office of Transit.

In case of negative IE115 (no Anticipated Transit Record is received), the Office of Transit checks whether the rejection concerns deviation from the Binding Itinerary and decides whether the movement can cross the

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frontiers of this Office of Transit or not. In case the movement can cross the frontiers, a relevant incident is registered, otherwise the transit movement cannot deviate from its Binding Itinerary and turns back. The process ends.

In case of positive IE115, the transit movement is recorded and risk analysis is performed. Afterwards passage needs to be validated by this Office of Transit. The process ends.

5.37.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-04-03
Full Name	Receive Anticipated Transit Record Information
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-14-Formalities at Office of Transit
Existing Specifications	DDDNTA v5.13

Table 5.560: Process Details

5.37.3. Tasks and Events

Task Identify If ATR Response Is Positive

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If ATR Response Is Positive
Task Type	Service task
Description	When an IE115 is received, the System will automatically check if ATR response is positive or negative. Final situation: The result is positive: ATR response is positive. The result is negative: ATR response is not positive.
Actor	NCTS
Input Data	C_ATR_RSP
Output Data	Result Identify If ATR Response Is Positive
Previous Objects	ATR Response Provided
Information Exchange	
Requirements	TRA_FUR_0143

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Data Set	
Events	
State	

Table 5.561: Task Identify If ATR Response Is Positive

Event ATR Response Provided

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	ATR Response Provided
Event Type	Start event
Description	The Anticipated Transit Record is provided. The process ends.
Appears also in the following processes	L4-TRA-01-04-02-Provide Anticipated Transit Record Information
Previous Objects	

Table 5.562: Event ATR Response Provided

Task Record ATR

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record ATR
Task Type	Service task
Description	When positive ATR is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	C_ATR_REQ
Output Data	C_ATR_REQ Transit Operation
Previous Objects	Is ATR Response Positive?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Positive ATR Response Is Received
State	ATR Created

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Table 5.563: Task Record ATR

Task Request and Record Risk Analysis For NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request and Record Risk Analysis For NCTS
Task Type	Subprocess (collapsed)
Description	Request and Record Risk Analysis starts when risk analysis is to be triggered by the Office of Transit (usually based on the information provided from the Office of Departure). There are 2 parallel flows: - national risk analysis system performs the analysis and records the risk analysis results in the NCTS transit declaration. - As well, if Safety and Security Risk analysis is to be performed, the Risk Analysis system performs the analysis and records the results in the NCTS transit declaration
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Record ATR
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.564: Task Request and Record Risk Analysis For NCTS

Task Record ATR Rejection Reason

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record ATR Rejection Reason
Task Type	Task
Description	When the System automatically identifies that the ATR Request Response (IE115) is negative, the Rejection Reason will be recorded in the System.
Actor	NCTS
Input Data	C_ATR_RSP
Output Data	Transit Operation C_ATR_RSP
Previous Objects	Is ATR Response Positive?

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Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Negative ATR Response Is Received
State	ATR Rejected

Table 5.565: Task Record ATR Rejection Reason

Task Identify If There Is Deviation From The Binding Itinerary

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If There Is Deviation From The Binding Itinerary
Task Type	Service task
Description	The Actual Office of Destination identifies whether the value of the data element "Request rejection reason code" in the IE003 relates to a deviation from the Binding Itinerary.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-04-Process Arrival - Handle AAR Response L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Input Data	Transit Operation
Output Data	Result Identify If There Is Deviation From The Binding Itinerary
Previous Objects	Record ATR Rejection Reason
Information Exchange	
Requirements	TRA_FUR_0180
Data Set	
Events	
State	

Table 5.566: Task Identify If There Is Deviation From The Binding Itinerary

Task Register Decision Whether Transit Movement Can Deviate From Itinerary

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision Whether Transit Movement Can Deviate From Itinerary
Task Type	User task
Description	When the movement deviates from its binding itinerary, the customs officer at the Customs Office of Destination registers his/her decision whether the movement can deviate or not from its binding itinerary by ending its journey to this Actual Office of Destination.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-03-04-Process Arrival - Handle AAR Response L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Input Data	Transit Operation
Output Data	Result Identify If Movement Can Deviate From Itinerary Movement Can Deviate From Itinerary
Previous Objects	Is There Deviation From Binding Itinerary?
Information Exchange	
Requirements	TRA_FUR_0181
Data Set	
Events	
State	

Table 5.567: Task Register Decision Whether Transit Movement Can Deviate From Itinerary

Event Passage To Be Validated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Passage To Be Validated
Event Type	End event
Description	The Transit movement information is available. The process ends.
Appears also in the following processes	L4-TRA-01-04-01-Capture MRN At Customs Office Of Transit L4-TRA-01-13-01-Capture MRN At Customs Office Of Exit For Transit L4-TRA-01-04-04-Validate Passage
Previous Objects	Request and Record Risk Analysis For NCTS

Table 5.568: Event Passage To Be Validated

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Event Negative ATR Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative ATR Response Received
Event Type	End event
Description	The ATR information about the movement is rejected. The process ends.
Appears also in the following processes	L4-TRA-01-04-Process Movement At Customs Office Of Transit
Previous Objects	Is There Deviation From Binding Itinerary?

Table 5.569: Event Negative ATR Response Received

Task Record Decision Whether Transit Movement Can Deviate From Itinerary

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision Whether Transit Movement Can Deviate From Itinerary
Task Type	Service task
Description	The decision of the Customs Officer at the Actual Office of Destination whether the transit movement can deviate from its binding itinerary is recorded into the NCTS system.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-04-Process Arrival - Handle AAR Response L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Input Data	MRN Movement Can Deviate From Itinerary
Output Data	Movement Can Deviate From Itinerary
Previous Objects	Register Decision Whether Transit Movement Can Deviate From Itinerary
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.570: Task Record Decision Whether Transit Movement Can Deviate From Itinerary

Event Incident To Be Registered

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident To Be Registered
Event Type	End event
Description	When the transit movement is allowed by the Customs Office of Destination to deviate from its binding itinerary, a related incident needs to be registered and the process stops
Appears also in the following processes	L4-TRA-01-03-04-Process Arrival - Handle AAR Response L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Previous Objects	Can Transit Movement Deviate From Itinerary?

Table 5.571: Event Incident To Be Registered

Event Movement Turned Back

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Turned Back
Event Type	End event
Description	When the transit movement is not allowed by the Customs Office of Destination to deviate from its binding itinerary, the transit movement needs to turn back and follow its binding itinerary. The process stops.
Appears also in the following processes	L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit L4-TRA-01-03-04-Process Arrival - Handle AAR Response L4-TRA-01-04-Process Movement At Customs Office Of Transit L4-TRA-01-03-Process Arrival L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Previous Objects	Can Transit Movement Deviate From Itinerary?

Table 5.572: Event Movement Turned Back

5.38. Process L4-TRA-01-04-04-Validate Passage

5.38.1. Objective of Process

On request of a Customs Officer NCTS retrieves the movement information identified by the MRN and responds with the state of the movement, having performed the following checks:

1. Movement known at Customs Office of Departure.
2. Movement known at Customs Office of Departure but state not consistent with presentation at this Customs Office of Transit (e.g. movement has already arrived at destination).
3. National diversion (movement declared to this country but not this Customs Office of Transit):
 - NCTS warns the Customs Officer if the movement is marked as “binding itinerary”.

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- NCTS warns the Customs Officer if the movement is already recorded as entered in this country (of the Customs Office of Transit).
- 4. International diversion (movement not declared to this country):
 - NCTS warns the Customs Officer if the movement is marked as “binding itinerary”;
 - NCTS warns the Customs Officer if the guarantee is not valid for the country;
 - NCTS warns the Customs Officer if the movement is already recorded as entered in this country (of the Customs Office of Transit).
- 5. Safety and security:
 - NCTS warns the Customs Officer if the movement is used for safety and security purposes;
 - NCTS warns the Customs Officer if the received ATR/ATR response (IE050/IE115) contains positive risk analysis results from the Customs Office of Departure and/or if the risk analysis performed upon reception of the IE050/IE115 had identified risk.
 - If risk analysis had proposed to take measures at the Customs Office of Transit but the Customs Officer decides not to control, then NCTS requires the Customs Officer to confirm the decision not to control. The Customs Officer takes the appropriate action and NCTS:
 - records the action and notifies the Goods Carrier if the consignment has to be controlled prior to the registration of the frontier crossing or if it has to be stopped.
 - records the action and notifies the Goods Carrier if the consignment has to be turned back.
 - notifies the Customs Officer that he has to register the NCF-information if the consignment may cross the frontier.

5.38.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-04-04
Full Name	Validate Passage
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-14-Formalities at Office of Transit
Existing Specifications	DDNTA v5.13

Table 5.573: Process Details

5.38.3. Tasks and Events

Task Register Decision About Borders Crossing

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision About Borders Crossing
Task Type	User task
Description	<p>When the validation of the passage is performed, the Customs Officer takes the appropriate action and the system:</p> <ul style="list-style-type: none"> • records the action and notifies the Goods Carrier if the consignment has to be controlled prior to the registration of the frontier crossing or if it has to be stopped

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	<ul style="list-style-type: none"> records the action and notifies the Goods Carrier if the consignment has to be turned back notifies the Customs Officer that he has to register the NCF-information if the consignment may cross the frontier. <p>The Customs Officer has decided that the consignment can cross the frontier.</p>
Actor	Customs Officer
Input Data	Risk Analysis Result Coded MRN
Output Data	Result Check If Goods Are To Be Controlled
Previous Objects	Passage To Be Validated
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.574: Task Register Decision About Borders Crossing

Event Passage To Be Validated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Passage To Be Validated
Event Type	Conditional start event
Description	The Transit movement information is available. The process ends.
Appears also in the following processes	L4-TRA-01-04-01-Capture MRN At Customs Office Of Transit L4-TRA-01-13-01-Capture MRN At Customs Office Of Exit For Transit L4-TRA-01-04-03-Receive Anticipated Transit Record Information
Previous Objects	

Table 5.575: Event Passage To Be Validated

Task Record Decision about borders crossing

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision about borders crossing
Task Type	Service task
Description	When Passage Validation result is registered, the data elements following this registration are automatically recorded in the System - linked to the

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	reference number.
Actor	NCTS
Input Data	Result Check If Goods Are To Be Controlled MRN
Output Data	Result Check If Goods Are To Be Controlled
Previous Objects	Register Decision About Borders Crossing
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.576: Task Record Decision about borders crossing

Task Identify If Movement Will Cross The Borders

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Movement Will Cross The Borders
Task Type	Task
Description	When the Customs Officer at the Office of Transit registers the decision regarding the border crossing, and this decision is recorded in the System, then the System automatically identifies, whether the movement can cross the border or not. If the decision is positive, then the movement can cross the border; If the decision is negative, the movement will be stopped at the border.
Actor	NCTS
Input Data	Result Check If Goods Are To Be Controlled MRN
Output Data	Result Identify If Goods Shall Cross Frontier Transit Operation
Previous Objects	Record Decision about borders crossing
Information Exchange	
Requirements	TRA_FUR_0175
Data Set	Transit Operation

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Events	Movement Is Turned Back Movement Is Stopped At The Border
State	Movement Turned Back Movement Stopped

Table 5.577: Task Identify If Movement Will Cross The Borders

Task Notify Customs Office of Departure About Notification Crossing Frontier

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office of Departure About Notification Crossing Frontier
Task Type	Send task
Description	When Passage validation result has been proven to be valid, a notification IE will automatically be sent to Customs Office of Departure informing that NCF-information is available.
Actor	NCTS
Input Data	Customs Office Of Departure Transit Operation
Output Data	Transit Operation
Previous Objects	Will The Movement Cross The Borders?
Information Exchange	Notification Crossing Frontier
Requirements	TRA_FUR_0023
Data Set	Transit Operation
Events	Notification Crossing Frontier Is Sent
State	NCF Registered

Table 5.578: Task Notify Customs Office of Departure About Notification Crossing Frontier

Event Movement Stopped At Frontier / Turned Back

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Stopped At Frontier / Turned Back
Event Type	End event

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Description	The Transit movement has been stopped at the frontier and has to be considered as arrived at destination. The Customs Office of Transit must then be considered as an Actual Customs Office of Destination, so the event “Transit consignment arrives at destination” is activated for the process “Process Arrival”. The current process ends.
Appears also in the following processes	L4-TRA-01-04-Process Movement At Customs Office Of Transit
Previous Objects	Will The Movement Cross The Borders?

Table 5.579: Event Movement Stopped At Frontier / Turned Back

Event Crossing Frontier Notified

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Crossing Frontier Notified
Event Type	End event
Description	No irregularities were detected so the Transit movement may cross the frontier. The process ends.
Appears also in the following processes	L4-TRA-01-04-Process Movement At Customs Office Of Transit
Previous Objects	Notify Customs Office of Departure About Notification Crossing Frontier

Table 5.580: Event Crossing Frontier Notified

5.39. Process L4-TRA-01-04-Process Movement At Customs Office Of Transit

5.39.1. Objective of Process

The process starts when the consignment and the NCTS Accompanying Document or MRN of Transit Declaration in an electronically readable format are presented to the Customs Office of Transit.

First of all the MRN is captured at the Customs Office of Transit. The System looks for the ATR information, if the information is available the passage can be validated. If there is an international diversion or in exceptional situations, the Customs Officer at the Customs Office of Departure is warned that the information is not yet available.

The Customs Office of Departure is asked by the Customs Office of Transit to provide the ATR information about a movement. The System looks for the movement information and updates the movement information with incident information if applicable. The system automatically sends back the movement information or the reason of their rejection.

When the ATR information is received and it contains the necessary data to perform a safety and security analysis, this analysis is done. A full safety and security analysis will be performed, if a positive risk is identified the system generates the risk analysis code. If the identified risk relates to a specific goods item of the transit declaration, the relevant number shall be identified by the national risk analysis system.

The national risk analysis system records the risk analysis results in the NCTS transit declaration. The last digit of this risk analysis result code is transparent to NCTS and is used to identify the appropriate actions. The system notifies the Customs Officer of Transit that the information to validate the passage is available. If risk is identified the Customs Officer of Transit is alerted and asked to register a control decision.

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Next step is to validate the passage, the ATR information that was immediately available and the ATR information that was received is collected together. NCTS retrieves the movement information identified by the MRN and responds with the state of the movement by performing a number of checks.

The passage has been validated and the transit movement either may cross the frontier or is turned back or must be stopped at the frontier.

If the transit movement may cross the frontier, the NCF information is recorded into NCTS. The Customs Office of Departure receives the NCF information and handles it.

If the transit movement is turned back, the transit movement must continue its journey to a different Customs Office of Transit without crossing the frontier.

If the transit movement has to be stopped at the frontier, the frontier end has to be considered as arrived at destination.

5.39.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-04
Full Name	Process Movement At Customs Office Of Transit
Assumptions	<p>1. The logic for the fraud detection (in the context of “bureau de passage” and especially in case of diversion) can only be based on:</p> <ul style="list-style-type: none"> • At the Customs Office of Transit (on-line): <ul style="list-style-type: none"> - The existence of the movement in the NCTS will be checked (by retrieving or requesting the ATR-information). If the movement doesn't exist at the Customs Office of Departure, the NCTS Accompanying Document or MRN of Transit Declaration in an electronically readable format will be considered as forged. - A warning will be given by the system if the movement is already recorded as having entered this country (of the Customs Office of Transit). However, it has to be noted that two Customs Offices of Transit in the same country can occur. Customs Office of Entry and Office of Exit in case where a movement exits from a Contracting Party towards a third country, • At the Office of Departure (off-line): <ul style="list-style-type: none"> - If a diversion has been reported, a check is performed against the consistency of the “frontier crossing pattern”, and the system gives a warning if irregularities are detected. UNgen.060 describes the rules which will be applied to check the consistency. <p>2. In case of diversion of a movement marked as binding itinerary, the Customs Office of Transit will not request the authorisation from the Customs Office of Departure to allow the Transit movement to cross the frontier. In other words the aspect of binding itinerary will be limited to a warning to the Customs Officer at the Customs Office of Transit. As stated above, the Customs Office of Transit will only inform the Customs Office of Departure by means of the NCF-information. According to the user need UNtra.040, no further action will be taken by the system towards the declared Customs Offices of Transit</p>
Constraints	N/A

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L3 Reference Models	L3-TRA-01-14-Formalities at Office of Transit
Existing Specifications	DDDNTA v5.13

Table 5.581: Process Details

5.39.3. Tasks and Events

Event Movement Arrives At Customs Office Of Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Arrives At Customs Office Of Transit
Event Type	Conditional start event
Description	The vehicle with the Transit consignment arrives at the Customs Office of Transit. The consignment and the NCTS Accompanying Document or MRN of Transit Declaration in an electronically readable format are presented to the Customs Office of Transit. In normal procedure, as per Article 41 of Appendix 1 of CTC, in case of CTC country, Customs Office of Departure has to provide printout of TAD to the Declarant.
Appears also in the following processes	L4-TRA-01-04-01-Capture MRN At Customs Office Of Transit
Previous Objects	

Table 5.582: Event Movement Arrives At Customs Office Of Transit

Task Capture MRN At Customs Office Of Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Capture MRN At Customs Office Of Transit
Task Type	Call activity (collapsed)
Description	<p>The Customs Officer is notified by the Goods Carrier about the arrived consignment and he captures the MRN of Transit Declaration into NCTS. NCTS looks for the Anticipated Transit Record (ATR) information:</p> <ul style="list-style-type: none"> · when the movement information is not available either in case of international diversion or in exceptional situations, NCTS asks (IE114) that information to the Country of Departure and warns the Customs Officer. · when the ATR information is available then the passage can be validated by the Customs Officer. <p>Final situation:</p> <ul style="list-style-type: none"> - If the ATR-information is available the passage can be validated. - If there is an international diversion, the Customs Office of Transit waits for the information from the Customs Office of Departure.
Actor	NCTS
Input Data	
Output Data	

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Previous Objects	Movement Continues Its Journey? Movement Arrives At Customs Office Of Transit
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.583: Task Capture MRN At Customs Office Of Transit

Event Receive ATR Response

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive ATR Response
Event Type	Message intermediate event (catch)
Description	The Customs Office of Transit receives (IE115) the ATR-information from the Customs Office of Departure.
Previous Objects	Provide Anticipated Transit Record Information Is ATR Information Available?

Table 5.584: Event Receive ATR Response

Task Receive Anticipated Transit Record Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Receive Anticipated Transit Record Information
Task Type	Call activity (collapsed)
Description	<p>When the Anticipated Transit Record Response (IE115) is received at the Office of Transit, the System will automatically identify the information provided in this response.</p> <ul style="list-style-type: none"> - If the Anticipated Transit Record data is included in the Anticipated Transit Record Response (IE115), the the movement is ready for passage validation, which is described in the process 'Validate Passage'. - If the Anticipated Transit Record data is not included in the Anticipated Transit Record Response (IE115), and the rejection reason does not indicate a binding itinerary, then the process ends. - If the Anticipated Transit Record data is not included in the Anticipated Transit Record Response (IE115), but the rejection reason indicates a binding itinerary, then a Customs Officer will decide whether to register an incident due to binding itinerary and let the movement proceed, or to turn the consignment back.
Actor	NCTS
Input Data	

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Output Data	
Previous Objects	Receive ATR Response
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.585: Task Receive Anticipated Transit Record Information

Task Validate Passage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Validate Passage
Task Type	Call activity (collapsed)
Description	<p>On request of a Customs Officer NCTS retrieves the movement information identified by the MRN and responds with the state of the movement, having performed the following checks:</p> <ol style="list-style-type: none"> 1. Movement known at Customs Office of Departure. 2. Movement known at Customs Office of Departure but state not consistent with presentation at this Customs Office of Transit (e.g. movement has already arrived at destination). 3. National diversion (movement declared to this country but not this Customs Office of Transit): <ul style="list-style-type: none"> · NCTS warns the Customs Officer if the movement is marked as “binding itinerary”. · NCTS warns the Customs Officer if the movement is already recorded as entered in this country (of the Office of Transit). 4. International diversion (movement not declared to this country): <ul style="list-style-type: none"> · NCTS warns the Customs Officer if the movement is marked as “binding itinerary”; · NCTS warns the Customs Officer if the guarantee is not valid for the country; · NCTS warns the Customs Officer if the movement is already recorded as entered in this country (of the Customs Office of Transit). 5. Safety and security: <ul style="list-style-type: none"> · NCTS warns the Customs Officer if the movement is used for safety and security purposes; · NCTS warns the Customs Officer if the received ATR/ATR response (IE050/IE115) contains positive risk analysis results from the Customs Office of Departure and/or if the risk analysis performed upon reception of the IE050/IE115 had identified risk. · If risk analysis had proposed to take measures at the Customs Office of Transit but the Customs Officer decides not to control, then NCTS

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	<p>requires the Customs Officer to confirm the decision not to control.</p> <p>The Customs Officer takes the appropriate action and NCTS:</p> <ul style="list-style-type: none"> · records the action and notifies the Goods Carrier if the consignment has to be controlled prior to the registration of the frontier crossing or if it has to be stopped. · records the action and notifies the Goods Carrier if the consignment has to be turned back. · notifies the Customs Officer that he has to register the NCF-information if the consignment may cross the frontier.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	<p>Is ATR Response Positive?</p> <p>Is ATR Information Available?</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.586: Task Validate Passage

Event Receive ATR Request

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive ATR Request
Event Type	Message start event
Description	The process at the Office of Departure starts when the Anticipated Transit Record Request (IE114) is received from the Office of Transit.
Previous Objects	Capture MRN At Customs Office Of Transit

Table 5.587: Event Receive ATR Request

Event Negative ATR Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative ATR Response Received
Event Type	End event
Description	The ATR information about the movement is rejected. The process ends.
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information

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Previous Objects	Is There Deviation From Binding Itinerary?
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Table 5.588: Event Negative ATR Response Received

Task Process Incident

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Incident
Task Type	Call activity (collapsed)
Description	<p>During the movement of goods under the Union or common transit procedure, incidents En-Route can happen. For instance, we may have one or more of the following incidents:</p> <p>Code 1: The carrier is obliged to deviate from the itinerary prescribed in accordance with Article 298 of UCC/IA Regulation due to circumstances beyond his control.</p> <p>Code 2: Seals are broken or tampered with in the course of a transport operation for reasons beyond the carrier's control.</p> <p>Code 3: Under the supervision of the customs authority, goods are transferred from one means of transport to another means of transport.</p> <p>Code 4: Imminent danger necessitates immediate partial or total unloading of the sealed means of transport.</p> <p>Code 5: There is an incident which may affect the ability of the Holder of the procedure or the carrier to comply with his obligations.</p> <p>Code 6: Any of the elements constituting a single means of transport as referred to in Article 296(2) UCC/IA is changed.</p> <p>The carrier presents the goods together with the MRN of the transit declaration to the nearest customs Office of the National Administration in whose territory the means of transport is located at that time. This customs Office is named the Office of Incident Registration. If the nearest Customs Office for the registration of incident(s) is also involved in the movement as Customs Office of Transit or as Customs Office of Exit for Transit or as Customs Office of Destination, then this Customs Office acts first as a Customs Office of Incident Registration and then as involved Customs Office of the movement.</p> <p>The nearest Customs Office for the registration of incident(s) should be located in the same Contracting Party as the place where the incident occurred. Additionally, the Office of Departure should be able to accept the 'Incident Notification' (IE180) message that would have been prepared before the 'Destination Control Results' (IE018) message was sent but is received by Office of Departure after the 'Destination Control Results' (IE018) message is received.</p>
Actor	NCTS
Appears also in the following	L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs

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processes	Office Of Exit For Transit L4-TRA-01-03-Process Arrival
Input Data	
Output Data	
Previous Objects	Can Transit Movement Deviate From Itinerary?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.589: Task Process Incident

Task Provide Anticipated Transit Record Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Provide Anticipated Transit Record Information
Task Type	Call activity (collapsed)
Description	When IE114 is requested by the Customs Office of Transit, the process starts. The Customs Office of Departure records the request for movement information and generates the notification response IE115 along with any incident information available and sends the response IE115 to the requested Customs Office of Transit.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive ATR Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.590: Task Provide Anticipated Transit Record Information

Event Movement Turned Back

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Turned Back

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Event Type	End event
Description	When the transit movement is not allowed by the Customs Office of Destination to deviate from its binding itinerary, the transit movement needs to turn back and follow its binding itinerary. The process stops.
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit L4-TRA-01-03-04-Process Arrival - Handle AAR Response L4-TRA-01-03-Process Arrival L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Previous Objects	Can Transit Movement Deviate From Itinerary?

Table 5.591: Event Movement Turned Back

Event Receive Notification Crossing Frontier

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Notification Crossing Frontier
Event Type	Message intermediate event (catch)
Description	The Customs Office of Departure receives (IE118) the NCF-information for the movement identified.
Previous Objects	Validate Passage Provide Anticipated Transit Record Information

Table 5.592: Event Receive Notification Crossing Frontier

Event Crossing Frontier Notified

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Crossing Frontier Notified
Event Type	End event
Description	No irregularities were detected so the Transit movement may cross the frontier. The process ends.
Appears also in the following processes	L4-TRA-01-04-04-Validate Passage
Previous Objects	Shall The Goods Cross The Borders?

Table 5.593: Event Crossing Frontier Notified

Task Handle NFC Information At Departure

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle NFC Information At Departure
Task Type	Subprocess (collapsed)
Description	<p>When the Customs Office of Departure receives (IE118) the NCF-information from a Customs Office of Transit, NCTS records this NCF-information together with the transhipments and/or other incidents if they occurred.</p> <p>If the NCF-information contains transhipment and/or other incidents information, before inserting it, NCTS checks first that the same information was not received earlier from another Customs Office of Transit. In such a case, the redundant information is merely dropped.</p> <p>The system of Departure performs the required checks to detect anomalies in the pattern of frontiers crossing and notifies the Customs Officer when appropriate.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Notification Crossing Frontier
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.594: Task Handle NFC Information At Departure

Event Movement Stopped At Frontier / Turned Back

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Stopped At Frontier / Turned Back
Event Type	End event
Description	<p>The Transit movement has been stopped at the frontier and has to be considered as arrived at destination. The Customs Office of Transit must then be considered as an Actual Customs Office of Destination, so the event “Transit consignment arrives at destination” is activated for the process “Process Arrival”. The current process ends.</p>
Appears also in the following processes	L4-TRA-01-04-04-Validate Passage
Previous Objects	<p>Shall The Goods Cross The Borders?</p> <p>Movement Continues Its Journey?</p>

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Table 5.595: Event Movement Stopped At Frontier / Turned Back

Event Notification Crossing Frontier Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification Crossing Frontier Received
Event Type	End event
Description	The process at the Office of Departure ends when the Notification Crossing Frontier (IE118) is received from the Office of Transit.
Previous Objects	Handle NFC Information At Departure

Table 5.596: Event Notification Crossing Frontier Received

5.40. Process L4-TRA-01-05-01-Send Status Request

5.40.1. Objective of Process

When the Status Check is to be initiated the process starts. A Requesting Office can at any time request the state of a movement at the Responding Customs Office. The Requesting Customs Office records in the system the Status Request Information.

The final result of this process will be the following:

The Requesting Customs Office sends the 'Status Request' message (IE094) to the Responding Customs Office

5.40.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-05-01
Full Name	Send Status Request
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-10-Status Check
Existing Specifications	DDDNTA v5.13

Table 5.597: Process Details

5.40.3. Tasks and Events

Event Status Check Initiated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Check Initiated

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Event Type	Conditional start event
Description	This process starts upon the intention of the Customs Officer to initiate the Status Check
Appears also in the following processes	L4-TRA-01-05-Status Request
Previous Objects	

Table 5.598: Event Status Check Initiated

Task Register Status Request Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Status Request Information
Task Type	User task
Description	When there is intention to initiate the Status Check, the Customs Officer registers the Status Request Information.
Actor	Customs Officer
Input Data	MRN
Output Data	Status
Previous Objects	Status Check Initiated
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.599: Task Register Status Request Information

Event Status Check to be verified

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Check to be verified
Event Type	Conditional start event
Description	This process starts upon the intention to verify the Status Check in NCTS system
Appears also in the following processes	L4-TRA-01-05-Status Request
Previous Objects	

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Table 5.600: Event Status Check to be verified

Task Record Status Request Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Status Request Information
Task Type	Service task
Description	When the Status Request information is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Status MRN
Output Data	Status Transit Operation
Previous Objects	Status Check to be verified Register Status Request Information
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Status Check Initiated
State	Enquiry Recommended

Table 5.601: Task Record Status Request Information

Task Notify Responding Customs Office of the Status Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Responding Customs Office of the Status Request
Task Type	Send task
Description	When the Status Check is initiated, a notification IE will automatically be sent to the Responding Customs Office informing the Status Request.
Actor	NCTS
Input Data	Transit Operation Status

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Output Data	
Previous Objects	Record Status Request Information
Information Exchange	Status Request
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.602: Task Notify Responding Customs Office of the Status Request

Event Status Request Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Request Sent
Event Type	End event
Description	The process ends when the Status Request is Sent
Previous Objects	Notify Responding Customs Office of the Status Request

Table 5.603: Event Status Request Sent

5.41. Process L4-TRA-01-05-02-Handle Status Request

5.41.1. Objective of Process

When a Status Request is received by the Responding Customs Office then the request is recorded, the system retrieves the status of the specific movement and notifies the Requesting Customs Office of the status retrieved (IE095).

The final result of this process will be the following:

The Responding Customs Office sends the 'Status Response' message to the Requesting Customs Office

5.41.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-05-02
Full Name	Handle Status Request
Assumptions	N/A
Constraints	N/A

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L3 Reference Models	L3-TRA-01-10-Status Check
Existing Specifications	DDDNTA v5.13

Table 5.604: Process Details

5.41.3. Tasks and Events

Event Status Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Request Received
Event Type	Conditional start event
Description	This process starts upon the receipt of the Status Request.
Previous Objects	

Table 5.605: Event Status Request Received

Task Record the Status Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record the Status Request
Task Type	Service task
Description	When Status Request is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	C_STD_REQ
Output Data	C_STD_REQ
Previous Objects	Status Request Received
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.606: Task Record the Status Request

Task Retrieve Status

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Retrieve Status
Task Type	Service task
Description	When Status Request is recorded, the NCTS system at the Responding Customs Office retrieves the state.
Actor	NCTS
Input Data	Transit Operation MRN
Output Data	Status
Previous Objects	Record the Status Request
Information Exchange	
Requirements	TRA_FUR_0062
Data Set	
Events	
State	

Table 5.607: Task Retrieve Status

Task Notify the Requesting Customs Office of the Status Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the Requesting Customs Office of the Status Response
Task Type	Send task
Description	When status of the transit movement is retrieved, a notification IE will automatically be sent to the Requesting Customs Office informing that the state at Responding Customs Office is provided.
Actor	NCTS
Input Data	Transit Operation
Output Data	
Previous Objects	Retrieve Status
Information Exchange	Status Response
Requirements	TRA_FUR_0023
Data Set	
Events	

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

State	
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Table 5.608: Task Notify the Requesting Customs Office of the Status Response

Event Status Request Is Answered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Request Is Answered
Event Type	End event
Description	The process ends when the Status Request Is Answered by sending the Status Response to the Requesting Customs Office.
Appears also in the following processes	L4-TRA-01-05-Status Request
Previous Objects	Notify the Requesting Customs Office of the Status Response

Table 5.609: Event Status Request Is Answered

5.42. Process L4-TRA-01-05-03-Handle Status Response

5.42.1. Objective of Process

When a Status Response is received by the Requesting Customs Office then the response is recorded. The system compares the received status from the Responding Customs Office with the available status in the Requesting Customs Office whether they are synchronized.

If the statuses are synchronized then the process is terminated successfully. In case that also no arrival advice or destination control results are received in the permitted time frame, then enquiry is recommended.

If the statuses are not synchronized then the process is terminated unsuccessfully. In case that also no arrival advice or destination control results are received in the permitted time frame, this mechanism is part of the Enquiry Procedure and will use the status response so as to automatically re-send either the arrival advice or the destination control results to the Requesting office.

Final situation:

The statuses (received and available) are synchronized or not.

5.42.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-05-03
Full Name	Handle Status Response
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-10-Status Check

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PROCESS MODELS

Existing Specifications	DDDNTA v5.13
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Table 5.610: Process Details

5.42.3. Tasks and Events

Event Status Response Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Response Is Received
Event Type	Conditional start event
Description	The process starts upon the receipt of the Status Response
Previous Objects	

Table 5.611: Event Status Response Is Received

Task Record the Status Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record the Status Response
Task Type	Service task
Description	When Status Response is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	C_STD_RSP
Previous Objects	Status Response Is Received
Information Exchange	Status Response
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.612: Task Record the Status Response

Task Identify if the Received with the Available Status are Synchronized

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the Received with the Available Status are Synchronized

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Task Type	Service task
Description	<p>When needed, the System will automatically check if the Received Status from the Responding Customs Office and the Available Status in the Requesting Customs Office are synchronized</p> <p>Final situation:</p> <p>The result is positive: Status Response received and the process terminates successfully</p> <p>The result is negative: Statuses shall be synchronized</p>
Actor	NCTS
Input Data	C_STD_RSP C_STD_REQ
Output Data	Result Identify if the Received with the Available Status are Synchronized
Previous Objects	Record the Status Response
Information Exchange	
Requirements	TRA_FUR_0038 TRA_FUR_0063 TRA_FUR_0112 TRA_FUR_0102
Data Set	
Events	
State	

Table 5.613: Task Identify if the Received with the Available Status are Synchronized

Event Statuses to be Synchronized

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Statuses to be Synchronized
Event Type	End event
Description	The process ends unsuccessfully when the Statuses shall be synchronized.
Appears also in the following processes	L4-TRA-01-05-Status Request
Previous Objects	Is the Received with the Available Status Synchronized?

Table 5.614: Event Statuses to be Synchronized

Event Status Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Status Response Received
Event Type	End event
Description	The process ends successfully when the statuses are synchronized
Appears also in the following processes	L4-TRA-01-05-Status Request
Previous Objects	Is the Received with the Available Status Synchronized?

Table 5.615: Event Status Response Received

5.43. Process L4-TRA-01-05-Status Request

5.43.1. Objective of Process

When Information is received that the Status Check shall be initiated either then the process starts. The Requesting Customs Office sends the status request (IE094) to the Responding Customs Office. When a Status request is received by the Responding Office then the request is recorded, the system retrieves the status of the specific movement and notifies the Requesting Office of the status retrieved (IE095). Then the system compares the received status from the Responding Office with the available status in the Requesting Office whether they are synchronized.

If the statuses are synchronized then the process is terminated successfully. In case that also no arrival advice or destination control results are received in the permitted time frame, then enquiry is recommended.

If the statuses are not synchronized then the process is terminated unsuccessfully. In case that also no arrival advice or destination control results are received in the permitted time frame, this mechanism is part of the Enquiry Procedure and will use the status response so as to automatically re-send either the arrival advice or the destination control results to the Requesting office.

Final situation:

The statuses (received and available) are synchronized or not.

5.43.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-05
Full Name	Status Request
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-10-Status Check
Existing Specifications	DDDNTA v5.13

Table 5.616: Process Details

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5.43.3. Tasks and Events

Event Status Check Initiated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Check Initiated
Event Type	Conditional start event
Description	This process starts upon the intention of the Customs Officer to initiate the Status Check
Appears also in the following processes	L4-TRA-01-05-01-Send Status Request
Previous Objects	

Table 5.617: Event Status Check Initiated

Event Status Check to be verified

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Check to be verified
Event Type	Conditional start event
Description	This process starts upon the intention to verify the Status Check in NCTS system
Appears also in the following processes	L4-TRA-01-05-01-Send Status Request
Previous Objects	

Table 5.618: Event Status Check to be verified

Task Send Status Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Send Status Request
Task Type	Call activity (collapsed)
Description	<p>When the Status Check is to be initiated the process starts. A Requesting Office can at any time request the state of a movement at the Responding Customs Office. The Requesting Customs Office records in the system the Status Request Information.</p> <p>The final result of this process will be the following: The Requesting Customs Office sends the 'Status Request' message (IE094) to the Responding Customs Office</p>
Actor	NCTS
Input Data	
Output Data	

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Previous Objects	Status Check Initiated Status Check to be verified
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.619: Task Send Status Request

Task Handle Status Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Status Response
Task Type	Call activity (collapsed)
Description	<p>When a Status Response is received by the Requesting Customs Office then the response is recorded.</p> <p>The system compares the received status from the Responding Customs Office with the available status in the Requesting Customs Office whether they are synchronized.</p> <p>If the statuses are synchronized then the process is terminated successfully. In case that also no arrival advice or destination control results are received in the permitted time frame, then enquiry is recommended.</p> <p>If the statuses are not synchronized then the process is terminated unsuccessfully. In case that also no arrival advice or destination control results are received in the permitted time frame, this mechanism is part of the Enquiry Procedure and will use the status response so as to automatically re-send either the arrival advice or the destination control results to the Requesting office.</p> <p>Final situation: The statuses (received and available) are synchronized or not.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Send Status Request Handle Status Request
Information Exchange	

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Requirements	
Data Set	
Events	
State	

Table 5.620: Task Handle Status Response

Event Receive Status Request

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Status Request
Event Type	Message start event
Description	The Responding Customs Office receives a status request.
Previous Objects	Send Status Request

Table 5.621: Event Receive Status Request

Task Handle Status Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Status Request
Task Type	Call activity (collapsed)
Description	<p>When a Status Request is received by the Responding Customs Office then the request is recorded, the system retrieves the status of the specific movement and notifies the Requesting Customs Office of the status retrieved (IE095).</p> <p>The final result of this process will be the following: The Responding Customs Office sends the 'Status Response' message to the Requesting Customs Office</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Status Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.622: Task Handle Status Request

Event Statuses to be Synchronized

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Statuses to be Synchronized
Event Type	End event
Description	The process ends unsuccessfully when the Statuses shall be synchronized.
Appears also in the following processes	L4-TRA-01-05-03-Handle Status Response
Previous Objects	Is the Received with the Available Status Synchronized?

Table 5.623: Event Statuses to be Synchronized

Event Status Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Response Received
Event Type	End event
Description	The process ends successfully when the statuses are synchronized
Appears also in the following processes	L4-TRA-01-05-03-Handle Status Response
Previous Objects	Is the Received with the Available Status Synchronized?

Table 5.624: Event Status Response Received

Event Status Request Is Answered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Request Is Answered
Event Type	End event
Description	The process ends when the Status Request Is Answered by sending the Status Response to the Requesting Customs Office.
Appears also in the following processes	L4-TRA-01-05-02-Handle Status Request
Previous Objects	Handle Status Request

Table 5.625: Event Status Request Is Answered

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5.44. Process L4-TRA-01-06-01-Identify If The Information Is Sufficient To Start Enquiry

5.44.1. Objective of Process

This process starts when the enquiry procedure is to be initiated at the competent authority of enquiry at departure. This office checks whether or not the details of the consignee are available and if not, notifies the holder of the transit.

As soon as the holder of the transit is notified, the timer Notify_IE140 stops and he needs to reply within a predefined time-limit; thus, the time limit "Wait For Response IE141" starts.

If the information of the consignee is available or the timer Notify_IE140 expires, enquiry process can be initiated

5.44.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-06-01
Full Name	Identify If The Information Is Sufficient To Start Enquiry
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-11-Enquiry Procedure
Existing Specifications	DDDNTA v5.13

Table 5.626: Process Details

5.44.3. Tasks and Events

Event Holder Of The Transit Procedure Is To Be Notified Of Request On Non-Arrived Movement

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Holder Of The Transit Procedure Is To Be Notified Of Request On Non-Arrived Movement
Event Type	Conditional start event
Description	<p>An IE140 (request on non-arrived movement) is to be sent to the Trader when a negative IE143 (enquiry response) 'unknown' is received that does not justify write-off or recovery.</p> <p>In case the 'request on non-arrived movement' (IE140) has not already been sent to the Holder Of The Transit Procedure, the Competent Authority of Enquiry at Departure proceeds to Handle Enquiry - Enquiry Procedure Option A.</p>
Appears also in the following processes	<p>L4-TRA-01-07-04-Handle Negative Enquiry Response</p> <p>L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B</p>

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Previous Objects	

Table 5.627: Event Holder Of The Transit Procedure Is To Be Notified Of Request On Non-Arrived Movement

Task Identify if Consignee Information Is Sufficient

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Consignee Information Is Sufficient
Task Type	Service task
Description	Before proceeding with the enquiry request, the NCTS system performs an automatic check to identify whether or not the consignee information is available
Actor	NCTS
Input Data	MRN E_DEC_DAT
Output Data	Result Identify If Consignee Information Is Sufficient
Previous Objects	Enquiry Procedure Is To Be Initiated
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.628: Task Identify if Consignee Information Is Sufficient

Event Enquiry Procedure Is To Be Initiated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Procedure Is To Be Initiated
Event Type	Conditional start event
Description	<p>If the state does correspond or there is/are no IE006 and/or IE018 received within the given time limit the Competent Authority of Enquiry at Departure shall start the enquiry procedure.</p> <p>In case the 'request on non-arrived movement' (IE140) has not already been sent to the Holder of the Transit Procedure, the Competent Authority of Enquiry at Departure proceeds to Send Enquiry Request - Start of enquiry procedure.</p> <p>The Competent Authority of Enquiry at Departure initiates the enquiry</p>

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	procedure by checking if they have received the arrival advice.
Previous Objects	

Table 5.629: Event Enquiry Procedure Is To Be Initiated

Task Notify Holder Of The Transit Procedure For Request On Non-Arrived Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Holder Of The Transit Procedure For Request On Non-Arrived Movement
Task Type	Send task
Description	When the timer to notify IE140 to the Holder Of The Transit Procedure is not yet expired, a notification IE will automatically be sent to the Holder of the Transit procedure informing that non-arrived movement information is required.
Actor	NCTS
Input Data	Customs Office Of Departure Holder Of The Transit Procedure Transit Operation Competent Authority Of Country Of Departure
Output Data	
Previous Objects	Is The Information Sufficient To Start Enquiry? Holder Of The Transit Procedure Is To Be Notified Of Request On Non-Arrived Movement
Information Exchange	Request on Non-Arrived Movement
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.630: Task Notify Holder Of The Transit Procedure For Request On Non-Arrived Movement

Task Record Time Limit Notify IE140 Expiration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Time Limit Notify IE140 Expiration
Task Type	Service task
Description	When timer Notify_IE140 is expired, all data elements following its expiration are automatically recorded in the NCTS system
Actor	NCTS

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Input Data	Request On Non-Arrived Movement Expiration Record Date MRN Request On Non-Arrived Movement Expiry Date
Output Data	Request On Non-Arrived Movement Expiry Date Request On Non-Arrived Movement Expiration Record Date
Previous Objects	Time Limit Notify IE140 Expires
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.631: Task Record Time Limit Notify IE140 Expiration

Event Time Limit Notify IE140 Expires

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit Notify IE140 Expires
Event Type	Timer start event
Description	When the holder of the transit is not notified within the expected time limit, the timer expires
Previous Objects	

Table 5.632: Event Time Limit Notify IE140 Expires

Task Stop Time Limit Notify IE140

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit Notify IE140
Task Type	Service task
Description	When the Trader at Departure is notified, then the time limit to notify the IE140 is automatically stops. Final situation: The timer to notify the IE140 is stopped.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-03-Send Enquiry Request To The Indicated Office
Input Data	Request on Non-arrived Movement Record Date

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	Request On Non-Arrived Movement Expiry Date MRN
Output Data	Request On Non-Arrived Movement Expiry Date Transit Operation Request on Non-arrived Movement Record Date
Previous Objects	Notify Holder Of The Transit Procedure For Request On Non-Arrived Movement
Information Exchange	
Requirements	TRA_FUR_0155
Data Set	Transit Operation
Events	Enquiry Procedure Is To Be Initiated
State	Under Enquiry Procedure

Table 5.633: Task Stop Time Limit Notify IE140

Task Start Time Limit -Wait for Response IE141

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Time Limit -Wait for Response IE141
Task Type	Service task
Description	If IE006 is not available the Competent Authority of Country at Departure checks the content of TRADER Consignee and decides whether the information is sufficient or not. If it is considered insufficient the Competent Authority starts the enquiry with IE140 and the timer 'Wait for response IE141' is set. The Holder Of Transit Procedure must react within the time limit with an IE141. This time-out period is defined by the Competent Authority of Enquiry at Departure when the enquiry procedure is initiated, using the values permitted in relevant legal framework. If no information is provided within the time limit this is considered to be a negative answer.
Actor	NCTS
Input Data	Receive Response On Non-Arrived Movement Expiry Date Date of Request on Non-Arrived Movement MRN Receive Response On Non-Arrived Default Time Limit Receive Response On Non-Arrived Movement Start Date
Output Data	Receive Response On Non-Arrived Movement Expiry Date Date Limit for Response

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	Receive Response On Non-Arrived Movement Start Date
Previous Objects	Stop Time Limit Notify IE140
Information Exchange	
Requirements	TRA_FUR_0068
Data Set	
Events	
State	

Table 5.634: Task Start Time Limit -Wait for Response IE141

Event Request On Non-Arrived Movement Notified To Holder Of The Transit Procedure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Request On Non-Arrived Movement Notified To Holder Of The Transit Procedure
Event Type	End event
Description	Request on Non-Arrived Movement is notified to Holder of the Transit Procedure. The process ends.
Previous Objects	Start Time Limit -Wait for Response IE141

Table 5.635: Event Request On Non-Arrived Movement Notified To Holder Of The Transit Procedure

Event Information Sufficient To Start Enquiry

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Information Sufficient To Start Enquiry
Event Type	End event
Description	The information is sufficient to start the enquiry process.
Previous Objects	Record Time Limit Notify IE140 Expiration Is The Information Sufficient To Start Enquiry?

Table 5.636: Event Information Sufficient To Start Enquiry

5.45. Process L4-TRA-01-06-02-Check Provided Information

5.45.1. Objective of Process

When an IE141 is received, the process is initiated. If the Holder of Transit procedure provides an alternative proof (in accordance with current law) the movement can be written-off (L4-TRA-01-03-09-Handle Destination Control Results) and the IE024 is sent to all involved offices to close that movement.

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If the Holder of Transit procedure provides the Competent Authority of Enquiry at Departure with other sufficient information (no alternative proof) or a new Customs Office of Destination this information will be forwarded to the Customs Office of Destination (declared or actual).

If the Holder of Transit procedure did not provide information at all or the provided information legitimates a recovery or it is considered insufficient for enquiry, the recovery procedure (see "L4-TRA-01-08-Handle Recovery - Recovery Procedure (Option A) ") is started.

5.45.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-06-02
Full Name	Check Provided Information
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-11-Enquiry Procedure
Existing Specifications	DDDNTA v5.13

Table 5.637: Process Details

5.45.3. Tasks and Events

Task Record Information about Non-Arrived Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Information about Non-Arrived Movement
Task Type	Service task
Description	When IE141 receipt is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	E_MOV_RSP
Previous Objects	Receive Information about Non-Arrived Movement
Information Exchange	Information about Non-Arrived Movement
Requirements	TRA_FUR_0009
Data Set	
Events	

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Table 5.638: Task Record Information about Non-Arrived Movement

Event Receive Information about Non-Arrived Movement

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Information about Non-Arrived Movement
Event Type	Message start event
Description	This process starts when the response from holder of the transit (IE141) is received.
Appears also in the following processes	L4-TRA-01-06-Handle Enquiry - Enquiry Procedure Option A
Previous Objects	

Table 5.639: Event Receive Information about Non-Arrived Movement

Task Stop Timer -Wait For Response IE141-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Timer -Wait For Response IE141-
Task Type	Service task
Description	The NCTS at the Competent Authority of Enquiry at Departure stops previously set timer "Wait for Response IE141".
Actor	NCTS
Input Data	Date Limit for Response MRN Receive Response On Non-Arrived Movement Expiry Date Receive Response On Non-Arrived Default Time Limit
Output Data	Date Limit for Response
Previous Objects	Record Information about Non-Arrived Movement
Information Exchange	
Requirements	TRA_FUR_0069
Data Set	
Events	
State	

Table 5.640: Task Stop Timer -Wait For Response IE141-

Event Time Limit Wait Response IE141 Expires

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit Wait Response IE141 Expires
Event Type	Timer start event
Description	When a reply from the holder is not provided within the expected time-limit, the timer "Wait for response IE141 " expires.
Previous Objects	

Table 5.641: Event Time Limit Wait Response IE141 Expires

Task Identify If Response Is Positive

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Response Is Positive
Task Type	Service task
Description	Upon the reception and record of the IE141 message, the NCTS performs an automatic check in order to identify whether the message is positive or negative
Actor	NCTS
Input Data	MRN E_MOV_RSP
Output Data	Result Identify If Response Is Positive
Previous Objects	Stop Timer -Wait For Response IE141-
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.642: Task Identify If Response Is Positive

Task Record Time Limit Wait Response IE141 Expiration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Time Limit Wait Response IE141 Expiration
Task Type	Service task
Description	When the timer expires, all data elements following its expiration are automatically recorded in the NCTS system
Actor	NCTS
Input Data	Receive Response On Non-Arrived Movement Expiry Date

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	Wait Response IE141 Expiration Record Date MRN
Output Data	Receive Response On Non-Arrived Movement Expiry Date Wait Response IE141 Expiration Record Date
Previous Objects	Time Limit Wait Response IE141 Expires
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.643: Task Record Time Limit Wait Response IE141 Expiration

Task Identify Whether Alternative Proof Is Provided

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Whether Alternative Proof Is Provided
Task Type	Service task
Description	When information is received by the holder of the transit, the NCTS system performs an automatic check to identify whether alternative proof provided which will lead to manual write off. Alternative proof can also be provided after the enquiry request
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-03-Send Enquiry Request To The Indicated Office
Input Data	E_MOV_RSP MRN Result Identify If Response Is Positive
Output Data	Result Whether Alternative Proof Is Provided
Previous Objects	is the Response Positive?
Information Exchange	
Requirements	
Data Set	
Events	

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Table 5.644: Task Identify Whether Alternative Proof Is Provided

Task Write the movement off manually

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Write the movement off manually
Task Type	User task
Description	When alternative proof provided, the holder of the transit is automatically notified and he is expected to proceed with a manual write off.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-06-03-Send Enquiry Request To The Indicated Office
Input Data	MRN E_MOV_RSP
Output Data	Manual Write-Off Movement Indication Result Check if Declaration Has Been Written Off Manually
Previous Objects	Did Alternative Proof Provided?
Information Exchange	
Requirements	TRA_FUR_0064
Data Set	
Events	
State	

Table 5.645: Task Write the movement off manually

Task Identify If Information Is Sufficient To Send Enquiry Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Information Is Sufficient To Send Enquiry Request
Task Type	Service task
Description	When to alternative proof provided, the NCTS system performs an automatic check to identify whether or not the information to start enquiry is sufficient
Actor	NCTS
Input Data	E_MOV_RSP Result Whether Alternative Proof Is Provided MRN

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Output Data	Result Identify If Information Is Sufficient To Send Enquiry Request
Previous Objects	Did Alternative Proof Provided?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.646: Task Identify If Information Is Sufficient To Send Enquiry Request

Task Record the Written-Off Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record the Written-Off Movement
Task Type	Service task
Description	When the Written-Off movement is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-03-Send Enquiry Request To The Indicated Office
Input Data	MRN Manual Write-Off Movement Indication
Output Data	Manual Write-Off Movement Indication
Previous Objects	Write the movement off manually
Information Exchange	
Requirements	TRA_FUR_0009 TRA_FUR_0064
Data Set	
Events	
State	

Table 5.647: Task Record the Written-Off Movement

Task Identify Declared Customs Offices

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Declared Customs Offices
Task Type	Service task
Description	The NCTS identifies the declared Customs Offices of Transit/Destination.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	E_DEC_DAT MRN
Output Data	Office of destination (and country) Intended offices of transit (and country) Customs Office Of Departure
Previous Objects	Record the Written-Off Movement
Information Exchange	
Requirements	TRA_FUR_0042
Data Set	
Events	
State	

Table 5.648: Task Identify Declared Customs Offices

Task Notify Involved Custom Offices for Forwarded Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Involved Custom Offices for Forwarded Arrival Advice
Task Type	Send task
Description	When the declared Customs Offices are identified, a notification IE will automatically be sent to the involved Customs offices informing that the movement can close.
Actor	NCTS
Input Data	Transit Operation C_FWD_ARR
Output Data	
Previous Objects	Identify Declared Customs Offices
Information Exchange	Forwarded Arrival Advice

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Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.649: Task Notify Involved Custom Offices for Forwarded Arrival Advice

Event Movement Written-Off

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Written-Off
Event Type	End event
Description	The movement is Written-Off and the process ends.
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B L4-TRA-01-06-Handle Enquiry - Enquiry Procedure Option A L4-TRA-01-07-05-Waiting For Paper Control Result To Arrive
Previous Objects	Notify Involved Custom Offices for Forwarded Arrival Advice

Table 5.650: Event Movement Written-Off

Event Registration Of Enquiry Request Is To Be Initiated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Registration Of Enquiry Request Is To Be Initiated
Event Type	End event
Description	The registration of Enquiry Request is to be initiated. The process ends.
Previous Objects	Is the Information Sufficient To Start Enquiry?

Table 5.651: Event Registration Of Enquiry Request Is To Be Initiated

Event Recovery is Recommended

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery is Recommended
Event Type	End event
Description	No IE141 within the given time limit or an IE141 with insufficient information or with information for recovery has been received. The process ends.
Appears also in the following	L4-TRA-01-06-Handle Enquiry - Enquiry Procedure Option A

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processes	
Previous Objects	Record Time Limit Wait Response IE141 Expiration is the Response Positive? Is the Information Sufficient To Start Enquiry?

Table 5.652: Event Recovery is Recommended

5.46. Process L4-TRA-01-06-03-Send Enquiry Request To The Indicated Office

5.46.1. Objective of Process

When the process 'Send Enquiry Request' has the 'Information sufficient to start enquiry' end event, the process starts.

The Competent Authority of Enquiry at Departure continues the enquiry procedure with a request at the Customs Office of Destination. Based on the information provided by the Trader, the IE142 (indicating additional information is available) will be sent to the Actual Customs Office of Destination.

In case there had been a IE142 sent to the Declared Customs Office of Destination or any other Customs Office, which is different from the one provided by the Trader before, this IE142 shall be cancelled beforehand by sending the IE059 There shall never be more than one IE142 pending. NCTS records the cancellation. If it is the same office information exchange via IE144/IE145 takes place and a 2nd IE142 shall be sent giving reference to the additional information In the IE144.

The movement remains in the 'Under enquiry procedure' state.

The timer 'Wait for enquiry response' is set.

Final situation: An enquiry request has been sent to the Actual Customs Office of Destination and the timer is set. An eventual pending enquiry is cancelled (state remains 'Under enquiry procedure') and the Customs Office requested for enquiry is notified of its cancellation and any work related to that enquiry can stop, or additional info is forwarded to the Requested Office.

The involved customs offices pool and the IE024 message have been introduced on this model.

5.46.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-06-03
Full Name	Send Enquiry Request To The Indicated Office
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-11-Enquiry Procedure
Existing Specifications	DDDNTA v5.13

Table 5.653: Process Details

5.46.3. Tasks and Events

Event Information Sufficient To Start Enquiry

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Information Sufficient To Start Enquiry
Event Type	Conditional start event
Description	The process is initiated by the end event 'Information Sufficient to start enquiry' of the process 'Send Enquiry Request'.
Previous Objects	

Table 5.654: Event Information Sufficient To Start Enquiry

Task Register Enquiry Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Enquiry Request
Task Type	User task
Description	<p>When information is sufficient an Enquiry to be started the Competent Authority of Enquiry at Departure continues the enquiry procedure with a request at the Office of Destination.</p> <p>Final situation:</p> <p>- An enquiry request is decided that must be sent to the Office of Destination.</p>
Actor	Customs Officer
Input Data	MRN
Output Data	Register Enquiry Request
Previous Objects	Information Sufficient To Start Enquiry
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.655: Task Register Enquiry Request

Task Record Enquiry Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Enquiry Request
Task Type	Service task

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Description	When an Enquiry request is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	C_ENQ_REQ
Output Data	C_ENQ_REQ
Previous Objects	Register Enquiry Request
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.656: Task Record Enquiry Request

Task Identify Whether Enquiry Request Regarding The Same Movement Has Already Been Sent

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Whether Enquiry Request Regarding The Same Movement Has Already Been Sent
Task Type	Service task
Description	<p>When an Enquiry request is recorded, the System will automatically check if IE142 regarding the same movement has already been sent.</p> <p>Final situation:</p> <p>The result is positive: IE142 regarding the same movement has already been sent.</p> <p>The result is negative: IE142 regarding the same movement has not been sent yet.</p>
Actor	NCTS
Input Data	MRN C_ENQ_REQ
Output Data	Result Identify Whether IE142 Regarding The Same Movement Has Already Been Sent
Previous Objects	Record Enquiry Request
Information Exchange	

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PROCESS MODELS

Requirements	TRA_FUR_0070
Data Set	
Events	
State	

Table 5.657: Task Identify Whether Enquiry Request Regarding The Same Movement Has Already Been Sent

Task Notify Customs Office Of Destination of Cancel Enquiry Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Destination of Cancel Enquiry Notification
Task Type	Send task
Description	When there had been a IE142 sent to the Declared Customs Office of Destination or any other Customs Office, which is different from the one provided by the Trader before, a notification IE059 will automatically be sent to Competent Authority Of Enquiry At Destination informing that this IE142 shall be cancelled.
Actor	NCTS
Input Data	Transit Operation Customs office(s) of destination
Output Data	
Previous Objects	Enquiry Request Regarding The Same Movement Has Been Sent?
Information Exchange	Cancel Enquiry Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.658: Task Notify Customs Office Of Destination of Cancel Enquiry Notification

Task Notify Customs Office Of Destination of Enquiry Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Destination of Enquiry Request
Task Type	Send task
Description	When the timer "Wait For Enquiry Response" is started, a notification IE will automatically be sent to Customs Office of Destination informing that the enquiry procedure is started.

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Actor	NCTS
Input Data	C_ENQ_REQ
Output Data	
Previous Objects	Notify Customs Office Of Destination of Cancel Enquiry Notification Enquiry Request Regarding The Same Movement Has Been Sent?
Information Exchange	Enquiry Request
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.659: Task Notify Customs Office Of Destination of Enquiry Request

Task Identify If Time Limit Notify IE140 Is Stopped

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Time Limit Notify IE140 Is Stopped
Task Type	Service task
Description	When the time limit Notify IE140 is about to be stopped, the NCTS system automatically checks if the timer is running or stopped by other process.
Actor	NCTS
Input Data	MRN
Output Data	Result Identify If Time Limit Notify IE140 Is Stopped
Previous Objects	Notify Customs Office Of Destination of Enquiry Request
Information Exchange	
Requirements	TRA_FUR_0094
Data Set	
Events	
State	

Table 5.660: Task Identify If Time Limit Notify IE140 Is Stopped

Task Stop Time Limit Notify IE140

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit Notify IE140
Task Type	Service task
Description	<p>When the Trader at Departure is notified, then the time limit to notify the IE140 is automatically stops.</p> <p>Final situation: The timer to notify the IE140 is stopped.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-01-Identify If The Information Is Sufficient To Start Enquiry
Input Data	<p>Request on Non-arrived Movement Record Date</p> <p>Request On Non-Arrived Movement Expiry Date</p> <p>MRN</p>
Output Data	<p>Request On Non-Arrived Movement Expiry Date</p> <p>Transit Operation</p> <p>Request on Non-arrived Movement Record Date</p>
Previous Objects	Is it stopped?
Information Exchange	
Requirements	TRA_FUR_0155
Data Set	Transit Operation
Events	Enquiry Procedure Is To Be Initiated
State	Under Enquiry Procedure

Table 5.661: Task Stop Time Limit Notify IE140

Task Start Time Limit -Wait For Enquiry Response-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Time Limit -Wait For Enquiry Response-
Task Type	Service task
Description	<p>When enquiry request regarding the same movement has been identified if it has been sent or not, the timer 'Wait for enquiry response' is set.</p> <p>Final situation:</p>

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	The timer to wait for enquiry response is started.
Actor	NCTS
Input Data	MRN Enquiry Date Enquiry Default Time Limit
Output Data	Transit Operation Enquiry Date Enquiry Response Expiry Date
Previous Objects	Is it stopped? Stop Time Limit Notify IE140
Information Exchange	
Requirements	TRA_FUR_0065
Data Set	Transit Operation
Events	Information Sufficient To Start Enquiry
State	Under Enquiry Procedure

Table 5.662: Task Start Time Limit -Wait For Enquiry Response-

Task Identify Whether Alternative Proof Is Provided

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Whether Alternative Proof Is Provided
Task Type	Service task
Description	When information is received by the holder of the transit, the NCTS system performs an automatic check to identify whether alternative proof provided which will lead to manual write off. Alternative proof can also be provided after the enquiry request
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-02-Check Provided Information
Input Data	E_MOV_RSP MRN Result Identify If Response Is Positive
Output Data	Result Whether Alternative Proof Is Provided

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Previous Objects	Start Time Limit -Wait For Enquiry Response-
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.663: Task Identify Whether Alternative Proof Is Provided

Task Register Alternative Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Alternative Proof
Task Type	User task
Description	New information arrives that justifies the write-off of the movement via alternative proof. Therefore, the Customs Officer manually writes off the movement. Final situation: The Customs Officer registered the additional evidence
Actor	Customs Officer
Input Data	MRN Enquiry
Output Data	Proof About Movement Has Been Arrived Indication Result Check If Proof About Movement Has Arrived
Previous Objects	Has Alternative Proof Been Provided After The Enquiry Request?
Information Exchange	
Requirements	TRA_FUR_0054
Data Set	
Events	
State	

Table 5.664: Task Register Alternative Proof

Event Customs Office Of Destination Notified Of Enquiry Request

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Customs Office Of Destination Notified Of Enquiry Request

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Event Type	End event
Description	An enquiry request has been sent to the Customs Office of Destination and the timer is set. An eventual pending enquiry is cancelled (state remains 'Under enquiry procedure') and the Customs Office requested for enquiry is notified of its cancellation and any work related to that enquiry can stop, or additional info is forwarded to the Requested Office.
Appears also in the following processes	L4-TRA-01-06-Handle Enquiry - Enquiry Procedure Option A
Previous Objects	Has Alternative Proof Been Provided After The Enquiry Request?

Table 5.665: Event Customs Office Of Destination Notified Of Enquiry Request

Task Record Alternative Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Alternative Proof
Task Type	Service task
Description	When Alternative Proof is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Proof About Movement Has Been Arrived Indication MRN
Output Data	Proof About Movement Has Been Arrived Indication
Previous Objects	Register Alternative Proof
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.666: Task Record Alternative Proof

Task Write the movement off manually

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Write the movement off manually
Task Type	User task

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Description	When alternative proof provided, the holder of the transit is automatically notified and he is expected to proceed with a manual write off.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-06-02-Check Provided Information
Input Data	MRN E_MOV_RSP
Output Data	Manual Write-Off Movement Indication Result Check if Declaration Has Been Written Off Manually
Previous Objects	Record Alternative Proof
Information Exchange	
Requirements	TRA_FUR_0064
Data Set	
Events	
State	

Table 5.667: Task Write the movement off manually

Task Record the Written-Off Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record the Written-Off Movement
Task Type	Service task
Description	When the Written-Off movement is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-02-Check Provided Information
Input Data	MRN Manual Write-Off Movement Indication
Output Data	Manual Write-Off Movement Indication
Previous Objects	Write the movement off manually
Information Exchange	
Requirements	TRA_FUR_0009

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PROCESS MODELS

	TRA_FUR_0064
Data Set	
Events	
State	

Table 5.668: Task Record the Written-Off Movement

Task Stop Time Limit -Wait For Enquiry Response-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Wait For Enquiry Response-
Task Type	Service task
Description	<p>When a negative enquiry response exists(IE143 ,unknown'; ,duplicate') which indicates that enquiries at the Customs Office of Destination are completed, the timer 'Wait for enquiry response' stops.</p> <p>Final situation: The timer 'Wait for enquiry response' is stopped.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response
Input Data	Enquiry Response Expiry Date Current Date MRN
Output Data	Enquiry Response End Date Expired Calendar Days of Time Limit Wait for Enquiry Response
Previous Objects	Record the Written-Off Movement
Information Exchange	
Requirements	TRA_FUR_0066 TRA_FUR_117
Data Set	
Events	
State	

Table 5.669: Task Stop Time Limit -Wait For Enquiry Response-

Task Notify Office Of Destination Of The Cancel Enquiry Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Notify Office Of Destination Of The Cancel Enquiry Notification
Task Type	Send task
Description	When the timer for waiting the enquiry response is expired or after manual write off, a notification IE will automatically be sent to Customs Office of Destination informing that the Enquiry Request is cancelled.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response
Input Data	Transit Operation
Output Data	
Previous Objects	Stop Time Limit -Wait For Enquiry Response-
Information Exchange	Cancel Enquiry Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.670: Task Notify Office Of Destination Of The Cancel Enquiry Notification

Task Identify All Involved Customs Offices

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify All Involved Customs Offices
Task Type	Service task
Description	The NCTS at the Customs Administration of Departure identifies all involved offices in the transit operation.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-05-Waiting For Paper Control Result To Arrive
Input Data	MRN
Output Data	Office of destination (and country) Customs Office Of Departure Intended offices of transit (and country)
Previous Objects	Notify Office Of Destination Of The Cancel Enquiry Notification
Information Exchange	

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PROCESS MODELS

Requirements	TRA_FUR_0014 TRA_FUR_0078
Data Set	
Events	
State	

Table 5.671: Task Identify All Involved Customs Offices

Task Notify All Involved Customs Offices of Forwarded Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify All Involved Customs Offices of Forwarded Arrival Advice
Task Type	Send task
Description	When all Involved Customs Office(s) are identified, a notification IE will automatically be sent to Involved Customs Office(s) informing that the movement is to be written-off.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-05-Waiting For Paper Control Result To Arrive
Input Data	Transit Operation
Output Data	
Previous Objects	Identify All Involved Customs Offices
Information Exchange	Forwarded Arrival Advice
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.672: Task Notify All Involved Customs Offices of Forwarded Arrival Advice

Event Movement Written-Off

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Written-Off
Event Type	End event
Description	The movement is closed and the process ends.
Previous Objects	Notify All Involved Customs Offices of Forwarded Arrival Advice

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PROCESS MODELS

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Table 5.673: Event Movement Written-Off

5.47. Process L4-TRA-01-06-04-Check If The Statuses Are Synchronised

5.47.1. Objective of Process

This process starts when the control results or the arrival advice are not received at departure. The NCTS system automatically starts the Time Limit T_Notify_IE140 to set deadline for the 'Request on Non-Arrived Movement' E_REQ_MOV (IE140) message to be sent by the Office of Departure to the Holder of the Transit Procedure, requesting them to provide information regarding the authorized consignee of the movement.

As soon as the timer is started the status check response process takes place in order to identify whether the statuses between departure and destination are synchronised. If the statuses are not synchronised, arrival advice or the control results are re-sent and the arrival process resume. However, if the status were already synchronised the enquiry is recommended.

5.47.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-06-04
Full Name	Check If The Statuses Are Synchronised
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-10-Status Check L3-TRA-01-11-Enquiry Procedure
Existing Specifications	DDDNTA v5.13

Table 5.674: Process Details

5.47.3. Tasks and Events

Event Time Limit -Awaiting Receipt Of Arrival Advice- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit -Awaiting Receipt Of Arrival Advice- Expired
Event Type	Timer start event
Description	The time allotted for the receipt of the arrival advice (IE006) has expired. This time-out period that indicates that the status request should be sent is defined by the Competent Authority of Enquiry at Departure using the values permitted in the relevant legal framework.
Appears also in the following processes	L4-TRA-01-06-Handle Enquiry - Enquiry Procedure Option A

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PROCESS MODELS

Previous Objects	
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Table 5.675: Event Time Limit -Awaiting Receipt Of Arrival Advice- Expired

Task Record Time Limit -Awaiting Receipt Of Arrival Advice- Expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Time Limit -Awaiting Receipt Of Arrival Advice- Expired
Task Type	Service task
Description	When expiration of the timer 'Awaiting receipt of arrival advice' is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Expected Arrival Date of the Movement at the Offices of Transit MRN
Output Data	Transit Operation Receipt of Arrival Advice Expiry Date
Previous Objects	Time Limit -Awaiting Receipt Of Arrival Advice- Expired
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Time Limit -Awaiting Receipt Of Arrival Advice- Expired
State	Enquiry Recommended

Table 5.676: Task Record Time Limit -Awaiting Receipt Of Arrival Advice- Expired

Task Record Time Limit -Awaiting Receipt Of Control Results- Expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Time Limit -Awaiting Receipt Of Control Results- Expired
Task Type	Service task
Description	When expiration of the timer 'Awaiting Receipt of Control Results' is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Control Results Expected Arrival Date MRN

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Output Data	Transit Operation Control Results Expiry Date
Previous Objects	Time Limit -Awaiting Receipt Of Control Results- Expired
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Time Limit -Awaiting Receipt Of Control Results- Expired
State	Enquiry Recommended

Table 5.677: Task Record Time Limit -Awaiting Receipt Of Control Results- Expired

Event Time Limit -Awaiting Receipt Of Control Results- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit -Awaiting Receipt Of Control Results- Expired
Event Type	Timer start event
Description	The time allotted for the receipt of the control result (IE018) has expired. This time-out period that indicates that the status request should be sent is defined by the Competent Authority of Enquiry at Departure using the values permitted in the relevant legal framework.
Appears also in the following processes	L4-TRA-01-06-Handle Enquiry - Enquiry Procedure Option A
Previous Objects	

Table 5.678: Event Time Limit -Awaiting Receipt Of Control Results- Expired

Task Start Time Limit T_Notify_IE140

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Time Limit T_Notify_IE140
Task Type	Service task
Description	When the arrival advice (IE006) or the control results (IE018) have not received at the departure, the timer T_Notify_IE140 starts automatically to set deadline for the 'Request on Non-Arrived Movement' E_REQ_MOV (IE140) message to be sent by the Office of Departure to the Holder of the Transit Procedure, requesting them to provide information regarding the authorized consignee of the movement.

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Actor	NCTS
Input Data	Request On Non-Arrived Movement Start Date Request On Non-Arrived Movement Default Time Limit MRN Date of Request on Non-Arrived Movement
Output Data	Request On Non-Arrived Movement Start Date Date of Request on Non-Arrived Movement Request On Non-Arrived Movement Expiry Date
Previous Objects	Record Time Limit -Awaiting Receipt Of Arrival Advice- Expired Record Time Limit -Awaiting Receipt Of Control Results- Expired
Information Exchange	
Requirements	TRA_FUR_0153
Data Set	
Events	
State	

Table 5.679: Task Start Time Limit T_Notify_IE140

Task Status Check

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Status Check
Task Type	Call activity (collapsed)
Description	<p>As part of this process, a request of the movement status of a transit procedure is requested to a responding office. After receiving the status response, the requesting office compares the statuses and identify whether they are synchronised.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> - Statuses are synchronised - Statuses are not synchronised, thus IE006 or IE018 will be re-sent
Actor	NCTS
Appears also in the following processes	L2-TRA-01-00-Transit
Input Data	
Output Data	
Previous Objects	Start Time Limit T_Notify_IE140 Responding Customs Office

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.680: Task Status Check

Task Identify If The Arrival Advice Is Missing

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If The Arrival Advice Is Missing
Task Type	Service task
Description	When the statuses are not synchronised, the NCTS system perform an automate check to identify whether the arrival advice is missing
Actor	NCTS
Input Data	MRN C_STD_RSP
Output Data	Result Identify If The Arrival Advice Is Missing
Previous Objects	Is the Received with the Available Status Synchronized?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.681: Task Identify If The Arrival Advice Is Missing

Event Enquiry Recommended

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Recommended
Event Type	End event
Description	When the statuses are synchronised, the enquiry process is recommended.
Appears also in the following processes	L4-TRA-01-06-05-Take Decision On Enquiry Initiation
Previous Objects	Is the Received with the Available Status Synchronized?

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PROCESS MODELS

Table 5.682: Event Enquiry Recommended

Event Receive Arrival Advice

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Arrival Advice
Event Type	Message intermediate event (catch)
Description	The NCTS at the Competent Authority at Departure receives arrival advice.
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B
Previous Objects	Competent Authority Of Enquiry At Destination Is Arrival Advice Missing?

Table 5.683: Event Receive Arrival Advice

Task Record Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Arrival Advice
Task Type	Service task
Description	When IE006 is registered, the data elements following this registration are automatically recorded into the System - linked to the MRN of the transit movement.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-03-Store and Forward Arrival Advice
Input Data	
Output Data	C_ARR_ADV Transit Operation
Previous Objects	Receive Arrival Advice
Information Exchange	Arrival Advice
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Arrival Advice Is Received
State	Arrived

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

Table 5.684: Task Record Arrival Advice

Task Identify If Destination Control Results Are Missing

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Destination Control Results Are Missing
Task Type	Service task
Description	When the statuses are not synchronised, the NCTS system perform an automate check to identify whether the control results are missing
Actor	NCTS
Input Data	MRN C_STD_RSP
Output Data	Result Identify If The Destination Control Results Are Missing
Previous Objects	Is Arrival Advice Missing? Record Arrival Advice
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.685: Task Identify If Destination Control Results Are Missing

Event Receive Destination Control Results

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Destination Control Results
Event Type	Message intermediate event (catch)
Description	The NCTS at the Competent Authority at Departure receives destination control results.
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B
Previous Objects	Are Control Results Missing? Competent Authority Of Enquiry At Destination

Table 5.686: Event Receive Destination Control Results

Task Record Destination Control Results

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Destination Control Results
Task Type	Service task
Description	When Destination Control results are registered, the data elements following this registration are automatically recorded in the System - linked to the MRN
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B L4-TRA-01-03-09-Handle Destination Control Results
Input Data	
Output Data	C_DES_CON
Previous Objects	Receive Destination Control Results
Information Exchange	Destination Control Results
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.687: Task Record Destination Control Results

Event Arrival Advice Received and Arrival Processing Is To Be Resumed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival Advice Received and Arrival Processing Is To Be Resumed
Event Type	End event
Description	The Arrival Advice is received and Arrival Processing is to be resumed. The process ends.
Appears also in the following processes	L4-TRA-01-06-Handle Enquiry - Enquiry Procedure Option A
Previous Objects	Are Control Results Missing?

Table 5.688: Event Arrival Advice Received and Arrival Processing Is To Be Resumed

Event Destination Control Results -Type A or B- Received and Arrival Processing Is To Be Resumed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Destination Control Results -Type A or B- Received and Arrival Processing Is To Be Resumed
Event Type	End event
Description	When the status synchronised, the arrival process is to be resumed.
Previous Objects	Record Destination Control Results

Table 5.689: Event Destination Control Results -Type A or B- Received and Arrival Processing Is To Be Resumed

5.48. Process L4-TRA-01-06-05-Take Decision On Enquiry Initiation

5.48.1. Objective of Process

When the enquiry process is recommended, the customs officer is expected to register his decision on enquiry initiation.

As soon as the decision is registered and recorded, the NCTS system automatically detects whether the process will be initiated or postponed.

5.48.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-06-05
Full Name	Take Decision On Enquiry Initiation
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-11-Enquiry Procedure L3-TRA-01-12-Enquiry Response Handling
Existing Specifications	DDNTA v5.13

Table 5.690: Process Details

5.48.3. Tasks and Events

Task Register Decision To Initiate Enquiry Procedure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision To Initiate Enquiry Procedure
Task Type	User task
Description	When the enquiry process is recommended, the customs officer is automatically notified and he is responsible to register his decision about enquiry initiation.
Actor	Customs Officer

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PROCESS MODELS

Input Data	Recovery Recommended Record Date Recovery Recommended Default Time Limit MRN Recovery Recommended Expiry Date
Output Data	Result Check If Enquiry Is to Be Initiated Recovery Recommended Expiry Date
Previous Objects	Enquiry Recommended
Information Exchange	
Requirements	TRA_FUR_0107 TRA_FUR_0008
Data Set	
Events	
State	

Table 5.691: Task Register Decision To Initiate Enquiry Procedure

Event Enquiry Recommended

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Recommended
Event Type	Conditional start event
Description	When the statuses are synchronised, the enquiry process is recommended.
Appears also in the following processes	L4-TRA-01-06-04-Check If The Statuses Are Synchronised
Previous Objects	

Table 5.692: Event Enquiry Recommended

Task Record Decision To Initiate Enquiry Procedure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision To Initiate Enquiry Procedure
Task Type	Service task
Description	When the decision to initiate enquiry procedure is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Recovery Recommended Expiry Date Result Check If Enquiry Is to Be Initiated MRN

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PROCESS MODELS

Output Data	Result Check If Enquiry Is to Be Initiated Recovery Recommended Expiry Date
Previous Objects	Register Decision To Initiate Enquiry Procedure
Information Exchange	
Requirements	TRA_FUR_0009 TRA_FUR_0114
Data Set	
Events	
State	

Table 5.693: Task Record Decision To Initiate Enquiry Procedure

Task Identify If Enquiry Procedure Is To Be Initiated

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Enquiry Procedure Is To Be Initiated
Task Type	Service task
Description	When the decision on enquiry initiation is recorded, the NCTS system performs an automatic check to identify whether the enquiry will be initiated or not.
Actor	NCTS
Input Data	MRN Result Check If Enquiry Is to Be Initiated
Output Data	Result Identify If Enquiry Procedure Is To Be Initiated
Previous Objects	Record Decision To Initiate Enquiry Procedure
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.694: Task Identify If Enquiry Procedure Is To Be Initiated

Event Enquiry Procedure Is Postponed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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PROCESS MODELS

Event Name	Enquiry Procedure Is Postponed
Event Type	End event
Description	The Enquiry Procedure is postponed. The process ends.
Appears also in the following processes	L4-TRA-01-06-Handle Enquiry - Enquiry Procedure Option A
Previous Objects	Is Enquiry Procedure to Be Initiated?

Table 5.695: Event Enquiry Procedure Is Postponed

Event Enquiry Procedure to Be Initiated?

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Procedure to Be Initiated?
Event Type	End event
Description	Enquiry process is to be initiated
Previous Objects	Is Enquiry Procedure to Be Initiated?

Table 5.696: Event Enquiry Procedure to Be Initiated?

5.49. Process L4-TRA-01-06-Handle Enquiry - Enquiry Procedure Option A

5.49.1. Objective of Process

This process is initiated when arrival advice or destination control results are not received. The NCTS system performs an automate check to identify whether or not the statuses are synchronised between destination and departure.

If the statuses are synchronised, the enquiry process is recommended. If not, the arrival advice or destination control results will be re-sent and the arrival process is to be resumed.

When the enquiry is recommended and the customs officer, initiate the enquiry process, an automatic check takes place to identify whether the consignee information is sufficient and if not, he is notified and should reply on a predefined time limit.

As soon as the holder of the transit provide, his reply can either be positive or negative.

-If his reply is negative, recovery is recommended

-If his reply is positive, he either provides alternative proof or sufficient information to start the enquiry

When the consignee information is considered sufficient, enquiry request is sent to the involved office(s).

5.49.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-06
Full Name	Handle Enquiry - Enquiry Procedure (Option A)

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PROCESS MODELS

Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-11-Enquiry Procedure
Existing Specifications	DDDNTA v5.13

Table 5.697: Process Details

5.49.3. Tasks and Events

Event Time Limit -Awaiting Receipt Of Arrival Advice- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit -Awaiting Receipt Of Arrival Advice- Expired
Event Type	Timer start event
Description	The time allotted for the receipt of the arrival advice (IE006) has expired. This time-out period that indicates that the status request should be sent is defined by the Competent Authority of Enquiry at Departure using the values permitted in the relevant legal framework.
Appears also in the following processes	L4-TRA-01-06-04-Check If The Statuses Are Synchronised
Previous Objects	

Table 5.698: Event Time Limit -Awaiting Receipt Of Arrival Advice- Expired

Task Check If The Statuses Are Synchronised

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Check If The Statuses Are Synchronised
Task Type	Call activity (collapsed)
Description	<p>This process checks whether the statuses are synchronised or not through the exchange of status request/response messages.</p> <p>Final Situation is on the following:</p> <ul style="list-style-type: none"> -Statuses were not synchronised, destination control results or arrival advice will be re-sent and the arrival process will be resumed. -Statuses were synchronised, enquiry process is recommended.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	<p>Time Limit -Awaiting Receipt Of Arrival Advice- Expired</p> <p>Time Limit -Awaiting Receipt Of Control Results- Expired</p>

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.699: Task Check If The Statuses Are Synchronised

Event Arrival Advice Received and Arrival Processing Is To Be Resumed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Arrival Advice Received and Arrival Processing Is To Be Resumed
Event Type	End event
Description	The Arrival Advice is received and Arrival Processing is to be resumed. The process ends.
Appears also in the following processes	L4-TRA-01-06-04-Check If The Statuses Are Synchronised
Previous Objects	Is Either The Arrival Advice Or The Destination Control Results Received?

Table 5.700: Event Arrival Advice Received and Arrival Processing Is To Be Resumed

Event Time Limit -Awaiting Receipt Of Control Results- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit -Awaiting Receipt Of Control Results- Expired
Event Type	Timer start event
Description	The time allotted for the receipt of the control result (IE018) has expired. This time-out period that indicates that the status request should be sent is defined by the Competent Authority of Enquiry at Departure using the values permitted in the relevant legal framework.
Appears also in the following processes	L4-TRA-01-06-04-Check If The Statuses Are Synchronised
Previous Objects	

Table 5.701: Event Time Limit -Awaiting Receipt Of Control Results- Expired

Task Take Decision On Enquiry Initiation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Take Decision On Enquiry Initiation
Task Type	Subprocess (collapsed)
Description	When the enquiry process is recommended, the customs officer is

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	responsible to initiate or postpone the enquiry.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Is Either The Arrival Advice Or The Destination Control Results Received?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.702: Task Take Decision On Enquiry Initiation

Event Enquiry Procedure Is Postponed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Procedure Is Postponed
Event Type	End event
Description	The Enquiry Procedure is postponed. The process ends.
Appears also in the following processes	L4-TRA-01-06-05-Take Decision On Enquiry Initiation
Previous Objects	Enquiry Procedure Initiated?

Table 5.703: Event Enquiry Procedure Is Postponed

Task Identify If The Information Is Sufficient To Start Enquiry

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If The Information Is Sufficient To Start Enquiry
Task Type	Call activity (collapsed)
Description	<p>When the enquiry process is to be initiated, the NCTS system automatically checks whether the information of the consignee is sufficient or not.</p> <p>Final Situation is one of the following:</p> <ul style="list-style-type: none"> -Consignee information is not available: IE140 message is notified to the holder of the transit which should provide a response on a predefined time limit -Consignee information is available: Information to start enquiry is considered sufficient.
Actor	NCTS

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Input Data	
Output Data	
Previous Objects	Enquiry Procedure Initiated?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.704: Task Identify If The Information Is Sufficient To Start Enquiry

Event Receive Information about Non-Arrived Movement

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Information about Non-Arrived Movement
Event Type	Message intermediate event (catch)
Description	This process starts when the response from holder of the transit (IE141) is received.
Appears also in the following processes	L4-TRA-01-06-02-Check Provided Information
Previous Objects	Is The Information Sufficient To Start Enquiry? Premises Of The Trader At Departure

Table 5.705: Event Receive Information about Non-Arrived Movement

Event Timer -Wait For Response IE141- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Timer -Wait For Response IE141- Expired
Event Type	Timer intermediate event
Description	The Holder of the Transit procedure provides no information within the time limit and a time-out has occurred.
Previous Objects	Is The Information Sufficient To Start Enquiry?

Table 5.706: Event Timer -Wait For Response IE141- Expired

Task Check Provided Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Check Provided Information

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Task Type	Call activity (collapsed)
Description	<p>When an IE141 is received, the process is initiated. If the Holder of Transit procedure provides an alternative proof (in accordance with current law) the movement can be written-off (L4-TRA-01-03-09-Handle Destination Control Results) and the IE024 is sent to all involved offices to close that movement.</p> <p>If the Holder of Transit procedure provides the Competent Authority of Enquiry at Departure with other sufficient information (no alternative proof) or a new Customs Office of Destination this information will be forwarded to the Customs Office of Destination (declared or actual).</p> <p>If the Holder of Transit procedure did not provide information at all or the provided information legitimates a recovery or it is considered insufficient for enquiry, the recovery procedure (see "L4-TRA-01-08-Handle Recovery - Recovery Procedure (Option A) ") is started within one month after the expiry of the time given to the Holder of Transit procedure to answer.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Information about Non-Arrived Movement
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.707: Task Check Provided Information

Task Send Enquiry Request To The Indicated Office

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Send Enquiry Request To The Indicated Office
Task Type	Call activity (collapsed)
Description	<p>When the process 'Send Enquiry Request' has the 'Information sufficient to start enquiry' end event, the process starts.</p> <p>The Competent Authority of Enquiry at Departure continues the enquiry procedure with a request at the Customs Office of Destination. Based on the information provided by the Trader, the IE142 (indicating additional information is available) will be sent to the Actual Customs Office of Destination.</p> <p>In case there had been a IE142 sent to the Declared Customs Office of Destination or any other Customs Office, which is different from the one provided by the Trader before, this IE142 shall be cancelled beforehand by sending the IE059. There shall never be more than one IE142 pending.</p>

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	<p>NCTS records the cancellation. If it is the same office information exchange via IE144/IE145 takes place and a 2nd IE142 shall be sent giving reference to the additional information in the IE144.</p> <p>The movement remains in the 'Under enquiry procedure' state.</p> <p>The timer 'Wait for enquiry response' is set.</p> <p>Final situation: An enquiry request has been sent to the Customs Office of Destination and the timer is set. An eventual pending enquiry is cancelled (state remains 'Under enquiry procedure') and the Customs Office requested for enquiry is notified of its cancellation and any work related to that enquiry can stop, or additional info is forwarded to the Requested Office.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	<p>Is The Information Sufficient To Start Enquiry?</p> <p>Is The Information Sufficient To Start Enquiry?</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.708: Task Send Enquiry Request To The Indicated Office

Event Movement Written-Off

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Written-Off
Event Type	End event
Description	The movement is Written-Off and the process ends.
Appears also in the following processes	<p>L4-TRA-01-06-02-Check Provided Information</p> <p>L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B</p> <p>L4-TRA-01-07-05-Waiting For Paper Control Result To Arrive</p>
Previous Objects	<p>Did Alternative Proof Provided?</p> <p>Has Alternative Proof Been Provided After The Enquiry Request?</p>

Table 5.709: Event Movement Written-Off

Event Customs Office Of Destination Notified Of Enquiry Request

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Customs Office Of Destination Notified Of Enquiry Request
Event Type	End event
Description	An enquiry request has been sent to the Customs Office of Destination and the timer is set. An eventual pending enquiry is cancelled (state remains 'Under enquiry procedure') and the Customs Office requested for enquiry is notified of its cancellation and any work related to that enquiry can stop, or additional info is forwarded to the Requested Office.
Appears also in the following processes	L4-TRA-01-06-03-Send Enquiry Request To The Indicated Office
Previous Objects	Has Alternative Proof Been Provided After The Enquiry Request?

Table 5.710: Event Customs Office Of Destination Notified Of Enquiry Request

Event Recovery is Recommended

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery is Recommended
Event Type	End event
Description	No IE141 within the given time limit or an IE141 with insufficient information or with information for recovery has been received. The process ends.
Appears also in the following processes	L4-TRA-01-06-02-Check Provided Information
Previous Objects	Is The Information Sufficient To Start Enquiry? is the Response Positive? Timer -Wait For Response IE141- Expired

Table 5.711: Event Recovery is Recommended

5.50. Process L4-TRA-01-07-01-Information Exchange IE144_IE145 At Competent Authority Of Enquiry At Departure

5.50.1. Objective of Process

When additional information or question exchange is needed, the messages IE145 (Enquiry Information Request) and IE144 (Enquiry Information) can be used whereas the IE144 is sent only by the Customs Office of Departure and IE145 is sent only by the Customs Office of Destination. This information exchange can be started either by the Customs Office of Departure or the Customs Office of Destination, no reply is needed since it can be only information sent and not a question asked. Additional documents will be sent by other than NCTS means. These two messages can be sent during the whole process of enquiry and recovery to assure the exchange of information between the offices involved in the enquiry/recovery procedure. The 'Enquiry Information' (IE144) and the 'Enquiry Information Request' (IE145) messages are not correlated and any of them can be sent spontaneously.

The final result of this process is the following:
Enquiry Information is Sent to Destination from Departure

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5.50.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-07-01
Full Name	Information Exchange IE145-IE144 At Competent Authority Of Enquiry At Departure
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-11-Enquiry Procedure L3-TRA-01-12-Enquiry Response Handling
Existing Specifications	DDDNTA v5.13

Table 5.712: Process Details

5.50.3. Tasks and Events

Event Enquiry Information To Be Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Information To Be Sent
Event Type	Conditional start event
Description	The process starts upon the intention of the Customs Officer to send the Enquiry Information
Previous Objects	

Table 5.713: Event Enquiry Information To Be Sent

Task Record Enquiry Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Enquiry Information Request
Task Type	Service task
Description	When an Enquiry Information Request is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	C_INF_REQ
Previous Objects	Receive Enquiry Information Request

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Information Exchange	Enquiry Information Request
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.714: Task Record Enquiry Information Request

Event Receive Enquiry Information Request

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Enquiry Information Request
Event Type	Message start event
Description	The process starts upon the receipt of the Enquiry Information Request
Previous Objects	Competent Authority Of Enquiry At Destination

Table 5.715: Event Receive Enquiry Information Request

Task Identify If Answer To Country Of Destination Is Expected

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Answer To Country Of Destination Is Expected
Task Type	Service task
Description	When an Enquiry Information Request is recorded, the System will automatically check if answer to the Country of Destination is expected. Final situation: The result is positive: answer to the Country of Destination is expected. The result is negative: answer to the Country of Destination is not expected.
Actor	NCTS
Input Data	C_INF_REQ MRN
Output Data	Result Identify If Answer To Country Of Destination Is Expected
Previous Objects	Record Enquiry Information Request
Information Exchange	
Requirements	TRA_FUR_0117

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PROCESS MODELS

Data Set	
Events	
State	

Table 5.716: Task Identify If Answer To Country Of Destination Is Expected

Task Register Decision To Send Enquiry Information To Country Of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision To Send Enquiry Information To Country Of Destination
Task Type	User task
Description	<p>When an Enquiry Information is to be sent or answer to the Country of Destination is expected, the Customs Officer at Departure takes the decision to send the Enquiry Information (IE144) to Customs Office of Destination.</p> <p>Final situation: The Customs Officer registers the decision to send the Enquiry Information to the Country Of Destination.</p>
Actor	Customs Officer
Input Data	C_ENQ_INF
Output Data	C_ENQ_INF
Previous Objects	Is Answer Expected? Enquiry Information To Be Sent
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.717: Task Register Decision To Send Enquiry Information To Country Of Destination

Task Acknowledge Enquiry Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Enquiry Information Request
Task Type	User task
Description	The Customs Officer at the Country of Departure acknowledges that no answer to Country of Destination is expected.

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Actor	Customs Officer
Input Data	MRN Result Check if Answer Is Expected to Country of Destination
Output Data	MRN Result Check if Answer Is Expected to Country of Destination
Previous Objects	Is Answer Expected?
Information Exchange	
Requirements	TRA_FUR_0038
Data Set	
Events	
State	

Table 5.718: Task Acknowledge Enquiry Information Request

Event Enquiry Information Request Received and No Answer Is Needed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Information Request Received and No Answer Is Needed
Event Type	End event
Description	The process ends when Enquiry Information Request is received at the Country of Departure and no answer Is needed.
Previous Objects	Acknowledge Enquiry Information Request

Table 5.719: Event Enquiry Information Request Received and No Answer Is Needed

Task Record Decision To Send Enquiry Information To Country Of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision To Send Enquiry Information To Country Of Destination
Task Type	Service task
Description	When the decision to send the Enquiry Information (IE144) to the Country of Destination is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	C_ENQ_INF
Output Data	C_ENQ_INF

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Previous Objects	Register Decision To Send Enquiry Information To Country Of Destination
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.720: Task Record Decision To Send Enquiry Information To Country Of Destination

Task Notify Competent Authority Of Enquiry At Destination of Enquiry Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Competent Authority Of Enquiry At Destination of Enquiry Information
Task Type	Send task
Description	When the decision to send the Enquiry Information (IE144) to Country of Destination is recorded, a notification IE will automatically be sent to Country of Destination informing that the Enquiry Information is available.
Actor	NCTS
Input Data	C_ENQ_INF
Output Data	
Previous Objects	Record Decision To Send Enquiry Information To Country Of Destination
Information Exchange	Enquiry Information
Requirements	TRA_FUR_0145
Data Set	
Events	
State	

Table 5.721: Task Notify Competent Authority Of Enquiry At Destination of Enquiry Information

Event Enquiry Information Is Sent To Country Of Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Information Is Sent To Country Of Destination
Event Type	End event
Description	The process ends when the Enquiry Information is sent to the Country of

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PROCESS MODELS

	Destination.
Previous Objects	Notify Competent Authority Of Enquiry At Destination of Enquiry Information

Table 5.722: Event Enquiry Information Is Sent To Country Of Destination

5.51. Process L4-TRA-01-07-02-Information Exchange IE144_IE145 At Competent Authority Of Enquiry At Destination

5.51.1. Objective of Process

When additional information or question exchange is needed, the messages IE145 (Enquiry Information Request) and IE144 (Enquiry Information) can be used whereas the IE144 is sent only by the Customs Office of Departure and IE145 is sent only by the Customs Office of Destination. This information exchange can be started either by the Customs Office of Departure or the Customs Office of Destination, no reply is needed since it can be only information sent and not a question asked. Additional documents will be sent by other than NCTS means. These two messages can be sent during the whole process of enquiry and recovery to assure the exchange of information between the offices involved in the enquiry/recovery procedure. The 'Enquiry Information' (IE144) and the 'Enquiry Information Request' (IE145) messages are not correlated and any of them can be sent spontaneously.

The final result of this process is the following:

Enquiry Information Request is Sent to Departure from Destination

5.51.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-07-02
Full Name	Information Exchange IE144/IE145 At Competent Authority Of Enquiry At Destination
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-11-Enquiry Procedure L3-TRA-01-12-Enquiry Response Handling
Existing Specifications	DDDNTA v5.13

Table 5.723: Process Details

5.51.3. Tasks and Events

Event Enquiry Information Request To Be Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Information Request To Be Sent

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Event Type	Conditional start event
Description	The process starts upon the intention of the Customs Officer to send the Enquiry Information Request
Previous Objects	

Table 5.724: Event Enquiry Information Request To Be Sent

Task Record Enquiry Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Enquiry Information
Task Type	Service task
Description	When an Enquiry Information is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	C_ENQ_INF
Previous Objects	Receive Enquiry Information
Information Exchange	Enquiry Information
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.725: Task Record Enquiry Information

Event Receive Enquiry Information

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Enquiry Information
Event Type	Message start event
Description	The process starts upon the receipt of the Enquiry Information
Previous Objects	Competent Authority Of Enquiry At Departure

Table 5.726: Event Receive Enquiry Information

Task Register Decision To Send Enquiry Information Request To Country Of Departure

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision To Send Enquiry Information Request To Country Of Departure
Task Type	User task
Description	<p>When an Enquiry Information Request is to be sent or answer to the country of Departure is expected, the Customs Officer at Destination takes the decision to send the Enquiry Information Request (IE145) to the Customs Office of Departure.</p> <p>Final situation: The Customs Officer registers the decision to send he Enquiry Information Request to the Country of Departure.</p>
Actor	Customs Officer
Input Data	C_INF_REQ
Output Data	C_INF_REQ
Previous Objects	Is Answer Expected? Enquiry Information Request To Be Sent
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.727: Task Register Decision To Send Enquiry Information Request To Country Of Departure

Task Identify If Answer To Country Of Departure Is Expected

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Answer To Country Of Departure Is Expected
Task Type	Service task
Description	<p>When an Enquiry Information is recorded, the System will automatically check if answer is expected.</p> <p>Final situation: The result is positive: Answer is expected. The result is negative: Answer is not expected.</p>
Actor	NCTS
Input Data	C_ENQ_INF MRN

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Output Data	Result Identify If Answer To Country Of Departure Is Expected
Previous Objects	Record Enquiry Information
Information Exchange	
Requirements	TRA_FUR_0117
Data Set	
Events	
State	

Table 5.728: Task Identify If Answer To Country Of Departure Is Expected

Task Acknowledge Enquiry Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Enquiry Information
Task Type	User task
Description	The Customs Officer at the Country of Destination acknowledges that no answer to Country of Departure is expected.
Actor	Customs Officer
Input Data	MRN Result Check if Answer Is Expected to Country of Departure
Output Data	Result Check if Answer Is Expected to Country of Departure MRN
Previous Objects	Is Answer Expected?
Information Exchange	
Requirements	TRA_FUR_0038
Data Set	
Events	
State	

Table 5.729: Task Acknowledge Enquiry Information

Event Enquiry Information Received and No Answer Is Needed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Enquiry Information Received and No Answer Is Needed
Event Type	End event
Description	The process ends when Enquiry Information is received at the Country of Destination and no answer Is needed.
Previous Objects	Acknowledge Enquiry Information

Table 5.730: Event Enquiry Information Received and No Answer Is Needed

Task Record Decision To Send Enquiry Information Request To Country Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision To Send Enquiry Information Request To Country Of Departure
Task Type	Service task
Description	When Decision to send Enquiry Information Request to Country of Departure is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	C_INF_REQ
Output Data	C_INF_REQ
Previous Objects	Register Decision To Send Enquiry Information Request To Country Of Departure
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.731: Task Record Decision To Send Enquiry Information Request To Country Of Departure

Task Notify Competent Authority Of Enquiry At Departure of Enquiry Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Competent Authority Of Enquiry At Departure of Enquiry Information Request
Task Type	Send task
Description	When Decision to send Enquiry Information Request (IE145) to Country of Departure is recorded, a notification IE will automatically be sent to

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	Competent Authority of Enquiry at Departure informing that Enquiry Information is Requested.
Actor	NCTS
Input Data	C_INF_REQ
Output Data	
Previous Objects	Record Decision To Send Enquiry Information Request To Country Of Departure
Information Exchange	Enquiry Information Request
Requirements	TRA_FUR_0145
Data Set	
Events	
State	

Table 5.732: Task Notify Competent Authority Of Enquiry At Departure of Enquiry Information Request

Event Enquiry Information Request Sent To Country Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Information Request Sent To Country Of Departure
Event Type	End event
Description	The process ends when the Enquiry Information Request is sent to the Country of Departure.
Previous Objects	Notify Competent Authority Of Enquiry At Departure of Enquiry Information Request

Table 5.733: Event Enquiry Information Request Sent To Country Of Departure

5.52. Process L4-TRA-01-07-03-Carry Out Enquiry At Requested Office

5.52.1. Objective of Process

When Customs Officer performs the required action in order to provide the enquiry results to the Competent Authority of Country of Departure the process starts.

When the Customs Office of Destination needs the available additional information (indicated in IE142) from the Competent Authority of Enquiry at Departure, or the arrival of the paper control result takes unexpectedly long an exchange of extra information (via IE144 and IE145) will take place whereas the IE144 is sent only by the Customs Office of Departure and IE145 is sent only by the Customs Office of Destination. This information exchange can be started either by the Customs Office of Departure or the Customs Office of Destination, no reply is needed since it can be only information sent and not a question asked.

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When the consignment is not located, the Customs Office of Destination sends (IE143) the negative enquiry response to the Competent Authority of Enquiry at Departure, confirming that the movement was never presented at this Customs Office of Destination or a duplicate (in particular two MRNs for the same consignment) has been found.

When the movement is located (arrived) and control results are available these must be communicated with the IE006/IE018, no IE143 is needed in these cases since the IE006 and the IE018 or where the IE006 has already been received earlier the IE018 are positive answers to the IE142. Additionally, no enquiry cancellation (IE059) needs to be sent to the Office of Destination that has replied with IE006/IE018 instead of IE143. However, the enquiry cancellation (IE059) needs to be sent to the other Office of Destination that has not replied with IE006/IE018 and the IE143 is still pending from the Customs Office.

The sending of the IE006 and IE018 or the IE018 is only allowed when the transit operation has ended within the prescribed time limits and there is no withdrawal from Customs supervision.

5.52.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-07-03
Full Name	Carry Out Enquiry At Requested Office
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-11-Enquiry Procedure L3-TRA-01-12-Enquiry Response Handling
Existing Specifications	DDNTA v5.13

Table 5.734: Process Details

5.52.3. Tasks and Events

Task Register Enquiry Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Enquiry Results
Task Type	User task
Description	When enquiry results are requested from the Competent Authority of Enquiry at Departure, the Customs Officer performs the required action. When the Office of Destination needs the available additional information (indicated in IE142) from the Competent Authority of Enquiry at Departure, or the arrival of the paper control result takes unexpectedly long an exchange of extra information (via IE144 and IE145) will take place whereas the IE144 is sent only by the Customs Office of Departure and IE145 is sent only by the Customs Office of Destination. This information exchange can be started either by the Customs Office of Departure or the Customs Office of Destination, no reply is needed since it can be only information sent and not a question asked.

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	Final situation: The Customs Officer registered the Enquiry results.
Actor	Customs Officer
Input Data	MRN C_ENQ_REQ
Output Data	C_ENQ_REQ
Previous Objects	Record Enquiry Request At Destination
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.735: Task Register Enquiry Results

Task Record Enquiry Request At Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Enquiry Request At Destination
Task Type	Service task
Description	When an Enquiry Request is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	C_ENQ_REQ
Previous Objects	Enquiry Request Received
Information Exchange	Enquiry Request
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.736: Task Record Enquiry Request At Destination

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Event Enquiry Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Request Received
Event Type	Conditional start event
Description	When an Enquiry Request is received the process starts.
Previous Objects	

Table 5.737: Event Enquiry Request Received

Task Record Enquiry Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Enquiry Results
Task Type	Service task
Description	When Enquiry results are registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	C_ENQ_REQ MRN
Output Data	C_ENQ_REQ
Previous Objects	Register Enquiry Results
Information Exchange	
Requirements	TRA_FUR_0009 TRA_FUR_0116
Data Set	
Events	
State	

Table 5.738: Task Record Enquiry Results

Task Register If The Transit Operation Has Ended Within The Prescribed Time Limit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register If The Transit Operation Has Ended Within The Prescribed Time Limit
Task Type	User task
Description	When Arrival Advice and/or Destination

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PROCESS MODELS

	<p>Control Results are available, the Customs Officer shall register if the Transit Operation has ended within the prescribed time limit.</p> <p>Final situation:</p> <p>The Customs Officer registered one of the following results:</p> <ul style="list-style-type: none"> - The Transit Operation has ended within the prescribed time limit. - The Transit Operation has not ended within the prescribed time limit.
Actor	Customs Officer
Input Data	<p>Receipt of Arrival Advice Expiry Date</p> <p>Control Results Expiry Date</p>
Output Data	Result Check If the Transit Operation Has Ended Within the Prescribed Time Limit
Previous Objects	Is Arrival Advice and/or Destination Control Results Available?
Information Exchange	
Requirements	TRA_FUR_0115
Data Set	
Events	
State	

Table 5.739: Task Register If The Transit Operation Has Ended Within The Prescribed Time Limit

Task Record If The Transit Operation Has Ended Within The Prescribed Time Limit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record If The Transit Operation Has Ended Within The Prescribed Time Limit
Task Type	Service task
Description	When the decision if the Transit Operation has ended within the prescribed time limit is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	<p>Result Check If the Transit Operation Has Ended Within the Prescribed Time Limit</p> <p>Receipt of Arrival Advice Expiry Date</p> <p>Control Results Expiry Date</p>
Output Data	<p>Result Check If the Transit Operation Has Ended Within the Prescribed Time Limit</p> <p>Receipt of Arrival Advice Expiry Date</p>

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	Control Results Expiry Date
Previous Objects	Register If The Transit Operation Has Ended Within The Prescribed Time Limit
Information Exchange	
Requirements	TRA_FUR_0115 TRA_FUR_0009
Data Set	
Events	
State	

Table 5.740: Task Record If The Transit Operation Has Ended Within The Prescribed Time Limit

Task Identify If Arrival Advice Has Been Sent Earlier

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Arrival Advice Has Been Sent Earlier
Task Type	Service task
Description	When the Transit Operation ended within the prescribed time limit, the System will automatically check if the IE006 Arrival Advice has been sent earlier. Final situation: The result is positive: IE006 Arrival Advice has been sent earlier. The result is negative: IE006 Arrival Advice has not been sent earlier.
Actor	NCTS
Input Data	MRN C_ARR_ADV
Output Data	Result Identify If IE006 Has Been Sent Earlier
Previous Objects	Has The Transit Operation Ended Within The Prescribed Time Limit?
Information Exchange	
Requirements	TRA_FUR_0111
Data Set	
Events	
State	

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Table 5.741: Task Identify If Arrival Advice Has Been Sent Earlier

Task Notify Competent Authority of Enquiry at Departure of Enquiry Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Competent Authority of Enquiry at Departure of Enquiry Response
Task Type	Send task
Description	When Arrival Advice and/or Destination Control Results are not available or the Transit Operation has not ended within the prescribed time limit, a notification IE will automatically be sent to the Competent Authority of Enquiry at Departure informing that the movement was never presented at this Customs Office of Destination or a duplicate (in particular two MRNs for the same consignment) have been found.
Actor	NCTS
Input Data	C_ENQ_NEG
Output Data	
Previous Objects	Has The Transit Operation Ended Within The Prescribed Time Limit? Is Arrival Advice and/or Destination Control Results Available?
Information Exchange	Enquiry Response
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.742: Task Notify Competent Authority of Enquiry at Departure of Enquiry Response

Task Notify Competent Authority of Enquiry at Departure of Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Competent Authority of Enquiry at Departure of Arrival Advice
Task Type	Send task
Description	When the IE006 Arrival Advice has not been sent earlier, a notification IE will automatically be sent to the Competent Authority at departure informing that the goods have arrived by sending IE006.
Actor	NCTS
Input Data	Transit Operation Customs office of presentation Customs Office Of Departure

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Output Data	
Previous Objects	Has The Arrival Advice Been Sent Earlier?
Information Exchange	Arrival Advice
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.743: Task Notify Competent Authority of Enquiry at Departure of Arrival Advice

Event Receive Cancel Enquiry Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Cancel Enquiry Notification
Event Type	Message intermediate event (catch)
Description	The cancel enquiry notification has been received. The cancellation of the pending enquiry request will be performed
Previous Objects	Competent Authority Of Enquiry At Departure Has The Arrival Advice Been Sent Earlier? Notify Competent Authority of Enquiry at Departure of Arrival Advice

Table 5.744: Event Receive Cancel Enquiry Notification

Task Record Cancellation of the Pending Enquiry Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Cancellation of the Pending Enquiry Request
Task Type	Service task
Description	When cancellation of the enquiry notification is received, the data elements following this receipt are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	C_CAN_ENQ
Previous Objects	Receive Cancel Enquiry Notification
Information Exchange	Cancel Enquiry Notification

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PROCESS MODELS

Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.745: Task Record Cancellation of the Pending Enquiry Request

Task Notify Competent Authority of Enquiry at Departure of Destination Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Competent Authority of Enquiry at Departure of Destination Control Results
Task Type	Send task
Description	When Competent Authority of Enquiry at Destination is to notify Competent Authority of Enquiry at Departure that controls have been performed, a notification IE will automatically be sent to Competent Authority of Enquiry at Departure informing that control results are available.
Actor	NCTS
Input Data	C_DES_CON
Output Data	
Previous Objects	Record Cancellation of the Pending Enquiry Request
Information Exchange	Destination Control Results
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.746: Task Notify Competent Authority of Enquiry at Departure of Destination Control Results

Event Enquiry Is Complete At Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Is Complete At Destination
Event Type	End event
Description	The process ends when either the Competent Authority of Enquiry at Departure has been informed with an enquiry response, or normal arrival processing can be resumed.

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PROCESS MODELS

Previous Objects	Notify Competent Authority of Enquiry at Departure of Destination Control Results Notify Competent Authority of Enquiry at Departure of Enquiry Response
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Table 5.747: Event Enquiry Is Complete At Destination

5.53. Process L4-TRA-01-07-04-Handle Negative Enquiry Response

5.53.1. Objective of Process

If the timer 'Wait for Enquiry response' has expired and no response was received (in this case enquiry request is cancelled with IE059) or a negative IE143 ('unknown') from Customs Office of Destination has been received, a check will be performed if the Holder of the Transit Procedure was informed via IE140 at an earlier stage. In case the 'request on non-arrived movement' (IE140) has not already been sent to the Holder of the Transit Procedure the Competent Authority of Country of Departure proceeds to Process L4-TRA-01-06-01- Send Enquiry Request / Send Request on Non Arrived Movement. The state remains 'Under enquiry procedure'.

In case the enquiry request has already been sent, the Competent Authority of Country of Departure shall wait. If the enquiry response (with response code 4) is received, the state is set to 'Recovery recommended'. Once this state is reached, recovery procedure shall be started.

If a negative answer 'duplicate' was received the Customs Office of Departure has to perform the required checks and possibly the Customs Office of Departure to invalidate the transit movement.

The final result of this process will be the following:

A check will be performed whether the Holder of Transit procedure was informed, if not IE140 is sent or another IE142 is sent or 'duplicate' will be checked, or recovery starts.

5.53.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-07-05
Full Name	Handle Negative Enquiry Response
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-11-Enquiry Procedure L3-TRA-01-12-Enquiry Response Handling
Existing Specifications	DDDNA v5.13

Table 5.748: Process Details

5.53.3. Tasks and Events

Event Negative Enquiry Response Assumed Duplicate Code 2

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Negative Enquiry Response Assumed Duplicate Code 2
Event Type	Conditional start event
Description	The process starts when an Enquiry Response with code 2 (Assumed Duplication) is received.
Previous Objects	

Table 5.749: Event Negative Enquiry Response Assumed Duplicate Code 2

Task Register Result Check Of Negative Answer -Duplicate-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Result Check Of Negative Answer -Duplicate-
Task Type	User task
Description	When a negative answer 'duplicate' was received, the Customs Office of Departure has to perform the required checks. Final situation: The Customs Officer registered his result check of the negative answer 'Duplicate'.
Actor	Customs Officer
Input Data	C_ENQ_NEG MRN
Output Data	Identify if Movement Can Be Written-Off Indication
Previous Objects	Negative Enquiry Response Assumed Duplicate Code 2
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.750: Task Register Result Check Of Negative Answer -Duplicate-

Task Record Result Check Of Negative Answer -Duplicate-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Result Check Of Negative Answer -Duplicate-
Task Type	Service task
Description	When result of the check of negative answer 'duplicate' is registered, the data elements following this registration are automatically recorded in the

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	System - linked to the reference number.
Actor	NCTS
Input Data	Identify if Movement Can Be Written-Off Indication MRN
Output Data	Result Check If Movement Can Be Written-Off Identify if Movement Can Be Written-Off Indication
Previous Objects	Register Result Check Of Negative Answer -Duplicate-
Information Exchange	
Requirements	TRA_FUR_0009 TRA_FUR_0119
Data Set	
Events	
State	

Table 5.751: Task Record Result Check Of Negative Answer -Duplicate-

Task Stop Time Limit -Wait For Enquiry Response-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Wait For Enquiry Response-
Task Type	Service task
Description	When a negative enquiry response exists(IE143 ,unknown'; ,duplicate') which indicates that enquiries at the Customs Office of Destination are completed, the timer 'Wait for enquiry response' stops. Final situation: The timer 'Wait for enquiry response' is stopped.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response L4-TRA-01-06-03-Send Enquiry Request To The Indicated Office
Input Data	Enquiry Response Expiry Date Current Date MRN
Output Data	Enquiry Response End Date Expired Calendar Days of Time Limit Wait for Enquiry Response
Previous Objects	Record Result Check Of Negative Answer -Duplicate-

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PROCESS MODELS

Information Exchange	
Requirements	TRA_FUR_0066 TRA_FUR_117
Data Set	
Events	
State	

Table 5.752: Task Stop Time Limit -Wait For Enquiry Response-

Event Negative Enquiry Response -Unknown- Code 1

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative Enquiry Response -Unknown- Code 1
Event Type	Conditional start event
Description	The process starts when an Enquiry Response with code 1 (Movement unknown at Destination) is received.
Previous Objects	

Table 5.753: Event Negative Enquiry Response -Unknown- Code 1

Event Time Limit -Wait For Enquiry Response- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit -Wait For Enquiry Response- Expired
Event Type	Timer start event
Description	When the timer 'Wait for Enquiry Response' is expired, the process starts.
Previous Objects	

Table 5.754: Event Time Limit -Wait For Enquiry Response- Expired

Task Invalidate Declaration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Invalidate Declaration
Task Type	Service task
Description	When the movement is invalidated then the already registered guarantee is released. The Invalidation request is accepted, the state of the Transit Declaration is set to 'Invalidated'.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request

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Input Data	E_DEC_DAT MRN
Output Data	Transit Operation Indication if Declaration is Invalidated
Previous Objects	Stop Time Limit -Wait For Enquiry Response-
Information Exchange	
Requirements	TRA_FUR_0040
Data Set	Transit Operation
Events	Declaration Invalidation Request Received Customs Initiates Invalidation
State	Invalidated

Table 5.755: Task Invalidate Declaration

Task Notify Office Of Destination Of The Cancel Enquiry Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Office Of Destination Of The Cancel Enquiry Notification
Task Type	Send task
Description	When the timer for waiting the enquiry response is expired or after manual write off, a notification IE will automatically be sent to Customs Office of Destination informing that the Enquiry Request is cancelled.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-03-Send Enquiry Request To The Indicated Office
Input Data	Transit Operation
Output Data	
Previous Objects	Time Limit -Wait For Enquiry Response- Expired
Information Exchange	Cancel Enquiry Notification
Requirements	TRA_FUR_0023
Data Set	
Events	

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PROCESS MODELS

State	
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Table 5.756: Task Notify Office Of Destination Of The Cancel Enquiry Notification

Task Identify Declared Customs Offices

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Declared Customs Offices
Task Type	Service task
Description	The NCTS identifies the declared Customs Offices of Transit/Destination.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-02-Check Provided Information L4-TRA-01-01-08-Handle Cancellation/Invalidation Request
Input Data	E_DEC_DAT MRN
Output Data	Office of destination (and country) Intended offices of transit (and country) Customs Office Of Departure
Previous Objects	Invalidate Declaration
Information Exchange	
Requirements	TRA_FUR_0042
Data Set	
Events	
State	

Table 5.757: Task Identify Declared Customs Offices

Event Enquiry Request Is Cancelled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Request Is Cancelled
Event Type	End event
Description	The process ends when the enquiry request is cancelled
Previous Objects	Notify Office Of Destination Of The Cancel Enquiry Notification

Table 5.758: Event Enquiry Request Is Cancelled

Task Stop Time Limit -Wait For Enquiry Response-

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Wait For Enquiry Response-
Task Type	Service task
Description	<p>When a negative enquiry response exists(IE143 ,unknown'; ,duplicate') which indicates that enquiries at the Customs Office of Destination are completed, the timer 'Wait for enquiry response' stops.</p> <p>Final situation: The timer 'Wait for enquiry response' is stopped.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response L4-TRA-01-06-03-Send Enquiry Request To The Indicated Office
Input Data	Enquiry Response Expiry Date Current Date MRN
Output Data	Enquiry Response End Date Expired Calendar Days of Time Limit Wait for Enquiry Response
Previous Objects	Negative Enquiry Response -Unknown- Code 1
Information Exchange	
Requirements	TRA_FUR_0066 TRA_FUR_117
Data Set	
Events	
State	

Table 5.759: Task Stop Time Limit -Wait For Enquiry Response-

Task Notify Declared Customs Offices Of Invalidation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Declared Customs Offices Of Invalidation Notification
Task Type	Send task
Description	When declared Customs Offices of Transit and Destination are identified, a notification IE will automatically be sent to all of them informing that the movement is invalidated.
Actor	NCTS
Appears also in the following	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request

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PROCESS MODELS

processes	
Input Data	C_INV_NOT
Output Data	
Previous Objects	Identify Declared Customs Offices
Information Exchange	Invalidation Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.760: Task Notify Declared Customs Offices Of Invalidation Notification

Task Decision about the Recommendation of Recovery

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Decision about the Recommendation of Recovery
Task Type	User task
Description	<p>When the timer 'Wait for Enquiry response' has not yet expired but the Customs Officer has serious proof that justify the start of a recovery procedure he can recommend that the movement shall be recovered manually. In these cases the movement is set to 'Recovery recommended'. This can be done at any time after the movement has been released.</p> <p>Final situation: The Customs Officer registered his decision to recommend or not the recovery process.</p>
Actor	Customs Officer
Input Data	Enquiry Response Expiry Date MRN C_ENQ_NEG
Output Data	Result Check If Recovery Is Justified
Previous Objects	Stop Time Limit -Wait For Enquiry Response-
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.761: Task Decision about the Recommendation of Recovery

Event Movement Is Invalidated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Is Invalidated
Event Type	End event
Description	The process ends when the movement is invalidated
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B
Previous Objects	Notify Declared Customs Offices Of Invalidation Notification

Table 5.762: Event Movement Is Invalidated

Task Record Result Of the Decision Related to the Recommendation of Recovery

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Result Of the Decision Related to the Recommendation of Recovery
Task Type	Service task
Description	When the result of the check if recovery is recommended is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Result Check If Recovery Is Justified MRN
Output Data	Result Check If Recovery Is Justified
Previous Objects	Decision about the Recommendation of Recovery
Information Exchange	
Requirements	TRA_FUR_0095 TRA_FUR_0009
Data Set	
Events	
State	

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Table 5.763: Task Record Result Of the Decision Related to the Recommendation of Recovery

Task Identify If Trader Was Informed Via Request On Non-Arrived Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Trader Was Informed Via Request On Non-Arrived Movement
Task Type	Service task
Description	<p>When the timer 'Wait for Enquiry response' is stopped, the System will automatically check if Trader was informed via IE140 request on non-arrived movement.</p> <p>Final situation:</p> <p>The result is positive: Trader was informed via IE140 request on non-arrived movement.</p> <p>The result is negative: Trader was not informed via IE140 request on non-arrived movement.</p>
Actor	NCTS
Input Data	MRN E_REQ_MOV
Output Data	Result Identify If Trader Was Informed Via IE140 Request On Non-Arrived Movement
Previous Objects	Is Recovery Recommended?
Information Exchange	
Requirements	TRA_FUR_0094
Data Set	
Events	
State	

Table 5.764: Task Identify If Trader Was Informed Via Request On Non-Arrived Movement

Event Recovery Procedure Is To Be Initiated Manually

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Procedure Is To Be Initiated Manually
Event Type	End event
Description	A Customs Officer can also start the Recovery procedure manually at any time after the release of the movement.
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B
Previous Objects	Is Recovery Recommended?

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Table 5.765: Event Recovery Procedure Is To Be Initiated Manually

Event Wait For Time Limit Information about Non-Arrived Movement Response

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Wait For Time Limit Information about Non-Arrived Movement Response
Event Type	End event
Description	The Competent Authority at Departure waits until the receipt of the Information about Non-Arrived Movement
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B
Previous Objects	Request on Non-Arrived Movement Has Already Been Sent?

Table 5.766: Event Wait For Time Limit Information about Non-Arrived Movement Response

Event Holder Of The Transit Procedure Is To Be Notified Of Request On Non-Arrived Movement

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Holder Of The Transit Procedure Is To Be Notified Of Request On Non-Arrived Movement
Event Type	End event
Description	An IE140 (request on non-arrived movement) is to be sent to the Trader when a negative IE143 (enquiry response) 'unknown' is received that does not justify write-off or recovery. In case the 'request on non-arrived movement' (IE140) has not already been sent to the Holder Of The Transit Procedure, the Competent Authority of Enquiry at Departure proceeds to Handle Enquiry - Enquiry Procedure Option A.
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B L4-TRA-01-06-01-Identify If The Information Is Sufficient To Start Enquiry
Previous Objects	Request on Non-Arrived Movement Has Already Been Sent?

Table 5.767: Event Holder Of The Transit Procedure Is To Be Notified Of Request On Non-Arrived Movement

5.54. Process L4-TRA-01-07-05-Waiting For Paper Control Result To Arrive

5.54.1. Objective of Process

When the Customs Office of Departure is informed by IE143 containing Code 3 (Return Copy returned on) that the movement has arrived the process starts. The movement can be written off based on the paper copy

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that will arrive. If the time between the IE143 and the arrival of the mentioned additional evidence is unexpectedly long it can use the IE144/145 to solve the issue.

When the Additional Evidence arrives the Customs Office of Departure sends the IE024 (Forwarded Arrival Advice) to all involved offices to close that movement.

5.54.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-07-06
Full Name	Waiting For Paper Control Result To Arrive
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-11-Enquiry Procedure L3-TRA-01-12-Enquiry Response Handling
Existing Specifications	DDDNTA v5.13

Table 5.768: Process Details

5.54.3. Tasks and Events

Event Enquiry Response -Returned Copy Returned on- Code 3

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Response -Returned Copy Returned on- Code 3
Event Type	Conditional start event
Description	The process starts upon receipt of Enquiry Response with Code 3 'Returned Copy Returned on'.
Previous Objects	

Table 5.769: Event Enquiry Response -Returned Copy Returned on- Code 3

Task Register Additional Evidence

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Additional Evidence
Task Type	User task
Description	When the Customs Office of Departure is informed by Enquiry Response containing Code 3 (Return Copy returned on) that the movement has arrived, then it can be written off based on the paper copy that will arrive. Final situation: The Customs Officer registered the Additional Evidence.

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Actor	Customs Officer
Input Data	MRN C_ENQ_NEG
Output Data	Proof About Movement Has Been Arrived Indication Result Check If Proof About Movement Has Arrived
Previous Objects	Enquiry Response -Returned Copy Returned on- Code 3
Information Exchange	
Requirements	TRA_FUR_0054
Data Set	
Events	
State	

Table 5.770: Task Register Additional Evidence

Task Record Additional Evidence

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Additional Evidence
Task Type	Service task
Description	When Additional Evidence is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Proof About Movement Has Been Arrived Indication MRN
Output Data	Proof About Movement Has Been Arrived Indication
Previous Objects	Register Additional Evidence
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.771: Task Record Additional Evidence

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Task Write-Off the movement manually

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Write-Off the movement manually
Task Type	User task
Description	When the Customs Office of Departure is informed by Enquiry Response containing Code 3 (Return Copy returned on) that the movement has arrived, then it can be written off based on the recorded Additional Evidence. Final situation: The Customs Officer writes-off the movement manually.
Actor	Customs Officer
Input Data	C_ENQ_NEG MRN
Output Data	Result Check if Declaration Has Been Written Off Manually Manual Write-Off Movement Indication
Previous Objects	Record Additional Evidence
Information Exchange	
Requirements	TRA_FUR_0064
Data Set	
Events	
State	

Table 5.772: Task Write-Off the movement manually

Task Record the Written-Off Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record the Written-Off Movement
Task Type	Service task
Description	When the Written-Off movement is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	MRN Manual Write-Off Movement Indication

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Output Data	Manual Write-Off Movement Indication
Previous Objects	Write-Off the movement manually
Information Exchange	
Requirements	TRA_FUR_0064 TRA_FUR_0009
Data Set	
Events	
State	

Table 5.773: Task Record the Written-Off Movement

Task Identify All Involved Customs Offices

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify All Involved Customs Offices
Task Type	Service task
Description	The NCTS at the Customs Administration of Departure identifies all involved offices in the transit operation.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-03-Send Enquiry Request To The Indicated Office
Input Data	MRN
Output Data	Office of destination (and country) Customs Office Of Departure Intended offices of transit (and country)
Previous Objects	Record the Written-Off Movement
Information Exchange	
Requirements	TRA_FUR_0014 TRA_FUR_0078
Data Set	
Events	
State	

Table 5.774: Task Identify All Involved Customs Offices

Task Notify All Involved Customs Offices of Forwarded Arrival Advice

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify All Involved Customs Offices of Forwarded Arrival Advice
Task Type	Send task
Description	When all Involved Customs Office(s) are identified, a notification IE will automatically be sent to Involved Customs Office(s) informing that the movement is to be written-off.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-06-03-Send Enquiry Request To The Indicated Office
Input Data	Transit Operation
Output Data	
Previous Objects	Identify All Involved Customs Offices
Information Exchange	Forwarded Arrival Advice
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.775: Task Notify All Involved Customs Offices of Forwarded Arrival Advice

Event Movement Written-Off

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Written-Off
Event Type	End event
Description	The movement is Written-Off and the process ends.
Appears also in the following processes	L4-TRA-01-06-02-Check Provided Information L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B L4-TRA-01-06-Handle Enquiry - Enquiry Procedure Option A
Previous Objects	Notify All Involved Customs Offices of Forwarded Arrival Advice

Table 5.776: Event Movement Written-Off

5.55. Process L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B

5.55.1. Objective of Process

When Additional Information is required, the process starts. For additional information or question exchange the messages IE144 and IE145 can be used whereas the IE144 is sent only by the Customs Office of Departure and IE145 is sent only by the Customs Office of Destination. This information exchange can be started either by the Customs Office of Departure or the Customs Office of Destination, no reply is needed since it can be only information sent and not a question asked. Additional documents will be sent by other than NCTS means.

When the movement is located (arrived) and control results are available these must be communicated with the IE006/IE018, no IE143 is needed in these cases since the IE006 and the IE018 or where the IE006 has already been received earlier the IE018 are positive answers to the IE142.

When the consignment is not located, the Customs Office of Destination sends (IE143) the negative enquiry response to the Competent Authority of Enquiry at Departure, confirming that the movement was never presented at this Customs Office of Destination or a duplicate (in particular two MRNs for the same consignment) has been found.

If the timer 'Wait for Enquiry response' has expired and no response was received (in this case enquiry request is cancelled with IE059) or a negative IE143 ('unknown') from Customs Office of Destination has been received, a check will be performed if the Holder of the Transit Procedure was informed via IE140 at an earlier stage. In case the 'request on non-arrived movement' (IE140) has not already been sent to the Holder of the Transit Procedure the Competent Authority of Country of Departure proceeds to Process L4-TRA-01-06-01- Send Enquiry Request / Send Request on Non Arrived Movement. The state remains 'Under enquiry procedure'.

If the enquiry response (with response code 4) is received, the state is set to 'Recovery recommended' Once this state is reached, recovery procedure shall be started.

If a negative answer 'duplicate' was received the Customs Office of Departure has to perform the required checks and possibly the Customs Office of Departure to invalidate the transit movement.

5.55.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-07
Full Name	Handle Enquiry - Enquiry Procedure (Option B)
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-11-Enquiry Procedure L3-TRA-01-12-Enquiry Response Handling
Existing Specifications	DDDNTA v5.13

Table 5.777: Process Details

5.55.3. Tasks and Events

Task Information Exchange IE145-IE144 At Competent Authority Of Enquiry At Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Information Exchange IE145-IE144 At Competent Authority Of Enquiry At Departure
Task Type	Call activity (collapsed)
Description	<p>When additional information or question exchange is needed, the messages IE145 (Enquiry Information Request) and IE144 (Enquiry Information) can be used whereas the IE144 is sent only by the Customs Office of Departure and IE145 is sent only by the Customs Office of Destination. This information exchange can be started either by the Customs Office of Departure or the Customs Office of Destination, no reply is needed since it can be only information sent and not a question asked. Additional documents will be sent by other than NCTS means. These two messages can be sent during the whole process of enquiry and recovery to assure the exchange of information between the offices involved in the enquiry/recovery procedure. The 'Enquiry Information' (IE144) and the 'Enquiry Information Request' (IE145) messages are not correlated and any of them can be sent spontaneously.</p> <p>The final result of this process is the following: Enquiry Information is Sent to Destination from Departure</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Information Exchange IE144-IE145 At Competent Authority Of Enquiry At Destination Additional Information Required
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.778: Task Information Exchange IE145-IE144 At Competent Authority Of Enquiry At Departure

Event Additional Information Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Additional Information Required
Event Type	Conditional start event
Description	When Additional Information is required the process starts.
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B
Previous Objects	

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Table 5.779: Event Additional Information Required

Event Additional Information Exchanged

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Additional Information Exchanged
Event Type	End event
Description	Additional Information has been exchanged and the process ends.
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B
Previous Objects	Information Exchange IE145-IE144 At Competent Authority Of Enquiry At Departure

Table 5.780: Event Additional Information Exchanged

Event Customs Office Of Destination Notified Of Enquiry Request

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Customs Office Of Destination Notified Of Enquiry Request
Event Type	Conditional start event
Description	An enquiry request has been sent to the Customs Office of Destination and the timer is set. An eventual pending enquiry is cancelled (state remains 'Under enquiry procedure') and the Customs Office requested for enquiry is notified of its cancellation and any work related to that enquiry can stop, or additional info is forwarded to the Requested Office.
Previous Objects	

Table 5.781: Event Customs Office Of Destination Notified Of Enquiry Request

Event Receive the Enquiry Response

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive the Enquiry Response
Event Type	Message intermediate event (catch)
Description	Enquiry Response is received at the Competent Authority at Departure.
Previous Objects	Customs Office Of Destination Notified Of Enquiry Request Carry Out Enquiry At Requested Office

Table 5.782: Event Receive the Enquiry Response

Task Record Enquiry Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Record Enquiry Response
Task Type	Service task
Description	When Enquiry Response reception is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	C_ENQ_NEG
Previous Objects	Receive the Enquiry Response
Information Exchange	Enquiry Response
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.783: Task Record Enquiry Response

Event Time Limit -Wait For Enquiry Response- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit -Wait For Enquiry Response- Expired
Event Type	Timer intermediate event
Description	If the timer 'Wait for Enquiry response' has expired then the Enquiry request will be cancelled
Previous Objects	Customs Office Of Destination Notified Of Enquiry Request

Table 5.784: Event Time Limit -Wait For Enquiry Response- Expired

Task Identify Code Of Enquiry Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Code Of Enquiry Response
Task Type	Service task
Description	When IE143 is recorded, the System will automatically check the type of the Code of IE143. Final situation: The result is: Negative IE143 Enquiry Response -Unknown- Code 1. The result is: Negative IE143 Enquiry Response Assumed -Duplicate-

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	Code 2. The result is: IE143 Enquiry Response -Returned Copy Returned On- Code 3. The result is: IE143 Enquiry Response -Competent For Recovery- Code 4.
Actor	NCTS
Input Data	Response Code MRN
Output Data	Response Code
Previous Objects	Record Enquiry Response
Information Exchange	
Requirements	TRA_FUR_0083
Data Set	
Events	
State	

Table 5.785: Task Identify Code Of Enquiry Response

Event Receive Destination Control Results

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Destination Control Results
Event Type	Message intermediate event (catch)
Description	The NCTS at the Competent Authority at Departure receives destination control results.
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B L4-TRA-01-06-04-Check If The Statuses Are Synchronised
Previous Objects	Carry Out Enquiry At Requested Office Customs Office Of Destination Notified Of Enquiry Request

Table 5.786: Event Receive Destination Control Results

Event Receive Arrival Advice

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Arrival Advice
Event Type	Message intermediate event (catch)
Description	The NCTS at the Competent Authority at Departure receives arrival advice.

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Appears also in the following processes	L4-TRA-01-06-04-Check If The Statuses Are Synchronised
Previous Objects	Carry Out Enquiry At Requested Office Customs Office Of Destination Notified Of Enquiry Request

Table 5.787: Event Receive Arrival Advice

Task Record Arrival Advice

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Arrival Advice
Task Type	Service task
Description	When an Arrival Advice reception is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	Transit Operation C_ARR_ADV
Previous Objects	Receive Arrival Advice
Information Exchange	Arrival Advice
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Receive Arrival Advice
State	Arrived

Table 5.788: Task Record Arrival Advice

Task Information Exchange IE144-IE145 At Competent Authority Of Enquiry At Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Information Exchange IE144-IE145 At Competent Authority Of Enquiry At Destination
Task Type	Call activity (collapsed)
Description	When additional information or question exchange is needed, the messages IE145 (Enquiry Information Request) and IE144 (Enquiry Information) can

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	<p>be used whereas the IE144 is sent only by the Customs Office of Departure and IE145 is sent only by the Customs Office of Destination. This information exchange can be started either by the Customs Office of Departure or the Customs Office of Destination, no reply is needed since it can be only information sent and not a question asked. Additional documents will be sent by other than NCTS means. These two messages can be sent during the whole process of enquiry and recovery to assure the exchange of information between the offices involved in the enquiry/recovery procedure. The 'Enquiry Information' (IE144) and the 'Enquiry Information Request' (IE145) messages are not correlated and any of them can be sent spontaneously.</p> <p>The final result of this process is the following: Enquiry Information Request is Sent to Departure from Destination</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Additional Information Required Information Exchange IE145-IE144 At Competent Authority Of Enquiry At Departure
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.789: Task Information Exchange IE144-IE145 At Competent Authority Of Enquiry At Destination

Event Additional Information Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Additional Information Required
Event Type	Conditional start event
Description	When Additional Information is required the process starts.
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B
Previous Objects	

Table 5.790: Event Additional Information Required

Event Receive Destination Control Results

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Receive Destination Control Results
Event Type	Message intermediate event (catch)
Description	The NCTS at the Competent Authority at Departure receives destination control results.
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B L4-TRA-01-06-04-Check If The Statuses Are Synchronised
Previous Objects	Record Arrival Advice Carry Out Enquiry At Requested Office

Table 5.791: Event Receive Destination Control Results

Event Additional Information Exchanged

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Additional Information Exchanged
Event Type	End event
Description	Additional Information has been exchanged and the process ends.
Appears also in the following processes	L4-TRA-01-07-Handle Enquiry - Enquiry Procedure Option B
Previous Objects	Information Exchange IE144-IE145 At Competent Authority Of Enquiry At Destination

Table 5.792: Event Additional Information Exchanged

Task Waiting For Paper Control Result To Arrive

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Waiting For Paper Control Result To Arrive
Task Type	Call activity (collapsed)
Description	When the Customs Office of Departure is informed by IE143 containing Code 3 (Return Copy returned on) that the movement has arrived the process starts. The movement can be written off based on the paper copy that will arrive. If the time between the IE143 and the arrival of the mentioned additional evidence is unexpectedly long it can use the IE144/145 to solve the issue. When the Additional Evidence arrives the Customs Office of Departure sends the IE024 (Forwarded Arrival Advice) to all involved offices to close that movement.
Actor	NCTS
Input Data	
Output Data	

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Previous Objects	What Is The Type Of Enquiry Response?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.793: Task Waiting For Paper Control Result To Arrive

Task Carry Out Enquiry At Requested Office

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Carry Out Enquiry At Requested Office
Task Type	Call activity (collapsed)
Description	<p>When Customs Officer performs the required action in order to provide the enquiry results to the Competent Authority of Country of Departure the process starts.</p> <p>When the consignment is not located, the Customs Office of Destination sends (IE143) the negative enquiry response to the Competent Authority of Enquiry at Departure, confirming that the movement was never presented at this Customs Office of Destination or a duplicate (in particular two MRNs for the same consignment) has been found.</p> <p>When the movement is located (arrived) and control results are available these must be communicated with the IE006/IE018, no IE143 is needed in these cases since the IE006 and the IE018 or where the IE006 has already been received earlier the IE018 are positive answers to the IE142. Additionally, no enquiry cancellation (IE059) needs to be sent to the Office of Destination that has replied with IE006/IE018 instead of IE143. However, the enquiry cancellation (IE059) needs to be sent to the other Office of Destination that has not replied with IE006/IE018 and the IE143 is still pending from the Customs Office.</p> <p>The sending of the IE006 and IE018 or the IE018 is only allowed when the transit operation has ended within the prescribed time limits and there is no withdrawal from Customs supervision.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Enquiry Request Received From the Competent Authority At Departure
Information Exchange	
Requirements	
Data Set	

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Events	
State	

Table 5.794: Task Carry Out Enquiry At Requested Office

Task Record Destination Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Destination Control Results
Task Type	Service task
Description	When Destination Control results are registered, the data elements following this registration are automatically recorded in the System - linked to the MRN
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-06-04-Check If The Statuses Are Synchronised
Input Data	
Output Data	C_DES_CON
Previous Objects	Receive Destination Control Results Receive Destination Control Results
Information Exchange	Destination Control Results
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.795: Task Record Destination Control Results

Event Enquiry Request Received From the Competent Authority At Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Enquiry Request Received From the Competent Authority At Departure
Event Type	Conditional start event
Description	The process starts when an enquiry request has arrived to the Customs Office of Destination.
Previous Objects	

Table 5.796: Event Enquiry Request Received From the Competent Authority At Departure

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Task Handle Negative Enquiry Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Negative Enquiry Response
Task Type	Call activity (collapsed)
Description	<p>If the timer 'Wait for Enquiry response' has expired and no response was received (in this case enquiry request is cancelled with IE059) or a negative IE143 ('unknown') from Customs Office of Destination has been received, a check will be performed if the Holder of the Transit Procedure was informed via IE140 at an earlier stage. In case the 'request on non-arrived movement' (IE140) has not already been sent to the Holder of the Transit Procedure the Competent Authority of Country of Departure proceeds to Process L4-TRA-01-06-01- Send Enquiry Request / Send Request on Non Arrived Movement. The state remains 'Under enquiry procedure'.</p> <p>In case the enquiry request has already been sent, the Competent Authority of Country of Departure shall wait. If the enquiry response (with response code 4) is received, the state is set to 'Recovery recommended' Once this state is reached, recovery procedure shall be started.</p> <p>If a negative answer 'duplicate' was received the Customs Office of Departure has to perform the required checks and possibly the Customs Office of Departure to invalidate the transit movement.</p> <p>The final result of this process will be the following: A check will be performed whether the Holder of Transit procedure was informed, if not IE140 is sent or another IE142 is sent or 'duplicate' will be checked, or recovery starts.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	<p>What Is The Type Of Enquiry Response?</p> <p>Time Limit -Wait For Enquiry Response- Expired</p> <p>What Is The Type Of Enquiry Response?</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.797: Task Handle Negative Enquiry Response

Event Office Of Departure Received All Relevant Data For Enquiry Procedure Option B

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Office Of Departure Received All Relevant Data For Enquiry Procedure

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	Option B
Event Type	End event
Description	The Office of Departure received all relevant data for Enquiry Procedure Option B and the process ends.
Previous Objects	Carry Out Enquiry At Requested Office

Table 5.798: Event Office Of Departure Received All Relevant Data For Enquiry Procedure Option B

Event Holder Of The Transit Procedure Is To Be Notified Of Request On Non-Arrived Movement

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Holder Of The Transit Procedure Is To Be Notified Of Request On Non-Arrived Movement
Event Type	End event
Description	An IE140 (request on non-arrived movement) is to be sent to the Trader when a negative IE143 (enquiry response) 'unknown' is received that does not justify write-off or recovery. In case the 'request on non-arrived movement' (IE140) has not already been sent to the Holder Of The Transit Procedure, the Competent Authority of Enquiry at Departure proceeds to Handle Enquiry - Enquiry Procedure Option A.
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response L4-TRA-01-06-01-Identify If The Information Is Sufficient To Start Enquiry
Previous Objects	Request On Non-Arrived Movement Has Already Been Sent ?

Table 5.799: Event Holder Of The Transit Procedure Is To Be Notified Of Request On Non-Arrived Movement

Event Wait For Time Limit Information about Non-Arrived Movement Response

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Wait For Time Limit Information about Non-Arrived Movement Response
Event Type	End event
Description	The Competent Authority at Departure waits until the receipt of the Information about Non-Arrived Movement
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response
Previous Objects	Request On Non-Arrived Movement Has Already Been Sent ?

Table 5.800: Event Wait For Time Limit Information about Non-Arrived Movement Response

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Event Recovery Procedure Is To Be Initiated Manually

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Procedure Is To Be Initiated Manually
Event Type	End event
Description	A Customs Officer can also start the Recovery procedure manually at any time after the release of the movement.
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response
Previous Objects	Recovery Recommended?

Table 5.801: Event Recovery Procedure Is To Be Initiated Manually

Event Movement Is Invalidated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Is Invalidated
Event Type	End event
Description	The process ends when the movement is invalidated
Appears also in the following processes	L4-TRA-01-07-04-Handle Negative Enquiry Response
Previous Objects	Is Movement Able to Be Invalidated?

Table 5.802: Event Movement Is Invalidated

Event Movement Written-Off

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Written-Off
Event Type	End event
Description	The movement is Written-Off and the process ends.
Appears also in the following processes	L4-TRA-01-06-02-Check Provided Information L4-TRA-01-06-Handle Enquiry - Enquiry Procedure Option A L4-TRA-01-07-05-Waiting For Paper Control Result To Arrive
Previous Objects	Waiting For Paper Control Result To Arrive

Table 5.803: Event Movement Written-Off

Event Customs Office Of Destination Assumes Itself Competent For Recovery -IE143 Containing Code 4-

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Customs Office Of Destination Assumes Itself Competent For Recovery - IE143 Containing Code 4-
Event Type	End event
Description	<p>An IE143 containing code 4 (request for Recovery at Destination) from the Actual Customs Office of Destination has been received.</p> <p>The IE143 with 'competent for recovery' is received by the Competent Authority of Enquiry at Departure because, based on the information available, the Customs Office of Destination assumes itself as competent for recovery.</p>
Previous Objects	What Is The Type Of Enquiry Response?

Table 5.804: Event Customs Office Of Destination Assumes Itself Competent For Recovery -IE143 Containing Code 4-

Event Destination Control Results Received From Competent Authority At Destination and Arrival Processing Is To Be Resumed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Destination Control Results Received From Competent Authority At Destination and Arrival Processing Is To Be Resumed
Event Type	End event
Description	<p>After receiving a status response at the Competent Authority at departure, if the state does not correspond and an IE018 has been received the Arrival processing is resumed.</p> <p>Final situation : The movement can be written off.</p> <p>After receiving a IE143 containing Code 3 (Return Copy returned on) at the Competent Authority at departure and (Paper) control result arrived, arrival process can be resumed, all involved offices are informed about the closing of the movement.</p>
Previous Objects	Record Destination Control Results

Table 5.805: Event Destination Control Results Received From Competent Authority At Destination and Arrival Processing Is To Be Resumed

5.56. Process L4-TRA-01-08-01-Checks If Place Of Irregularity Is In Country Of Departure

5.56.1. Objective of Process

When the condition triggering the Recovery Procedure Options A is fulfilled, the Competent Authority of Recovery at Departure investigates if the place of irregularity is in the Country of Departure to decide if it is competent or not. If it is in its own country it is competent and shall carry out recovery. The movement remains in the 'Recovery recommended' state. For the case of missing or insufficient IE141, the state has already been set to 'Recovery recommended' in the process 'Check Provided Information'.

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If the irregularity did not take place in the Country of Departure the competency shall be transmitted to the responsible Country (may also be the country of transit). The movement stays in the 'Recovery recommended' state. Upon reception of acceptance of competency the state shall be changed into 'Under recovery procedure'.

5.56.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-08-01
Full Name	Checks If Place Of Irregularity Is In Country Of Departure
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-13-Recovery Procedure
Existing Specifications	DDDNTA v5.13

Table 5.806: Process Details

5.56.3. Tasks and Events

Event Condition Triggering Recovery Procedure Options A Fulfilled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Condition Triggering Recovery Procedure Options A Fulfilled
Event Type	Conditional start event
Description	When the condition triggering the recovery procedure Options A is fulfilled then the process is initiated.
Previous Objects	

Table 5.807: Event Condition Triggering Recovery Procedure Options A Fulfilled

Task Register Decision To Initiate Recovery Procedure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision To Initiate Recovery Procedure
Task Type	User task
Description	When the condition triggering the recovery procedure Options A is fulfilled, the Customs Officer initiates the recovery procedure. Final situation: The Customs Officer registered the start of the Recovery procedure.
Actor	Customs Officer
Input Data	E_MOV_RSP

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	Transit Operation
Output Data	Indication of Decision to Initiate Recovery Procedure
Previous Objects	Condition Triggering Recovery Procedure Options A Fulfilled
Information Exchange	Information about Non-Arrived Movement
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.808: Task Register Decision To Initiate Recovery Procedure

Task Record Decision To Initiate Recovery Procedure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision To Initiate Recovery Procedure
Task Type	Service task
Description	When Decision to initiate Recovery Procedure is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Transit Operation Indication of Decision to Initiate Recovery Procedure
Output Data	Transit Operation E_MOV_RSP Indication of Decision to Initiate Recovery Procedure
Previous Objects	Register Decision To Initiate Recovery Procedure
Information Exchange	Information about Non-Arrived Movement
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Recovery Procedure Is To Be Initiated Manually Due To Suspicion of Fraud

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State	Recovery Recommended
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Table 5.809: Task Record Decision To Initiate Recovery Procedure

Task Register Result Check If Country Of Departure Is Competent For Recovery

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Result Check If Country Of Departure Is Competent For Recovery
Task Type	User task
Description	<p>When the decision to initiate recovery procedure has been taken, the Competent Authority of Recovery at Departure investigates if the place of irregularity is in the Country of Departure to decide if it is competent or not. If the irregularity took place in its own country it is competent and shall carry out recovery. The movement remains in the 'Recovery recommended' state. For the case of missing or insufficient IE141, the state has already been set to 'Recovery recommended'.</p> <p>If the irregularity did not take place in the Country of departure the competency shall be transmitted to the responsible Country (may also be the country of transit). The movement stays in the 'Recovery recommended' state. Upon reception of acceptance of competency the state shall be changed into 'Under recovery procedure'.</p> <p>Final situation: Recovery will be started or recovery request will be sent.</p>
Actor	Customs Officer
Input Data	Transit Operation E_MOV_RSP
Output Data	E_MOV_RSP Required Check Performed to Check if Country of Departure is Competent for Recovery
Previous Objects	Record Decision To Initiate Recovery Procedure
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.810: Task Register Result Check If Country Of Departure Is Competent For Recovery

Task Record Result Check If Country Of Departure Is Competent For Recovery

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Record Result Check If Country Of Departure Is Competent For Recovery
Task Type	Service task
Description	When the result of the check if the Country of Departure is competent for recovery is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Transit Operation E_MOV_RSP Required Check Performed to Check if Country of Departure is Competent for Recovery
Output Data	C_REC_REQ Transit Operation Required Check Performed to Check if Country of Departure is Competent for Recovery
Previous Objects	Register Result Check If Country Of Departure Is Competent For Recovery
Information Exchange	
Requirements	TRA_FUR_0100 TRA_FUR_0009
Data Set	
Events	
State	

Table 5.811: Task Record Result Check If Country Of Departure Is Competent For Recovery

Event Recovery Request Is To Be Sent To The Competent Authority

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Request Is To Be Sent To The Competent Authority
Event Type	End event
Description	Recovery Request is to be sent to the Competent Authority.
Previous Objects	Is Competent Authority Of Recovery At Departure Competent For Recovery?

Table 5.812: Event Recovery Request Is To Be Sent To The Competent Authority

Event Recovery Is To Be Initiated At Competent Authority Of Recovery At Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Is To Be Initiated At Competent Authority Of Recovery At

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	Departure
Event Type	End event
Description	Recovery is to be initiated at Competent Authority of Recovery at Departure.
Previous Objects	Is Competent Authority Of Recovery At Departure Competent For Recovery?

Table 5.813: Event Recovery Is To Be Initiated At Competent Authority Of Recovery At Departure

5.57. Process L4-TRA-01-08-02-Send Recovery Request To The Competent Authority Of Country Of Destination

5.57.1. Objective of Process

When the Competent Authority of Recovery at Departure is not competent a Recovery request (IE150) is sent to the Competent Authority in another country. The timer 'Wait for Recovery Acceptance Notification' is set.

In cases where there is an IE118 the IE150 will be send to the country where the last Customs Office of Entry is situated.

5.57.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-08-02
Full Name	Send Recovery Request To The Competent Authority Of Country Of Destination
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-13-Recovery Procedure
Existing Specifications	DDDNTA v5.13

Table 5.814: Process Details

5.57.3. Tasks and Events

Task Notify the Competent Authority Of Recovery In Any Country of Recovery Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the Competent Authority Of Recovery In Any Country of Recovery Request
Task Type	Send task
Description	When the Competent Authority of Recovery at Departure is not competent for recovery, a notification IE will automatically be sent to the country

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	where the last Customs Office of Entry is situated informing that recovery is requested.
Actor	NCTS
Input Data	C_REC_REQ
Output Data	
Previous Objects	Competent Authority Of Recovery At Departure Is Not Competent For Recovery
Information Exchange	Recovery Request
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.815: Task Notify the Competent Authority Of Recovery In Any Country of Recovery Request

Event Competent Authority Of Recovery At Departure Is Not Competent For Recovery

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Competent Authority Of Recovery At Departure Is Not Competent For Recovery
Event Type	Conditional start event
Description	When the Competent Authority of Recovery at Departure is identified as not Competent for Recovery the process starts.
Previous Objects	

Table 5.816: Event Competent Authority Of Recovery At Departure Is Not Competent For Recovery

Task Start Time Limit -Wait for Recovery Acceptance Notification-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start Time Limit -Wait for Recovery Acceptance Notification-
Task Type	Service task
Description	When an IE150 is sent to the Competent Authority of Recovery, the timer 'Wait for Recovery Acceptance Notification' is set. Final situation: The timer 'Wait for Recovery Acceptance Notification' is started.
Actor	NCTS
Input Data	Declaration Acceptance Date

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	Transit Operation 'Wait for Recovery Acceptance Notification' Default Time Limit
Output Data	Transit Operation 'Wait for Recovery Acceptance Notification' Expiry Date
Previous Objects	Notify the Competent Authority Of Recovery In Any Country of Recovery Request
Information Exchange	
Requirements	TRA_FUR_0110
Data Set	Transit Operation
Events	Competent Authority Of Recovery At Departure Is Not Competent For Recovery
State	Recovery Recommended

Table 5.817: Task Start Time Limit -Wait for Recovery Acceptance Notification-

Event Competent Authority Of Recovery Is Notified Of Recovery Request

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Competent Authority Of Recovery Is Notified Of Recovery Request
Event Type	End event
Description	The Competent Authority of Recovery is notified of Recovery Request. The process ends.
Previous Objects	Start Time Limit -Wait for Recovery Acceptance Notification-

Table 5.818: Event Competent Authority Of Recovery Is Notified Of Recovery Request

5.58. Process L4-TRA-01-08-03-Country Checks Competency

5.58.1. Objective of Process

When the request for Recovery is received and recorded, the process starts in order the Competent Authority to decide if it is competent or not. The answer (competent or not) is sent with the message 'Recovery acceptance notification' (IE151). In cases where there is an IE118 the IE151 from the same country may only contain the answer 'yes'.

If the requested Competent Authority is competent it will start the Recovery Procedure.

5.58.2. Process Details

PROCESS ATTRIBUTE	PROCESS ATTRIBUTE VALUE
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NAME	
Process ID	L4-TRA-01-08-03
Full Name	Country Checks Competency
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-13-Recovery Procedure
Existing Specifications	DDDNTA v5.13

Table 5.819: Process Details

5.58.3. Tasks and Events

Task Register Decision About Competency For Recovery

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision About Competency For Recovery
Task Type	User task
Description	<p>When the request for Recovery is recorded, the Competent Authority decides if it is competent or not.</p> <p>Final situation: The Customs Officer takes the decision if the Customs Office is competent for recovery or not.</p>
Actor	Customs Officer
Input Data	C_REC_REQ Transit Operation
Output Data	C_REC_REQ Decision about Competency for Recovery Is Registered
Previous Objects	Record Recovery Request
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.820: Task Register Decision About Competency For Recovery

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Task Record Recovery Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Recovery Request
Task Type	Service task
Description	When IE150 receipt is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-01-Decide About Transfer Of Competency
Input Data	
Output Data	C_REC_REQ Transit Operation
Previous Objects	Recovery Request Received
Information Exchange	Recovery Request
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Recovery Request Received
State	Recovery Requested

Table 5.821: Task Record Recovery Request

Event Recovery Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Request Received
Event Type	Conditional start event
Description	IE150 is received.
Appears also in the following processes	L4-TRA-01-09-01-Decide About Transfer Of Competency
Previous Objects	

Table 5.822: Event Recovery Request Received

Task Record Decision About Competency For Recovery

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision About Competency For Recovery
Task Type	Service task
Description	When Decision about competency for Recovery is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Decision about Competency for Recovery Is Registered Transit Operation
Output Data	Transit Operation Decision about Competency for Recovery Is Registered C_REC_ACC
Previous Objects	Register Decision About Competency For Recovery
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Recovery Request Received
State	Under Recovery Procedure

Table 5.823: Task Record Decision About Competency For Recovery

Task Notify the CA Of Recovery At Departure of Recovery Acceptance Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the CA Of Recovery At Departure of Recovery Acceptance Notification
Task Type	Send task
Description	When answer about competency is to communicated to the relevant Customs Office, a notification IE will automatically be sent to this Customs Office informing that the decision about competency is taken.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-01-Decide About Transfer Of Competency
Input Data	C_REC_ACC
Output Data	Transit Operation

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Previous Objects	Record Decision About Competency For Recovery
Information Exchange	Recovery Acceptance Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.824: Task Notify the CA Of Recovery At Departure of Recovery Acceptance Notification

Task Identify If Authority Is Competent For Recovery

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Authority Is Competent For Recovery
Task Type	Service task
Description	<p>When an IE151 is sent or Timer for Wait for Recovery Acceptance Notification Expires and no Recovery Acceptance Notification is received,, the System will automatically check if the Authority is competent for Recovery.</p> <p>Final situation:</p> <p>The result is positive: Authority is competent for Recovery.</p> <p>The result is negative: Authority is not competent for Recovery.</p>
Actor	NCTS
Input Data	Transit Operation C_REC_ACC
Output Data	Result Identify If Authority Is Competent For Recovery
Previous Objects	Notify the CA Of Recovery At Departure of Recovery Acceptance Notification
Information Exchange	
Requirements	TRA_FUR_0100
Data Set	
Events	
State	

Table 5.825: Task Identify If Authority Is Competent For Recovery

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Event Recovery Is To Be Accomplished At Competent Authority Of Recovery At Responsible Country

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Is To Be Accomplished At Competent Authority Of Recovery At Responsible Country
Event Type	End event
Description	The Recovery is to be accomplished at the Competent Authority of Recovery at responsible country. The process ends.
Previous Objects	Is Authority Competent For Recovery?

Table 5.826: Event Recovery Is To Be Accomplished At Competent Authority Of Recovery At Responsible Country

Event Authority Is Not Competent For Recovery

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Authority Is Not Competent For Recovery
Event Type	End event
Description	The Competent Authority in Any Other Country is not competent to start recovery.
Appears also in the following processes	L4-TRA-01-08-Handle Recovery - Recovery Procedure Option A
Previous Objects	Is Authority Competent For Recovery?

Table 5.827: Event Authority Is Not Competent For Recovery

5.59. Process L4-TRA-01-08-04-Accomplish Recovery Procedure At Destination

5.59.1. Objective of Process

When the amount of duties and other taxes are to be recovered, they are calculated and the Recovery Procedure seeks to recover the duties and taxes first from the Holder of Transit procedure or from any identified debtor (IE035); if this is not possible, from the Guarantor (unless the movement was covered by a cash guarantee or guarantee waiver was granted).

Upon completion of the Recovery Procedure, with successful recovery of duties and taxes from the Holder of Transit procedure or Guarantor, the Competent Authority for Recovery at destination informs the Competent Authority at departure with a Recovery dispatch notification (IE152) of the outcome, the movement will then be written off and, the relevant Customs Office of Guarantee is informed about the outcome.

5.59.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-08-04
Full Name	Accomplish Recovery Procedure At Destination

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Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-13-Recovery Procedure
Existing Specifications	DDDNTA v5.13

Table 5.828: Process Details

5.59.3. Tasks and Events

Event Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Responsible Country

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Responsible Country
Event Type	Conditional start event
Description	Competency for recovery has been transferred at responsible country.
Appears also in the following processes	L4-TRA-01-09-01-Decide About Transfer Of Competency
Previous Objects	

Table 5.829: Event Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Responsible Country

Event Authority Is Competent For Recovery

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Authority Is Competent For Recovery
Event Type	Conditional start event
Description	The Authority is competent for the Recovery process.
Previous Objects	

Table 5.830: Event Authority Is Competent For Recovery

Task Register Start Of Recovery In Responsible Country

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Start Of Recovery In Responsible Country
Task Type	User task
Description	When Recovery process is to be performed at the Competent Authority at responsible country, the Customs Officer registers into the NCTS the start of recovery procedure.

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	Final situation: The Customs Officer registered into the NCTS the start of the recovery process.
Actor	Customs Officer
Input Data	MRN
Output Data	Start of Recovery in Any Other Country
Previous Objects	Authority Is Competent For Recovery Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Responsible Country
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.831: Task Register Start Of Recovery In Responsible Country

Task Record Start Of Recovery In Responsible Country

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Start Of Recovery In Responsible Country
Task Type	Service task
Description	When the Start of Recovery in responsible Country is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	MRN Start of Recovery in Any Other Country
Output Data	Start of Recovery in Any Other Country
Previous Objects	Register Start Of Recovery In Responsible Country
Information Exchange	Recovery Dispatch Notification
Requirements	TRA_FUR_0009
Data Set	

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Events	
State	

Table 5.832: Task Record Start Of Recovery In Responsible Country

Task Register Accomplishment Of Recovery Procedure At Responsible Country

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Accomplishment Of Recovery Procedure At Responsible Country
Task Type	User task
Description	<p>When the amount of duties and other taxes are to be recovered, they are calculated.</p> <p>The Recovery Procedure seeks to recover the duties and taxes first from the Holder Of Transit Procedure or from any identified debtor (IE035); if this is not possible, from the Guarantor (unless the movement was covered by a cash guarantee or guarantee waiver was granted).</p> <p>Final situation: The Customs Officer registered the calculation of duties and taxes.</p>
Actor	Customs Officer
Input Data	MRN
Output Data	Accomplishment of Recovery Procedure at Destination
Previous Objects	Record Start Of Recovery In Responsible Country
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.833: Task Register Accomplishment Of Recovery Procedure At Responsible Country

Task Record Accomplishment Of Recovery Procedure At Responsible Country

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Accomplishment Of Recovery Procedure At Responsible Country
Task Type	Service task
Description	<p>When accomplishment of Recovery Procedure at Responsible Country is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.</p>

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Actor	NCTS
Input Data	MRN Accomplishment of Recovery Procedure at Destination
Output Data	Transit Operation Accomplishment of Recovery Procedure at Destination
Previous Objects	Register Accomplishment Of Recovery Procedure At Responsible Country
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Responsible Country Authority Is Competent For Recovery
State	Recovery Completed

Table 5.834: Task Record Accomplishment Of Recovery Procedure At Responsible Country

Task Notify the Competent Authority At Responsible Country of Recovery Dispatch Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the Competent Authority At Responsible Country of Recovery Dispatch Notification
Task Type	Send task
Description	When the Recovery Procedure is complete, with successful recovery of duties and taxes from the Holder of the Transit procedure or Guarantor, a notification IE will automatically be sent to the relevant Customs Office of Guarantee informing that the recovery procedure is complete.
Actor	NCTS
Input Data	C_REC_DIS
Output Data	
Previous Objects	Record Accomplishment Of Recovery Procedure At Responsible Country
Information Exchange	Recovery Dispatch Notification
Requirements	TRA_FUR_0023

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Data Set	
Events	
State	

Table 5.835: Task Notify the Competent Authority At Responsible Country of Recovery Dispatch Notification

Event Recovery Completed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Completed
Event Type	End event
Description	The recovery procedure is completed. The process ends.
Appears also in the following processes	L4-TRA-01-09-Handle Recovery - Recovery Procedure Option B
Previous Objects	Notify the Competent Authority At Responsible Country of Recovery Dispatch Notification

Table 5.836: Event Recovery Completed

5.60. Process L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure

5.60.1. Objective of Process

When the Country of Departure is competent or an IE151 with a negative answer (no answer within the time limit is considered to be a negative one) was sent, the Competent Authority of Recovery at Departure starts the Recovery and all involved offices that have received an IE001, IE003, IE050 or IE115, related to the movement, are notified (IE063). Based on the MRN brought in the recovery communication (IE063.TRANSIT OPERATION.MRN), NCTS records the start of the recovery procedure and so avoids any future usage of that MRN. The state is set to 'Under recovery procedure'.

The IE035 is sent to the Holder of Transit procedure. When Transit follows Export, a notification IE will automatically be sent to AES informing about the Recovery.

When the time limit 'Recovery recommended' is not expired, the timer is automatically stopped.

The amount of duties and other taxes to be recovered is calculated.

The recovery procedure seeks to recover the duties and taxes first from the Holder of Transit procedure or from any identified debtor; if this is not possible, from the Guarantor (unless the movement was covered by a cash guarantee) within three years of the date of acceptance of the Transit declaration.

Upon completion of the recovery procedure, with successful recovery of duties and taxes from the Holder of Transit procedure or Guarantor, the Competent Authority for Recovery at Departure writes off the movement and, the relevant Customs Office of Guarantee is informed about the outcome.

5.60.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-08-05
Full Name	Accomplish Recovery Procedure At Departure
Assumptions	N/A

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Constraints	N/A
L3 Reference Models	L3-TRA-01-13-Recovery Procedure
Existing Specifications	DDDNTA v5.13

Table 5.837: Process Details

5.60.3. Tasks and Events

Event Competent Authority For Recovery Is At Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Competent Authority For Recovery Is At Departure
Event Type	Conditional start event
Description	The competent Authority for Recovery is the Office at Departure.
Previous Objects	

Table 5.838: Event Competent Authority For Recovery Is At Departure

Task Register Start Of Recovery At Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Start Of Recovery At Departure
Task Type	User task
Description	<p>When the Country of Departure is competent or an IE151 with a negative answer (no answer within the time limit is considered to be a negative one) was sent, the Competent Authority of Recovery at Departure starts the Recovery.</p> <p>Final situation: The Customs Officer registered that the Office at Departure started the recovery process.</p>
Actor	Customs Officer
Input Data	Transit Operation
Output Data	Transit Operation Start of Recovery at Departure
Previous Objects	Competent Authority For Recovery Is At Departure Recovery Acceptance Notification Is Negative and Recovery Is To Be Accomplished At Departure
Information Exchange	Recovery Acceptance Notification

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PROCESS MODELS

Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.839: Task Register Start Of Recovery At Departure

Event Recovery Acceptance Notification Is Negative and Recovery Is To Be Accomplished At Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Acceptance Notification Is Negative and Recovery Is To Be Accomplished At Departure
Event Type	Conditional start event
Description	Competency for recovery has not been transferred. It will be accomplished at the Competent Authority of Recovery at Departure.
Appears also in the following processes	L4-TRA-01-09-01-Decide About Transfer Of Competency
Previous Objects	

Table 5.840: Event Recovery Acceptance Notification Is Negative and Recovery Is To Be Accomplished At Departure

Task Record Start Of Recovery At Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Start Of Recovery At Departure
Task Type	Service task
Description	When start of the Recovery procedure at Departure is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Start of Recovery at Departure Transit Operation
Output Data	Start of Recovery at Departure
Previous Objects	Register Start Of Recovery At Departure
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.841: Task Record Start Of Recovery At Departure

Task Identify If Transit Is External or Internal

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Transit Is External or Internal
Task Type	Service task
Description	NCTS identifies if the Transit is internal or external.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-01-Decide About Transfer Of Competency L4-TRA-01-08-06-Send Information To Involved Parties
Input Data	Transit Operation
Output Data	Result If Transit Is External or Internal
Previous Objects	Record Start Of Recovery At Departure
Information Exchange	
Requirements	TRA_FUR_0066
Data Set	
Events	
State	

Table 5.842: Task Identify If Transit Is External or Internal

Task Notify all the Involved Customs Offices of Recovery Communication

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify all the Involved Customs Offices of Recovery Communication
Task Type	Send task
Description	When start of Recovery procedure at Competent Authority of Recovery at Departure is recorded or Recovery Acceptance Notification previously sent was positive, a notification IE will automatically be sent to the Involved Customs Offices informing that the Recovery procedure is started at the Office at Departure.
Actor	NCTS
Appears also in the following	L4-TRA-01-09-01-Decide About Transfer Of Competency

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PROCESS MODELS

processes	L4-TRA-01-08-06-Send Information To Involved Parties
Input Data	C_REC_COM
Output Data	
Previous Objects	Record Start Of Recovery At Departure
Information Exchange	Recovery Communication
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.843: Task Notify all the Involved Customs Offices of Recovery Communication

Task Notify the Premises Of The Trader At Departure of Recovery Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the Premises Of The Trader At Departure of Recovery Notification
Task Type	Send task
Description	When start of Recovery procedure at Departure is recorded, a notification IE will automatically be sent to the Holder of the Transit procedure informing that the Recovery procedure started.
Actor	NCTS
Input Data	E_REC_NOT
Output Data	
Previous Objects	Record Start Of Recovery At Departure
Information Exchange	Recovery Notification
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.844: Task Notify the Premises Of The Trader At Departure of Recovery Notification

Task Identify If Time Limit -Recovery Recommended- Expired

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Time Limit -Recovery Recommended- Expired
Task Type	Service task
Description	When Decision to initiate Recovery Procedure is recorded, the System will automatically check if the Timer for 'Recovery Recommended' is expired. Final situation: The result is positive: The timer is expired. The result is negative: the timer is not expired.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-08-06-Send Information To Involved Parties
Input Data	Current Date Awaiting Receipt of Recovery Recommended Expiry Date
Output Data	Result Identify If Time Limit -Recovery Recommended- Expired
Previous Objects	Record Start Of Recovery At Departure
Information Exchange	
Requirements	TRA_FUR_0098
Data Set	
Events	
State	

Table 5.845: Task Identify If Time Limit -Recovery Recommended- Expired

Task Notify the AES of Recovery Communication To AES

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the AES of Recovery Communication To AES
Task Type	Send task
Description	When Transit follows Export, a notification IE will automatically be sent to AES informing about the Recovery
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-01-Decide About Transfer Of Competency L4-TRA-01-08-06-Send Information To Involved Parties
Input Data	N_REC_COM
Output Data	

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Previous Objects	Is Transit External or Internal?
Information Exchange	Recovery Communication To AES
Requirements	TRA_FUR_0149
Data Set	
Events	
State	

Table 5.846: Task Notify the AES of Recovery Communication To AES

Task Register Accomplishment Of Recovery Procedure At Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Accomplishment Of Recovery Procedure At Departure
Task Type	User task
Description	<p>When the amount of duties and other taxes to be recovered is to be calculated, the recovery procedure seeks to recover the duties and taxes first from the Holder of the Transit procedure or from any identified debtor; if this is not possible, from the Guarantor (unless the movement was covered by a cash guarantee) within three years of the date of acceptance of the Transit declaration.</p> <p>Final situation: The Customs Officer registered the accomplishment of the Recovery procedure at the Office At Departure.</p>
Actor	Customs Officer
Input Data	Transit Operation
Output Data	Accomplishment of Recovery Procedure at Departure
Previous Objects	<p>Notify all the Involved Customs Offices of Recovery Communication</p> <p>Is Transit External or Internal?</p> <p>Notify the AES of Recovery Communication To AES</p> <p>Notify the Premises Of The Trader At Departure of Recovery Notification</p> <p>Stop Time Limit -Recovery Recommended-</p> <p>Has Time Limit -Recovery Recommended- Expired?</p>
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.847: Task Register Accomplishment Of Recovery Procedure At Departure

Task Stop Time Limit -Recovery Recommended-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Recovery Recommended-
Task Type	Service task
Description	When the time limit 'Recovery recommended' is not expired, the timer is automatically stopped. Final situation : The timer 'Recovery recommended' is stopped.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-09-01-Decide About Transfer Of Competency L4-TRA-01-08-06-Send Information To Involved Parties L4-TRA-01-03-10-Solve Discrepancies
Input Data	Transit Operation Destination Control Results Received Date Recovery Recommended Expiry Date
Output Data	Expired Calendar Days of the Time Limit 'Recovery Recommended' Recovery Recommended Expiry Date
Previous Objects	Has Time Limit -Recovery Recommended- Expired?
Information Exchange	
Requirements	TRA_FUR_0101
Data Set	
Events	
State	

Table 5.848: Task Stop Time Limit -Recovery Recommended-

Task Record Accomplishment Of Recovery Procedure At Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Accomplishment Of Recovery Procedure At Departure
Task Type	Service task

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Description	When accomplishment of Recovery procedure at Departure is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Accomplishment of Recovery Procedure at Departure Transit Operation
Output Data	Accomplishment of Recovery Procedure at Departure
Previous Objects	Register Accomplishment Of Recovery Procedure At Departure
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.849: Task Record Accomplishment Of Recovery Procedure At Departure

Event Recovery Procedure Accomplished At Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Procedure Accomplished At Departure
Event Type	End event
Description	The Recovery procedure is accomplished at Office at Departure and the process ends.
Previous Objects	Record Accomplishment Of Recovery Procedure At Departure

Table 5.850: Event Recovery Procedure Accomplished At Departure

5.61. Process L4-TRA-01-08-06-Send Information To Involved Parties

5.61.1. Objective of Process

When positive answer of Recovery acceptance notification (IE151) is received and recorded, the Competent Authority of Enquiry at Departure forwards the files by other means to the Competent Authority in the responsible country. All involved offices that have received an IE001, IE003, IE050 or IE115, related to the movement, are notified (IE063). The IE035 is sent to the Holder of Transit procedure. When Transit follows Export, a notification IE will automatically be sent to AES informing about the Recovery

When the time limit 'Recovery recommended' is not expired, the timer is automatically stopped.

Based on the MRN brought in the recovery communication, NCTS records the start of the recovery procedure and therefore, avoids any future usage of that MRN. The movement is set in the state 'Under recovery procedure'

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5.61.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-08-06
Full Name	Send Information To Involved Parties
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-13-Recovery Procedure
Existing Specifications	DDDNTA v5.13

Table 5.851: Process Details

5.61.3. Tasks and Events

Task Register Start Of Recovery At Competent Authority Of Recovery In Other Country

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Start Of Recovery At Competent Authority Of Recovery In Other Country
Task Type	User task
Description	<p>When an IE151 with a positive answer (no answer within the time limit is considered to be a negative one) was sent, the Competent Authority of Recovery in responsible country starts the recovery.</p> <p>Final situation: The Customs Officer registered that the Competent Authority of recovery in responsible country started the recovery process.</p>
Actor	Customs Officer
Input Data	C_REC_ACC
Output Data	Recovery Acceptance
Previous Objects	Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Competent Authority Of Recovery In Responsible Country
Information Exchange	Recovery Acceptance Notification
Requirements	TRA_FUR_0008
Data Set	

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Events	
State	

Table 5.852: Task Register Start Of Recovery At Competent Authority Of Recovery In Other Country

Event Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Competent Authority Of Recovery In Responsible Country

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Competent Authority Of Recovery In Responsible Country
Event Type	Conditional start event
Description	Competency for recovery has been transferred. It will be accomplished at the Competent Authority of recovery in responsible country.
Previous Objects	

Table 5.853: Event Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Competent Authority Of Recovery In Responsible Country

Task Record Start Of Recovery At Competent Authority Of Recovery In Responsible Country

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Start Of Recovery At Competent Authority Of Recovery In Responsible Country
Task Type	Service task
Description	When start of the Recovery procedure in Competent Authority of Recovery in Responsible Country is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	C_REC_ACC
Output Data	C_REC_ACC
Previous Objects	Register Start Of Recovery At Competent Authority Of Recovery In Other Country
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

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Table 5.854: Task Record Start Of Recovery At Competent Authority Of Recovery In Responsible County

Task Identify If Transit Is External or Internal

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Transit Is External or Internal
Task Type	Service task
Description	NCTS identifies if the Transit is internal or external.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-01-Decide About Transfer Of Competency L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure
Input Data	Transit Operation
Output Data	Result If Transit Is External or Internal
Previous Objects	Record Start Of Recovery At Competent Authority Of Recovery In Responsible County
Information Exchange	
Requirements	TRA_FUR_0066
Data Set	
Events	
State	

Table 5.855: Task Identify If Transit Is External or Internal

Task Identify All Involved Customs Offices

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify All Involved Customs Offices
Task Type	Service task
Description	The NCTS at the Customs Administration of Departure identifies all involved offices in the transit operation.
Actor	NCTS
Input Data	Transit Operation
Output Data	Intended Offices Of Transit (and Country)
Previous Objects	Record Start Of Recovery At Competent Authority Of Recovery In

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	Responsible County
Information Exchange	
Requirements	TRA_FUR_0078
Data Set	
Events	
State	

Table 5.856: Task Identify All Involved Customs Offices

Task Notify the AES of Recovery Communication To AES

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the AES of Recovery Communication To AES
Task Type	Send task
Description	When Transit follows Export, a notification IE will automatically be sent to AES informing about the Recovery
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-01-Decide About Transfer Of Competency L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure
Input Data	N_REC_COM
Output Data	
Previous Objects	Is Transit External or Internal?
Information Exchange	Recovery Communication To AES
Requirements	TRA_FUR_0149
Data Set	
Events	
State	

Table 5.857: Task Notify the AES of Recovery Communication To AES

Task Notify the Holder of Transit Procedure of Recovery Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the Holder of Transit Procedure of Recovery Notification
Task Type	Send task

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Description	The Holder Of Transit Procedure is notified of Recovery Notification
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-01-Decide About Transfer Of Competency
Input Data	C_REC_ACC
Output Data	
Previous Objects	Record Start Of Recovery At Competent Authority Of Recovery In Responsible County
Information Exchange	Recovery Notification
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.858: Task Notify the Holder of Transit Procedure of Recovery Notification

Task Identify If Time Limit -Recovery Recommended- Expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Time Limit -Recovery Recommended- Expired
Task Type	Service task
Description	When Decision to initiate Recovery Procedure is recorded, the System will automatically check if the Timer for 'Recovery Recommended' is expired. Final situation: The result is positive: The timer is expired. The result is negative: the timer is not expired.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure
Input Data	Current Date Awaiting Receipt of Recovery Recommended Expiry Date
Output Data	Result Identify If Time Limit -Recovery Recommended- Expired
Previous Objects	Record Start Of Recovery At Competent Authority Of Recovery In Responsible County
Information Exchange	

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Requirements	TRA_FUR_0098
Data Set	
Events	
State	

Table 5.859: Task Identify If Time Limit -Recovery Recommended- Expired

Task Notify all the Involved Customs Offices of Recovery Communication

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify all the Involved Customs Offices of Recovery Communication
Task Type	Send task
Description	When start of Recovery procedure at Competent Authority of Recovery at Departure is recorded or Recovery Acceptance Notification previously sent was positive, a notification IE will automatically be sent to the Involved Customs Offices informing that the Recovery procedure is started at the Office at Departure.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-01-Decide About Transfer Of Competency L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure
Input Data	C_REC_COM
Output Data	
Previous Objects	Identify All Involved Customs Offices
Information Exchange	Recovery Communication
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.860: Task Notify all the Involved Customs Offices of Recovery Communication

Task Stop Time Limit -Recovery Recommended-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Recovery Recommended-
Task Type	Service task
Description	When the time limit 'Recovery recommended' is not expired, the timer is

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	<p>automatically stopped.</p> <p>Final situation :</p> <p>The timer 'Recovery recommended' is stopped.</p>
Actor	NCTS
Appears also in the following processes	<p>L4-TRA-01-03-09-Handle Destination Control Results</p> <p>L4-TRA-01-09-01-Decide About Transfer Of Competency</p> <p>L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure</p> <p>L4-TRA-01-03-10-Solve Discrepancies</p>
Input Data	<p>Transit Operation</p> <p>Destination Control Results Received Date</p> <p>Recovery Recommended Expiry Date</p>
Output Data	<p>Expired Calendar Days of the Time Limit 'Recovery Recommended'</p> <p>Recovery Recommended Expiry Date</p>
Previous Objects	Has Time Limit -Recovery Recommended- Expired?
Information Exchange	
Requirements	TRA_FUR_0101
Data Set	
Events	
State	

Table 5.861: Task Stop Time Limit -Recovery Recommended-

Event All Involved Offices Related To The Movement Are Notified

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	All Involved Offices Related To The Movement Are Notified
Event Type	End event
Description	All involved offices are informed via IE063 and do no longer wait for a movement with that MRN and the process ends.
Previous Objects	<p>Notify the Holder of Transit Procedure of Recovery Notification</p> <p>Has Time Limit -Recovery Recommended- Expired?</p> <p>Stop Time Limit -Recovery Recommended-</p> <p>Notify all the Involved Customs Offices of Recovery Communication</p> <p>Is Transit External or Internal?</p> <p>Notify the AES of Recovery Communication To AES</p>

Table 5.862: Event All Involved Offices Related To The Movement Are Notified

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5.62. Process L4-TRA-01-08-07-Departure Discharges MRN In The System

5.62.1. Objective of Process

When the Recovery Procedure is completed, with successful recovery of duties, taxes and interest on arrears from the Holder of Transit procedure or Guarantor, the Competent Authority for Recovery at departure writes off the movement and, the relevant Customs Office of Guarantee is informed about the outcome.

- NCTS records the write-off and notifies (IE045) the Holder of Transit procedure that the movement is written-off.
- NCTS notifies (IE152) all involved offices from which it has not already received an IE152 of the collection of duties taxes and interest on arrears.

5.62.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-08-07
Full Name	Departure Discharges MRN In The System
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-13-Recovery Procedure
Existing Specifications	DDDNTA v5.13

Table 5.863: Process Details

5.62.3. Tasks and Events

Event Accomplishment Of Recovery Procedure Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Accomplishment Of Recovery Procedure Recorded
Event Type	Conditional start event
Description	The accomplishment of Recovery Procedure is recorded.
Previous Objects	

Table 5.864: Event Accomplishment Of Recovery Procedure Recorded

Task Record Recovery Dispatch Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Recovery Dispatch Notification
Task Type	Service task
Description	When IE152 receipt is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.

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Actor	NCTS
Input Data	
Output Data	Transit Operation C_REC_DIS
Previous Objects	Recovery Dispatch Notification Received
Information Exchange	Recovery Dispatch Notification
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Recovery Dispatch Notification Received
State	Movement Written Off

Table 5.865: Task Record Recovery Dispatch Notification

Event Recovery Dispatch Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Dispatch Notification Received
Event Type	Conditional start event
Description	IE152 is received.
Previous Objects	

Table 5.866: Event Recovery Dispatch Notification Received

Task Record Write Off Transit Movement After Recovery

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Write Off Transit Movement After Recovery
Task Type	Service task
Description	When the Write-off of the movement is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Movement Write-Off Write-off date Transit Operation

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Output Data	Write-off date Movement Write-Off
Previous Objects	Record Recovery Dispatch Notification Accomplishment Of Recovery Procedure Recorded
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.867: Task Record Write Off Transit Movement After Recovery

Task Identify All Involved Offices Which Did Not Send Recovery Dispatch Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify All Involved Offices Which Did Not Send Recovery Dispatch Notification
Task Type	Service task
Description	NCTS identifies all involved Offices which did not send Recovery Dispatch Notification.
Actor	NCTS
Input Data	C_REC_DIS Transit Operation
Output Data	C_REC_DIS Result All Involved Offices Which Did Not Send Recovery Dispatch Notification
Previous Objects	Record Write Off Transit Movement After Recovery
Information Exchange	
Requirements	TRA_FUR_0103
Data Set	
Events	
State	

Table 5.868: Task Identify All Involved Offices Which Did Not Send Recovery Dispatch Notification

Task Notify the Holder of the Transit Procedure of Write-Off Notification

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the Holder of the Transit Procedure of Write-Off Notification
Task Type	Send task
Description	When the Write-Off of the movement is recorded, a notification IE will automatically be sent to the Holder of the Transit procedure informing that the movement is written-off.
Actor	NCTS
Input Data	E_WRT_NOT
Output Data	
Previous Objects	Record Write Off Transit Movement After Recovery
Information Exchange	Write-Off Notification
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.869: Task Notify the Holder of the Transit Procedure of Write-Off Notification

Task Notify the CA At Any Other Country of Recovery Dispatch Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the CA At Any Other Country of Recovery Dispatch Notification
Task Type	Send task
Description	When all Involved Offices which did not send Recovery Dispatch Notification are identified, a notification IE will automatically be sent to all Involved Customs Office(s) informing that the duties and taxes are collected.
Actor	NCTS
Input Data	C_REC_DIS
Output Data	
Previous Objects	Identify All Involved Offices Which Did Not Send Recovery Dispatch Notification
Information Exchange	Recovery Dispatch Notification

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Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.870: Task Notify the CA At Any Other Country of Recovery Dispatch Notification

Event Movement Is Written-Off.

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Is Written-Off.
Event Type	End event
Description	The movement is written-off. The process ends.
Previous Objects	Notify the Holder of the Transit Procedure of Write-Off Notification

Table 5.871: Event Movement Is Written-Off.

Event All Involved Offices Are Informed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	All Involved Offices Are Informed
Event Type	End event
Description	All Involved Offices are informed.
Previous Objects	Notify the CA At Any Other Country of Recovery Dispatch Notification

Table 5.872: Event All Involved Offices Are Informed

5.63. Process L4-TRA-01-08-Handle Recovery - Recovery Procedure Option A

5.63.1. Objective of Process

When Recovery Procedure is to be initiated manually (due to suspicion of fraud following or not following incident) or IE141 with insufficient information or with Information for Recovery is received or the Time Limit 'Recovery Recommended' is expired the process starts. In the case the time limit 'Recovery recommended' is expired, the system records the expiration of the timer. In any case the system checks if the place of irregularity is in the country of Departure. If the Competent Authority at Departure is competent for the recovery procedure then this Authority accomplishes the recovery process, it discharges the MRN in the System and the guarantee is set to be released. If the Competent Authority at Departure is not competent for the recovery then the authority asks the assumed Competent Authority in the country if it is going to perform the recovery process. If this Authority accepts the request then it accomplishes the recovery process and informs back the Competent Authority of Recovery at Departure that the recovery has been performed. In any other case, if the request is denied the recovery process is executed by the Competent Authority of Recovery at Departure as above.

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PROCESS MODELS

5.63.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-08
Full Name	Handle Recovery - Recovery Procedure Option A
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-13-Recovery Procedure
Existing Specifications	DDDNA v5.13

Table 5.873: Process Details

5.63.3. Tasks and Events

Event Recovery Procedure Is To Be Initiated Manually Due To Suspicion of Fraud

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Procedure Is To Be Initiated Manually Due To Suspicion of Fraud
Event Type	Conditional start event
Description	A Customs Officer can also start the Recovery procedure manually at any time after the release of the movement. This is a scenario that may occur when the Customs Officer decides to start the recovery in special cases.
Previous Objects	

Table 5.874: Event Recovery Procedure Is To Be Initiated Manually Due To Suspicion of Fraud

Event Recovery Procedure Is To Be Initiated Manually Due To Suspicion of Fraud (Following Incident)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Procedure Is To Be Initiated Manually Due To Suspicion of Fraud (Following Incident)
Event Type	Conditional start event
Description	A Customs Officer can start Recovery procedure manually if an incident has occurred in the course of the movement. This is a scenario pertinent to recovery initiation on incident occurrence.
Previous Objects	

Table 5.875: Event Recovery Procedure Is To Be Initiated Manually Due To Suspicion of Fraud (Following Incident)

Event Information About Non-Arrived Movement With Insufficient Information or With Information For Recovery Received

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Information About Non-Arrived Movement With Insufficient Information or With Information For Recovery Received
Event Type	Conditional start event
Description	No IE141 within the given time limit or an IE141 with insufficient information or with information for recovery has been received. The recovery procedure is started within one month after the expiry of the time given to the Holder of the transit procedure to answer.
Previous Objects	

Table 5.876: Event Information About Non-Arrived Movement With Insufficient Information or With Information For Recovery Received

Task Checks If Place Of Irregularity Is In Country Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Checks If Place Of Irregularity Is In Country Of Departure
Task Type	Call activity (collapsed)
Description	<p>When the condition triggering the Recovery Procedure Options A is fulfilled, the Competent Authority at Departure investigates if the place of irregularity is in the Country of Departure to decide if it is competent or not. If it is in its own country it is competent and shall carry out recovery. The movement remains in the 'Recovery recommended' state. For the case of missing or insufficient IE141, the state has already been set to 'Recovery recommended' in the process 'Check Provided Information'.</p> <p>If the irregularity did not take place in the Country of departure the competency shall be transmitted to the responsible Country (may also be the country of transit). The movement stays in the 'Recovery recommended' state. Upon reception of acceptance of competency the state shall be changed into 'Under recovery procedure'.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	<p>Record Time Limit -Recovery Recommended- Expired</p> <p>Recovery Procedure Is To Be Initiated Manually Due To Suspicion of Fraud</p> <p>Recovery Procedure Is To Be Initiated Manually Due To Suspicion of Fraud (Following Incident)</p> <p>Information About Non-Arrived Movement With Insufficient Information or With Information For Recovery Received</p>
Information Exchange	
Requirements	
Data Set	

EU Customs Functional Requirements BPM Report for New Computerised Transit System (NCTS)
PROCESS MODELS

Events	
State	

Table 5.877: Task Checks If Place Of Irregularity Is In Country Of Departure

Task Record Time Limit -Recovery Recommended- Expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Time Limit -Recovery Recommended- Expired
Task Type	Service task
Description	When the time limit 'Recovery Recommended' expiration is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Current Date Recovery Recommended Expiry Date Transit Operation
Output Data	Recovery Recommended Expiry Date Result Check If Time Limit 'Wait for Recovery recommended' Expired'
Previous Objects	Time Limit -Recovery Recommended- Expired
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.878: Task Record Time Limit -Recovery Recommended- Expired

Event Time Limit -Recovery Recommended- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit -Recovery Recommended- Expired
Event Type	Timer start event
Description	The mastertimer 'Recovery recommended' has expired. This time out indicates that recovery procedure should be started. This time-out period is defined by the Competent Authority of Recovery at Departure using the values permitted in relevant legal framework.
Previous Objects	

Table 5.879: Event Time Limit -Recovery Recommended- Expired

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PROCESS MODELS

Task Send Recovery Request To The Competent Authority Of Country Of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Send Recovery Request To The Competent Authority Of Country Of Destination
Task Type	Call activity (collapsed)
Description	When the Competent Authority at departure is not competent a Recovery request (IE150) is sent to the Competent Authority in another country. The timer 'Wait for Recovery Acceptance Notification' is set. In cases where there is an IE118 the IE150 will be send to the country where the last Customs Office of Entry is situated.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Is Competent Authority Of Recovery At Departure Competent For Recovery?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.880: Task Send Recovery Request To The Competent Authority Of Country Of Destination

Event Timer -Wait for Recovery Acceptance Notification- Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Timer -Wait for Recovery Acceptance Notification- Expired
Event Type	Timer intermediate event
Description	The time limit to receive IE151 Recovery Acceptance Notification has expired.
Previous Objects	Send Recovery Request To The Competent Authority Of Country Of Destination

Table 5.881: Event Timer -Wait for Recovery Acceptance Notification- Expired

Event Receive Recovery Acceptance Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Recovery Acceptance Notification
Event Type	Message intermediate event (catch)

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Description	NCTS at the Competent Authority at Departure receives Recovery Acceptance Notification. The Recovery acceptance notification (IE151) on the request for Recovery is received
Previous Objects	Send Recovery Request To The Competent Authority Of Country Of Destination Country Checks Competency

Table 5.882: Event Receive Recovery Acceptance Notification

Task Record Recovery Acceptance Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Recovery Acceptance Notification
Task Type	Service task
Description	When IE151 receipt is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-Handle Recovery - Recovery Procedure Option B
Input Data	Result Identify If Recovery Acceptance Notification Is Positive
Output Data	Result Identify If Recovery Acceptance Notification Is Positive C_REC_ACC Transit Operation
Previous Objects	Receive Recovery Acceptance Notification
Information Exchange	Recovery Acceptance Notification
Requirements	TRA_FUR_0009 TRA_FUR_0097
Data Set	Transit Operation
Events	Receive Recovery Acceptance Notification Receive Recovery Acceptance Notification
State	Under Recovery Procedure Recovery Recommended

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PROCESS MODELS

Table 5.883: Task Record Recovery Acceptance Notification

Task Identify If Recovery Acceptance Notification Is Positive

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Recovery Acceptance Notification Is Positive
Task Type	Service task
Description	When IE151 is recorded, the System will automatically check if Recovery Acceptance Notification is positive. Final situation: The result is positive: Recovery Acceptance Notification is positive. The result is negative: Recovery Acceptance Notification is not positive.
Actor	NCTS
Input Data	Transit Operation C_REC_ACC
Output Data	Result Identify If Recovery Acceptance Notification Is Positive
Previous Objects	Record Recovery Acceptance Notification
Information Exchange	
Requirements	TRA_FUR_0097
Data Set	
Events	
State	

Table 5.884: Task Identify If Recovery Acceptance Notification Is Positive

Task Accomplish Recovery Procedure At Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Accomplish Recovery Procedure At Departure
Task Type	Call activity (collapsed)
Description	When the Country of Departure is competent or an IE151 with a negative answer (no answer within the time limit is considered to be a negative one) was sent, the Competent Authority at departure starts the Recovery and all involved offices that have received an IE001, IE003, IE050 or IE115, related to the movement, are notified (IE063). Based on the MRN brought in the recovery communication (IE063.TRANSIT OPERATION.MRN), NCTS records the start of the recovery procedure and so avoids any future usage of that MRN. The state is set to 'Under recovery procedure'. The IE035 is sent to the Holder of Transit procedure and the IE023 is sent

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	<p>to the Guarantor.</p> <p>The amount of duties and other taxes to be recovered is calculated.</p> <p>The recovery procedure seeks to recover the duties and taxes first from the Holder of Transit procedure or from any identified debtor; if this is not possible, from the Guarantor (unless the movement was covered by a cash guarantee) within three years of the date of acceptance of the Transit declaration.</p> <p>Upon completion of the recovery procedure, with successful recovery of duties and taxes from the Holder of Transit procedure or Guarantor, the Competent Authority for Recovery at departure writes off the movement and, the relevant Customs Office of Guarantee is informed about the outcome.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-Handle Recovery - Recovery Procedure Option B
Input Data	
Output Data	
Previous Objects	<p>Timer -Wait for Recovery Acceptance Notification- Expired</p> <p>Is Competent Authority Of Recovery At Departure Competent For Recovery?</p> <p>Is Recovery Acceptance Notification Positive?</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.885: Task Accomplish Recovery Procedure At Departure

Event Notification For Recovery Competency Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification For Recovery Competency Received
Event Type	Message start event
Description	IE150 is received.
Previous Objects	Send Recovery Request To The Competent Authority Of Country Of Destination

Table 5.886: Event Notification For Recovery Competency Received

Task Country Checks Competency

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Country Checks Competency
Task Type	Call activity (collapsed)
Description	<p>When the request for Recovery is received and recorded, the process starts in order the Competent Authority to decide if it is competent or not. The answer (competent or not) is sent with the message 'Recovery acceptance notification' (IE151). In cases where there is an IE118 the IE151 from the same country may only contain the answer 'yes'.</p> <p>If the requested Competent Authority is competent it will start the Recovery Procedure.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Notification For Recovery Competency Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.887: Task Country Checks Competency

Task Send Information To Involved Parties

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Send Information To Involved Parties
Task Type	Call activity (collapsed)
Description	<p>When positive answer of Recovery acceptance notification (IE151) is received and recorded, the Recovery dispatch notification (IE152) is also received and recorded.</p> <p>The Competent Authority of the Country of Departure forwards the files by other means to the Competent Authority in the other country. The Holder of Transit procedure will be informed by other means about the new Competent Authority. All involved offices that have received an IE001, IE003, IE050 or IE115, related to the movement, are notified (IE063). Based on the MRN brought in the recovery communication, NCTS records the start of the recovery procedure and therefore, avoids any future usage of that MRN. The movement is set in the state 'Under recovery procedure'.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Is Recovery Acceptance Notification Positive?

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.888: Task Send Information To Involved Parties

Task Accomplish Recovery Procedure At Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Accomplish Recovery Procedure At Destination
Task Type	Call activity (collapsed)
Description	<p>When the amount of duties and other taxes are to be recovered, they are calculated and the Recovery Procedure seeks to recover the duties and taxes first from the Holder of Transit procedure or from any identified debtor (IE035); if this is not possible, from the Guarantor (unless the movement was covered by a cash guarantee).</p> <p>Upon completion of the Recovery Procedure, with successful recovery of duties and taxes from the Holder of Transit procedure or Guarantor, the Competent Authority for Recovery at responsible country informs the Competent Authority at departure with a Recovery dispatch notification (IE152) of the outcome, the movement will then be written off and, the relevant Customs Office of Guarantee is informed about the outcome.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-Handle Recovery - Recovery Procedure Option B
Input Data	
Output Data	
Previous Objects	Is Authority Competent For Recovery?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.889: Task Accomplish Recovery Procedure At Destination

Event Receive Recovery Dispatch Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Recovery Dispatch Notification

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Event Type	Message intermediate event (catch)
Description	Competent Authority Of Recovery At Departure receives Receive Recovery Dispatch Notification from Competent Authority Of Recovery In Any Country
Appears also in the following processes	L4-TRA-01-09-Handle Recovery - Recovery Procedure Option B
Previous Objects	Send Information To Involved Parties Accomplish Recovery Procedure At Destination

Table 5.890: Event Receive Recovery Dispatch Notification

Task Departure Discharges MRN In The System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Departure Discharges MRN In The System
Task Type	Call activity (collapsed)
Description	<p>When the Recovery Procedure is completed, with successful recovery of duties and taxes from the Holder of Transit procedure or Guarantor, the Competent Authority for Recovery at departure writes off the movement and, the relevant Customs Office of Guarantee is informed about the outcome.</p> <ul style="list-style-type: none"> - NCTS records the write-off and notifies (IE045) the Holder of Transit procedure that the movement is written-off. - NCTS notifies (IE045) the Guarantor (unless the movement was covered by a cash guarantee) that the movement is written-off. - NCTS notifies (IE152) all involved offices from which it has not already received an IE152 of the collection of duties and taxes.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-09-Handle Recovery - Recovery Procedure Option B
Input Data	
Output Data	
Previous Objects	Receive Recovery Dispatch Notification Accomplish Recovery Procedure At Departure
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.891: Task Departure Discharges MRN In The System

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Event Recovery Completed At Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Completed At Destination
Event Type	End event
Description	The recovery procedure has been completed the Competent Authority of Recovery at Departure is informed and the movement can be written-off.
Previous Objects	Accomplish Recovery Procedure At Destination

Table 5.892: Event Recovery Completed At Destination

Event Authority Is Not Competent For Recovery

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Authority Is Not Competent For Recovery
Event Type	End event
Description	The Competent Authority in Any Other Country is not competent to start recovery.
Appears also in the following processes	L4-TRA-01-08-03-Country Checks Competency
Previous Objects	Is Authority Competent For Recovery?

Table 5.893: Event Authority Is Not Competent For Recovery

Event Movement Written Off

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Written Off
Event Type	End event
Description	The movement is written-off. The process ends.
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-03-10-Solve Discrepancies
Previous Objects	Departure Discharges MRN In The System

Table 5.894: Event Movement Written Off

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5.64. Process L4-TRA-01-09-01-Decide About Transfer Of Competency

5.64.1. Objective of Process

When an Request For Recovery Enquiry Response with the request for recovery (containing code 4) or an IE150 has arrived the process starts. The Competent Authority of Enquiry at Departure decides whether the competency shall be transferred or not.

If the Competent Authority of Enquiry at Departure has decided to not transfer the competency, the IE151 with a negative answer is sent to the Requesting Office and the system continues to the process 'Accomplish Recovery Procedure at Departure'.

In case the Requesting Office has additional information, the IE144/145 can be used.

If the Competent Authority of Enquiry at Departure has decided to transfer the competency, the IE151 with a positive answer is sent to the requesting office and the system continues to the process 'Accomplish Recovery Procedure at Destination'.

5.64.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-09-01
Full Name	Decide About Transfer Of Competency
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-13-Recovery Procedure
Existing Specifications	DDDNTA v5.13

Table 5.895: Process Details

5.64.3. Tasks and Events

Event Request For Recovery Enquiry Response Containing Code 4 Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Request For Recovery Enquiry Response Containing Code 4 Is Received
Event Type	Conditional start event
Description	a Request for Recovery IE143 containing Code 4 is received.
Previous Objects	

Table 5.896: Event Request For Recovery Enquiry Response Containing Code 4 Is Received

Task Stop Timer -Wait For Enquiry Response-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Timer -Wait For Enquiry Response-
Task Type	Service task

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Description	The Timer 'Wait For Enquiry Response' automatically stops
Actor	NCTS
Input Data	Enquiry Response Expiry Date Current Date C_ENQ_NEG
Output Data	C_REC_REQ Enquiry Response End Date Expired Calendar Days of Time Limit Wait for Enquiry Response
Previous Objects	Request For Recovery Enquiry Response Containing Code 4 Is Received
Information Exchange	
Requirements	TRA_FUR_0066 TRA_FUR_117
Data Set	
Events	
State	

Table 5.897: Task Stop Timer -Wait For Enquiry Response-

Task Register Decision About Transfer Of Competency

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision About Transfer Of Competency
Task Type	User task
Description	When an IE143 with the request for recovery (containing code 4) or an IE150 has arrived, the Competent Authority of Enquiry at Departure decides whether the competency shall be transferred or not. Final situation: The Customs Officer registered his decision whether the competency shall be transferred or not.
Actor	Customs Officer
Input Data	C_REC_REQ
Output Data	Indication Decision about Transfer of Competency Is Registered C_REC_REQ
Previous Objects	Stop Timer -Wait For Enquiry Response- Stop Timer -Time To Start Enquiry-

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Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.898: Task Register Decision About Transfer Of Competency

Task Record Recovery Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Recovery Request
Task Type	Service task
Description	When IE150 receipt is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-08-03-Country Checks Competency
Input Data	
Output Data	C_REC_REQ Transit Operation
Previous Objects	Recovery Request Received
Information Exchange	Recovery Request
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Recovery Request Received
State	Recovery Requested

Table 5.899: Task Record Recovery Request

Event Recovery Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Request Received

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Event Type	Conditional start event
Description	IE150 is received.
Appears also in the following processes	L4-TRA-01-08-03-Country Checks Competency
Previous Objects	

Table 5.900: Event Recovery Request Received

Task Stop Timer -Time To Start Enquiry-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Timer -Time To Start Enquiry-
Task Type	Service task
Description	The Timer 'Time To Start Enquiry' automatically stops
Actor	NCTS
Input Data	Time To Start Enquiry Expiry Date C_REC_REQ Current Date
Output Data	Expired Calendar Days of the Time Limit 'Time To Start Enquiry' Time To Start Enquiry Expiry Date C_REC_REQ
Previous Objects	Record Recovery Request
Information Exchange	
Requirements	TRA_FUR_0164
Data Set	
Events	
State	

Table 5.901: Task Stop Timer -Time To Start Enquiry-

Task Record Decision About Transfer Of Competency

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision About Transfer Of Competency
Task Type	Service task
Description	When the decision of the Customs Officer at the Competent Authority at Departure about transfer of competency is registered, the data elements following this registration are automatically recorded in the System - linked

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	to the reference number.
Actor	NCTS
Input Data	Transit Operation Indication Decision about Transfer of Competency Is Registered
Output Data	Indication Decision about Transfer of Competency Is Registered
Previous Objects	Register Decision About Transfer Of Competency
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.902: Task Record Decision About Transfer Of Competency

Task Notify the CA Of Recovery At Departure of Recovery Acceptance Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the CA Of Recovery At Departure of Recovery Acceptance Notification
Task Type	Send task
Description	When answer about competency is to communicated to the relevant Customs Office, a notification IE will automatically be sent to this Customs Office informing that the decision about competency is taken.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-08-03-Country Checks Competency
Input Data	C_REC_ACC
Output Data	Transit Operation
Previous Objects	Record Decision About Transfer Of Competency
Information Exchange	Recovery Acceptance Notification
Requirements	TRA_FUR_0023
Data Set	
Events	

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PROCESS MODELS

State	
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Table 5.903: Task Notify the CA Of Recovery At Departure of Recovery Acceptance Notification

Task Identify If Recovery Acceptance Notification Previously Sent Was Positive

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Recovery Acceptance Notification Previously Sent Was Positive
Task Type	Service task
Description	When Decision about transferring competency has been taken, the System will automatically check if Recovery Acceptance Notification previously sent was positive. Final situation: The result is positive: Recovery Acceptance Notification previously sent was positive. The result is negative: Recovery Acceptance Notification previously sent was not positive.
Actor	NCTS
Input Data	Transit Operation
Output Data	Result Identify If Recovery Acceptance Notification Previously Sent Was Positive
Previous Objects	Notify the CA Of Recovery At Departure of Recovery Acceptance Notification
Information Exchange	
Requirements	TRA_FUR_0096
Data Set	
Events	
State	

Table 5.904: Task Identify If Recovery Acceptance Notification Previously Sent Was Positive

Task Identify If Transit Is External or Internal

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Transit Is External or Internal
Task Type	Service task
Description	NCTS identifies if the Transit is internal or external.
Actor	NCTS
Appears also in the following	L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure

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processes	L4-TRA-01-08-06-Send Information To Involved Parties
Input Data	Transit Operation
Output Data	Result If Transit Is External or Internal
Previous Objects	Is Recovery Acceptance Notification Positive?
Information Exchange	
Requirements	TRA_FUR_0066
Data Set	
Events	
State	

Table 5.905: Task Identify If Transit Is External or Internal

Task Notify all the Involved Customs Offices of Recovery Communication

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify all the Involved Customs Offices of Recovery Communication
Task Type	Send task
Description	When start of Recovery procedure at Competent Authority of Recovery at Departure is recorded or Recovery Acceptance Notification previously sent was positive, a notification IE will automatically be sent to the Involved Customs Offices informing that the Recovery procedure is started at the Office at Departure.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure L4-TRA-01-08-06-Send Information To Involved Parties
Input Data	C_REC_COM
Output Data	
Previous Objects	Is Recovery Acceptance Notification Positive?
Information Exchange	Recovery Communication
Requirements	TRA_FUR_0023
Data Set	

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Events	
State	

Table 5.906: Task Notify all the Involved Customs Offices of Recovery Communication

Task Notify the Holder of Transit Procedure of Recovery Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the Holder of Transit Procedure of Recovery Notification
Task Type	Send task
Description	The Holder Of Transit Procedure is notified of Recovery Notification
Actor	NCTS
Appears also in the following processes	L4-TRA-01-08-06-Send Information To Involved Parties
Input Data	C_REC_ACC
Output Data	
Previous Objects	Is Recovery Acceptance Notification Positive?
Information Exchange	Recovery Notification
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.907: Task Notify the Holder of Transit Procedure of Recovery Notification

Task Stop Time Limit -Recovery Recommended-

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Time Limit -Recovery Recommended-
Task Type	Service task
Description	When the time limit 'Recovery recommended' is not expired, the timer is automatically stopped. Final situation : The timer 'Recovery recommended' is stopped.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-09-Handle Destination Control Results L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure

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	L4-TRA-01-08-06-Send Information To Involved Parties L4-TRA-01-03-10-Solve Discrepancies
Input Data	Transit Operation Destination Control Results Received Date Recovery Recommended Expiry Date
Output Data	Expired Calendar Days of the Time Limit 'Recovery Recommended' Recovery Recommended Expiry Date
Previous Objects	Is Recovery Acceptance Notification Positive?
Information Exchange	
Requirements	TRA_FUR_0101
Data Set	
Events	
State	

Table 5.908: Task Stop Time Limit -Recovery Recommended-

Task Notify the AES of Recovery Communication To AES

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify the AES of Recovery Communication To AES
Task Type	Send task
Description	When Transit follows Export, a notification IE will automatically be sent to AES informing about the Recovery
Actor	NCTS
Appears also in the following processes	L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure L4-TRA-01-08-06-Send Information To Involved Parties
Input Data	N_REC_COM
Output Data	
Previous Objects	Is Transit External or Internal?
Information Exchange	Recovery Communication To AES
Requirements	TRA_FUR_0149
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.909: Task Notify the AES of Recovery Communication To AES

Event Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Responsible Country

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Responsible Country
Event Type	End event
Description	Competency for recovery has been transferred at responsible country.
Appears also in the following processes	L4-TRA-01-08-04-Accomplish Recovery Procedure At Destination
Previous Objects	Notify the Holder of Transit Procedure of Recovery Notification Notify all the Involved Customs Offices of Recovery Communication Stop Time Limit -Recovery Recommended- Is Transit External or Internal? Notify the AES of Recovery Communication To AES

Table 5.910: Event Recovery Acceptance Notification Is Positive and Recovery Is To Be Accomplished At Responsible Country

Event Recovery Acceptance Notification Is Negative and Recovery Is To Be Accomplished At Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Acceptance Notification Is Negative and Recovery Is To Be Accomplished At Departure
Event Type	End event
Description	Competency for recovery has not been transferred. It will be accomplished at the Competent Authority of Recovery at Departure.
Appears also in the following processes	L4-TRA-01-08-05-Accomplish Recovery Procedure At Departure
Previous Objects	Is Recovery Acceptance Notification Positive?

Table 5.911: Event Recovery Acceptance Notification Is Negative and Recovery Is To Be Accomplished At Departure

5.65. Process L4-TRA-01-09-Handle Recovery - Recovery Procedure Option B

5.65.1. Objective of Process

When an IE150 is received from any Customs Office or the Customs Office of Destination assumes itself as competent for the recovery process (IE143 is containing Code 4) then the process starts. The Competent

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Authority of Recovery at Departure decides whether the competency of recovery is to be transferred or not to the Customs Office of Destination. When the competency for recovery is to be transferred and the request to the Destination is accepted, the recovery is to be accomplished at Destination. In any other cases the recovery is to be accomplished at the Departure. In any case the Involved Offices are notified where the recovery process is to be performed.

5.65.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-09
Full Name	Handle Recovery - Recovery Procedure Option B
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-13-Recovery Procedure
Existing Specifications	DDDNTA v5.13

Table 5.912: Process Details

5.65.3. Tasks and Events

Task Decide About Transfer Of Competency

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Decide About Transfer Of Competency
Task Type	Call activity (collapsed)
Description	<p>When an IE143 with the request for recovery (containing code 4) or an IE150 has arrived the process starts. The Competent Authority of Enquiry at Departure decides whether the competency shall be transferred or not.</p> <p>If the Competent Authority of Enquiry at Departure has decided to not transfer the competency, the IE151 with a negative answer is sent to the Requesting Office and the system continues to the process 'Accomplish Recovery Procedure at Departure'.</p> <p>In case the Requesting Office has additional information, the IE144/145 can be used.</p> <p>If the Competent Authority of Enquiry at Departure has decided to transfer the competency, the IE151 with a positive answer is sent to the requesting office and the system continues to the process 'Accomplish Recovery Procedure at Destination'.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Recovery Request From Any Office Customs Office Of Destination Assumes Itself Competent For Recovery -

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	IE143 Containing Code 4-
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.913: Task Decide About Transfer Of Competency

Event Receive Recovery Request From Any Office

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Recovery Request From Any Office
Event Type	Message start event
Description	<p>Alternatively, a recovery request (IE150) has been received from any other country which assumes itself as competent for recovery. This may occur at any time within an enquiry or recovery procedure.</p> <p>An IE150 from any other office has been received.</p>
Previous Objects	Customs Office Requesting Competency For Recovery

Table 5.914: Event Receive Recovery Request From Any Office

Event Customs Office Of Destination Assumes Itself Competent For Recovery -IE143 Containing Code 4-

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Customs Office Of Destination Assumes Itself Competent For Recovery - IE143 Containing Code 4-
Event Type	Conditional start event
Description	<p>An IE143 containing code 4 (request for Recovery at Destination) from the Actual Customs Office Of Destination has been received.</p> <p>The IE143 with 'competent for recovery' is received by the Competent Authority of Enquiry at Departure because, based on the information available, the Customs Office of Destination assumes itself as competent for recovery.</p>
Previous Objects	

Table 5.915: Event Customs Office Of Destination Assumes Itself Competent For Recovery -IE143 Containing Code 4-

Task Accomplish Recovery Procedure At Departure

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Accomplish Recovery Procedure At Departure
Task Type	Call activity
Description	<p>When the Country of Departure is competent or an IE151 with a negative answer (no answer within the time limit is considered to be a negative one) was sent, the Competent Authority at departure starts the Recovery and all involved offices that have received an IE001, IE003, IE050 or IE115, related to the movement, are notified (IE063). Based on the MRN brought in the recovery communication (IE063.TRANSIT OPERATION.MRN), NCTS records the start of the recovery procedure and so avoids any future usage of that MRN. The state is set to 'Under recovery procedure'.</p> <p>The IE035 is sent to the Holder of Transit procedure and the IE023 is sent to the Guarantor.</p> <p>The amount of duties and other taxes to be recovered is calculated.</p> <p>The recovery procedure seeks to recover the duties and taxes first from the Holder of Transit procedure or from any identified debtor; if this is not possible, from the Guarantor (unless the movement was covered by a cash guarantee) within three years of the date of acceptance of the Transit declaration.</p> <p>Upon completion of the recovery procedure, with successful recovery of duties and taxes from the Holder of Transit procedure or Guarantor, the Competent Authority for Recovery at departure writes off the movement and, the relevant Customs Office of Guarantee is informed about the outcome.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-08-Handle Recovery - Recovery Procedure Option A
Input Data	
Output Data	
Previous Objects	Is Recovery Acceptance Notification Positive?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.916: Task Accomplish Recovery Procedure At Departure

Event Recovery To Be Accomplished At Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery To Be Accomplished At Destination
Event Type	End event

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Description	Recovery is to be accomplished at another country
Previous Objects	Is Recovery Acceptance Notification Positive?

Table 5.917: Event Recovery To Be Accomplished At Destination

Event Receive Recovery Dispatch Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Recovery Dispatch Notification
Event Type	Message intermediate event (catch)
Description	Competent Authority Of Recovery At Departure receives Receive Recovery Dispatch Notification from Competent Authority Of Recovery In Any Country
Appears also in the following processes	L4-TRA-01-08-Handle Recovery - Recovery Procedure Option A
Previous Objects	Accomplish Recovery Procedure At Destination Accomplish Recovery Procedure At Departure

Table 5.918: Event Receive Recovery Dispatch Notification

Task Departure Discharges MRN In The System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Departure Discharges MRN In The System
Task Type	Call activity
Description	<p>When the Recovery Procedure is completed, with successful recovery of duties and taxes from the Holder of Transit procedure or Guarantor, the Competent Authority for Recovery at departure writes off the movement and, the relevant Customs Office of Guarantee is informed about the outcome.</p> <ul style="list-style-type: none"> - NCTS records the write-off and notifies (IE045) the Holder of Transit procedure that the movement is written-off. - NCTS notifies (IE045) the Guarantor (unless the movement was covered by a cash guarantee) that the movement is written-off. - NCTS notifies (IE152) all involved offices from which it has not already received an IE152 of the collection of duties and taxes.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-08-Handle Recovery - Recovery Procedure Option A
Input Data	
Output Data	
Previous Objects	Receive Recovery Dispatch Notification

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.919: Task Departure Discharges MRN In The System

Task Record Recovery Acceptance Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Recovery Acceptance Notification
Task Type	Service task
Description	When IE151 receipt is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-08-Handle Recovery - Recovery Procedure Option A
Input Data	Result Identify If Recovery Acceptance Notification Is Positive
Output Data	Result Identify If Recovery Acceptance Notification Is Positive C_REC_ACC Transit Operation
Previous Objects	Receive Recovery Acceptance Notification
Information Exchange	Recovery Acceptance Notification
Requirements	TRA_FUR_0009 TRA_FUR_0097
Data Set	Transit Operation
Events	Receive Recovery Acceptance Notification Receive Recovery Acceptance Notification
State	Under Recovery Procedure Recovery Recommended

Table 5.920: Task Record Recovery Acceptance Notification

Event Receive Recovery Acceptance Notification

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Recovery Acceptance Notification
Event Type	Message start event
Description	NCTS at the Competent Authority in responsible country receives Recovery Acceptance Notification from the Competent Authority at Departure.
Previous Objects	Decide About Transfer Of Competency

Table 5.921: Event Receive Recovery Acceptance Notification

Task Accomplish Recovery Procedure At Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Accomplish Recovery Procedure At Destination
Task Type	Call activity
Description	<p>When the amount of duties and other taxes are to be recovered, they are calculated and the Recovery Procedure seeks to recover the duties and taxes first from the Holder of Transit procedure or from any identified debtor (IE035); if this is not possible, from the Guarantor (unless the movement was covered by a cash guarantee).</p> <p>Upon completion of the Recovery Procedure, with successful recovery of duties and taxes from the Holder of Transit procedure or Guarantor, the Competent Authority for Recovery at responsible country informs the Competent Authority at departure with a Recovery dispatch notification (IE152) of the outcome, the movement will then be written off and, the relevant Customs Office of Guarantee is informed about the outcome.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-08-Handle Recovery - Recovery Procedure Option A
Input Data	
Output Data	
Previous Objects	Is Recovery Acceptance Notification Positive?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.922: Task Accomplish Recovery Procedure At Destination

Event Guarantee Is To Be Released

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Is To Be Released
Event Type	End event
Description	Guarantee is to be Released
Appears also in the following processes	L4-TRA-01-03-Process Arrival L4-TRA-02-05-Release Guarantee
Previous Objects	Departure Discharges MRN In The System

Table 5.923: Event Guarantee Is To Be Released

Event Recovery Completed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery Completed
Event Type	End event
Description	The recovery procedure is completed. The process ends.
Appears also in the following processes	L4-TRA-01-08-04-Accomplish Recovery Procedure At Destination
Previous Objects	Accomplish Recovery Procedure At Destination

Table 5.924: Event Recovery Completed

Event Recovery To Be Accomplished At Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Recovery To Be Accomplished At Departure
Event Type	End event
Description	Recovery is to be accomplished at Departure
Previous Objects	Is Recovery Acceptance Notification Positive?

Table 5.925: Event Recovery To Be Accomplished At Departure

5.66. Process L4-TRA-01-11-01-Submit Request For Movement Information

5.66.1. Objective of Process

When a Customs Officer submits a request for movement information based on a given MRN, NCTS looks for the movement :

- when the movement information is available at the Office where the request is submitted, NCTS retrieves and displays it to the Customs Officer immediately.

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when the movement information is not available at the Office where the request is submitted, NCTS notifies the Customs Officer that the information is not available and automatically sends a request for movement information (IE027) to the Country of Departure.

Final Situation:

The movement information is returned or a request for movement information is submitted to the Customs Office of Departure.

5.66.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-11-01
Full Name	Submit request for Movement Information
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-15-Movement Information Query
Existing Specifications	DDDNTA v5.13

Table 5.926: Process Details

5.66.3. Tasks and Events

Task Register Request On Movement Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Request On Movement Information
Task Type	User task
Description	When movement information is requested, a Customs Officer submits a request for movement information based on a given MRN. Final situation: The Customs Officer registered his request for movement information.
Actor	Customs Officer
Input Data	MRN
Output Data	Customs Office Requester Transit Operation
Previous Objects	Movement Information Requested
Information Exchange	

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Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.927: Task Register Request On Movement Information

Event Movement Information Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Requested
Event Type	Conditional start event
Description	The process starts upon Request of the Movement Information.
Previous Objects	

Table 5.928: Event Movement Information Requested

Task Record Request On Movement Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Request On Movement Information
Task Type	Service task
Description	When Request on Movement information is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Customs Office Requester MRN Transit Operation
Output Data	Customs Office Requester Transit Operation
Previous Objects	Register Request On Movement Information
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

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Table 5.929: Task Record Request On Movement Information

Task Identify If Movement Information Is Available At The Requesting Office

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Movement Information Is Available At The Requesting Office
Task Type	Service task
Description	When Request on Movement Information is recorded, the System will automatically check if Movement Information is available at the requesting Office. Final situation: The result is positive: Movement Information is available at the requesting Office. The result is negative: Movement Information is not available at the requesting Office.
Actor	NCTS
Input Data	MRN
Output Data	Incident Result Identify If Movement Information Is Available At The Requesting Office
Previous Objects	Record Request On Movement Information
Information Exchange	
Requirements	TRA_FUR_0080
Data Set	
Events	
State	

Table 5.930: Task Identify If Movement Information Is Available At The Requesting Office

Task Acknowledge Unavailability Movement Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Unavailability Movement Information
Task Type	User task
Description	When the movement information is not available at the Office where the request is submitted, NCTS notifies the Customs Officer that the information is not available.
Actor	Customs Officer
Appears also in the following	L4-TRA-01-11-03-Display Requested Movement Information

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processes	
Input Data	MRN Result Identify If Movement Information Is Available At The Requesting Office
Output Data	Result Identify If Movement Information Is Available At The Requesting Office
Previous Objects	Is Movement Information Available?
Information Exchange	
Requirements	TRA_FUR_0038
Data Set	
Events	
State	

Table 5.931: Task Acknowledge Unavailability Movement Information

Task Acknowledge That Movement Information Is Available

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge That Movement Information Is Available
Task Type	User task
Description	When the movement information is available at the Office where the request is submitted, NCTS retrieves and displays it to the Customs Officer immediately. NCTS displays the received information or the received error message.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-11-03-Display Requested Movement Information
Input Data	MRN Incident Result Identify If Movement Information Is Available At The Requesting Office
Output Data	Incident Result Identify If Movement Information Is Available At The Requesting Office
Previous Objects	Is Movement Information Available?

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Information Exchange	
Requirements	TRA_FUR_0038
Data Set	
Events	
State	

Table 5.932: Task Acknowledge That Movement Information Is Available

Event Movement Information Is Displayed At The Requesting Office

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Is Displayed At The Requesting Office
Event Type	End event
Description	The process ends when movement information is displayed at the Requesting Office
Previous Objects	Acknowledge That Movement Information Is Available

Table 5.933: Event Movement Information Is Displayed At The Requesting Office

Task Notify Customs Office Of Departure of Movement Query

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Departure of Movement Query
Task Type	Send task
Description	When Movement Information is available, a notification IE will automatically be sent to the Customs Office of Departure informing that information about the movement is requested.
Actor	NCTS
Input Data	C_MVT_QUE
Output Data	
Previous Objects	Acknowledge Unavailability Movement Information
Information Exchange	Movement Query
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

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Table 5.934: Task Notify Customs Office Of Departure of Movement Query

Event Movement Query Sent To Customs Office Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Query Sent To Customs Office Of Departure
Event Type	End event
Description	The process ends when movement query is sent to the Customs Office of Departure.
Previous Objects	Notify Customs Office Of Departure of Movement Query

Table 5.935: Event Movement Query Sent To Customs Office Of Departure

5.67. Process L4-TRA-01-11-02-Handle Request For Movement Information

5.67.1. Objective of Process

When the Country of Departure receives (IE027) a request for movement information from a Customs Office, the process starts. NCTS looks for the movement based on the MRN identified and provides positive (IE038) to the requesting Customs Office with the movement information or, negative (IE038) if the movement does not exist at the Customs Office of Departure.

Final situation:

If available, a positive response with the movement information is conveyed to the requester, otherwise a negative response is conveyed.

5.67.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-11-02
Full Name	Handle Request for Movement Information
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-15-Movement Information Query
Existing Specifications	DDDNTA v5.13

Table 5.936: Process Details

5.67.3. Tasks and Events

Task Record Movement Query

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Record Movement Query
Task Type	Service task
Description	When movement query is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	C_MVT_QUE
Previous Objects	Movement Query Is Received
Information Exchange	Movement Query
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.937: Task Record Movement Query

Event Movement Query Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Query Is Received
Event Type	Message start event
Description	The process starts upon receipt of a movement query by the Customs Office of Departure
Appears also in the following processes	L4-TRA-01-11-Process Query Movement Information
Previous Objects	Any Customs Office

Table 5.938: Event Movement Query Is Received

Task Identify If Movement Information Is Available At Customs Office Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Movement Information Is Available At Customs Office Of Departure
Task Type	Service task
Description	When movement query is recorded, the System will automatically check if Movement Information is available at the Customs Office of Departure.

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	<p>Final situation:</p> <p>The result is positive: Movement Information is available at the Customs Office of Departure.</p> <p>The result is negative: Movement Information is not available at the Customs Office of Departure.</p>
Actor	NCTS
Input Data	MRN
Output Data	Incident Result Identify If Movement Information Is Available At Office Of Departure
Previous Objects	Record Movement Query
Information Exchange	
Requirements	TRA_FUR_0080
Data Set	
Events	
State	

Table 5.939: Task Identify If Movement Information Is Available At Customs Office Of Departure

Task Notify Requesting Office of Positive Response to Movement Query

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requesting Office of Positive Response to Movement Query
Task Type	Send task
Description	When Movement Information is available, a notification IE will automatically be sent to the requesting Customs Office informing that Movement Information is available.
Actor	NCTS
Input Data	C_MVT_RSP
Output Data	
Previous Objects	Is Movement Information Available?
Information Exchange	Response to Movement Query
Requirements	TRA_FUR_0023
Data Set	

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Events	
State	

Table 5.940: Task Notify Requesting Office of Positive Response to Movement Query

Task Notify Requesting Office of Negative Response to Movement Query

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requesting Office of Negative Response to Movement Query
Task Type	Send task
Description	When Movement Information is not available, a notification IE will automatically be sent to the requesting Customs Office informing that Movement Information is not available.
Actor	NCTS
Input Data	C_MVT_RSP
Output Data	
Previous Objects	Is Movement Information Available?
Information Exchange	Response to Movement Query
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.941: Task Notify Requesting Office of Negative Response to Movement Query

Event Negative Response to Movement Query Is Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative Response to Movement Query Is Sent
Event Type	End event
Description	The process ends unsuccessfully when Negative Response to Movement Query Is Sent
Appears also in the following processes	L4-TRA-01-11-Process Query Movement Information L4-TRA-01-12-Process Incidents En Route
Previous Objects	Notify Requesting Office of Negative Response to Movement Query

Table 5.942: Event Negative Response to Movement Query Is Sent

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Event Positive Response to Movement Query Is Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Positive Response to Movement Query Is Sent
Event Type	End event
Description	The process ends successfully when Positive Response to Movement Query Is Sent
Appears also in the following processes	L4-TRA-01-11-Process Query Movement Information L4-TRA-01-12-Process Incidents En Route
Previous Objects	Notify Requesting Office of Positive Response to Movement Query

Table 5.943: Event Positive Response to Movement Query Is Sent

5.68. Process L4-TRA-01-11-03-Display Requested Movement Information

5.68.1. Objective of Process

When the requesting Customs Office receives (IE038) (the response to the movement Query request), the process starts. If the response (IE038) is positive, NCTS displays the received information or a notification of the unknown movement in case of negative response (IE038).

Final Situation:

The outcome of the requested movement information is displayed.

5.68.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-11-03
Full Name	Display Requested Movement Information
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-15-Movement Information Query
Existing Specifications	DDDNTA v5.13

Table 5.944: Process Details

5.68.3. Tasks and Events

Task Record Response to Movement Query

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Response to Movement Query

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Task Type	Service task
Description	When Response to Movement Query is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	Transit Operation C_MVT_RSP
Previous Objects	Response to Movement Query Received
Information Exchange	Response to Movement Query
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Response to Movement Query Received
State	MRN Requested

Table 5.945: Task Record Response to Movement Query

Event Response to Movement Query Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Response to Movement Query Received
Event Type	Message start event
Description	The response to movement query is received.
Appears also in the following processes	L4-TRA-01-11-Process Query Movement Information
Previous Objects	

Table 5.946: Event Response to Movement Query Received

Task Identify if Response to Movement Query is Positive or Negative

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Response to Movement Query is Positive or Negative
Task Type	Service task
Description	When Response to Movement Query is recorded, the System will automatically check if Response to Movement Query is Positive or

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	Negative Final situation: The result is positive: Response to Movement Query is Positive The result is negative: Response to Movement Query is Negative
Actor	NCTS
Input Data	C_MVT_RSP
Output Data	Result Identify if Response to Movement Query is Positive or Negative
Previous Objects	Record Response to Movement Query
Information Exchange	
Requirements	TRA_FUR_0080
Data Set	
Events	
State	

Table 5.947: Task Identify if Response to Movement Query is Positive or Negative

Task Acknowledge That Movement Information Is Available

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge That Movement Information Is Available
Task Type	User task
Description	When the movement information is available at the Office where the request is submitted, NCTS retrieves and displays it to the Customs Officer immediately. NCTS displays the received information or the received error message.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-11-01-Submit Request For Movement Information
Input Data	MRN Incident Result Identify If Movement Information Is Available At The Requesting Office
Output Data	Incident Result Identify If Movement Information Is Available At The Requesting Office
Previous Objects	Is Response to Movement Query Positive?

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Information Exchange	
Requirements	TRA_FUR_0038
Data Set	
Events	
State	

Table 5.948: Task Acknowledge That Movement Information Is Available

Task Acknowledge Unavailability Movement Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Unavailability Movement Information
Task Type	User task
Description	When the movement information is not available at the Office where the request is submitted, NCTS notifies the Customs Officer that the information is not available.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-11-01-Submit Request For Movement Information
Input Data	MRN Result Identify If Movement Information Is Available At The Requesting Office
Output Data	Result Identify If Movement Information Is Available At The Requesting Office
Previous Objects	Is Response to Movement Query Positive?
Information Exchange	
Requirements	TRA_FUR_0038
Data Set	
Events	
State	

Table 5.949: Task Acknowledge Unavailability Movement Information

Event Movement Information Is Displayed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Is Displayed

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Event Type	End event
Description	The process ends successfully when the information on the requested movement is displayed.
Appears also in the following processes	L4-TRA-01-11-Process Query Movement Information
Previous Objects	Acknowledge That Movement Information Is Available

Table 5.950: Event Movement Information Is Displayed

Event Movement Information Is Not Available

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Is Not Available
Event Type	End event
Description	The process ends unsuccessfully when the Movement information is not available.
Appears also in the following processes	L4-TRA-01-11-Process Query Movement Information L4-TRA-01-12-Process Incidents En Route
Previous Objects	Acknowledge Unavailability Movement Information

Table 5.951: Event Movement Information Is Not Available

5.69. Process L4-TRA-01-11-Process Query Movement Information

5.69.1. Objective of Process

When the movement is released for transit any Customs Office can request information about a movement from the Office of Departure.

Any Customs Office, located at a different Country from the Office of Departure and needs to retrieve the movement's information, sends the 'Movement Query' (IE027) message to the Office of Departure.

- The corresponding Office of Departure answers with a positive 'Response to Movement Query' (IE038), when the MRN is known

- The corresponding Office of Departure answers with a negative 'Response to Movement Query' (IE038), when the MRN is unknown

The requesting Customs Office receives the response to the request (IE038).

The final result of this process will be one of the following:

- If there is a positive 'Response to Movement Query' (IE038) the Movement Information Is Displayed
- If there is a negative 'Response to Movement Query' (IE038) the Movement Information Is not Available

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5.69.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-11
Full Name	Process Query Movement Information
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-15-Movement Information Query
Existing Specifications	DDDNTA v5.13

Table 5.952: Process Details

5.69.3. Tasks and Events

Task Submit Request For Movement Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submit Request For Movement Information
Task Type	Call activity (collapsed)
Description	<p>When a Customs Officer submits a request for movement information based on a given MRN, NCTS looks for the movement :</p> <ul style="list-style-type: none"> · when the movement information is available at the Office where the request is submitted, NCTS retrieves and displays it to the Customs Officer immediately. · when the movement information is not available at the Office where the request is submitted, NCTS notifies the Customs Officer that the information is not available and automatically sends a request for movement information (IE027) to the Country of Departure. <p>Final Situation: The movement information is returned or a request for movement information is submitted to the Customs Office of Departure.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Movement Information Is To Be Requested
Information Exchange	
Requirements	

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Data Set	
Events	
State	

Table 5.953: Task Submit Request For Movement Information

Event Movement Information Is To Be Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Is To Be Requested
Event Type	Conditional start event
Description	The process starts upon request of movement information for a movement with a given MRN.
Previous Objects	

Table 5.954: Event Movement Information Is To Be Requested

Event Movement Information Is Displayed At The Requesting Office

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Is Displayed At The Requesting Office
Event Type	End event
Description	The process ends when movement information is displayed at the Requesting Office
Previous Objects	Is Movement Information Available?

Table 5.955: Event Movement Information Is Displayed At The Requesting Office

Event Response to Movement Query Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Response to Movement Query Received
Event Type	Message intermediate event (catch)
Description	The response to movement query is received.
Appears also in the following processes	L4-TRA-01-11-03-Display Requested Movement Information
Previous Objects	Handle Request For Movement Information Is Movement Information Available?

Table 5.956: Event Response to Movement Query Received

Task Display Requested Movement Information

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Display Requested Movement Information
Task Type	Call activity (collapsed)
Description	<p>When the requesting Customs Office receives (IE038) (the response to the movement Query request), the process starts. If the response (IE038) is positive, NCTS displays the received information or a notification of the unknown movement in case of negative response (IE038).</p> <p>Final Situation: The outcome of the requested movement information is displayed.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Response to Movement Query Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.957: Task Display Requested Movement Information

Event Movement Query Is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Query Is Received
Event Type	Message start event
Description	The process starts upon receipt of a movement query by the Customs Office of Departure
Appears also in the following processes	L4-TRA-01-11-02-Handle Request For Movement Information
Previous Objects	Submit Request For Movement Information

Table 5.958: Event Movement Query Is Received

Task Handle Request For Movement Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Request For Movement Information
Task Type	Call activity (collapsed)

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Description	<p>When the Country of Departure receives (IE027) a request for movement information from a Customs Office, the process starts. NCTS looks for the movement based on the MRN identified and provides positive (IE038) to the requesting Customs Office with the movement information or, negative (IE038) if the movement does not exist at the Customs Office of Departure.</p> <p>Final situation: If available, a positive response with the movement information is conveyed to the requester, otherwise a negative response is conveyed.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Movement Query Is Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.959: Task Handle Request For Movement Information

Event Movement Information Is Displayed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Is Displayed
Event Type	End event
Description	The process ends successfully when the information on the requested movement is displayed.
Appears also in the following processes	L4-TRA-01-11-03-Display Requested Movement Information
Previous Objects	Is Response to Movement Query Positive?

Table 5.960: Event Movement Information Is Displayed

Event Movement Information Is Not Available

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Is Not Available
Event Type	End event
Description	The process ends unsuccessfully when the Movement information is not available.

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Appears also in the following processes	L4-TRA-01-11-03-Display Requested Movement Information L4-TRA-01-12-Process Incidents En Route
Previous Objects	Is Response to Movement Query Positive?

Table 5.961: Event Movement Information Is Not Available

Event Negative Response to Movement Query Is Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative Response to Movement Query Is Sent
Event Type	End event
Description	The process ends unsuccessfully when Negative Response to Movement Query Is Sent
Appears also in the following processes	L4-TRA-01-11-02-Handle Request For Movement Information L4-TRA-01-12-Process Incidents En Route
Previous Objects	Movement Information Available?

Table 5.962: Event Negative Response to Movement Query Is Sent

Event Positive Response to Movement Query Is Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Positive Response to Movement Query Is Sent
Event Type	End event
Description	The process ends successfully when Positive Response to Movement Query Is Sent
Appears also in the following processes	L4-TRA-01-11-02-Handle Request For Movement Information L4-TRA-01-12-Process Incidents En Route
Previous Objects	Movement Information Available?

Table 5.963: Event Positive Response to Movement Query Is Sent

5.70. Process L4-TRA-01-12-01 Handle Incident Information

5.70.1. Objective of Process

This process is triggered when an incident occurs to a Transit movement. Such an incident can be:

- a) the carrier is obliged to deviate from the itinerary prescribed in accordance with Article 298 of UCC/IA Regulation due to circumstances beyond his control;
- (b) seals are broken or tampered with in the course of a transport operation for reasons beyond the carrier's control;

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- (c) under the supervision of the customs authority, goods are transferred from one means of transport to another means of transport;
- (d) imminent danger necessitates immediate partial or total unloading of the sealed means of transport;
- (e) there is an incident which may affect the ability of the Holder of the procedure or the carrier to comply with his obligations;
- (f) any of the elements constituting a single means of transport as referred to in Article 296(2) UCC/IA is changed.

The carrier presents without undue delay after the incident the goods together with the MRN of the transit declaration to the nearest customs authority (Customs Office Incident Registration) of the Member State in whose territory the means of transport is located.

In case Customs Office Incident Registration was not included in the declared Transit movement and Movement Information is not available, then it requests the consignment information from the Customs Office of Departure based on the MRN provided. If the Movement information is already available then the Customs Office of Incident Registration can proceed with deciding whether to continue the transit movement. If the Customs Office of Incident Registration decides that the transit movement cannot continue then the particular Customs Office becomes the Actual Customs Office of Destination and starts performing the relevant transit formalities. If the Customs Office of Incident Registration decides that the transit movement can continue, incident information is recorded in the electronic transit system (NCTS) and communicated to the Customs Office of Departure. If an incident occurs at Customs Office of Transit or at Customs Office of Destination, then this particular office acts first as a Customs Office of Incident Registration and then as Customs Office of Transit or Customs Office of Destination accordingly. Finally, Customs Office of Departure notifies the Declared Customs Offices and the Holder of the Transit Procedure of the incident information.

5.70.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-12-01
Full Name	Handle Incident Information
Assumptions	<ul style="list-style-type: none"> - Nearest Customs Office to the place of the incident occurred is notified of the incident. - In justified cases, Customs Officer may decide to perform a control of the goods (part of decision by Customs to continue or end the movement)
Constraints	N/A
L3 Reference Models	L3-TRA-01-03-Incidents En Route
Existing Specifications	DDDNTA v5.13

Table 5.964: Process Details

5.70.3. Tasks and Events

Task Record Incident Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Incident Information

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Task Type	Service task
Description	When Incident Information is received, the data elements are automatically recorded in the NCTS System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-12-Process Incidents En Route
Input Data	
Output Data	
Previous Objects	Incident Notification is Received
Information Exchange	Incident Notification Incident Notification
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.965: Task Record Incident Information

Event Incident Notification is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident Notification is Received
Event Type	Message start event
Description	An Incident Information is received.
Appears also in the following processes	L4-TRA-01-12-Process Incidents En Route
Previous Objects	Customs Office of Incident Registration

Table 5.966: Event Incident Notification is Received

Task Identify If Office Of Transit Is Involved

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Office Of Transit Is Involved
Task Type	Service task
Description	When Incident Information is recorded, the System will automatically check if Customs Office of Transit is involved. Final situation:

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	The result is positive: Customs Office of Transit is involved. The result is negative: Customs Office of Transit is not involved.
Actor	NCTS
Input Data	C_INC_NOT MRN
Output Data	C_INC_NOT Result Identify If Office Of Transit Is Involved
Previous Objects	Record Incident Information
Information Exchange	
Requirements	TRA_FUR_0042
Data Set	
Events	
State	

Table 5.967: Task Identify If Office Of Transit Is Involved

Task Identify If Arrival Advice Is Received or Forwarded Arrival Advice Is Sent

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Arrival Advice Is Received or Forwarded Arrival Advice Is Sent
Task Type	Service task
Description	When Incident Information is recorded, the System will automatically check if Arrival Advice has been received or Forwarded Arrival Advice has been sent. Final situation: The result is positive: Arrival Advice has been received or Forwarded Arrival Advice has been sent. The result is negative: Arrival Advice has not been received or Forwarded Arrival Advice has not been sent.
Actor	NCTS
Input Data	MRN C_INC_NOT
Output Data	Result Identify If Arrival Advice Is Received or Forwarded Arrival Advice Is Sent
Previous Objects	Record Incident Information
Information Exchange	

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Requirements	TRA_FUR_0192
Data Set	
Events	
State	

Table 5.968: Task Identify If Arrival Advice Is Received or Forwarded Arrival Advice Is Sent

Task Identify If Office Of Exit For Transit Is Involved

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Office Of Exit For Transit Is Involved
Task Type	Service task
Description	When Incident Information is recorded, the System will automatically check if Customs Office of Exit For Transit is involved. Final situation: The result is positive: Customs Office of Exit For Transit is involved. The result is negative: Customs Office of Exit For Transit is not involved.
Actor	NCTS
Input Data	C_INC_NOT
Output Data	Result Identify If Office Of Exit For Transit Is Involved C_INC_NOT
Previous Objects	Record Incident Information
Information Exchange	
Requirements	TRA_FUR_0042
Data Set	
Events	
State	

Table 5.969: Task Identify If Office Of Exit For Transit Is Involved

Task Notify Incident Information To Customs Office Of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Incident Information To Customs Office Of Destination
Task Type	Send task
Description	When Incident Information is recorded, a notification IE will automatically be sent to the Declared Customs Office of Destination.

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Actor	NCTS
Input Data	C_INC_NOT
Output Data	
Previous Objects	Is Arrival Advice Received Or Forwarded Arrival Advice Sent?
Information Exchange	Incident Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.970: Task Notify Incident Information To Customs Office Of Destination

Task Identify If Notification Crossing Frontier Is Received Or Forwarded Arrival Advice Is Sent

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Notification Crossing Frontier Is Received Or Forwarded Arrival Advice Is Sent
Task Type	Service task
Description	When Customs Office of Transit is involved, the System will automatically check if IE118 is received. Final situation: The result is positive: IE118 is received. The result is negative: IE118 is not received.
Actor	NCTS
Input Data	MRN C_INC_NOT Result Check If Office Of Transit Involved
Output Data	Result Identify If Notification Crossing Frontier Is Received C_INC_NOT
Previous Objects	Office Of Transit Is Involved?
Information Exchange	
Requirements	TRA_FUR_0055
Data Set	
Events	

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State	
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Table 5.971: Task Identify If Notification Crossing Frontier Is Received Or Forwarded Arrival Advice Is Sent

Task Identify If Notification Leaving Security Area Is Received Or Forwarded Arrival Advice Is Sent

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Notification Leaving Security Area Is Received Or Forwarded Arrival Advice Is Sent
Task Type	Service task
Description	When the System identifies that one or more Customs Office(s) of Exit for Transit is/are involved in the transit movement, then the System must also identify whether a Notification Leaving Security Area (IE168) has already been received from that office(s), or whether the Forwarded Arrival Advice (IE024) has already been communicated to that office(s).
Actor	NCTS
Input Data	MRN Result Identify If Office Of Exit For Transit Is Involved C_INC_NOT
Output Data	C_INC_NOT Result Identify If Notification Leaving Security Area Is Received
Previous Objects	Office Of Exit For Transit Is Involved?
Information Exchange	
Requirements	TRA_FUR_0183
Data Set	
Events	
State	

Table 5.972: Task Identify If Notification Leaving Security Area Is Received Or Forwarded Arrival Advice Is Sent

Task Notify Customs Office Of Transit Of Incident Notification To CD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Transit Of Incident Notification To CD
Task Type	Send task
Description	When IE118 is not received, a notification IE will automatically be sent to the Declared Customs Office of Transit.
Actor	NCTS
Input Data	Result Identify If Notification Crossing Frontier Is Received

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	C_INC_NOT
Output Data	
Previous Objects	Is Notification Crossing Frontier Is Received Or Forwarded Arrival Advice Is Sent?
Information Exchange	Incident Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.973: Task Notify Customs Office Of Transit Of Incident Notification To CD

Task Notify Customs Office Of Exit For Transit Of Incident Notification To CD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit For Transit Of Incident Notification To CD
Task Type	Send task
Description	When the System identifies that a Notification Leaving Security Area (IE168) has not been received from the Office(s) of Exit for Transit, or that a Forwarded Arrival Advice (IE024) has not been communicated to that office(s), then the System will send the Incident Notification (IE181) to the Office(s) of Exit for Transit.
Actor	NCTS
Input Data	C_INC_NOT Result Identify If Notification Leaving Security Area Is Received
Output Data	
Previous Objects	Is Notification Leaving Security Area Is Received Or Forwarded Arrival Advice Is Sent?
Information Exchange	Incident Notification
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.974: Task Notify Customs Office Of Exit For Transit Of Incident Notification To CD

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Task Notify Incident Information To Holder Of Transit Procedure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Incident Information To Holder Of Transit Procedure
Task Type	Send task
Description	When Incident Information is recorded, a notification IE will automatically be sent to the Holder of Transit Procedure.
Actor	NCTS
Input Data	C_INC_NOT
Output Data	
Previous Objects	Office Of Exit For Transit Is Involved? Is Notification Leaving Security Area Is Received Or Forwarded Arrival Advice Is Sent? Notify Customs Office Of Exit For Transit Of Incident Notification To CD Office Of Transit Is Involved? Is Notification Crossing Frontier Is Received Or Forwarded Arrival Advice Is Sent? Notify Customs Office Of Transit Of Incident Notification To CD Notify Incident Information To Customs Office Of Destination Is Arrival Advice Received Or Forwarded Arrival Advice Sent?
Information Exchange	Incident Notification
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.975: Task Notify Incident Information To Holder Of Transit Procedure

Event Incident Information Is Forwarded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident Information Is Forwarded
Event Type	End event
Description	The process ends when an Incident Information is forwarded to the involved parties.
Appears also in the following processes	L4-TRA-01-12-Process Incidents En Route
Previous Objects	Notify Incident Information To Holder Of Transit Procedure

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Table 5.976: Event Incident Information Is Forwarded

5.71. Process L4-TRA-01-12-Process Incidents En Route

5.71.1. Objective of Process

This process is triggered when an incident occurs to a Transit movement. Such an incident can be:

- (a) the Carrier is obliged to deviate from the itinerary prescribed in accordance with Article 298 of UCC/IA Regulation due to circumstances beyond his control;
- (b) seals are broken or tampered with in the course of a transport operation for reasons beyond the Carrier's control;
- (c) under the supervision of the Customs Authority, goods are transferred from one means of transport to another means of transport;
- (d) imminent danger necessitates immediate partial or total unloading of the sealed means of transport;
- (e) there is an incident which may affect the ability of the Holder of the procedure or the Carrier to comply with his obligations;
- (f) any of the elements constituting a single means of transport as referred to in Article 296(2) UCC/IA is changed.

The Carrier presents without undue delay after the incident the goods together with the MRN of the transit declaration to the nearest customs authority (Customs Office of Incident Registration) of the Member State in whose territory the means of transport is located. In case Customs Office of Incident Registration was not included in the declared Transit movement and Movement Information is not available, then it requests the consignment information from the Customs Office of Departure based on the MRN provided. The Movement status is set to "MRN Requested". When the Movement information is available or if the Movement information is already available, incident information is recorded in the system. The Movement status is set to "MRN Registered". The Customs Office of Incident Registration can proceed with deciding whether to continue the transit movement. If the Customs Office of Incident Registration decides that the transit movement cannot continue then the particular Customs Office becomes the actual Office of Destination and starts performing the relevant transit formalities. States of the Customs Office of Destination apply. If the Customs Office of Incident Registration decides that the transit movement can continue incident information are communicated to the Customs Office of Departure without changing the movement status. If an incident occurs at Customs Office of Transit or at Customs Office of Destination, then this particular office acts first as a Customs Office of Incident Registration and then as Customs Office of Transit or Customs Office of Destination.

5.71.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-12
Full Name	Process Incidents En Route
Assumptions	<ul style="list-style-type: none"> - Nearest Customs Office to the place of the incident occurred is notified of the incident. - In justified cases, Customs Officer may decide to perform a control of the goods (part of decision by Customs to continue or end the movement)
Constraints	N/A

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L3 Reference Models	L3-TRA-01-03-Incidents En Route
Existing Specifications	DDDNTA v5.13

Table 5.977: Process Details

5.71.3. Tasks and Events

Event Customs Office Is Notified

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Customs Office Is Notified
Event Type	Conditional start event
Description	Customs Office of Incident Registration is notified of an incident that occurred to a transit movement.
Previous Objects	

Table 5.978: Event Customs Office Is Notified

Task Process Query Movement Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Query Movement Information
Task Type	Call activity (collapsed)
Description	<p>The Process Query Movement Information has the following start event:</p> <ul style="list-style-type: none"> • Movement Information Is to Be Requested. <p>The Customs Officer requests the movement information. If movement information is not available the Customs Office of Departure is involved. If the Customs Office of Departure doesn't have the movement information advice of non-acknowledgement is sent, otherwise movement information is sent to the requester.</p> <p>The end events for the process are the following:</p> <ul style="list-style-type: none"> • Movement Information Is Displayed at the Requesting Office; • Movement Information Is not Available; • IE038 Is Sent; • Movement Information Is Displayed.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-00-Transit Core Business Master Process
Input Data	
Output Data	
Previous Objects	Customs Office Is Notified Process Query Movement Information At Office Of Departure
Information Exchange	

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Requirements	
Data Set	
Events	
State	

Table 5.979: Task Process Query Movement Information

Event Office Of Departure Is The Office Of Incident Registration

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Office Of Departure Is The Office Of Incident Registration
Event Type	Conditional start event
Description	The movement is released for transit and an incident may immediately occur. In that case, the Office of Incident Registration is the same Office as the Office of Departure.
Previous Objects	

Table 5.980: Event Office Of Departure Is The Office Of Incident Registration

Task Register Incident Assessment

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Incident Assessment
Task Type	User task
Description	When the decision whether the Transit procedure is to be continued is positive, the Customs Officer will register his decision. Final situation: The Customs Officer registered one of the following results: - The procedure is to be continued;
Actor	Customs Officer
Input Data	
Output Data	Incident MRN Incident Notification Date and Time Customs Office Of Incident Registration Transit Operation
Previous Objects	Office Of Departure Is The Office Of Incident Registration Is Movement Information Available?
Information Exchange	Response to Movement Query
Requirements	TRA_FUR_0038 TRA_FUR_0092

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	TRA_FUR_0133
Data Set	Transit Operation
Events	Movement Information Is Displayed
State	Incident Registered

Table 5.981: Task Register Incident Assessment

Task Process Query Movement Information At Office Of Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Query Movement Information At Office Of Departure
Task Type	Call activity (collapsed)
Description	<p>The Process Query Movement Information has the following start event:</p> <ul style="list-style-type: none"> • Movement Information Is to Be Requested. <p>The Customs Officer requests the movement information. If movement information is not available the Customs Office of Departure is involved. If the Customs Office of Departure doesn't have the movement information advice of non-acknowledgement is sent, otherwise movement information is sent to the requester.</p> <p>The end events for the process are the following:</p> <ul style="list-style-type: none"> • Movement Information Is Displayed at the Requesting Office; • Movement Information Is not Available; • IE038 Is Sent; • Movement Information Is Displayed.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive Movement Query
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.982: Task Process Query Movement Information At Office Of Departure

Event Receive Movement Query

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Movement Query
Event Type	Message start event
Description	IE027 is received.
Previous Objects	Process Query Movement Information

Table 5.983: Event Receive Movement Query

Task Record Incident Assessment

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Incident Assessment
Task Type	Service task
Description	When incident assessment is registered, the data elements following this registration are automatically recorded in the NCTS System - linked to the reference number.
Actor	NCTS
Input Data	Incident Notification Date and Time MRN Customs Office Of Incident Registration Incident
Output Data	MRN Incident Transit Operation Incident Notification Date and Time Customs Office Of Incident Registration
Previous Objects	Register Incident Assessment
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Movement Information Is Available (Known MRN)
State	Incident Registered

Table 5.984: Task Record Incident Assessment

Event Movement Information Is Not Available

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Information Is Not Available
Event Type	End event
Description	The process ends unsuccessfully when the Movement information is not available.
Appears also in the following processes	L4-TRA-01-11-03-Display Requested Movement Information L4-TRA-01-11-Process Query Movement Information
Previous Objects	Is Movement Information Available?

Table 5.985: Event Movement Information Is Not Available

Event Negative Response to Movement Query Is Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative Response to Movement Query Is Sent
Event Type	End event
Description	The process ends unsuccessfully when Negative Response to Movement Query Is Sent
Appears also in the following processes	L4-TRA-01-11-02-Handle Request For Movement Information L4-TRA-01-11-Process Query Movement Information
Previous Objects	Is Movement Information Available?

Table 5.986: Event Negative Response to Movement Query Is Sent

Task Notify Customs Office Of Departure Of Incident Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Departure Of Incident Information
Task Type	Send task
Description	When Incident Assessment is recorded and procedure is to be continued, a notification IE will automatically be sent to the Customs Office of Departure informing that incident occurred and recorded.
Actor	NCTS
Input Data	C_INC_NOT
Output Data	
Previous Objects	Record Incident Assessment
Information Exchange	Incident Notification

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Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.987: Task Notify Customs Office Of Departure Of Incident Information

Event Positive Response to Movement Query Is Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Positive Response to Movement Query Is Sent
Event Type	End event
Description	The process ends successfully when Positive Response to Movement Query Is Sent
Appears also in the following processes	L4-TRA-01-11-02-Handle Request For Movement Information L4-TRA-01-11-Process Query Movement Information
Previous Objects	Is Movement Information Available?

Table 5.988: Event Positive Response to Movement Query Is Sent

Task Decide If Transit Can Continue

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Decide If Transit Can Continue
Task Type	User task
Description	When Movement Information is collected, the Customs Officer will decide whether the procedure is to be continued or not. Final situation: The Customs Officer registered one of the following results: - The procedure is to be continued; - The procedure is not to be continued.
Actor	Customs Officer
Input Data	MRN Incident
Output Data	Result Decide If Transit Can Continue
Previous Objects	Notify Customs Office Of Departure Of Incident Information

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Information Exchange	
Requirements	TRA_FUR_0193
Data Set	
Events	
State	

Table 5.989: Task Decide If Transit Can Continue

Event Incident Notification is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident Notification is Received
Event Type	Message start event
Description	An Incident Information is received.
Appears also in the following processes	L4-TRA-01-12-01 Handle Incident Information L4-TRA-01-12-Process Incidents En Route
Previous Objects	Notify Customs Office Of Departure Of Incident Information

Table 5.990: Event Incident Notification is Received

Event Incident Notification is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident Notification is Received
Event Type	Message start event
Description	An Incident Information is received.
Appears also in the following processes	L4-TRA-01-12-01 Handle Incident Information L4-TRA-01-12-Process Incidents En Route
Previous Objects	Handle Incident Information

Table 5.991: Event Incident Notification is Received

Task Record Incident Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Incident Information
Task Type	Service task
Description	When Incident Information is received, the data elements are automatically

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	recorded in the NCTS System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-12-01 Handle Incident Information L4-TRA-01-12-Process Incidents En Route
Input Data	
Output Data	
Previous Objects	Incident Notification is Received
Information Exchange	Incident Notification Incident Notification
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.992: Task Record Incident Information

Task Handle Incident Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Incident Information
Task Type	Call activity (collapsed)
Description	<p>This process is triggered when an en-route incident occurs to a Transit movement. Such an incident can be:</p> <ul style="list-style-type: none"> a) the Carrier is obliged to deviate from the itinerary prescribed in accordance with Article 298 of UCC/IA Regulation due to circumstances beyond his control; (b) seals are broken or tampered with in the course of a transport operation for reasons beyond the Carrier's control; (c) under the supervision of the customs authority, goods are transferred from one means of transport to another means of transport; (d) imminent danger necessitates immediate partial or total unloading of the sealed means of transport; (e) there is an incident which may affect the ability of the Holder of the procedure or the Carrier to comply with his obligations; (f) any of the elements constituting a single means of transport as referred to in Article 296(2) UCC/IA is changed. <p>The Carrier presents without undue delay after the incident the goods together with the MRN of the transit declaration to the nearest customs authority (Customs Office of Incident Registration) of the Member State in whose territory the means of transport is located.</p>

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	<p>In case Customs Office of Incident Registration was not included in the declared Transit movement and Movement Information is not available, then it requests the consignment information from the Office of Departure based on the MRN provided. If the Movement information is already available then the Customs Office of Incident Registration can proceed with recording the incident information in the electronic transit system (NCTS) and communicating it to the Customs Office of Departure.</p> <p>The proceeds by deciding whether the transit movement can continue or not. If the Customs Office of Incident Registration decides that the transit movement cannot continue then the particular Customs Office becomes the actual Office of Destination and starts performing the relevant transit formalities. If the Customs Office of Incident Registration decides that the transit movement can continue it acts as Office of Transit and starts performing the relevant transit formalities, If an incident occurs at Customs Office of Transit or at Customs Office of Destination, then this particular office acts first as a Customs Office of Incident Registration and then as Customs Office of Transit or Office of Destination accordingly. Finally, Customs Office of Departure notifies the Declared Customs Offices and the Holder of the Transit Procedure of the incident information.</p>
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Incident Notification is Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.993: Task Handle Incident Information

Event Incident Info Available At Customs Office Of Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident Info Available At Customs Office Of Transit
Event Type	End event
Description	The process ends when an Incident is recorded.
Previous Objects	Record Incident Information

Table 5.994: Event Incident Info Available At Customs Office Of Transit

Task Record Decision

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Record Decision
Task Type	Service task
Description	When the decision is taken about the continuation or not of the movement, this decision is automatically recorded in the NCTS System - linked to the reference number.
Actor	NCTS
Input Data	Result Decide If Transit Can Continue MRN Incident
Output Data	Result Decide If Transit Can Continue
Previous Objects	Decide If Transit Can Continue
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.995: Task Record Decision

Event Movement Is Stopped And Customs Office Of Incident Registration Is Actual Customs Office Of Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Is Stopped And Customs Office Of Incident Registration Is Actual Customs Office Of Destination
Event Type	End event
Description	The Customs Office of the Incident Registration is considered to be the actual Customs Office of destination in case when Transit cannot continue. The process 'Process Arrival Notification' is triggered and the current process ends.
Appears also in the following processes	L4-TRA-01-03-01-Process Arrival Notification
Previous Objects	Shall Transit Continue?

Table 5.996: Event Movement Is Stopped And Customs Office Of Incident Registration Is Actual Customs Office Of Destination

Event Incident Notification is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident Notification is Received
Event Type	Message start event
Description	An Incident Information is received.
Appears also in the following processes	L4-TRA-01-12-01 Handle Incident Information L4-TRA-01-12-Process Incidents En Route
Previous Objects	Handle Incident Information

Table 5.997: Event Incident Notification is Received

Event Movement Continues Its Journey

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Continues Its Journey
Event Type	End event
Description	The process ends when an Incident is recorded and Customs Office of Departure notified.
Previous Objects	Shall Transit Continue?

Table 5.998: Event Movement Continues Its Journey

Task Record Incident Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Incident Information
Task Type	Service task
Description	When Incident Information is received, the data elements are automatically recorded in the NCTS System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-12-01 Handle Incident Information L4-TRA-01-12-Process Incidents En Route
Input Data	
Output Data	
Previous Objects	Incident Notification is Received
Information Exchange	Incident Notification Incident Notification
Requirements	TRA_FUR_0009

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Data Set	
Events	
State	

Table 5.999: Task Record Incident Information

Event Incident Information Is Forwarded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident Information Is Forwarded
Event Type	End event
Description	The process ends when an Incident Information is forwarded to the involved parties.
Appears also in the following processes	L4-TRA-01-12-01 Handle Incident Information
Previous Objects	Handle Incident Information

Table 5.1000: Event Incident Information Is Forwarded

Event Incident Notification is Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident Notification is Received
Event Type	Message start event
Description	An Incident Information is received.
Appears also in the following processes	L4-TRA-01-12-01 Handle Incident Information L4-TRA-01-12-Process Incidents En Route
Previous Objects	Handle Incident Information

Table 5.1001: Event Incident Notification is Received

Task Record Incident Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Incident Information
Task Type	Service task
Description	When Incident Information is received, the data elements are automatically recorded in the NCTS System - linked to the reference number.
Actor	NCTS
Appears also in the following	L4-TRA-01-12-01 Handle Incident Information

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processes	L4-TRA-01-12-Process Incidents En Route
Input Data	
Output Data	
Previous Objects	Incident Notification is Received
Information Exchange	Incident Notification Incident Notification
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1002: Task Record Incident Information

Event Incident Information Is Available At Customs Office Of Exit for Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident Information Is Available At Customs Office Of Exit for Transit
Event Type	End event
Description	The process ends when an Incident is recorded.
Previous Objects	Record Incident Information

Table 5.1003: Event Incident Information Is Available At Customs Office Of Exit for Transit

Event Incident Information At Customs Office Of Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident Information At Customs Office Of Destination
Event Type	End event
Description	The process ends when an Incident is recorded.
Previous Objects	Record Incident Information

Table 5.1004: Event Incident Information At Customs Office Of Destination

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5.72. Process L4-TRA-01-13-01-Capture MRN At Customs Office Of Exit For Transit

5.72.1. Objective of Process

The process starts with the arrival of a movement at the customs office of exit for transit. The customs officer is expected to register the MRN which is recorded on the NCTS system and a check takes place whether the AXR information is available or not.

In case that the ATR record is available, a risk analysis is performed in order to identify if the goods shall leave the security area. If not, then an ATR request is sent to the office of departure in order to retrieve the movement information.

5.72.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-13-01
Full Name	Capture MRN At Customs Office Of Exit For Transit
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDDNTA v5.13

Table 5.1005: Process Details

5.72.3. Tasks and Events

Task Register MRN

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register MRN
Task Type	User task
Description	When a movement arrives to the Customs Office of Transit, the Customs Officer is notified by the Goods Carrier about the arrived consignment and he captures the MRN of Transit Declaration into NCTS. Final situation: The MRN assigned to the Transit movement is captured by the Customs Officer.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-04-01-Capture MRN At Customs Office Of Transit

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Input Data	MRN
Output Data	MRN
Previous Objects	Movement Arrives at Customs Office of Exit For Transit
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.1006: Task Register MRN

Event Movement Arrives at Customs Office of Exit For Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Arrives at Customs Office of Exit For Transit
Event Type	Conditional start event
Description	The process starts when a movement arrives at the customs office of exit for transit
Appears also in the following processes	L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit
Previous Objects	

Table 5.1007: Event Movement Arrives at Customs Office of Exit For Transit

Task Record MRN

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record MRN
Task Type	Service task
Description	When MRN is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-04-01-Capture MRN At Customs Office Of Transit
Input Data	MRN
Output Data	MRN

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Previous Objects	Register MRN
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1008: Task Record MRN

Task Identify If AXR Information Is Available

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If AXR Information Is Available
Task Type	Service task
Description	Upon record of the MRN, the NCTS system will automatically check whether the AXR Information is available.
Actor	NCTS
Input Data	MRN C_AXR_SND
Output Data	Result Identify If AXR Information Is Available
Previous Objects	Record MRN
Information Exchange	
Requirements	TRA_FUR_0176
Data Set	
Events	
State	

Table 5.1009: Task Identify If AXR Information Is Available

Task Request and Record Risk Analysis For Exit Of Goods From Customs Territory Of The Union

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request and Record Risk Analysis For Exit Of Goods From Customs Territory Of The Union
Task Type	Subprocess (collapsed)
Description	The automatic national risk analysis is performed at this stage in order to be

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	decided whether the goods are eligible to be exited from the Customs territory of the Union. A full safety and security risk analysis related to the export risk profile is performed and the national risk analysis system records the risk analysis results.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information L4-TRA-01-13-01-Assess Safety and Security and Decide On Control [DEPRECATED]
Input Data	
Output Data	
Previous Objects	Is AXR Information Available?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1010: Task Request and Record Risk Analysis For Exit Of Goods From Customs Territory Of The Union

Task Notify Customs Office Of Departure For Anticipated Exit For Transit Record Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Departure For Anticipated Exit For Transit Record Request
Task Type	Send task
Description	When the AXR information is not available, the system automatically sends an IE notification to the customs office of departure, requesting the anticipated Exit For Transit Record
Actor	NCTS
Input Data	Transit Operation
Output Data	Transit Operation
Previous Objects	Is AXR Information Available?
Information Exchange	Anticipated Exit for Transit Record Request
Requirements	TRA_FUR_0023

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Data Set	Transit Operation
Events	Anticipated Exit For Transit Record Request Is Sent
State	AXR Requested

Table 5.1011: Task Notify Customs Office Of Departure For Anticipated Exit For Transit Record Request

Event Passage To Be Validated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Passage To Be Validated
Event Type	End event
Description	The Transit movement information is available. The process ends.
Appears also in the following processes	L4-TRA-01-04-01-Capture MRN At Customs Office Of Transit L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-04-04-Validate Passage
Previous Objects	Request and Record Risk Analysis For Exit Of Goods From Customs Territory Of The Union

Table 5.1012: Event Passage To Be Validated

Event AXR Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	AXR Requested
Event Type	End event
Description	This process starts with the reception of AXR request on the customs office of departure
Appears also in the following processes	L4-TRA-01-13-02-Provide Anticipated Exit For Transit Record Information
Previous Objects	Notify Customs Office Of Departure For Anticipated Exit For Transit Record Request

Table 5.1013: Event AXR Requested

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5.73. Process L4-TRA-01-13-02-Provide Anticipated Exit For Transit Record Information

5.73.1. Objective of Process

This process starts when the AXR information is requested from the customs office of departure. This office is responsible to provide the requested information which also contains incident information (if applicable). The flow ends when the response is provided to the customs office of exit for transit.

5.73.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-13-02
Full Name	Provide Anticipated Exit For Transit Record Information
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDDNTA v5.13

Table 5.1014: Process Details

5.73.3. Tasks and Events

Event AXR Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	AXR Requested
Event Type	Conditional start event
Description	This process starts with the reception of AXR request on the customs office of departure
Appears also in the following processes	L4-TRA-01-13-01-Capture MRN At Customs Office Of Exit For Transit
Previous Objects	

Table 5.1015: Event AXR Requested

Task Record AXR

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record AXR
Task Type	Service task

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Description	When the AXR request is received, the respective data elements are automatically recorded in the NCTS system
Actor	NCTS
Input Data	C_AXR_REQ
Output Data	C_AXR_REQ
Previous Objects	AXR Requested
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1016: Task Record AXR

Task Generate AXR Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Generate AXR Response
Task Type	Service task
Description	When the AXR request is recorded, the NCTS automatically generates the AXR response
Actor	NCTS
Input Data	C_AXR_RSP Customs Office of Exit for Transit MRN
Output Data	Transit Operation C_AXR_RSP
Previous Objects	Record AXR
Information Exchange	
Requirements	TRA_FUR_0177
Data Set	
Events	
State	

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Table 5.1017: Task Generate AXR Response

Task Identify If Incident Information Is Available

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Incident Information Is Available
Task Type	Service task
Description	When needed, the System will automatically check if incident information is available. Final situation: The result is positive: Incident information is available. The result is negative: Incident information is not available.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-04-02-Provide Anticipated Transit Record Information L4-TRA-01-03-02-Process Arrival - Handle AAR Request
Input Data	Incident MRN
Output Data	Result Identify If Incident Information Is Available
Previous Objects	Generate AXR Response
Information Exchange	
Requirements	TRA_FUR_0146
Data Set	
Events	
State	

Table 5.1018: Task Identify If Incident Information Is Available

Task Update AXR Response With Incident Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Update AXR Response With Incident Information
Task Type	Service task
Description	When incident information is available, the system automatically updates the AXR response and includes this information.
Actor	NCTS
Input Data	C_AXR_RSP Incident

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Output Data	C_AXR_RSP
Previous Objects	Is Incident Information Available?
Information Exchange	
Requirements	TRA_FUR_0085 TRA_FUR_0147
Data Set	
Events	
State	

Table 5.1019: Task Update AXR Response With Incident Information

Task Notify Customs Office Of Exit For Transit For Anticipated Exit For Transit Record Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Exit For Transit For Anticipated Exit For Transit Record Response
Task Type	Send task
Description	When the AXR response is generated, an automatic IE notification is sent to the Customs Office of Exit For Transit with the anticipated exit for transit record response
Actor	NCTS
Input Data	Transit Operation C_AXR_RSP
Output Data	
Previous Objects	Update AXR Response With Incident Information Is Incident Information Available?
Information Exchange	Anticipated Exit For Transit Record Response
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.1020: Task Notify Customs Office Of Exit For Transit For Anticipated Exit For Transit Record Response

Event Anticipated Exit For Transit Record Provided

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Anticipated Exit For Transit Record Provided
Event Type	End event
Description	When the AXR response is sent to the customs office of exit for transit, the process stops.
Previous Objects	Notify Customs Office Of Exit For Transit For Anticipated Exit For Transit Record Response

Table 5.1021: Event Anticipated Exit For Transit Record Provided

5.74. Process L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information

5.74.1. Objective of Process

This process starts when the AXR response is provided. The NCTS system automatically detects whether the response is positive or negative.

In case the response is positive an automatic risk analysis is performed and the exit of goods needs to be validated.

In case the response is negative, the rejection reason is automatically recorded and the NCTS detects whether the movement deviates from its itinerary, if so, the customs officer is notified and needs to check if the movement can deviate or not. If yes, the incident information needs to be registered. If no, the movement is turned back.

5.74.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-13-03
Full Name	Receive Anticipated Exit for Transit Record Information
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDDNA v5.13

Table 5.1022: Process Details

5.74.3. Tasks and Events

Event AXR Response Provided

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	AXR Response Provided
Event Type	Conditional start event
Description	This process starts when the customs office of exit receives the AXR response
Previous Objects	

Table 5.1023: Event AXR Response Provided

Task Identify if AXR Response Is Positive

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if AXR Response Is Positive
Task Type	Service task
Description	When the AXR response is received, the system automatically detects whether the response is positive or negative.
Actor	NCTS
Input Data	C_AXR_RSP
Output Data	Result Identify If AXR Response Is Positive
Previous Objects	AXR Response Provided
Information Exchange	
Requirements	TRA_FUR_0179
Data Set	
Events	
State	

Table 5.1024: Task Identify if AXR Response Is Positive

Task Record AXR Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record AXR Response
Task Type	Service task
Description	When the AXR response is positive, the respective data objects are recorded in the NCTS system
Actor	NCTS
Input Data	C_AXR_RSP

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Output Data	Transit Operation C_AXR_RSP
Previous Objects	Is AXR Response Positive?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Positive Anticipated Exit For Transit Response Is Received
State	AXR Created

Table 5.1025: Task Record AXR Response

Task Record AXR Rejection Reason

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record AXR Rejection Reason
Task Type	Service task
Description	When the system automatically detects that the Anticipated Exit For Transit Record Response (IE165) is negative, then the Rejection Reason is recoded in the System.
Actor	NCTS
Input Data	C_AXR_RSP
Output Data	Transit Operation C_AXR_RSP
Previous Objects	Is AXR Response Positive?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Negative Anticipated Exit For Transit Response Is Received
State	AXR Rejected

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Table 5.1026: Task Record AXR Rejection Reason

Task Request and Record Risk Analysis For Exit Of Goods From Customs Territory Of The Union

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request and Record Risk Analysis For Exit Of Goods From Customs Territory Of The Union
Task Type	Subprocess (collapsed)
Description	The automatic national risk analysis is performed at this stage in order to be decided whether the goods are eligible to be exited from the Customs territory of the Union. A full safety and security risk analysis related to the export risk profile is performed and the national risk analysis system records the risk analysis results.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-13-01-Capture MRN At Customs Office Of Exit For Transit L4-TRA-01-13-01-Assess Safety and Security and Decide On Control [DEPRECATED]
Input Data	
Output Data	
Previous Objects	Record AXR Response
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1027: Task Request and Record Risk Analysis For Exit Of Goods From Customs Territory Of The Union

Task Identify If There Is Deviation From The Binding Itinerary

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If There Is Deviation From The Binding Itinerary
Task Type	Service task
Description	The Actual Office of Destination identifies whether the value of the data element "Request rejection reason code" in the IE003 relates to a deviation from the Binding Itinerary.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-03-04-Process Arrival - Handle AAR Response

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Input Data	Transit Operation
Output Data	Result Identify If There Is Deviation From The Binding Itinerary
Previous Objects	Record AXR Rejection Reason
Information Exchange	
Requirements	TRA_FUR_0180
Data Set	
Events	
State	

Table 5.1028: Task Identify If There Is Deviation From The Binding Itinerary

Event Exit to be Validated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Exit to be Validated
Event Type	End event
Description	When the risk analysis is performed, the process stops.
Appears also in the following processes	L4-TRA-01-13-04-Validate Exit
Previous Objects	Request and Record Risk Analysis For Exit Of Goods From Customs Territory Of The Union

Table 5.1029: Event Exit to be Validated

Task Register Decision Whether Transit Movement Can Deviate From Itinerary

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision Whether Transit Movement Can Deviate From Itinerary
Task Type	User task
Description	When the movement deviates from its binding itinerary, the customs officer at the Customs Office of Destination registers his/her decision whether the movement can deviate or not from its binding itinerary by ending its journey to this Actual Office of Destination.
Actor	Customs Officer
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-03-04-Process Arrival - Handle AAR Response
Input Data	Transit Operation

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Output Data	Result Identify If Movement Can Deviate From Itinerary Movement Can Deviate From Itinerary
Previous Objects	Is There Deviation From Binding Itinerary?
Information Exchange	
Requirements	TRA_FUR_0181
Data Set	
Events	
State	

Table 5.1030: Task Register Decision Whether Transit Movement Can Deviate From Itinerary

Event Negative AXR Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative AXR Response Received
Event Type	End event
Description	When the AXR response is negative and there is no deviation from it binding itinerary, the process stops.
Appears also in the following processes	L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit
Previous Objects	Is There Deviation From Binding Itinerary?

Table 5.1031: Event Negative AXR Response Received

Task Record Decision Whether Transit Movement Can Deviate From Itinerary

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision Whether Transit Movement Can Deviate From Itinerary
Task Type	Service task
Description	The decision of the Customs Officer at the Actual Office of Destination whether the transit movement can deviate from its binding itinerary is recorded into the NCTS system.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-03-04-Process Arrival - Handle AAR Response
Input Data	MRN Movement Can Deviate From Itinerary

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Output Data	Movement Can Deviate From Itinerary
Previous Objects	Register Decision Whether Transit Movement Can Deviate From Itinerary
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1032: Task Record Decision Whether Transit Movement Can Deviate From Itinerary

Event Incident To Be Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Incident To Be Registered
Event Type	End event
Description	When the transit movement is allowed by the Customs Office of Destination to deviate from its binding itinerary, a related incident needs to be registered and the process stops
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-03-04-Process Arrival - Handle AAR Response
Previous Objects	Can Transit Movement Deviate From Itinerary?

Table 5.1033: Event Incident To Be Registered

Event Movement Turned Back

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Turned Back
Event Type	End event
Description	When the transit movement is not allowed by the Customs Office of Destination to deviate from its binding itinerary, the transit movement needs to turn back and follow its binding itinerary. The process stops.
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit L4-TRA-01-03-04-Process Arrival - Handle AAR Response L4-TRA-01-04-Process Movement At Customs Office Of Transit L4-TRA-01-03-Process Arrival

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Previous Objects	Can Transit Movement Deviate From Itinerary?

Table 5.1034: Event Movement Turned Back

5.75. Process L4-TRA-01-13-04-Validate Exit

5.75.1. Objective of Process

This process starts when the exit of goods from the security area needs to be validated. The customs officer is notified and is responsible to register his decision about the exit; while the NCTS system automatically records the decision and detects whether the goods should leave the security area.

When the decision is positive, the customs office of departure is notified and the process stops.
When the decision is negative, the movement stops

5.75.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-13-04
Full Name	Validate Exit
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDDNTA v5.13

Table 5.1035: Process Details

5.75.3. Tasks and Events

Task Register Decision On Security Area Exit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision On Security Area Exit
Task Type	User task
Description	When the exit of goods needs to be validated, the customs officer is notified and is responsible to take a decision whether the goods should leave the security area not not
Actor	Customs Officer
Input Data	Risk Analysis Result Coded MRN

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Output Data	Result Check If Goods Shall Exit Security Area
Previous Objects	Exit to be Validated
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.1036: Task Register Decision On Security Area Exit

Event Exit to be Validated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Exit to be Validated
Event Type	Conditional start event
Description	When the risk analysis is performed, the process stops.
Appears also in the following processes	L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Previous Objects	

Table 5.1037: Event Exit to be Validated

Task Record Decision On Security Area Exit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision On Security Area Exit
Task Type	Service task
Description	When the decision is registered, the data elements following this registration are automatically recorded in the NCTS system
Actor	NCTS
Input Data	Result Check If Goods Shall Exit Security Area MRN
Output Data	Result Check If Goods Shall Exit Security Area
Previous Objects	Register Decision On Security Area Exit

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Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1038: Task Record Decision On Security Area Exit

Task Identify If Goods Can Leave Security Area

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Goods Can Leave Security Area
Task Type	Service task
Description	When the decision about leaving security area is recorded, the system automatically detects whether the exit of goods is validated
Actor	NCTS
Input Data	Result Check If Goods Shall Exit Security Area MRN
Output Data	Result Identify If Goods Can Leave Security Area Transit Operation
Previous Objects	Record Decision On Security Area Exit
Information Exchange	
Requirements	TRA_FUR_0182
Data Set	Transit Operation
Events	Consignment May Not Leave Security Area Consignment May Leave Security Area
State	Movement Stopped Movement Left Security Area

Table 5.1039: Task Identify If Goods Can Leave Security Area

Task Notify Customs Office Of Departure For Notification Leaving Security Area

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Departure For Notification Leaving Security Area

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Task Type	Send task
Description	When the exit of goods is validated, an IE notification is automatically sent to the Customs Office of Departure with notification leaving security area
Actor	NCTS
Input Data	Customs Office Of Departure Transit Operation Customs Office of Exit for Transit
Output Data	
Previous Objects	Can the Goods Leave the Security Area?
Information Exchange	Notification Leaving Security Area
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.1040: Task Notify Customs Office Of Departure For Notification Leaving Security Area

Event Notification Leaving Security Area Is Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification Leaving Security Area Is Sent
Event Type	End event
Description	This process ends when the notification leaving security area is sent to the customs office of departure
Appears also in the following processes	L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit
Previous Objects	Notify Customs Office Of Departure For Notification Leaving Security Area

Table 5.1041: Event Notification Leaving Security Area Is Sent

Event Movement Stopped / Destination Formalities Are To Be Performed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Stopped / Destination Formalities Are To Be Performed
Event Type	End event
Description	In case that the goods are not allowed to leave the Security Area, the transit

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	stops
Appears also in the following processes	L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit
Previous Objects	Can the Goods Leave the Security Area?

Table 5.1042: Event Movement Stopped / Destination Formalities Are To Be Performed

5.76. Process L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit

5.76.1. Objective of Process

The process of a movement at the customs office of exit for transit starts when a movement arrives at this office. The customs officer is responsible for recording its MRN, and the NCTS automatically detects whether its information is available or not.

-If yes, an automatic risk analysis is performed and the exit of goods is pending for validation by the customs officer.

-If no, the respective information is requested from the customs office of departure which also provides any applicable incident information. When the response is provided and it's positive, the NCTS system automatically performs risk analysis. While if it's negative, the movement is either turned back or incident information is registered.

When all above checks take place, the incident information is available or a positive AXR information is received, the customs officer is responsible to validate the exit of goods from the security area or not.

-When the exit of goods is validated, a notification is sent to the customs office of departure

-When the exit of goods is not validated, the movement stops

5.76.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-13
Full Name	Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.1043: Process Details

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5.76.3. Tasks and Events

Event Movement Arrives at Customs Office of Exit For Transit

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Arrives at Customs Office of Exit For Transit
Event Type	Conditional start event
Description	The process starts when a movement arrives at the customs office of exit for transit
Appears also in the following processes	L4-TRA-01-13-01-Capture MRN At Customs Office Of Exit For Transit
Previous Objects	

Table 5.1044: Event Movement Arrives at Customs Office of Exit For Transit

Task Capture MRN At Customs Office Of Exit For Transit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Capture MRN At Customs Office Of Exit For Transit
Task Type	Call activity (collapsed)
Description	<p>When a movement arrives at the customs office of exit for transit, the customs officer is expected to register the MRN which is recorded on the NCTS system and a check takes place whether the AXR information is available or not.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> - The exit of goods from the security area is to be validated - AXR information is requested from the customs office of departure
Actor	NCTS
Input Data	
Output Data	
Previous Objects	<p>Movement Arrives at Customs Office of Exit For Transit</p> <p>Movement Continues Its Journey?</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1045: Task Capture MRN At Customs Office Of Exit For Transit

Event Receive AXR Response

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive AXR Response
Event Type	Message intermediate event (catch)
Description	AXR information is received from the customs office of departure
Previous Objects	Is AXR Information Available? Provide Anticipated Exit for Transit Record Information

Table 5.1046: Event Receive AXR Response

Task Receive Anticipated Exit for Transit Record Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Receive Anticipated Exit for Transit Record Information
Task Type	Call activity (collapsed)
Description	<p>This process starts when the AXR response is provided and the NCTS system automatically detects whether the response is positive or negative.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> -The response is positive and the exit of goods needs to be validated. -The response is negative, the rejection reason is automatically recorded and the NCTS detects whether the movement deviates from its itinerary, if so, the customs officer is notified and needs to check if the movement can deviate or not. If yes, the incident information needs to be registered. If no, the movement is turned back.
Actor	NCTS
Input Data	
Output Data	
Previous Objects	Receive AXR Response
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1047: Task Receive Anticipated Exit for Transit Record Information

Task Validate Exit

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Validate Exit

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Task Type	Call activity (collapsed)
Description	<p>This process starts when the exit of goods from the security area needs to be validated. The customs officer is notified and is responsible to register his decision about the exit; while the NCTS system automatically records the decision and detects whether the goods should leave the security area.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> -The decision is positive, the customs office of departure is notified and the process stops -The decision is negative, the movement stops
Actor	NCTS
Input Data	
Output Data	
Previous Objects	<p>Is AXR Positive?</p> <p>Is AXR Information Available?</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1048: Task Validate Exit

Task Provide Anticipated Exit for Transit Record Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Provide Anticipated Exit for Transit Record Information
Task Type	Call activity (collapsed)
Description	<p>This process starts when the AXR information is requested from the customs office of departure. This office is responsible to provide the requested information which also contains incident information (if applicable). The flow ends when the response is provided to the customs office of exit for transit.</p>
Actor	Customs Office Of Departure
Input Data	
Output Data	
Previous Objects	Receive AXR
Information Exchange	
Requirements	

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Data Set	
Events	
State	

Table 5.1049: Task Provide Anticipated Exit for Transit Record Information

Event Receive AXR

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive AXR
Event Type	Message start event
Description	AXR information is received from the customs office of exit for transit
Previous Objects	Capture MRN At Customs Office Of Exit For Transit

Table 5.1050: Event Receive AXR

Task Process Incident

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Incident
Task Type	Call activity (collapsed)
Description	<p>During the movement of goods under the Union or common transit procedure, incidents En-Route can happen. For instance, we may have one or more of the following incidents:</p> <p>Code 1: The carrier is obliged to deviate from the itinerary prescribed in accordance with Article 298 of UCC/IA Regulation due to circumstances beyond his control.</p> <p>Code 2: Seals are broken or tampered with in the course of a transport operation for reasons beyond the carrier's control.</p> <p>Code 3: Under the supervision of the customs authority, goods are transferred from one means of transport to another means of transport.</p> <p>Code 4: Imminent danger necessitates immediate partial or total unloading of the sealed means of transport.</p> <p>Code 5: There is an incident which may affect the ability of the Holder of the procedure or the carrier to comply with his obligations.</p> <p>Code 6: Any of the elements constituting a single means of transport as referred to in Article 296(2) UCC/IA is changed.</p> <p>The carrier presents the goods together with the MRN of the transit declaration to the nearest customs Office of the National Administration in whose territory the means of transport is located at that time. This customs Office is named the Office of Incident Registration. If the nearest Customs Office for the registration of incident(s) is also involved in the movement as Customs Office of Transit or as Customs Office of Exit for Transit or as</p>

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	<p>Customs Office of Destination, then this Customs Office acts first as a Customs Office of Incident Registration and then as involved Customs Office of the movement.</p> <p>The nearest Customs Office for the registration of incident(s) should be located in the same Contracting Party as the place where the incident occurred. Additionally, the Office of Departure should be able to accept the 'Incident Notification' (IE180) message that would have been prepared before the 'Destination Control Results' (IE018) message was sent but is received by Office of Departure after the 'Destination Control Results' (IE018) message is received.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-01-03-Process Arrival L4-TRA-01-04-Process Movement At Customs Office Of Transit
Input Data	
Output Data	
Previous Objects	Can Transit Movement Deviate From Itinerary?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1051: Task Process Incident

Event Negative AXR Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative AXR Response Received
Event Type	End event
Description	When the AXR response is negative and there is no deviation from it binding itinerary, the process stops.
Appears also in the following processes	L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Previous Objects	Is There Deviation From Binding Itinerary?

Table 5.1052: Event Negative AXR Response Received

Event Movement Turned Back

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Movement Turned Back
Event Type	End event
Description	When the transit movement is not allowed by the Customs Office of Destination to deviate from its binding itinerary, the transit movement needs to turn back and follow its binding itinerary. The process stops.
Appears also in the following processes	L4-TRA-01-04-03-Receive Anticipated Transit Record Information L4-TRA-01-03-04-Process Arrival - Handle AAR Response L4-TRA-01-04-Process Movement At Customs Office Of Transit L4-TRA-01-03-Process Arrival L4-TRA-01-13-03-Receive Anticipated Exit for Transit Record Information
Previous Objects	Can Transit Movement Deviate From Itinerary?

Table 5.1053: Event Movement Turned Back

Event Receive Notification Leaving Security Area

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Notification Leaving Security Area
Event Type	Message intermediate event (catch)
Description	The 'Notification leaving Security Area' (IE168) message is received by the Office of Departure from the Office of Exit for Transit.
Previous Objects	Provide Anticipated Exit for Transit Record Information Validate Exit

Table 5.1054: Event Receive Notification Leaving Security Area

Event Notification Leaving Security Area Is Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification Leaving Security Area Is Sent
Event Type	End event
Description	This process ends when the notification leaving security area is sent to the customs office of departure
Appears also in the following processes	L4-TRA-01-13-04-Validate Exit
Previous Objects	Can the Goods Leave the Security Area?

Table 5.1055: Event Notification Leaving Security Area Is Sent

Event Movement Stopped / Destination Formalities Are To Be Performed

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Stopped / Destination Formalities Are To Be Performed
Event Type	End event
Description	In case that the goods are not allowed to leave the Security Area, the transit stops
Appears also in the following processes	L4-TRA-01-13-04-Validate Exit
Previous Objects	Movement Continues Its Journey? Can the Goods Leave the Security Area?

Table 5.1056: Event Movement Stopped / Destination Formalities Are To Be Performed

Task Handle Notification Leaving Security Area Information At Departure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Notification Leaving Security Area Information At Departure
Task Type	Subprocess (collapsed)
Description	This process shows that when the 'Notification leaving Security Area' (IE168) message is received by the Office of Departure from the Office of Exit for Transit, the System automatically records and handles this notification. The process ends when the notification is successfully handled.
Actor	Customs Office Of Departure
Input Data	
Output Data	
Previous Objects	Receive Notification Leaving Security Area
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1057: Task Handle Notification Leaving Security Area Information At Departure

Event Notification Leaving Security Area Handled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification Leaving Security Area Handled
Event Type	End event
Description	The process at the Office of Departure ends when the Notification Leaving

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	Security Area received from the Office of Exit for Transit is properly handled.
Previous Objects	Handle Notification Leaving Security Area Information At Departure

Table 5.1058: Event Notification Leaving Security Area Handled

5.77. Process L4-TRA-02-00-Transit Guarantee Processing Management Master Process

5.77.1. Objective of Process

The purpose of this diagram is to present in one diagram the main flows of the Guarantee Processing Management System. The flow presents the main guarantee processes that take place during the NCTS core flow. This diagram mainly focuses on the successful path. For more details please check the respective L4 BPMN diagrams. Based on the aforementioned content the processes L4-TRA-02-07-Manage Guarantor and L4-TRA-02-08-Capture Access Code are not depicted as they are out of scope of the BPM.

5.77.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-02-00
Full Name	Transit Guarantee Processing Management Master Process
Assumptions	Guarantee is released for a specific movement and can be performed for more than one movements.
Constraints	N/A
L3 Reference Models	L3-TRA-01-16-Registration of Guarantee L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDDNTA v5.13

Table 5.1059: Process Details

5.77.3. Tasks and Events

Event Declaration Data Are Accepted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Declaration Data Are Accepted
Event Type	Conditional start event
Description	Transit declaration message IE015 Declaration Data E_DEC_DAT is accepted from Customs Authority.
Previous Objects	

Table 5.1060: Event Declaration Data Are Accepted

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Task Check Guarantee Integrity

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Check Guarantee Integrity
Task Type	Call activity (collapsed)
Description	<p>This L4 process Check Guarantee Integrity has the following start events:</p> <ul style="list-style-type: none"> • Receive IE200. <p>The Customs Office of Departure or the National Domain Central Services asks to check the integrity and the validity of a guarantee which is under the management responsibility of the Customs Office of Guarantee. The Customs Office of Guarantee receives IE200 Guarantee Check and the Customs Officer performs the required checks. The result is recorded in the NCTS and sent (IE201 Guarantee Check result) to the requesting office.</p> <p>This process has the following end event:</p> <ul style="list-style-type: none"> • A Guarantee Is Checked.
Actor	Customs Authority
Appears also in the following processes	L4-TRA-01-00-Transit Core Business Master Process
Input Data	
Output Data	
Previous Objects	Guarantee Integrity Is To Be Checked
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1061: Task Check Guarantee Integrity

Task Register Guarantee Usage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Guarantee Usage
Task Type	Call activity (collapsed)
Description	<p>Process L4-TRA-02-12 Register Guarantee Usage starts when the Customs Office of Departure asks for the check of guarantee.</p> <p>Two groups of guarantee types can be established:</p> <ul style="list-style-type: none"> - guarantees under the responsibility of the Customs Office of Departure (code 3, 5, 7, 8, A) - guarantees under the responsibility of the Customs Office of Guarantee (code 0, 1, 2, 4). <p>The Customs Officer at the Customs Office of Departure first handles the</p>

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	<p>guarantee types coded 3, 5, 7, 8, A. Guarantee usage for guarantee types coded as 3, 5, 7, 8, A is refused or recorded in NCTS.</p> <p>Next the guarantees of types coded as 0, 1, 2, 4 are selected, validated and the results of checks of the guarantees are made available.</p> <p>End Events are:</p> <ul style="list-style-type: none"> - Guarantee Usage Is Registered - Guarantee Check Results Available - Guarantee Is Not Valid
Actor	Customs Authority
Appears also in the following processes	L4-TRA-01-00-Transit Core Business Master Process
Input Data	
Output Data	
Previous Objects	<p>Guarantee Integrity Is To Be Checked</p> <p>Check Guarantee Integrity</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1062: Task Register Guarantee Usage

Event Movement Cancellation

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Cancellation
Event Type	Conditional start event
Description	A Cancellation request is received from the Customs Office of Guarantee or from the Guarantor.
Previous Objects	

Table 5.1063: Event Movement Cancellation

Event Cancellation Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation Requested
Event Type	Conditional intermediate event
Description	Movement cancellation is requested.
Appears also in the following	L4-TRA-01-00-Transit Core Business Master Process

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processes	
Previous Objects	Register Guarantee Usage

Table 5.1064: Event Cancellation Requested

Task Cancel Guarantee Usage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel Guarantee Usage
Task Type	Call activity (collapsed)
Description	<p>The Process starts within the Process Departure - Acceptance and Control (when Transit Declaration is amended), when the Holder of the Transit procedure asks for cancellation of the process, or when Guarantee Checks are not successful within the Process Register Guarantee Usage.</p> <p>NCTS first identifies different types of Guarantees (Codes 3, 5, 7, 8 and A which are under responsibility of the Customs Office of Departure, and codes 0, 1, 2, 4 which are under responsibility of the Customs Office of Guarantee).</p> <p>For the first group of guarantees (Codes 3, 5, 7, 8), the Customs Officer handles the cancellation, it registers the previously recorder guarantees for which NCTS removes the references to the Transit operation and other information related to the registration of usage.</p> <p>For the second group of guarantees (Codes 0, 1, 2, 4), it selects the guarantees for the corresponding Customs Offices of Guarantees which has to be cancelled and notifies them for cancellation request through sending IE204 - Guarantee Use Cancellation. Each interested Customs Office of Guarantee receive the message, it removes the notified guarantees of types coded as 0, 1, 2, 4 from the Guarantee Management Subsystem (the reference to the Transit operation and other information are cleared and/or reset according to the monitoring type of each guarantee). As an end result, the usage of guarantees is cancelled.</p>
Actor	Customs Authority
Appears also in the following processes	L4-TRA-01-01-08-Handle Cancellation/Invalidation Request L4-TRA-02-03-Register Guarantee Usage L4-TRA-01-01-02-Evaluate Correction/Amendment Request
Input Data	
Output Data	
Previous Objects	Cancellation Requested Movement Cancellation
Information Exchange	
Requirements	
Data Set	

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Events	
State	

Table 5.1065: Task Cancel Guarantee Usage

Task Credit Reference Amount

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Credit Reference Amount
Task Type	Call activity (collapsed)
Description	When the Customs Office of Departure asks to credit the reference amount. A request is generated within the Process Arrival, upon receipt of message IE006 (Arrival Advice) or within the Recovery process upon the receipt of message IE152 (Recovery Dispatch Notification). If the movements is covered by a guarantee of types '1' or '0', NCTS identifies which Customs Offices of Guarantee are concerned and asks them to credit the reference amount (IE209). The locked reference amount for the particular Transit movement is credited and this is recorded in the guarantee management subsystem in the Customs Office of Guarantee.
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Is Movement Covered by Guarantee Types Of 0 or 1?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1066: Task Credit Reference Amount

Event Guarantee Usage Cancelled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Usage Cancelled
Event Type	End event
Description	The Guarantee Usage is Canceled and the Customs Office of Guarantee is informed.
Previous Objects	Cancel Guarantee Usage

Table 5.1067: Event Guarantee Usage Cancelled

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Task Release Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Release Guarantee
Task Type	Call activity (collapsed)
Description	<p>When Handle Destination Control Results, Process Arrival or Handle Recovery - Recovery Procedure (Option A) have the following end event the L4 process Release Guarantee starts:</p> <ul style="list-style-type: none"> • Guarantee Is to Be Released. <p>The process begins also any time the movement is written off:</p> <ul style="list-style-type: none"> • Movement Was Written Off. <p>This process will start with registering the request for release of guarantee usage in the NCTS. Then the type of guarantee(s) is identified. There are two groups of guarantee types – one is guarantee types 3,5,7,8,A to be handled by the Customs Office of Departure; the other is guarantee types 0,1,2,4,9 to be handled by the Customs Office of Guarantee. The Customs Office of Departure handles guarantee types 3,5,7,8,A and after they are released the information about guarantee types 0,1,2,4,9 is sent to each of the Competent Customs Office of Guarantee which records the release of guarantee in the guarantee management subsystem.</p> <p>There are two final results for this process:</p> <ul style="list-style-type: none"> • Guarantees with Code 3,5,7,8,A Are Released; • Guarantees with Code 0,1,2,4,9 Are Released.
Actor	Customs Authority
Appears also in the following processes	L4-TRA-01-00-Transit Core Business Master Process
Input Data	
Output Data	
Previous Objects	Is Movement Covered by Guarantee Types Of 0 or 1? Credit Reference Amount
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1068: Task Release Guarantee

Event Guarantee Released For A Particular Movement

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Released For A Particular Movement

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Event Type	End event
Description	Guarantee is released for a particular movement and the Customs Office of Guarantee is informed.
Previous Objects	Release Guarantee

Table 5.1069: Event Guarantee Released For A Particular Movement

5.78. Process L4-TRA-02-01-Check Guarantee Integrity

5.78.1. Objective of Process

When an IE200 is received, this L4 process Check Guarantee Integrity will start. The Customs Office of Departure or the National Domain Central Services asks to check the integrity and the validity of a guarantee which is under the management responsibility of the Customs Office of Guarantee. The Customs Office of Guarantee receives IE200 Guarantee Check and the Customs Officer performs the required checks. The result is recorded in the NCTS and sent (IE201 Guarantee Check result) to the requesting office.

This process has the following end event:

- A Guarantee Is Checked.

5.78.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-02-01
Full Name	Check Guarantee Integrity
Assumptions	More than one Customs Office of Guarantee can be involved.
Constraints	1. Guarantee check has to be done against the most recent value of the guarantee data. This implies that the guarantee check has to be done on-line. Depending on the implementation, this may cause a certain time delay. (In circa 5% of cases this request may come from a country other than the one in which the guarantee is recorded).
L3 Reference Models	L3-TRA-01-16-Registration of Guarantee
Existing Specifications	DDDNTA v5.13

Table 5.1070: Process Details

5.78.3. Tasks and Events

Event Receive Guarantee Check

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Guarantee Check
Event Type	Message start event
Description	A Party (Customs Office of Departure or National Domain Central

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	Services) asks to check the integrity and the validity of a guarantee which is under the management responsibility of the Customs Office of Guarantee (i.e. in the guarantee management subsystem). This event might be generated by: <ul style="list-style-type: none"> • the Customs' Movements State System; • the Trader's Declarations State System.
Previous Objects	Customs Office Of Departure / National Domain Central Services Office

Table 5.1071: Event Receive Guarantee Check

Task Record Guarantee Check

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Guarantee Check
Task Type	Service task
Description	When the IE200 Guarantee Check is received the data is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	C_GUA_CHE
Previous Objects	Receive Guarantee Check
Information Exchange	Guarantee Check
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1072: Task Record Guarantee Check

Task Perform Check On Integrity and Validity Of A Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Check On Integrity and Validity Of A Guarantee
Task Type	Service task
Description	When the data elements of IE200 is recorded, Depending on the type of the referenced guarantee (IE200.GUARANTTEE TYPE. Guarantee type), the following cases have to be considered. 1. For an NCTS individual guarantee voucher (code 4), following integrity

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	<p>checks will be performed:</p> <ul style="list-style-type: none"> • Check if the individual guarantee voucher exists and is still valid; • Check the validity of the access code against the voucher presented; • Check if the individual guarantee voucher has not been used; • Check if the Holder of Transit procedure of the voucher corresponds to the Holder of Transit procedure of the declaration; <p>2. For an individual guarantee by Guarantor (code 2), following integrity checks will be performed:</p> <ul style="list-style-type: none"> • Check if the guarantee exists and has not been used; • Check the guarantee amount; • Check the validity of the access code against the guarantee; • Check if the Holder of Transit procedure of the guarantee corresponds to the Holder of Transit procedure of the declaration; • Check about the territorial validity; • Check the Customs Office of Departure and the Customs Office of Destination; • Check commodity code(s), when declared. <p>3. For a comprehensive guarantee (code 1), for guarantee waiver (code 0) and for individual guarantee with multiple usage (code 9), following integrity checks will be performed:</p> <ul style="list-style-type: none"> • Check if the guarantee exists and is valid; • Check the validity of the access code against the guarantee; • Check if the Holder of Transit procedure of the guarantee corresponds to the Holder of Transit procedure of the declaration; • Check if the reference/guarantee amount(s) is (are) being exceeded. (In case of monitoring type '3', the amount to be covered may not exceed the balance of the reference amount for guarantee codes '0' and '1'); • Check if there is a restriction about its usage for sensitive/suspended goods; • Check about the territorial validity; • Check commodity code(s) for individual guarantee with multiple usage (code 9).
Actor	NCTS
Input Data	C_GUA_CHE
Output Data	MRN C_GUA_CHE Holder of the transit procedure identification No.
Previous Objects	Record Guarantee Check
Information Exchange	
Requirements	TRA_FUR_0059 TRA_FUR_0009 TRA_FUR_0125

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Data Set	
Events	
State	

Table 5.1073: Task Perform Check On Integrity and Validity Of A Guarantee

Task Notify Office of Departure of Guarantee Check Result

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Office of Departure of Guarantee Check Result
Task Type	Send task
Description	When a check on integrity and validity of a guarantee is performed, a notification IE will automatically be sent to Customs Office Of Departure / National Domain Central Services Office informing the result of Guarantee check.
Actor	NCTS
Input Data	C_GUA_RES
Output Data	
Previous Objects	Perform Check On Integrity and Validity Of A Guarantee
Information Exchange	Guarantee Check Result
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.1074: Task Notify Office of Departure of Guarantee Check Result

Event A Guarantee Is Checked

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	A Guarantee Is Checked
Event Type	End event
Description	The guarantee check, as requested by a competent party, has been performed.
Previous Objects	Notify Office of Departure of Guarantee Check Result

Table 5.1075: Event A Guarantee Is Checked

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5.79. Process L4-TRA-02-03-Register Guarantee Usage

5.79.1. Objective of Process

When the Customs Office of Departure asks for the check of guarantee the process L4-TRA-02-03 Register Guarantee Usage starts.

Two groups of guarantee types can be established:

- guarantees under the responsibility of the Customs Office of Departure (code 3-5-8-A-B-J)
- guarantees under the responsibility of the Customs Office of Guarantee (code 0, 1, 2, 4,9).

The Customs Officer at the Customs Office of Departure first handles the guarantee types coded 3, 5, 7, 8, A, B, J. Guarantee usage for guarantee types coded as 3, 5, 7, 8, A is refused or recorded in NCTS.

Next the guarantees of types coded as 0, 1, 2, 4, 9 are selected, validated and the results of checks of the guarantees are made available.

End Events are:

- Guarantee Usage Is Registered
- Guarantee Check Results Available
- Guarantee Is Not Valid

5.79.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-02-03
Full Name	Register Guarantee Usage
Assumptions	More than one Customs Office of Guarantee can be involved.
Constraints	Maximum two Customs Administrations involved i.e. administration of the country of Departure and only one other national administration.
L3 Reference Models	L3-TRA-01-16-Registration of Guarantee
Existing Specifications	DDDNTA v5.13

Table 5.1076: Process Details

5.79.3. Tasks and Events

Task Decide About Guarantee Acceptance

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Decide About Guarantee Acceptance
Task Type	User task
Description	The Customs Officer at Departure decides about acceptance or not acceptance of the guarantees
Actor	Customs Officer
Input Data	Transit Operation
Output Data	Transit Operation Indication of Decision to Accept Guarantee

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Previous Objects	Guarantee Is To Be Checked
Information Exchange	
Requirements	TRA_FUR_0008 TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1077: Task Decide About Guarantee Acceptance

Event Guarantee Is To Be Checked

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Is To Be Checked
Event Type	Conditional start event
Description	The process starts after calculation of duties. A check and registration of the guarantee(s) has been asked.
Previous Objects	

Table 5.1078: Event Guarantee Is To Be Checked

Task Identify Guarantee Types

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Guarantee Types
Task Type	Service task
Description	When the guarantee is to be checked, the System will automatically check if the Guarantee(s) is/are under Responsibility of GMS system (Type 0,1,2,4,9). Final situation: The result is positive: The Guarantee(s) is/are under Responsibility of GMS system (Type 0,1,2,4,9). The result is negative: The Guarantee(s) is/are under Responsibility of Customs Office of Departure (rest of the types).
Actor	NCTS
Appears also in the following processes	L4-TRA-02-06-Cancel Guarantee Usage L4-TRA-02-05-Release Guarantee
Input Data	Transit Operation

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Output Data	Result Identify Guarantee Types
Previous Objects	Decide About Guarantee Acceptance
Information Exchange	
Requirements	TRA_FUR_0034
Data Set	Result Identify Guarantee Types
Events	
State	

Table 5.1079: Task Identify Guarantee Types

Event Guarantee Is Managed By The Office Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Is Managed By The Office Of Departure
Event Type	End event
Description	When the System automatically identified that the Guarantee(s) is/are not under the responsibility of GMS system (not of Type 0,1,2,4,9), then the guarantee is managed by the Office of Departure.
Previous Objects	Guarantees Under Responsibility Of Office Of Departure Type 3-5-8-A-B-J?

Table 5.1080: Event Guarantee Is Managed By The Office Of Departure

Task Select Guarantees For Customs Office Of Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Select Guarantees For Customs Office Of Guarantee
Task Type	Service task
Description	When the guarantee(s) under responsibility of Customs Office of Guarantee type 0,1,2,4,9 is identified, NCTS despatches the guarantee(s) of types coded as 0,1,2,4,9 which are of the responsibility of the Customs Office of Guarantee to the corresponding Customs Offices of Guarantee.
Actor	NCTS
Input Data	Result Identify Guarantee Types
Output Data	Result Of Select Guarantees For Customs Office Of Guarantee

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Previous Objects	Guarantees Under Responsibility Of Office Of Departure Type 3-5-8-A-B-J?
Information Exchange	
Requirements	TRA_FUR_0120
Data Set	
Events	
State	

Table 5.1081: Task Select Guarantees For Customs Office Of Guarantee

Task Notify Customs Office Of Guarantee of Guarantee Use

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Guarantee of Guarantee Use
Task Type	Send task
Description	When Guarantees for Customs Office of Guarantee are selected, a notification IE will automatically be sent to each Customs Office of Guarantee informing that the guarantees have to be checked and registered.
Actor	NCTS
Input Data	C_GUA_CHE
Output Data	
Previous Objects	Select Guarantees For Customs Office Of Guarantee
Information Exchange	Guarantee Use
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.1082: Task Notify Customs Office Of Guarantee of Guarantee Use

Event Receive Guarantee Use

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Guarantee Use
Event Type	Message start event
Description	The Offices of Guarantee are receiving (IE203) the information about the

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	guarantees which are to be checked out and registered.
Previous Objects	Notify Customs Office Of Guarantee of Guarantee Use

Table 5.1083: Event Receive Guarantee Use

Task Record Guarantee Use

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Guarantee Use
Task Type	Service task
Description	When an notification on the guarantee(s) to be checked (IE203) is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	C_GUA_USE Transit Operation
Previous Objects	Receive Guarantee Use
Information Exchange	Guarantee Use
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	Receive Guarantee Use
State	Guarantee under Registration

Table 5.1084: Task Record Guarantee Use

Event Guarantee Use Result Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Use Result Received
Event Type	Message intermediate event (catch)
Description	The Customs Office of Departure is receiving (IE205) the results of the guarantee checking from each of the Office(s) of Guarantee responsible for the guarantees of types coded as 0,1,2,4,9. The guarantee checks from the competent Office(s) of Guarantee are available at the Customs Office of Departure.

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Previous Objects	Notify Customs Office Of Guarantee of Guarantee Use Notify Customs Office Of Departure of Guarantee Use Result
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Table 5.1085: Event Guarantee Use Result Received

Task Perform Guarantee Validation Checks

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Guarantee Validation Checks
Task Type	Service task
Description	After the recording of Guarantee Use, other validation checks are completed for the specific GRNs (e.g. sufficient funds)
Actor	NCTS
Input Data	C_GUA_USE
Output Data	Result Check About Guarantee Validity C_GUA_USE
Previous Objects	Record Guarantee Use
Information Exchange	
Requirements	TRA_FUR_0035
Data Set	
Events	
State	

Table 5.1086: Task Perform Guarantee Validation Checks

Task Record Guarantee Use Result

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Guarantee Use Result
Task Type	Service task
Description	When an IE205 receive is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	C_GUA_USR
Previous Objects	Guarantee Use Result Received

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Information Exchange	Guarantee Use Result
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1087: Task Record Guarantee Use Result

Task Notify Customs Office Of Departure of Guarantee Use Result

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office Of Departure of Guarantee Use Result
Task Type	Send task
Description	When identification of Guarantee(s) usage is complete, a notification IE will automatically be sent to the Office of Departure informing that the results of the guarantee(s) check are available.
Actor	NCTS
Input Data	C_GUA_USR
Output Data	
Previous Objects	Perform Guarantee Validation Checks
Information Exchange	Guarantee Use Result
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.1088: Task Notify Customs Office Of Departure of Guarantee Use Result

Task Identify If Guarantee Checks Are Successful

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Guarantee Checks Are Successful
Task Type	Service task
Description	When needed, the System will automatically check if the Guarantee checks are successful.

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	Final situation: The result is positive: the Guarantee checks are successful. The result is negative: the Guarantee checks are not successful.
Actor	NCTS
Appears also in the following processes	L4-TRA-01-02-01-Evaluate Guarantee Registration Result
Input Data	Guarantee not valid Invalid Guarantee Reason Transit Operation
Output Data	Result Identify If Guarantee Checks Are Successful
Previous Objects	Record Guarantee Use Result
Information Exchange	
Requirements	TRA_FUR_0035
Data Set	
Events	
State	

Table 5.1089: Task Identify If Guarantee Checks Are Successful

Event Guarantee Check Results Available

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Check Results Available
Event Type	End event
Description	The usage of a guarantee of types coded as 0,1,2,4,9 to cover a Transit movement is registered.
Previous Objects	Notify Customs Office Of Departure of Guarantee Use Result

Table 5.1090: Event Guarantee Check Results Available

Task Cancel Guarantee Usage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel Guarantee Usage
Task Type	Call activity (collapsed)
Description	The Process starts within the Process Departure - Acceptance and Control (when Transit Declaration is amended), when the Holder of the Transit procedure asks for cancellation of the process, or when Guarantee Checks are not successful within the Process Register Guarantee Usage.

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	<p>NCTS first identifies different types of Guarantees (Codes 3, 5, 7, 8 and A which are under responsibility of the Customs Office of Departure, and codes 0, 1, 2, 4 which are under responsibility of the Customs Office of Guarantee).</p> <p>For the first group of guarantees (Codes 3, 5, 7, 8), the Customs Officer handles the cancellation, it registers the previously recorder guarantees for which NCTS removes the references to the Transit operation and other information related to the registration of usage.</p> <p>For the second group of guarantees (Codes 0, 1, 2, 4), it selects the guarantees for the corresponding Customs Offices of Guarantees which has to be cancelled and notifies them for cancellation request through sending IE204 - Guarantee Use Cancellation. Each interested Customs Office of Guarantee receive the message, it removes the notified guarantees of types coded as 0, 1, 2, 4 from the Guarantee Management Subsystem (the reference to the Transit operation and other information are cleared and/or reset according to the monitoring type of each guarantee). As an end result, the usage of guarantees is cancelled.</p>
Actor	NCTS
Appears also in the following processes	<p>L4-TRA-02-00-Transit Guarantee Processing Management Master Process</p> <p>L4-TRA-01-01-08-Handle Cancellation/Invalidation Request</p> <p>L4-TRA-01-01-02-Evaluate Correction/Amendment Request</p>
Input Data	
Output Data	
Previous Objects	Is Guarantee Already Registered?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1091: Task Cancel Guarantee Usage

Event Guarantee Is Not Valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Is Not Valid
Event Type	End event
Description	The guarantee usage is not valid. This is a final state. The guarantee checks from the competent Office(s) of Guarantee are available at the Customs Office of Departure.
Previous Objects	Cancel Guarantee Usage

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Table 5.1092: Event Guarantee Is Not Valid

Event Guarantee Usage Is Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Usage Is Registered
Event Type	End event
Description	The usage of a guarantee of all types to cover a Transit movement is registered. The 'Guarantee Usage is Registered' is an end event in process L4-TRA-02-03-Register Guarantee Usage. When the processing of L4-TRA-02-03 is finished then the process L4-TRA-01-02-01-Evaluate Guarantee Registration Result starts with the aforementioned event (Guarantee Usage is Registered)
Appears also in the following processes	L4-TRA-01-02-01-Evaluate Guarantee Registration Result L4-TRA-01-02-02-Issue Transit Transaction Data
Previous Objects	Is Guarantee Already Registered?

Table 5.1093: Event Guarantee Usage Is Registered

5.80. Process L4-TRA-02-04-Credit Reference Amount

5.80.1. Objective of Process

When the Customs Office of Departure asks to credit the reference amount. A request is generated within the Process Arrival, upon receipt of message IE006 (Arrival Advice) or within the Recovery process upon the receipt of message IE152 (Recovery Dispatch Notification). If the movements is covered by a guarantee of types '1' or '0', NCTS identifies which Customs Offices of Guarantee are concerned and asks them to credit the reference amount (IE209). The locked reference amount for the particular Transit movement is credited and this is recorded in the guarantee management subsystem in the Customs Office of Guarantee.

5.80.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-02-04
Full Name	Credit Reference Amount
Assumptions	More than one Customs Office of guarantee can be involved.
Constraints	This process concerns only guarantee types '0' and '1'. Maximum two Customs Administrations involved i.e. administration of the country of Departure and only one other national administration.
L3 Reference Models	L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDDNTA v5.13

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PROCESS MODELS

Table 5.1094: Process Details

5.80.3. Tasks and Events

Event Customs Office Of Departure Request To Credit Reference Amount

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Customs Office Of Departure Request To Credit Reference Amount
Event Type	Start event
Description	The Customs Office of Departure asks to credit the reference amount. This event is generated by the Core Business Process Thread: ' PROCESS ARRIVAL'.
Previous Objects	

Table 5.1095: Event Customs Office Of Departure Request To Credit Reference Amount

Task Select Guarantees For Interested Customs Office Of Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Select Guarantees For Interested Customs Office Of Guarantee
Task Type	Service task
Description	When the IE06 (Arrival Advice) or the IE152 (recovery dispatch notification) is received for movements covered by a guarantee of types '1' or '0', NCTS selects the different Customs Offices of Guarantee concerned and asks them to credit the reference amount (IE209).
Actor	NCTS
Input Data	Transit Operation Obligation Guarantee Guarantee Office
Output Data	Indication of Selection Guarantees for Interested Office of Guarantee
Previous Objects	Customs Office Of Departure Request To Credit Reference Amount
Information Exchange	
Requirements	TRA_FUR_0105 TRA_FUR_0042
Data Set	
Events	
State	

Table 5.1096: Task Select Guarantees For Interested Customs Office Of Guarantee

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Task Notify Customs Office of Guarantee of credit Reference Amount

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office of Guarantee of credit Reference Amount
Task Type	Send task
Description	When the different Offices of Guarantee concerned are selected a notification IE will automatically be sent to each Customs Office Of Guarantee informing that the reference amount of guarantee is to be credited.
Actor	NCTS
Input Data	C_GUA_CRE
Output Data	
Previous Objects	Select Guarantees For Interested Customs Office Of Guarantee
Information Exchange	Credit Reference Amount
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.1097: Task Notify Customs Office of Guarantee of credit Reference Amount

Event Credit Reference Amount Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Credit Reference Amount Requested
Event Type	End event
Description	The credit of the reference amount has been asked.
Previous Objects	Notify Customs Office of Guarantee of credit Reference Amount

Table 5.1098: Event Credit Reference Amount Requested

Event Credit Reference Amount Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Credit Reference Amount Received
Event Type	Message start event
Description	The Guarantee Management subsystem at the Customs Office of Guarantee

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	receives request to credit the Reference Amount from the Customs Office of Departure
Previous Objects	Notify Customs Office of Guarantee of credit Reference Amount

Table 5.1099: Event Credit Reference Amount Received

Task Record Credit Reference Amount

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Credit Reference Amount
Task Type	Service task
Description	When the IE 209 Credit reference Amount is registered, the data elements following the registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	
Output Data	C_GUA_CRE
Previous Objects	Credit Reference Amount Received
Information Exchange	Credit Reference Amount
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1100: Task Record Credit Reference Amount

Task Credit Reference Amount

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Credit Reference Amount
Task Type	Service task
Description	The locked reference amount for the particular Transit movement is credited and this is recorded in the guarantee management subsystem. Final situation : The credit of the reference amount is recorded in the guarantee management subsystem.
Actor	NCTS
Input Data	Transit Operation

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	Obligation Guarantee
Output Data	Indication of Reference Amount Credited
Previous Objects	Record Credit Reference Amount
Information Exchange	
Requirements	TRA_FUR_0009 TRA_FUR_0104
Data Set	
Events	
State	

Table 5.1101: Task Credit Reference Amount

Event Reference Amount Credited

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reference Amount Credited
Event Type	End event
Description	The reference amount for guarantee types '0' and '1' is credited.
Previous Objects	Credit Reference Amount

Table 5.1102: Event Reference Amount Credited

5.81. Process L4-TRA-02-05-Release Guarantee

5.81.1. Objective of Process

The process starts upon the request of guarantee release in the NCTS system, which contains the guarantee types. Guarantees with types 0,1,2,4,9 are handled by the GMS system while the 3,5,8,A,B,J by the customs office of departure.

For guarantee types 0,1,2,4,9 an automatic notification message is sent to the GMS system, informing about the Guarantee Use Cancellation, which records and performs the necessary actions.

5.81.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-02-05
Full Name	Release Guarantee
Assumptions	More than one Customs Office of guarantee can be involved.

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PROCESS MODELS

Constraints	N/A
L3 Reference Models	L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	DDNTA v5.13

Table 5.1103: Process Details

5.81.3. Tasks and Events

Event Guarantee Is To Be Released

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Is To Be Released
Event Type	Start event
Description	Guarantee is to be Released
Appears also in the following processes	L4-TRA-01-03-Process Arrival L4-TRA-01-09-Handle Recovery - Recovery Procedure Option B
Previous Objects	

Table 5.1104: Event Guarantee Is To Be Released

Task Identify Guarantee Types

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Guarantee Types
Task Type	Service task
Description	When the guarantee is to be checked, the System will automatically check if the Guarantee(s) is/are under Responsibility of GMS system (Type 0,1,2,4,9). Final situation: The result is positive: The Guarantee(s) is/are under Responsibility of GMS system (Type 0,1,2,4,9). The result is negative: The Guarantee(s) is/are under Responsibility of Customs Office of Departure (rest of the types).
Actor	NCTS
Appears also in the following processes	L4-TRA-02-06-Cancel Guarantee Usage L4-TRA-02-03-Register Guarantee Usage
Input Data	Transit Operation
Output Data	Result Identify Guarantee Types

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Previous Objects	Guarantee Is To Be Released
Information Exchange	
Requirements	TRA_FUR_0034
Data Set	Result Identify Guarantee Types
Events	
State	

Table 5.1105: Task Identify Guarantee Types

Task Select Guarantee types to be released

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Select Guarantee types to be released
Task Type	Service task
Description	When the process is handled by the GMS system, the NCTS system automatically selects the types to be released
Actor	NCTS
Input Data	Obligation Guarantee MRN Country Of Guarantee
Output Data	Indication of Selection Guarantee(s) Code 0,1,2,4,9
Previous Objects	Is Guarantee Type 0,1,2,4 or 9?
Information Exchange	
Requirements	TRA_FUR_0120
Data Set	
Events	
State	

Table 5.1106: Task Select Guarantee types to be released

Task Notify Customs Office of Guarantee of Guarantee Use Cancellation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office of Guarantee of Guarantee Use Cancellation
Task Type	Send task

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Description	When the Guarantee(s) is (are) selected, a notification IE will automatically be sent to each Customs Office of Guarantee informing that Guarantee(s) usage is to be cancelled.
Actor	NCTS
Appears also in the following processes	L4-TRA-02-06-Cancel Guarantee Usage
Input Data	C_GUA_CAN
Output Data	
Previous Objects	Select Guarantee types to be released
Information Exchange	Guarantee Use Cancellation
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.1107: Task Notify Customs Office of Guarantee of Guarantee Use Cancellation

Event Guarantees Released By Customs Office Of Departure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantees Released By Customs Office Of Departure
Event Type	End event
Description	When the guarantee(s) types are 3,5,8,A,B or J the process ends as these are released by the Customs Office Of Departure
Previous Objects	Is Guarantee Type 0,1,2,4 or 9?

Table 5.1108: Event Guarantees Released By Customs Office Of Departure

Event Guarantees To Be Released By GMS

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantees To Be Released By GMS
Event Type	End event
Description	This process stops after the automatic IE notification is sent to the GMS system
Previous Objects	Notify Customs Office of Guarantee of Guarantee Use Cancellation

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Table 5.1109: Event Guarantees To Be Released By GMS

Event Guarantee Use Cancellation Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Use Cancellation Received
Event Type	Message start event
Description	The process starts upon the reception of guarantee use cancellation
Appears also in the following processes	L4-TRA-02-06-Cancel Guarantee Usage
Previous Objects	Notify Customs Office of Guarantee of Guarantee Use Cancellation

Table 5.1110: Event Guarantee Use Cancellation Received

Task Record Guarantee Use Cancellation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Guarantee Use Cancellation
Task Type	Service task
Description	When the IE204 is received and is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-02-06-Cancel Guarantee Usage
Input Data	
Output Data	C_GUA_CAN
Previous Objects	Guarantee Use Cancellation Received
Information Exchange	Guarantee Use Cancellation
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1111: Task Record Guarantee Use Cancellation

Task Perform Guarantees Release

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Guarantees Release
Task Type	Service task
Description	The release of the guarantee is recorded in the guarantee management subsystem.
Actor	NCTS
Input Data	Obligation Guarantee Indication of Release Guarantees of Type 0,1,2,4,9 Country Of Guarantee MRN
Output Data	Indication of Release Guarantees of Type 0,1,2,4,9
Previous Objects	Record Guarantee Use Cancellation
Information Exchange	
Requirements	TRA_FUR_0127 TRA_FUR_0024
Data Set	
Events	
State	

Table 5.1112: Task Perform Guarantees Release

Event Guarantees Released

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantees Released
Event Type	End event
Description	The process ends upon the release of the selected guarantee types 0,1,2,4,9
Previous Objects	Perform Guarantees Release

Table 5.1113: Event Guarantees Released

5.82. Process L4-TRA-02-06-Cancel Guarantee Usage

5.82.1. Objective of Process

When the Holder of Transit procedure asks for cancellation of the process Process Departure - Acceptance and Control (when Transit Declaration is amended) or when Guarantee Checks are not successful within the Process Register Guarantee Usage. NCTS first identifies different types of Guarantees (Codes 3, 5, 6, 7, 8, A which are under responsibility of the Customs Office of Departure, and codes 0, 1, 2, 4 and 9 which are under responsibility of the Customs Office of Guarantee).

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For the first group of guarantees (Codes 3, 5, 8, A, B, J), the Customs Officer handles the cancellation, it registers the previously recorder guarantees for which NCTS removes the references to the Transit operation and other information related to the registration of usage.

For the second group of guarantees (Codes 0, 1, 2, 4, 9), it selects the guarantees for the corresponding Customs Offices of Guarantees which has to be cancelled and notifies them for cancellation request through sending IE204 - Guarantee Use Cancellation. Each interested Customs Office of Guarantee receive the message, it removes the notified guarantees of types coded as 0, 1, 2, 4 and 9 from the Guarantee Management Subsystem (the reference to the Transit operation and other information are cleared and/or reset according to the monitoring type of each guarantee). As an end result, the usage of guarantees is cancelled.

5.82.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-02-06
Full Name	Cancel Guarantee Usage
Assumptions	More than one Customs Office of Guarantee can be involved.
Constraints	Maximum two Customs Administrations are involved i.e. Administration of the country of Departure and only one other national administration.
L3 Reference Models	L3-TRA-01-16-Registration of Guarantee
Existing Specifications	DDDNTA v5.13

Table 5.1114: Process Details

5.82.3. Tasks and Events

Task Identify Guarantee Types

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Guarantee Types
Task Type	Service task
Description	<p>When the guarantee is to be checked, the System will automatically check if the Guarantee(s) is/are under Responsibility of GMS system (Type 0,1,2,4,9).</p> <p>Final situation:</p> <p>The result is positive: The Guarantee(s) is/are under Responsibility of GMS system (Type 0,1,2,4,9).</p> <p>The result is negative: The Guarantee(s) is/are under Responsibility of Customs Office of Departure (rest of the types).</p>
Actor	NCTS
Appears also in the following processes	<p>L4-TRA-02-05-Release Guarantee</p> <p>L4-TRA-02-03-Register Guarantee Usage</p>
Input Data	Transit Operation

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Output Data	Result Identify Guarantee Types
Previous Objects	Guarantees Are To Be Cancelled
Information Exchange	
Requirements	TRA_FUR_0034
Data Set	Result Identify Guarantee Types
Events	
State	

Table 5.1115: Task Identify Guarantee Types

Event Guarantees Are To Be Cancelled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantees Are To Be Cancelled
Event Type	Conditional start event
Description	This event is generated by the Core Business Process Thread 'Process Departure – Acceptance/Controls', Process Departure - Release', 'Process Cancellation' or by Process Threads 'Register guarantee usage'.
Previous Objects	

Table 5.1116: Event Guarantees Are To Be Cancelled

Task Select Guarantees types for cancellation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Select Guarantees types for cancellation
Task Type	Service task
Description	<p>When guarantee(s) is /are under the responsibility of Customs Office of Guarantee,NCTS selects the guarantee(s) of types coded as 0, 1, 2, 4, 9 that have to be cancelled and asks (IE204) the corresponding Office(s) of Guarantee for their cancellation.</p> <p>Final situation :</p> <p>The guarantees under the responsibility of the Customs Office(s) of Guarantee are selected.</p>
Actor	NCTS
Input Data	Guarantee type GRN MRN

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Output Data	Obligation Guarantee Guarantee Office
Previous Objects	Is Guarantee Type 0,1,2,4 or 9?
Information Exchange	
Requirements	TRA_FUR_0105 TRA_FUR_0042
Data Set	
Events	
State	

Table 5.1117: Task Select Guarantees types for cancellation

Task Notify Customs Office of Guarantee of Guarantee Use Cancellation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Customs Office of Guarantee of Guarantee Use Cancellation
Task Type	Send task
Description	When the Guarantee(s) is (are) selected, a notification IE will automatically be sent to each Customs Office of Guarantee informing that Guarantee(s) usage is to be cancelled.
Actor	NCTS
Appears also in the following processes	L4-TRA-02-05-Release Guarantee
Input Data	C_GUA_CAN
Output Data	
Previous Objects	Select Guarantees types for cancellation
Information Exchange	Guarantee Use Cancellation
Requirements	TRA_FUR_0023
Data Set	
Events	
State	

Table 5.1118: Task Notify Customs Office of Guarantee of Guarantee Use Cancellation

Event Guarantees Cancelled By Customs Office Of Departure

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantees Cancelled By Customs Office Of Departure
Event Type	End event
Description	When the guarantee(s) types are 3,5,8,A,B or J the process ends as these are cancelled by the Customs Office Of Departure
Previous Objects	Is Guarantee Type 0,1,2,4 or 9?

Table 5.1119: Event Guarantees Cancelled By Customs Office Of Departure

Event Guarantees To Be Cancelled By GMS

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantees To Be Cancelled By GMS
Event Type	End event
Description	This process stops after the automatic IE notification is sent to the GMS system
Previous Objects	Notify Customs Office of Guarantee of Guarantee Use Cancellation

Table 5.1120: Event Guarantees To Be Cancelled By GMS

Event Guarantee Use Cancellation Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Use Cancellation Received
Event Type	Message start event
Description	The process starts upon the reception of guarantee use cancellation
Appears also in the following processes	L4-TRA-02-05-Release Guarantee
Previous Objects	Notify Customs Office of Guarantee of Guarantee Use Cancellation

Table 5.1121: Event Guarantee Use Cancellation Received

Task Record Guarantee Use Cancellation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Guarantee Use Cancellation
Task Type	Service task
Description	When the IE204 is received and is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS

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Appears also in the following processes	L4-TRA-02-05-Release Guarantee
Input Data	
Output Data	C_GUA_CAN
Previous Objects	Guarantee Use Cancellation Received
Information Exchange	Guarantee Use Cancellation
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1122: Task Record Guarantee Use Cancellation

Task Perform Guarantees Cancellation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Guarantees Cancellation
Task Type	Service task
Description	<p>When the IE204 Guarantee Usage Cancellation is recorded, NCTS removes the previously registered guarantee usage(s) (in Register guarantee usage). This means that the reference to the Transit operation is cleared referring for the guarantee of types coded as 0, 1, 2, 4 and 9. Other pieces of information are cleared and/or reset according to the monitoring type of each guarantee. In addition and especially for individual guarantee by Guarantor and individual guarantee vouchers, this means that they are again “free” to be used.</p> <p>Final situation :</p> <p>The usage of the guarantee(s) of types coded as 0, 1, 2, 4 and 9 to cover a Transit movement is cancelled.</p>
Actor	NCTS
Input Data	MRN GRN
Output Data	Date of Usage Cancellation
Previous Objects	Record Guarantee Use Cancellation
Information Exchange	

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Requirements	TRA_FUR_0024 TRA_FUR_0057
Data Set	
Events	
State	

Table 5.1123: Task Perform Guarantees Cancellation

Event Guarantees Cancelled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantees Cancelled
Event Type	End event
Description	The usage(s) of the guarantee(s) of types coded as 0, 1, 2, 4, 9 is (are) cancelled from the guarantee management subsystem.
Previous Objects	Perform Guarantees Cancellation

Table 5.1124: Event Guarantees Cancelled

5.83. Process L4-TRA-02-07-Manage Guarantor

5.83.1. Objective of Process

When Guarantor Data Need to Be Maintained, this L4 process Manage Guarantor will start.

The Customs Officer at the Customs Office of Guarantee will take into account new details regarding Guarantor data, or will create a new Guarantor record. Guarantor data is registered in the NCTS.

This process has the following end event:

- Information Concerning the Guarantor Is Recorded.

5.83.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-02-07
Full Name	Manage Guarantor
Assumptions	More than one Customs Office of Guarantee can be involved.
Constraints	N/A
L3 Reference Models	N/A
Existing Specifications	DDDNTA v5.13

Table 5.1125: Process Details

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5.83.3. Tasks and Events

Event Guarantor Data Need To Be Maintained

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantor Data Need To Be Maintained
Event Type	Conditional start event
Description	Guarantor data needs maintenance to take into account any updates in known Guarantor data or to create a new Guarantor in the context of a new comprehensive guarantee or a new individual guarantee in the form of vouchers.
Previous Objects	

Table 5.1126: Event Guarantor Data Need To Be Maintained

Task Register Information Concerning The Guarantor

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Information Concerning The Guarantor
Task Type	User task
Description	<p>When Guarantor data need to be maintained, the Customs Officer registers the following Guarantor's data:</p> <ul style="list-style-type: none"> • company name; • address, contact person, fax number, phone number, e-mail address; • contact person in other countries. <p>Final situation: The Information concerning the Guarantor is registered.</p>
Actor	Customs Officer
Input Data	Guarantor
Output Data	Guarantor
Previous Objects	Guarantor Data Need To Be Maintained
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.1127: Task Register Information Concerning The Guarantor

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Task Record Information Concerning The Guarantor

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Information Concerning The Guarantor
Task Type	Service task
Description	When the information concerning the Guarantor is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Guarantor
Output Data	Guarantor
Previous Objects	Register Information Concerning The Guarantor
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1128: Task Record Information Concerning The Guarantor

Event Information Concerning The Guarantor Is Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Information Concerning The Guarantor Is Recorded
Event Type	End event
Description	The information concerning the Guarantor is recorded.
Previous Objects	Record Information Concerning The Guarantor

Table 5.1129: Event Information Concerning The Guarantor Is Recorded

5.84. Process L4-TRA-02-08-Capture Access Code

5.84.1. Objective of Process

When the IE026 is received from the Holder of Transit procedure, this L4 process Capture Access Code will start.

NCTS performs technical validation of the received message. If the validation is not positive, the message is rejected.

If the validation is positive, the Guarantee Access Codes are recorded in the Guarantee Management System.

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5.84.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-02-08
Full Name	Capture Access Code
Assumptions	<p>1. This process is related to a comprehensive guarantee (code '1') or to a guarantee waiver (code '0').</p> <p>2. More than one Customs Office of Guarantee can be involved.</p>
Constraints	N/A
L3 Reference Models	N/A
Existing Specifications	DDDNTA v5.13

Table 5.1130: Process Details

5.84.3. Tasks and Events

Event Receive Guarantee Access Codes From Holder Of Transit Procedure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Guarantee Access Codes From Holder Of Transit Procedure
Event Type	Message start event
Description	<p>The Holder Of Transit Procedure needs to assign or change the guarantee access codes;</p> <p>The Holder Of Transit Procedure assigns (re-assigns) one or more guarantee access codes to one of his guarantees. He communicates (IE26) the information to the Customs Office of Guarantee.</p>
Previous Objects	Premises Of The Trader At Departure

Table 5.1131: Event Receive Guarantee Access Codes From Holder Of Transit Procedure

Task Perform Validation On Guarantee Access Codes

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation On Guarantee Access Codes
Task Type	Service task
Description	<p>When the IE026 is received, it must be automatically validated.</p> <p>Syntactic checks should include, at minimum:</p> <ul style="list-style-type: none"> - Data compliance to its associated Rules & Conditions.

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	<p>Final situation:</p> <p>The result is positive: the IE has been proven to be valid and the process will continue.</p> <p>The result is negative: the IE has not been proven to be valid and is rejected.</p>
Actor	NCTS
Input Data	E_ACC_COD
Output Data	Result If Validation Is Successful E_ACC_COD
Previous Objects	Receive Guarantee Access Codes From Holder Of Transit Procedure
Information Exchange	Guarantee Access Codes
Requirements	TRA_FUR_0137 TRA_FUR_0124
Data Set	
Events	
State	

Table 5.1132: Task Perform Validation On Guarantee Access Codes

Task Record Guarantee Access Codes

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Guarantee Access Codes
Task Type	Service task
Description	When the result of validation of IE026 is positive the data is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	E_ACC_COD
Output Data	E_ACC_COD
Previous Objects	Is Technical Validation Successful?
Information Exchange	
Requirements	TRA_FUR_0009

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Data Set	
Events	
State	

Table 5.1133: Task Record Guarantee Access Codes

Event Guarantee Access Codes Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Access Codes Recorded
Event Type	End event
Description	The guarantee access codes are recorded in NCTS.
Previous Objects	Record Guarantee Access Codes

Table 5.1134: Event Guarantee Access Codes Recorded

Event Guarantee Access Codes Are Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Access Codes Are Rejected
Event Type	End event
Description	The Guarantee Access Codes are rejected
Previous Objects	Is Technical Validation Successful?

Table 5.1135: Event Guarantee Access Codes Are Rejected

5.85. Process L4-TRA-03-00- Transit Guarantee Validation Management Master Process

5.85.1. Objective of Process

The purpose of this diagram is to present in one diagram the main flows of the Guarantee Validation Management System. The flow presents the cases when changes related to a guarantee occur. This diagram mainly focuses on the successful path. For more details please check the respective L4 BPMN diagrams.

5.85.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-03-00
Full Name	Transit Guarantee Validation Management Master Process
Assumptions	<ul style="list-style-type: none"> - Guarantee is released for a specific movement and can be performed for more than one movements. - Comprehensive guarantee includes guarantee waiver in meaning of

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	reduction of the reference amount to 0%.
Constraints	N/A
L3 Reference Models	N/A
Existing Specifications	FSS_NCTS CORR2013 2 v1.00

Table 5.1136: Process Details

5.85.3. Tasks and Events

Event Individual Guarantee For Registration Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Individual Guarantee For Registration Received
Event Type	Conditional start event
Description	The process starts when the Individual Guarantee For Registration is Received.
Previous Objects	

Table 5.1137: Event Individual Guarantee For Registration Received

Event Comprehensive Guarantee For Registration Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Comprehensive Guarantee For Registration Received
Event Type	Conditional start event
Description	The process starts when the Comprehensive Guarantee For Registration is Received.
Previous Objects	

Table 5.1138: Event Comprehensive Guarantee For Registration Received

Task Process Individual Guarantee In The Form Of Vouchers

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Individual Guarantee In The Form Of Vouchers
Task Type	Call activity (collapsed)
Description	<p>When the Guarantor asks for a right for emission of individual guarantee in the form of vouchers this L4 Processing Individual Guarantee in the Form of Vouchers will start.</p> <p>The Guarantor presents the document. Customs Officer at the Customs Office of Guarantee checks the document and:</p> <ul style="list-style-type: none"> • does not accept the presented guarantee, he notifies the Guarantor. • accepts the presented guarantee. <p>If accepted, he completes Part II of the document and register the data in</p>

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	<p>NCTS. NCTS builds the basic fields of the GRN which is communicated to the Guarantor.</p> <p>The end results of this process are:</p> <ul style="list-style-type: none"> - Emission right granted - Emission right refused - Information about individual guarantee in the form of vouchers recorded - Individual guarantee in the form of vouchers document filed
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	What Is The Form Of Provided Guarantee?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1139: Task Process Individual Guarantee In The Form Of Vouchers

Task Process Comprehensive Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Comprehensive Guarantee
Task Type	Call activity (collapsed)
Description	<p>Processing of Comprehensive Guarantee starts when:</p> <ul style="list-style-type: none"> - The Holder of Transit procedure asks for assessment of a comprehensive guarantee. <p>The reference amount of the comprehensive guarantee is calculated by the Customs Officer at the Customs Office of Guarantee, supported by NCTS. After having calculated the reference amount, the Customs Office of Guarantee establishes the amount to be covered by the comprehensive guarantee.</p> <p>Customs Officer at Office of Guarantee can refuse or accept presented guarantee.</p> <p>If accepted, he completes Part II of the document.</p> <p>The data of the accepted guarantee is recorded in the guarantee management subsystem. NCTS builds the GRN based on the acceptance number. The Customs Officer and the Holder of Transit procedure decide about the type of monitoring and about the first access code of the</p>

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	<p>guarantee. Relevant paper documents are filed.</p> <p>The end results of this process are:</p> <ul style="list-style-type: none"> - Comprehensive guarantee accepted - Comprehensive guarantee refused - Comprehensive guarantee document filed.
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Is Comprehensive Guarantee Already Registered?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1140: Task Process Comprehensive Guarantee

Task Process Individual Guarantee By Guarantor

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Process Individual Guarantee By Guarantor
Task Type	Call activity (collapsed)
Description	<p>Processing of Individual Guarantee by Guarantor starts when:</p> <ul style="list-style-type: none"> - The Holder of Transit procedure presents the document 'Individual Guarantee'. <p>The Guarantor fills in part I on the basis of data furnished by the Holder of Transit procedure to cover a single Transit movement. Customs Officer at the Customs Office of Guarantee process the Guarantor's undertaking by checking the data. If the Customs Officer refuses the presented guarantee, he notifies the Holder of Transit procedure about the rejection.</p> <p>If the Customs Officer accepts the presented guarantee, he completes Part II of the document, allocates an acceptance number, and validates it (stamp and signature).</p> <p>The data of the accepted guarantee is recorded in the guarantee management subsystem. NCTS builds the GRN based on the acceptance number. The Customs Officer assigns the type of monitoring (normally type 1) and the access code of the guarantee. Relevant paper documents are filed.</p> <p>The results of this process are:</p> <ul style="list-style-type: none"> - Individual guarantee accepted - Individual guarantee refused - Individual guarantee document filed.

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Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	What Is The Form Of Provided Guarantee?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1141: Task Process Individual Guarantee By Guarantor

Task Sell NCTS Individual Guarantee Vouchers

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Sell NCTS Individual Guarantee Vouchers
Task Type	Call activity (collapsed)
Description	<p>When an IE224 is received by Guarantor or the Guarantor Informs Customs Officer about Sold NCTS Individual Guarantee Vouchers, this L4 process Sell NCTS Individual Guarantee Vouchers will start. A Holder of Transit procedure applies to a Guarantor to buy a certain number of NCTS individual guarantee vouchers. The Guarantor (authorised for the emission of individual guarantee vouchers) fills (or probably prints) one or more “individual guarantee voucher” documents (TC32 - see Implementing Provisions, annex 54 IP and Annex B3 of Appendix III of the Convention) and sells the voucher(s). The Guarantor must inform (IE224) the Customs Office of Guarantee at which the concerned individual guarantee in the form of vouchers document is lodged about the sold individual guarantee voucher(s).</p> <p>The process has the following end event:</p> <ul style="list-style-type: none"> • Information about Sold NCTS Individual Guarantee Vouchers Is Recorded; • IE224 Is Rejected.
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Emission Right Granted?
Information Exchange	
Requirements	
Data Set	

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Events	
State	

Table 5.1142: Task Sell NCTS Individual Guarantee Vouchers

Task Cancel and Revoke Comprehensive Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel and Revoke Comprehensive Guarantee
Task Type	Call activity (collapsed)
Description	<p>When the Office of Guarantee or Guarantor wants to cancel a comprehensive guarantee.</p> <p>The process Cancel (and Revoke) Comprehensive Guarantee will start. This process includes guarantee waiver and individual guarantee with multiple usage. The term ‘comprehensive guarantee’ covers the three situations, but the potential differences will be highlighted in the description of the processes.</p> <p>The Customs Officer of the Customs Office of Guarantee registers the cancellation of this comprehensive guarantee into NCTS with effect on the 16th day following respectively the request for cancellation or the notification of the revocation.</p> <p>The following actions are executed:</p> <ul style="list-style-type: none"> • informing the Guarantor about the cancelled comprehensive guarantee, e.g. by means of a standard letter; • informing (IE231) the Trader (Holder of Transit procedure) about the cancelled comprehensive guarantee; • waiting till all movements, covered by the cancelled comprehensive guarantee, reach the ‘written-off’ state. <p>When the cancellation of the comprehensive guarantee has taken effect, the corresponding guarantee document must be retained by the Customs Office of Guarantee at least until the writing-off of all the movements covered by the cancelled comprehensive guarantee. During this period, the Guarantor’s liability may still be activated (until all covered movements are ‘written-off’).</p> <p>The end events of this process are:</p> <p>Guarantor informed about cancelled guarantee Holder of the Transit Procedure informed about cancelled guarantee. Guarantee cancellation registered.</p>
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Which Procedure To Be Followed?

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1143: Task Cancel and Revoke Comprehensive Guarantee

Task Update Comprehensive Guarantee Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Update Comprehensive Guarantee Data
Task Type	Call activity (collapsed)
Description	<p>When a Comprehensive Guarantee Update Is Requested by Trader or a Comprehensive Guarantee Update Is Initiated by Customs Office of Guarantee,</p> <p>the process Update Comprehensive Guarantee Data will start.</p> <p>The Customs Officer registers the updates to the guarantee data and this is recorded by the NCTS. The updated comprehensive guarantee data is sent to the Holder of Transit procedure. There might be more than one Customs Office of Guarantee. The results of this process are the following:</p> <p>the Comprehensive Guarantee Data Updated</p> <p>the Holder of Transit procedure Notified.</p>
Actor	Customs Authority
Appears also in the following processes	L4-TRA-03-03-Review Amounts Of Comprehensive Guarantee
Input Data	
Output Data	
Previous Objects	Which Procedure To Be Followed?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1144: Task Update Comprehensive Guarantee Data

Task Review Amounts Of Comprehensive Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Review Amounts Of Comprehensive Guarantee

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Task Type	Call activity (collapsed)
Description	<p>When the Customs Officer at the Customs Office of Guarantee initiates a review of reference/guarantee amount of a comprehensive guarantee, the process L4-TRA-02-03-Review Amounts of Comprehensive Guarantee starts.</p> <p>The process includes guarantee waiver and individual guarantee with multiple usage. The term 'comprehensive guarantee' covers the three situations, but the potential differences will be highlighted where considered necessary in the description of the processes.</p> <p>Customs officer calculates new reference and guarantee amount(s) needed for the comprehensive guarantee, using support of NCTS. The reference/amount calculated is compared with the existing one, based on the results, next activities are performed:</p> <ol style="list-style-type: none"> 1. If new amount > existing amount: Customs Officer at the Customs Office of Guarantee is notified that the reviewed amount is higher than the actual amount for the given comprehensive guarantee. The comprehensive guarantee should be amended, after an additional or new undertaking from the Guarantor: <ul style="list-style-type: none"> • by updating the reference/guarantee amount of the comprehensive guarantee (L4-TRA-02-04-Update Comprehensive Guarantee Data); • by replacing the existing comprehensive guarantee by a new one (L4-TRA-02-05-Cancel (and revoke) Comprehensive Guarantee) and registering the new comprehensive guarantee (L4-TRA-02-02-Process Comprehensive Guarantee). 2. If new amount <= existing amount: the comprehensive guarantee remains valid. <p>Major results of this process are:</p> <p>Comprehensive guarantee still valid;</p> <p>Customs Officer notified about higher reviewed amount(s) for the comprehensive guarantee.</p>
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Which Procedure To Be Followed?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1145: Task Review Amounts Of Comprehensive Guarantee

Event Guarantee Cancellation Registered and Guarantor_s Liability Liberated

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Cancellation Registered and Guarantor_s Liability Liberated
Event Type	End event
Description	<p>The Guarantor is informed that the guarantee is no longer valid.</p> <p>The Holder of Transit procedure is informed about the cancelled guarantee.</p> <p>The cancellation of the guarantee is registered in the guarantee management system.</p> <p>The Guarantor's liability related to a cancelled guarantee can no longer be activated.</p>
Appears also in the following processes	L4-TRA-03-05-Cancel and Revoke Comprehensive Guarantee
Previous Objects	Cancel and Revoke Comprehensive Guarantee

Table 5.1146: Event Guarantee Cancellation Registered and Guarantor_s Liability Liberated

Task Cancel And Revoke Individual Guarantee In The Form Of Vouchers

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel And Revoke Individual Guarantee In The Form Of Vouchers
Task Type	Call activity (collapsed)
Description	<p>When a Request for Cancellation of Individual Guarantee in the Form of Vouchers Received from Guarantor is received or when a Cancellation/Revocation of Individual Guarantee in the Form of Vouchers Is Initiated by the Customs Office of Guarantee, this L4 process Cancel (and Revoke) Individual Guarantee in the Form of Vouchers will start.</p> <p>The Customs Officer at the Customs Office of Guarantee receives a request for cancellation of the individual guarantee in the form of vouchers from the Guarantor, or on its own initiative revokes the acceptance of the Guarantor's undertaking.</p> <p>The cancellation/revocation of the individual guarantee in the form of vouchers is recorded in NCTS and the revocation is communicated (IE229) to the Guarantor.</p> <p>The process has the following end event:</p> <ul style="list-style-type: none"> • Individual Guarantee in the Form of Vouchers Cancelled/Revoked.
Actor	Customs Authority
Input Data	
Output Data	
Previous Objects	Cancel Individual Guarantee In The Form Of Vouchers
Information Exchange	
Requirements	
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.1147: Task Cancel And Revoke Individual Guarantee In The Form Of Vouchers

Event Individual Guarantee In The Form Of Vouchers Cancelled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Individual Guarantee In The Form Of Vouchers Cancelled
Event Type	End event
Description	The cancellation/revocation of the individual guarantee in the form of vouchers is recorded in NCTS. The Guarantor is informed about the revoked guarantee.
Previous Objects	Cancel And Revoke Individual Guarantee In The Form Of Vouchers

Table 5.1148: Event Individual Guarantee In The Form Of Vouchers Cancelled

Event Guarantee Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Registered
Event Type	End event
Description	The guarantee data of ana Individual or Individual Guarantee in the form of Vouchers or Comprehensive guarantee is updated and the history of the guarantee data is recorded. The Trader is informed about the updated guarantee data.
Previous Objects	Review Amounts Of Comprehensive Guarantee Update Comprehensive Guarantee Data Which Procedure To Be Followed? Process Individual Guarantee By Guarantor Cancel Individual Guarantee In The Form Of Vouchers

Table 5.1149: Event Guarantee Registered

5.86. Process L4-TRA-03-01-Process Individual Guarantee By Guarantor

5.86.1. Objective of Process

Processing of Individual Guarantee by Guarantor starts when:

- The Holder of Transit procedure presents the document 'Individual Guarantee'.

The Guarantor fills in part I on the basis of data furnished by the Holder of Transit procedure to cover a single Transit movement. Customs Officer at the Customs Office of Guarantee process the Guarantor's undertaking by checking the data. If the Customs Officer refuses the presented guarantee, he notifies the Holder of Transit procedure about the rejection.

If the Customs Officer accepts the presented guarantee, he completes Part II of the document, allocates an acceptance number, and validates it (stamp and signature).

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The data of the accepted guarantee is recorded in the guarantee management subsystem. NCTS builds the GRN based on the acceptance number. The Customs Officer assigns the type of monitoring (normally type 1) and the access code of the guarantee. Relevant paper documents are filed.

The results of this process are:

- Individual guarantee accepted
- Individual guarantee refused
- Individual guarantee document filed.

5.86.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-03-01
Full Name	Process Individual Guarantee by Guarantor
Assumptions	More than one Customs Office of guarantee can be involved.
Constraints	N/A
L3 Reference Models	N/A
Existing Specifications	FSS_NCTS CORR2013 2 v1.00

Table 5.1150: Process Details

5.86.3. Tasks and Events

Event Customs Officer Has Accepted Presented Individual Guarantee Document

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Customs Officer Has Accepted Presented Individual Guarantee Document
Event Type	Conditional start event
Description	The Holder of Transit procedure presents the document 'Individual Guarantee', of which part I has been filled in by the Guarantor on the basis of data furnished by the Holder of Transit procedure to cover a single Transit movement.
Previous Objects	

Table 5.1151: Event Customs Officer Has Accepted Presented Individual Guarantee Document

Task Register Data Of Individual Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Data Of Individual Guarantee
Task Type	User task
Description	When the presented Individual Guarantee Document is accepted the Customs Officer will register the data of Individual Guarantee into the system.

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	Final situation : The data of Individual Guarantee document is registered
Actor	Customs Officer
Input Data	Individual Guarantee by Guarantor Guarantee Office Guarantor
Output Data	Guarantor Acceptance Number Guarantee Office Individual Guarantee by Guarantor
Previous Objects	Customs Officer Has Accepted Presented Individual Guarantee Document
Information Exchange	
Requirements	TRA_FUR_0129 TRA_FUR_0008
Data Set	
Events	
State	

Table 5.1152: Task Register Data Of Individual Guarantee

Task Record Data Of Individual Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Data Of Individual Guarantee
Task Type	Service task
Description	When the data of Individual Guarantee is registered the data elements following this registration are automatically recorded in the System -linked to the reference number.
Actor	NCTS
Input Data	Guarantee Office Guarantor Individual Guarantee by Guarantor Acceptance Number
Output Data	Guarantee Office Acceptance Number Guarantor Individual Guarantee by Guarantor

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Previous Objects	Register Data Of Individual Guarantee
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1153: Task Record Data Of Individual Guarantee

Task Generate GRN

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Generate GRN
Task Type	Service task
Description	<p>When the data of Individual Guarantee or Individual Guarantee in the form of vouchers or Comprehensive guarantee is recorded NCTS builds the GRN based on the type of guarantee. More specifically for Individual/Comprehensive Guarantee:</p> <p>NCTS builds the GRN based on the acceptance number. In case of Individual Guarantee in the form of Vouchers:</p> <p>NCTS builds the basic fields (1, 2, 3, and 4) of the GRN based on the allocated number. That basic part will be used by the Guarantor later on for each NCTS individual guarantee voucher sold.</p>
Actor	NCTS
Appears also in the following processes	<p>L4-TRA-03-02-Process Comprehensive Guarantee</p> <p>L4-TRA-03-06-Process Individual Guarantee In The Form Of Vouchers</p>
Input Data	<p>Guarantor</p> <p>Acceptance Number</p>
Output Data	GRN
Previous Objects	Record Data Of Individual Guarantee
Information Exchange	
Requirements	TRA_FUR_0056
Data Set	
Events	

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PROCESS MODELS

State	
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Table 5.1154: Task Generate GRN

Task Register Type Of Monitoring and Access Code

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Type Of Monitoring and Access Code
Task Type	User task
Description	<p>When the Guarantee Reference Number is generated the Customs Officer will register the type of monitoring. More specifically, for Individual Guarantee:</p> <p>The Customs Officer assigns the type of monitoring (normally type 1) and the access code of the guarantee. Also, for Comprehensive Guarantee:</p> <p>The Customs Officer and the Holder of Transit procedure decide about the type of monitoring and about the first access code of the guarantee. That access code is the initial (default) access code for the guarantee.</p>
Actor	Customs Officer
Appears also in the following processes	L4-TRA-03-02-Process Comprehensive Guarantee
Input Data	Individual Guarantee by Guarantor Comprehensive Guarantee GRN Guarantor
Output Data	Access code Guarantee Monitoring Code
Previous Objects	Generate GRN
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.1155: Task Register Type Of Monitoring and Access Code

Task Record Type Of Monitoring and Access Code

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Type Of Monitoring and Access Code
Task Type	Service task

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Description	When the type of monitoring and access code is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-03-02-Process Comprehensive Guarantee
Input Data	Guarantee Monitoring Code Access code GRN
Output Data	Access code Guarantee Monitoring Code
Previous Objects	Register Type Of Monitoring and Access Code
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1156: Task Record Type Of Monitoring and Access Code

Event Individual Guarantee Accepted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Individual Guarantee Accepted
Event Type	End event
Description	The guarantee document (i.e. the undertaking) is accepted by the Customs Officer at the Customs Office of Guarantee. The document “Individual guarantee” is filed at the Customs Office of Guarantee.
Previous Objects	Record Type Of Monitoring and Access Code

Table 5.1157: Event Individual Guarantee Accepted

5.87. Process L4-TRA-03-02-Process Comprehensive Guarantee

5.87.1. Objective of Process

Processing of Comprehensive Guarantee starts when:

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- The Holder of Transit procedure asks for assessment of a comprehensive guarantee.

The reference amount of the comprehensive guarantee is calculated by the Customs Officer at the Customs Office of Guarantee, supported by NCTS.

After having calculated the reference amount, the Customs Office of Guarantee establishes the amount to be covered by the comprehensive guarantee.

Customs Officer at Customs Office of Guarantee can refuse or accept presented guarantee.

If accepted, he completes Part II of the document.

The data of the accepted guarantee is recorded in the guarantee management subsystem. NCTS builds the GRN based on the acceptance number. The Customs Officer and the Holder of Transit procedure decide about the type of monitoring and about the first access code of the guarantee. Relevant paper documents are filed.

The end results of this process are:

- Comprehensive guarantee accepted
- Comprehensive guarantee refused
- Comprehensive guarantee document filed.

5.87.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-03-02
Full Name	Process Comprehensive Guarantee
Assumptions	More than one Customs Office of guarantee can be involved.
Constraints	N/A
L3 Reference Models	N/A
Existing Specifications	FSS_NCTS CORR2013 2 v1.00

Table 5.1158: Process Details

5.87.3. Tasks and Events

Event Assessment Of A Comprehensive Guarantee Has Been Asked

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Assessment Of A Comprehensive Guarantee Has Been Asked
Event Type	Conditional start event
Description	A Holder of Transit procedure already authorised to use a comprehensive guarantee asks for the assessment of the guarantee and the related reference amount.
Appears also in the following processes	L4-TRA-03-03-Review Amounts Of Comprehensive Guarantee
Previous Objects	

Table 5.1159: Event Assessment Of A Comprehensive Guarantee Has Been Asked

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Task Register Data Of Comprehensive Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Data Of Comprehensive Guarantee
Task Type	User task
Description	<p>The assessment of the guarantee and the related reference amount is asked from the Holder of Transit procedure,</p> <p>If the Customs Officer at the Customs Office of Guarantee accepts the presented guarantee (the Guarantor's undertaking), he completes Part II of the document, allocates an acceptance number, and validates it (stamp and signature).</p> <p>Final result</p> <p>The Customs Officer registered the following result:</p> <p>The reference and or the guarantee amount of the comprehensive guarantee is (are) determined, and the undertaking of the Guarantor is accepted or refused.</p>
Actor	Customs Officer
Input Data	Guarantor Guarantee Office Comprehensive Guarantee
Output Data	Acceptance Number Comprehensive Guarantee
Previous Objects	Assessment Of A Comprehensive Guarantee Has Been Asked
Information Exchange	
Requirements	TRA_FUR_0129 TRA_FUR_0008
Data Set	
Events	
State	

Table 5.1160: Task Register Data Of Comprehensive Guarantee

Task Record Data Of Comprehensive Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Data Of Comprehensive Guarantee
Task Type	Service task
Description	When the data of Comprehensive Guarantee is registered, the data elements following this registration are automatically recorded in the System - linked

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	to the reference number.
Actor	NCTS
Input Data	Acceptance Number Comprehensive Guarantee
Output Data	Acceptance Number Comprehensive Guarantee
Previous Objects	Register Data Of Comprehensive Guarantee
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1161: Task Record Data Of Comprehensive Guarantee

Task Generate GRN

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Generate GRN
Task Type	Service task
Description	<p>When the data of Individual Guarantee or Individual Guarantee in the form of vouchers or Comprehensive guarantee is recorded NCTS builds the GRN based on the type of guarantee. More specifically for Individual/Comprehensive Guarantee:</p> <p>NCTS builds the GRN based on the acceptance number. In case of Individual Guarantee in the form of Vouchers:</p> <p>NCTS builds the basic fields (1, 2, 3, and 4) of the GRN based on the allocated number. That basic part will be used by the Guarantor later on for each NCTS individual guarantee voucher sold.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-03-06-Process Individual Guarantee In The Form Of Vouchers L4-TRA-03-01-Process Individual Guarantee By Guarantor
Input Data	Guarantor Acceptance Number
Output Data	GRN

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Previous Objects	Record Data Of Comprehensive Guarantee
Information Exchange	
Requirements	TRA_FUR_0056
Data Set	
Events	
State	

Table 5.1162: Task Generate GRN

Task Register Type Of Monitoring and Access Code

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Type Of Monitoring and Access Code
Task Type	User task
Description	<p>When the Guarantee Reference Number is generated the Customs Officer will register the type of monitoring. More specifically, for Individual Guarantee:</p> <p>The Customs Officer assigns the type of monitoring (normally type 1) and the access code of the guarantee. Also, for Comprehensive Guarantee:</p> <p>The Customs Officer and the Holder of Transit procedure decide about the type of monitoring and about the first access code of the guarantee. That access code is the initial (default) access code for the guarantee.</p>
Actor	Customs Officer
Appears also in the following processes	L4-TRA-03-01-Process Individual Guarantee By Guarantor
Input Data	Individual Guarantee by Guarantor Comprehensive Guarantee GRN Guarantor
Output Data	Access code Guarantee Monitoring Code
Previous Objects	Generate GRN
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

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Table 5.1163: Task Register Type Of Monitoring and Access Code

Task Record Type Of Monitoring and Access Code

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Type Of Monitoring and Access Code
Task Type	Service task
Description	When the type of monitoring and access code is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Appears also in the following processes	L4-TRA-03-01-Process Individual Guarantee By Guarantor
Input Data	Guarantee Monitoring Code Access code GRN
Output Data	Access code Guarantee Monitoring Code
Previous Objects	Register Type Of Monitoring and Access Code
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1164: Task Record Type Of Monitoring and Access Code

Event Comprehensive Guarantee Accepted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Comprehensive Guarantee Accepted
Event Type	End event
Description	The comprehensive guarantee document (i.e. the undertaking) is accepted by the Customs Officer at the Customs Office of Guarantee. The document "Comprehensive guarantee" is filed at the Customs Office of Guarantee.
Previous Objects	Record Type Of Monitoring and Access Code

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Table 5.1165: Event Comprehensive Guarantee Accepted

5.88. Process L4-TRA-03-03-Review Amounts Of Comprehensive Guarantee

5.88.1. Objective of Process

When the Customs Officer at the Customs Office of Guarantee initiates a review of reference/guarantee amount of a comprehensive guarantee, the process L4-TRA-02-03-Review Amounts of Comprehensive Guarantee starts.

The process includes guarantee waiver and individual guarantee with multiple usage. The term 'comprehensive guarantee' covers the three situations, but the potential differences will be highlighted where considered necessary in the description of the processes.

Customs officer calculates new reference and guarantee amount(s) needed for the comprehensive guarantee, using support of NCTS. The reference/amount calculated is compared with the existing one, based on the results, next activities are performed:

1. If new amount > existing amount: Customs Officer at the Customs Office of Guarantee is notified that the reviewed amount is higher than the actual amount for the given comprehensive guarantee. The comprehensive guarantee should be amended, after an additional or new undertaking from the Guarantor:

- by updating the reference/guarantee amount of the comprehensive guarantee (L4-TRA-02-04-Update Comprehensive Guarantee Data);
- by replacing the existing comprehensive guarantee by a new one (L4-TRA-02-05-Cancel (and revoke) Comprehensive Guarantee) and registering the new comprehensive guarantee (L4-TRA-02-02-Process Comprehensive Guarantee).

2. If new amount <= existing amount: the comprehensive guarantee remains valid.

Major results of this process are:

Comprehensive guarantee still valid;

Customs Officer notified about higher reviewed amount(s) for the comprehensive guarantee.

5.88.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-03-03
Full Name	Review Amounts of Comprehensive Guarantee
Assumptions	<p>1. This process includes guarantee waiver and individual guarantee with multiple usage. The term 'comprehensive guarantee' covers the three situations, but the potential differences will be highlighted where considered necessary in the text of the processes.</p> <p>2. More than one Customs Office of guarantee can be involved.</p>
Constraints	This process thread manages the guarantees types coded 0, 1.
L3 Reference Models	N/A
Existing Specifications	FSS_NCTS CORR2013 2 v1.00

Table 5.1166: Process Details

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5.88.3. Tasks and Events

Event Review Of Reference - Guarantee Amount Initiated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Review Of Reference - Guarantee Amount Initiated
Event Type	Conditional start event
Description	<p>This event may be initiated by a Customs Officer at the Customs Office of Guarantee, on request or periodically.</p> <p>The new reference and guarantee amount(s) needed for the comprehensive guarantee is (are) calculated by the Customs Officer at the Customs Office of Guarantee.</p> <p>The re-calculation of these amounts will be supported by NCTS, on the basis of data registered by the Customs Administration about previous movements, e.g. number of Transit movements for a given period of time and related amount (of duties & taxes) involved.</p> <p>Final situation :</p> <p>The new reference/ guarantee amount(s) of the comprehensive guarantee is (are) determined.</p>
Previous Objects	

Table 5.1167: Event Review Of Reference - Guarantee Amount Initiated

Task Register Recalculated Reference or Guarantee Amount

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Recalculated Reference or Guarantee Amount
Task Type	User task
Description	<p>When the new reference/ guarantee amount(s) of the comprehensive guarantee is (are) determined, Customs Officer registers recalculated reference/guarantee amount for the comprehensive guarantee.</p> <p>Final situation:</p> <p>The recalculated reference/guarantee amount for the comprehensive guarantee registered.</p>
Actor	Customs Officer
Input Data	Guarantee type Comprehensive Guarantee GRN
Output Data	Guarantee Amount Reference Amount
Previous Objects	Review Of Reference - Guarantee Amount Initiated

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Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.1168: Task Register Recalculated Reference or Guarantee Amount

Task Record Recalculated Reference or Guarantee Amount

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Recalculated Reference or Guarantee Amount
Task Type	Service task
Description	When the recalculated reference guarantee amount is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Reference Amount Guarantee Amount GRN
Output Data	Guarantee Amount Reference Amount
Previous Objects	Register Recalculated Reference or Guarantee Amount
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1169: Task Record Recalculated Reference or Guarantee Amount

Task Identify If Recalculated Amount Is Higher Than Actual Amount

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Recalculated Amount Is Higher Than Actual Amount
Task Type	Service task

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Description	<p>When the recalculated reference/guarantee amount(s) for the comprehensive guarantee is (are) recorded. the System will automatically check if the recalculated reference/guarantee amount(s) for the comprehensive guarantee is (are) higher than the actual amount.</p> <p>Final situation:</p> <p>The result is positive : the recalculated reference/guarantee amount(s) for the comprehensive guarantee is (are) higher than the actual amount.</p> <p>The result is negative: he recalculated reference/guarantee amount(s) for the comprehensive guarantee is (are) not higher than the actual amount.</p>
Actor	NCTS
Input Data	<p>Guarantee Amount</p> <p>Reference Amount</p> <p>GRN</p>
Output Data	Result Identify If Recalculated Amount Is Higher Than Actual Amount
Previous Objects	Record Recalculated Reference or Guarantee Amount
Information Exchange	
Requirements	TRA_FUR_0141
Data Set	
Events	
State	

Table 5.1170: Task Identify If Recalculated Amount Is Higher Than Actual Amount

Task Acknowledge Recalculated Amount Is Not Higher than Actual Amount

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Recalculated Amount Is Not Higher than Actual Amount
Task Type	User task
Description	<p>When the result of the comparison between the recalculated amount and actual amount is negative (If new amount(s) <= existing amount(s)), the comprehensive guarantee remains valid.NCTS presents information about recalculated amount not higher than actual amount to the Customs Officer.</p>
Actor	Customs Officer
Input Data	<p>Result Check Is Recalculated Amount Is Higher than Actual Amount</p> <p>GRN</p>
Output Data	Result Check Is Recalculated Amount Is Higher than Actual Amount

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Previous Objects	Is Recalculated Amount Higher Than Actual Amount?
Information Exchange	
Requirements	TRA_FUR_0038
Data Set	
Events	
State	

Table 5.1171: Task Acknowledge Recalculated Amount Is Not Higher than Actual Amount

Task Register Decision To Update or Cancel Comprehensive Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision To Update or Cancel Comprehensive Guarantee
Task Type	User task
Description	<p>When the result of the comparison between the recalculated amount and actual amount is positive (If new amount(s) > existing amount(s)), the Customs Officer at the Customs Office of Guarantee is notified that the reviewed amount(s) is (are) higher than the actual amount(s) for the given comprehensive guarantee, i.e. the comprehensive guarantee is no longer sufficient.</p> <p>Customs Officer at the Customs Office of Guarantee registers the decision to update or to cancel comprehensive guarantee.</p> <p>Final situation</p> <p>The decision to update or to cancel comprehensive guarantee registered.</p>
Actor	Customs Officer
Input Data	Guarantee Amount Reference Amount GRN Result Check Is Recalculated Amount Is Higher than Actual Amount
Output Data	Result Check If Comprehensive Guarantee Is To Be Updated or Cancelled
Previous Objects	Is Recalculated Amount Higher Than Actual Amount?
Information Exchange	
Requirements	TRA_FUR_0038 TRA_FUR_0008
Data Set	
Events	

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PROCESS MODELS

State	
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Table 5.1172: Task Register Decision To Update or Cancel Comprehensive Guarantee

Event Comprehensive Guarantee Remains Valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Comprehensive Guarantee Remains Valid
Event Type	End event
Description	The existing comprehensive guarantee remains valid.
Previous Objects	Acknowledge Recalculated Amount Is Not Higher than Actual Amount

Table 5.1173: Event Comprehensive Guarantee Remains Valid

Task Record Decision To Update or Cancel Comprehensive Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision To Update or Cancel Comprehensive Guarantee
Task Type	Service task
Description	When the decision to update or to cancel comprehensive guarantee is registered,the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Result Check If Comprehensive Guarantee Is To Be Updated or Cancelled GRN
Output Data	Result Check If Comprehensive Guarantee Is To Be Updated or Cancelled
Previous Objects	Register Decision To Update or Cancel Comprehensive Guarantee
Information Exchange	
Requirements	TRA_FUR_0009 TRA_FUR_0126
Data Set	
Events	
State	

Table 5.1174: Task Record Decision To Update or Cancel Comprehensive Guarantee

Task Update Comprehensive Guarantee Data

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Update Comprehensive Guarantee Data
Task Type	Call activity (collapsed)
Description	<p>When a Comprehensive Guarantee Update Is Requested by Trader or a Comprehensive Guarantee Update Is Initiated by Customs Office of Guarantee,</p> <p>the process Update Comprehensive Guarantee Data will start.</p> <p>The Customs Officer registers the updates to the guarantee data and this is recorded by the NCTS. The updated comprehensive guarantee data is sent to the Holder of Transit procedure. There might be more than one Customs Office of Guarantee. The results of this process are the following:</p> <p>the Comprehensive Guarantee Data Updated</p> <p>the Holder of Transit procedure Notified.</p>
Actor	Customs Officer
Appears also in the following processes	L4-TRA-03-00- Transit Guarantee Validation Management Master Process
Input Data	
Output Data	
Previous Objects	Is Comprehensive Guarantee To Be Updated?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1175: Task Update Comprehensive Guarantee Data

Task Cancel and Revoke Comprehensive Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel and Revoke Comprehensive Guarantee
Task Type	Call activity (collapsed)
Description	<p>When the recalculated reference guarantee amount is higher than the actual amount (new amount(s) > existing amount(s)), or if a cancellation request for comprehensive guarantee or guarantee waiver has been lodged by the Guarantor, the process of cancelling comprehensive guarantee starts. The Customs Officer register to the System the Cancellation request and NCTS notifies the Trader at Departure for (IE231) Comprehensive Guarantee Cancellation and the the Guarantor for (IE228) Comprehensive Guarantee Cancellation Liability Liberation.</p>
Actor	Customs Officer

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Input Data	
Output Data	
Previous Objects	Is Comprehensive Guarantee To Be Updated?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.1176: Task Cancel and Revoke Comprehensive Guarantee

Event Comprehensive Guarantee Is Update By Customs Office Of Guarantee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Comprehensive Guarantee Is Update By Customs Office Of Guarantee
Event Type	End event
Description	The Customs Officer of the Customs Office of Guarantee has updated guarantee data of a comprehensive guarantee.
Previous Objects	Update Comprehensive Guarantee Data

Table 5.1177: Event Comprehensive Guarantee Is Update By Customs Office Of Guarantee

Event Assessment Of A Comprehensive Guarantee Has Been Asked

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Assessment Of A Comprehensive Guarantee Has Been Asked
Event Type	End event
Description	A Holder of Transit procedure already authorised to use a comprehensive guarantee asks for the assessment of the guarantee and the related reference amount.
Appears also in the following processes	L4-TRA-03-02-Process Comprehensive Guarantee
Previous Objects	Cancel and Revoke Comprehensive Guarantee

Table 5.1178: Event Assessment Of A Comprehensive Guarantee Has Been Asked

5.89. Process L4-TRA-03-04-Update Comprehensive Guarantee Data

5.89.1. Objective of Process

When a Comprehensive Guarantee Update Is Requested by Trader or a Comprehensive Guarantee Update Is Initiated by Customs Office of Guarantee,

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the process Update Comprehensive Guarantee Data will start.

The Customs Officer registers the updates to the guarantee data and this is recorded by the NCTS. The updated comprehensive guarantee data is sent to the Holder of Transit procedure. There might be more than one Customs Office of Guarantee. The results of this process are the following:

the Comprehensive Guarantee Data Updated

the Holder of Transit procedure Notified.

5.89.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-03-04
Full Name	Update Comprehensive Guarantee Data
Assumptions	More than one Customs Office of guarantee can be involved.
Constraints	1. This process thread manages the guarantees types coded 0, 1.
L3 Reference Models	N/A
Existing Specifications	FSS_NCTS CORR2013 2 v1.00

Table 5.1179: Process Details

5.89.3. Tasks and Events

Event Comprehensive Guarantee Update Is Requested by Trader

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Comprehensive Guarantee Update Is Requested by Trader
Event Type	Conditional start event
Description	A Trader wants to update guarantee data of a comprehensive guarantee.
Previous Objects	

Table 5.1180: Event Comprehensive Guarantee Update Is Requested by Trader

Task Register New Comprehensive Guarantee Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register New Comprehensive Guarantee Data
Task Type	User task
Description	When a Comprehensive Guarantee Update Is Requested by Trader or a Comprehensive Guarantee Update Is Initiated by Office of Guarantee, the Customs Officer of the Customs Office of Guarantee will register an image of the guarantee data before the update is kept as history guarantee data. The identification of the user is recorded with the updated data. The following data may be updated:

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	1. reference and guarantee amount(s) (a check against the reviewed amount(s) will be executed); 2. for comprehensive guarantee (code 1) : percentage of the guarantee coverage; 3. for comprehensive guarantee (code 1): restricted use (suspended goods); 4. territorial validity; 5. status (valid/invalid); Final situation : The Customs Officer of the Customs Office of Guarantee registers the guarantee data to be updated in NCTS.
Actor	Customs Officer
Input Data	Reference Amount Guarantee Amount Guarantee Office Restricted Use (Suspended Goods) Validity Date Percentage of Reference Amount GRN
Output Data	Percentage of Reference Amount Validity Date Reference Amount Restricted Use (Suspended Goods) Guarantee Office Guarantee Amount
Previous Objects	Comprehensive Guarantee Update Is Requested by Trader Comprehensive Guarantee Update Is Initiated By Customs Office Of Guarantee
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.1181: Task Register New Comprehensive Guarantee Data

Event Comprehensive Guarantee Update Is Initiated By Customs Office Of Guarantee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Comprehensive Guarantee Update Is Initiated By Customs Office Of Guarantee
Event Type	Conditional start event

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Description	The Customs Officer of the Customs Office of Guarantee wants to update guarantee data of a comprehensive guarantee.
Previous Objects	

Table 5.1182: Event Comprehensive Guarantee Update Is Initiated By Customs Office Of Guarantee

Task Record New Comprehensive Guarantee Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record New Comprehensive Guarantee Data
Task Type	Service task
Description	When the new comprehensive guarantee data is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Guarantee Office Restricted Use (Suspended Goods) Validity Date Percentage of Reference Amount Reference Amount GRN Guarantee Amount
Output Data	Guarantee Office Reference Amount Percentage of Reference Amount Guarantee Amount Restricted Use (Suspended Goods)
Previous Objects	Register New Comprehensive Guarantee Data
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1183: Task Record New Comprehensive Guarantee Data

Task Notify IE225 To Holder Of The Transit Procedure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify IE225 To Holder Of The Transit Procedure
Task Type	Send task

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PROCESS MODELS

Description	When the new comprehensive guarantee data is registered, a notification IE will automatically be sent to the Holder of Transit procedure informing that Guarantee is updated.
Actor	NCTS
Input Data	E_GUA_WUP
Output Data	
Previous Objects	Record New Comprehensive Guarantee Data
Information Exchange	Guarantee Update Notification
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.1184: Task Notify IE225 To Holder Of The Transit Procedure

Event Comprehensive Guarantee Data Updated and Holder Of Transit Procedure Notified

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Comprehensive Guarantee Data Updated and Holder Of Transit Procedure Notified
Event Type	End event
Description	The guarantee data of a comprehensive guarantee is updated and the history of the guarantee data is recorded. The Trader is informed about the updated guarantee data.
Previous Objects	Notify IE225 To Holder Of The Transit Procedure

Table 5.1185: Event Comprehensive Guarantee Data Updated and Holder Of Transit Procedure Notified

5.90. Process L4-TRA-03-05-Cancel and Revoke Comprehensive Guarantee

5.90.1. Objective of Process

When the Customs Office of Guarantee or Guarantor wants to cancel a comprehensive guarantee. The process Cancel (and Revoke) Comprehensive Guarantee will start.

This process includes guarantee waiver and individual guarantee with multiple usage. The term 'comprehensive guarantee' covers the three situations, but the potential differences will be highlighted in the description of the processes.

The Customs Officer of the Customs Office of Guarantee registers the cancellation of this comprehensive guarantee into NCTS with effect on the 16th day following respectively the request for cancellation or the notification of the revocation.

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PROCESS MODELS

The following actions are executed:

- informing the Guarantor about the cancelled comprehensive guarantee, e.g. by means of a standard letter;
 - informing (IE231) the Trader (Holder of Transit procedure) about the cancelled comprehensive guarantee;
 - waiting till all movements, covered by the cancelled comprehensive guarantee, reach the 'written-off' state.
- When the cancellation of the comprehensive guarantee has taken effect, the corresponding guarantee document must be retained by the Customs Office of Guarantee at least until the writing-off of all the movements covered by the cancelled comprehensive guarantee. During this period, the Guarantor's liability may still be activated (until all covered movements are 'written-off').

The end events of this process are:

Guarantor informed about cancelled guarantee

Trader at Departure informed about cancelled guarantee.

Guarantee cancellation registered.

5.90.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-03-05
Full Name	Cancel and Revoke Comprehensive Guarantee
Assumptions	<p>1. This process includes guarantee waiver and individual guarantee with multiple usage. The term 'comprehensive guarantee' covers the three situations, but the potential differences will be highlighted in description of the processes.</p> <p>2. More than one Customs Office of guarantee can be involved.</p>
Constraints	N/A
L3 Reference Models	N/A
Existing Specifications	FSS_NCTS CORR2013 2 v1.00

Table 5.1186: Process Details

5.90.3. Tasks and Events

Event Cancellation Of Comprehensive Guarantee Is Initiated By Customs Office Of Guarantee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation Of Comprehensive Guarantee Is Initiated By Customs Office Of Guarantee
Event Type	Start event
Description	The cancellation of comprehensive guarantee may be initiated by Customs Officer of the Customs Office of Guarantee on his own initiative or upon request of the Competent Authority when they revoke the authorisation to use comprehensive guarantee or guarantee waiver.

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PROCESS MODELS

Previous Objects	
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Table 5.1187: Event Cancellation Of Comprehensive Guarantee Is Initiated By Customs Office Of Guarantee

Task Register Request For Cancellation Of Comprehensive Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Request For Cancellation Of Comprehensive Guarantee
Task Type	User task
Description	<p>When a cancellation of comprehensive guarantee is initiated by Office of Guarantee or by Guarantor, the Customs Officer of the Customs Office of Guarantee registers the request for cancellation of this comprehensive guarantee into NCTS. The following action is the Guarantor to be informed about the cancelled comprehensive guarantee, e.g. by means of a standard letter;</p> <p>Final situation:</p> <p>The request for cancellation of comprehensive guarantee is registered.</p>
Actor	Customs Officer
Input Data	<p>GRN</p> <p>Holder of the transit procedure identification No.</p> <p>Comprehensive Guarantee</p>
Output Data	<p>Invalidity Reason Code</p> <p>Cancellation of Comprehensive Guarantee Request Indication</p> <p>Invalidity Date</p> <p>Invalidity Reason</p>
Previous Objects	<p>Cancellation Of Comprehensive Guarantee Is Initiated By Customs Office Of Guarantee</p> <p>Cancellation Of Comprehensive Guarantee Is Initiated By Guarantor</p>
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.1188: Task Register Request For Cancellation Of Comprehensive Guarantee

Event Cancellation Of Comprehensive Guarantee Is Initiated By Guarantor

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation Of Comprehensive Guarantee Is Initiated By Guarantor

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PROCESS MODELS

Event Type	Start event
Description	The cancellation of comprehensive guarantee may be initiated by Guarantor, when he wants to cancel its comprehensive guarantee or guarantee waiver;
Previous Objects	

Table 5.1189: Event Cancellation Of Comprehensive Guarantee Is Initiated By Guarantor

Task Record Request For Cancellation Of Comprehensive Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Request For Cancellation Of Comprehensive Guarantee
Task Type	Service task
Description	When the request for cancellation of comprehensive guarantee is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Invalidity Reason Code Cancellation of Comprehensive Guarantee Request Indication GRN Invalidity Date Invalidity Reason
Output Data	Invalidity Reason Invalidity Reason Code Cancellation of Comprehensive Guarantee Request Indication Invalidity Date
Previous Objects	Register Request For Cancellation Of Comprehensive Guarantee
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1190: Task Record Request For Cancellation Of Comprehensive Guarantee

Task Notify IE231

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify IE231
Task Type	Send task

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Description	When the request for cancellation of comprehensive guarantee is recorded, a notification IE will automatically be sent to Office of the Trader where a Transit Movement is Initiated informing that the Comprehensive Guarantee Cancellation request is recorded.
Actor	NCTS
Input Data	E_COG_CNP
Output Data	
Previous Objects	Record Request For Cancellation Of Comprehensive Guarantee
Information Exchange	Comprehensive Guarantee Cancellation Notification
Requirements	TRA_FUR_0022
Data Set	
Events	
State	

Table 5.1191: Task Notify IE231

Task Record Cancellation Of Comprehensive Guarantee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Cancellation Of Comprehensive Guarantee
Task Type	Service task
Description	When the notification (IE231) for Comprehensive Guarantee Cancellation is send to the Trader at Departure then, after the Time Limit of 16 days following respectively the request for cancellation or the notification of the revocation is expire, the cancellation of the guarantee is recorded in NCTS .
Actor	NCTS
Input Data	Obligation Guarantee
Output Data	Obligation Guarantee
Previous Objects	Notify IE231
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	

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PROCESS MODELS

State	
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Table 5.1192: Task Record Cancellation Of Comprehensive Guarantee

Task Identify Of All Covered Movements Are Written-Off

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Of All Covered Movements Are Written-Off
Task Type	Service task
Description	<p>When the Cancellation Of Comprehensive Guarantee is recorded, the System will automatically check if all movements, covered by the cancelled comprehensive guarantee, reach the 'written-off' state.</p> <p>When the cancellation of the comprehensive guarantee has taken effect, the corresponding guarantee document must be retained by the Customs Office of Guarantee at least until the writing-off of all the movements covered by the cancelled comprehensive guarantee. During this period, the Guarantor's liability may still be activated.</p> <p>Final situation</p> <p>The result is positive: all covered movements are written - off</p> <p>The result is negative: all covered movements are not written - off</p>
Actor	NCTS
Input Data	MRN GRN
Output Data	Result Identify Of All Covered Movements Are Written-Off
Previous Objects	Record Cancellation Of Comprehensive Guarantee Are All Covered Movements Written-Off?
Information Exchange	
Requirements	TRA_FUR_0136
Data Set	
Events	
State	

Table 5.1193: Task Identify Of All Covered Movements Are Written-Off

Task Record Release Of Liability Of Guarantor

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Record Release Of Liability Of Guarantor
Task Type	Service task
Description	When all covered movements have been 'written-off' the liability of the Guarantor (related to a cancelled comprehensive guarantee) is released.
Actor	NCTS
Input Data	Result Check Are All Covered Movements 'Written-Off' GRN
Output Data	Liability Liberation Date
Previous Objects	Are All Covered Movements Written-Off?
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1194: Task Record Release Of Liability Of Guarantor

Task Notify IE228

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify IE228
Task Type	Send task
Description	When the Release of Guarantor's Liability is recorded, a notification IE will automatically be sent to the Guarantor informing that the released liability recorded. Final situation : The movements covered are checked out and the Guarantor's liability is released.
Actor	NCTS
Input Data	E_GOG_CNL
Output Data	
Previous Objects	Record Release Of Liability Of Guarantor
Information Exchange	Comprehensive Guarantee Cancellation Liability Liberation
Requirements	TRA_FUR_0071

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PROCESS MODELS

Data Set	
Events	
State	

Table 5.1195: Task Notify IE228

Event Guarantee Cancellation Registered and Guarantor_s Liability Liberated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantee Cancellation Registered and Guarantor_s Liability Liberated
Event Type	End event
Description	The Guarantor is informed that the guarantee is no longer valid. The Holder of Transit procedure is informed about the cancelled guarantee. The cancellation of the guarantee is registered in the guarantee management system. The Guarantor's liability related to a cancelled guarantee can no longer be activated.
Appears also in the following processes	L4-TRA-03-00- Transit Guarantee Validation Management Master Process
Previous Objects	Notify IE228

Table 5.1196: Event Guarantee Cancellation Registered and Guarantor_s Liability Liberated

5.91. Process L4-TRA-03-06-Process Individual Guarantee In The Form Of Vouchers

5.91.1. Objective of Process

When the Guarantor asks for a right for emission of individual guarantee in the form of vouchers this L4 Processing Individual Guarantee in the Form of Vouchers will start.

The Guarantor presents the document. Customs Officer at the Customs Office of Guarantee checks the document and:

- does not accept the presented guarantee, he notifies the Guarantor.
- accepts the presented guarantee.

If accepted, he completes Part II of the document and register the data in NCTS. NCTS builds the basic fields of the GRN which is communicated to the Guarantor.

The end results of this process are:

- Emission right granted
- Emission right refused
- Information about individual guarantee in the form of vouchers recorded
- Individual guarantee in the form of vouchers document filed

5.91.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
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PROCESS MODELS

Process ID	L4-TRA-03-06
Full Name	Process Individual Guarantee in the Form of Vouchers
Assumptions	More than one Customs Office of guarantee can be involved.
Constraints	N/A
L3 Reference Models	N/A
Existing Specifications	FSS_NCTS CORR2013 2 v1.00

Table 5.1197: Process Details

5.91.3. Tasks and Events

Event The Presented Individual Guarantee In The Form Of Vouchers Is Accepted By Customs Officer

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The Presented Individual Guarantee In The Form Of Vouchers Is Accepted By Customs Officer
Event Type	Conditional start event
Description	<p>A person or institution (e.g. bank, insurance, transport association) wants to get the right for the emission of NCTS individual guarantees in the form of vouchers. He presents the document “Individual guarantee in the form of vouchers“, of which Part I has been filled in.</p> <p>Customs Officer at Customs Office of Guarantee receive the documents from the Holder of Transit procedure.</p> <ul style="list-style-type: none"> • If the Customs Officer does not accept the presented guarantee he notifies the Guarantor. • If the Customs Officer at the Customs Office of Guarantee accepts the presented guarantee, he completes Part II of the document “Individual guarantee in the form of vouchers”
Previous Objects	

Table 5.1198: Event The Presented Individual Guarantee In The Form Of Vouchers Is Accepted By Customs Officer

Task Register Data Of Individual Guarantee In The Form Of Vouchers

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Data Of Individual Guarantee In The Form Of Vouchers
Task Type	User task
Description	<p>When a person or institution (e.g. bank, insurance, transport association) wants to get the right for the emission of NCTS individual guarantees in the form of vouchers, Customs Officer at the Customs Office of Guarantee registers the data from the accepted Individual Guarantee in the Form of Vouchers in the Guarantee Management subsystem. He completes Part II of the document “Individual guarantee in the form of vouchers”, validates</p>

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	<p>it (stamp and signature), allocates an acceptance number (part II of the document) and files the related document. (If necessary, the Customs Officer at the Customs Office of Guarantee limits the number of vouchers to be issued, or, in NCTS, limits the number of vouchers 'in circulation' in case of doubt about financial capacity of the Guarantor).</p> <p>Final situation: The data of Individual Guarantee in the form of vouchers is registered.</p>
Actor	Customs Officer
Input Data	<p>Individual Guarantee Voucher</p> <p>Guarantee Office</p> <p>Guarantor</p>
Output Data	<p>Guarantor</p> <p>Individual Guarantee Voucher</p> <p>Guarantee Office</p> <p>Acceptance Number</p>
Previous Objects	The Presented Individual Guarantee In The Form Of Vouchers Is Accepted By Customs Officer
Information Exchange	
Requirements	<p>TRA_FUR_0129</p> <p>TRA_FUR_0008</p>
Data Set	
Events	
State	

Table 5.1199: Task Register Data Of Individual Guarantee In The Form Of Vouchers

Task Record Data Of Individual Guarantee In The Form Of Vouchers

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Data Of Individual Guarantee In The Form Of Vouchers
Task Type	Service task
Description	When the data of Individual Guarantee in the form of vouchers is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	<p>Individual Guarantee Voucher</p> <p>Acceptance Number</p> <p>Guarantor</p> <p>Guarantee Office</p>

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Output Data	Individual Guarantee Voucher Guarantee Office Acceptance Number Guarantor
Previous Objects	Register Data Of Individual Guarantee In The Form Of Vouchers
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1200: Task Record Data Of Individual Guarantee In The Form Of Vouchers

Task Generate GRN

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Generate GRN
Task Type	Service task
Description	<p>When the data of Individual Guarantee or Individual Guarantee in the form of vouchers or Comprehensive guarantee is recorded NCTS builds the GRN based on the type of guarantee. More specifically for Individual/Comprehensive Guarantee:</p> <p>NCTS builds the GRN based on the acceptance number. In case of Individual Guarantee in the form of Vouchers:</p> <p>NCTS builds the basic fields (1, 2, 3, and 4) of the GRN based on the allocated number. That basic part will be used by the Guarantor later on for each NCTS individual guarantee voucher sold.</p>
Actor	NCTS
Appears also in the following processes	L4-TRA-03-02-Process Comprehensive Guarantee L4-TRA-03-01-Process Individual Guarantee By Guarantor
Input Data	Guarantor Acceptance Number
Output Data	GRN
Previous Objects	Record Data Of Individual Guarantee In The Form Of Vouchers
Information Exchange	
Requirements	TRA_FUR_0056

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PROCESS MODELS

Data Set	
Events	
State	

Table 5.1201: Task Generate GRN

Task Acknowledge GRN For Individual Guarantee In The Form Of Vouchers

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge GRN For Individual Guarantee In The Form Of Vouchers
Task Type	User task
Description	NCTS displays the GRN data of the individual guarantee in the form of vouchers to the Customs Officer. The Customs Officer communicates the basic part of the GRN to the Guarantor.
Actor	Customs Officer
Input Data	Guarantor GRN
Output Data	GRN Guarantor
Previous Objects	Generate GRN
Information Exchange	
Requirements	TRA_FUR_0038
Data Set	
Events	
State	

Table 5.1202: Task Acknowledge GRN For Individual Guarantee In The Form Of Vouchers

Event Emission Right Granted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Emission Right Granted
Event Type	End event
Description	<p>The Guarantor has the right to deliver NCTS individual guarantee vouchers.</p> <p>The information concerning the new individual guarantee in the form of vouchers is recorded in NCTS.</p>

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	The guarantee document “Individual guarantee in the form of vouchers” is filed at the Customs Office of Guarantee.
Previous Objects	Acknowledge GRN For Individual Guarantee In The Form Of Vouchers

Table 5.1203: Event Emission Right Granted

5.92. Process L4-TRA-03-07-Sell NCTS Individual Guarantee Vouchers

5.92.1. Objective of Process

When an IE224 is received by Guarantor or the Guarantor Informs Customs Officer about Sold NCTS Individual Guarantee Vouchers, this L4 process Sell NCTS Individual Guarantee Vouchers will start. A Holder of Transit procedure applies to a Guarantor to buy a certain number of NCTS individual guarantee vouchers. The Guarantor (authorised for the emission of individual guarantee vouchers) fills (or probably prints) one or more “individual guarantee voucher” documents (TC32 - see Implementing Provisions, annex 54 IP and Annex B3 of Appendix III of the Convention) and sells the voucher(s). The Guarantor must inform (IE224) the Customs Office of Guarantee at which the concerned individual guarantee in the form of vouchers document is lodged about the sold individual guarantee voucher(s).

The process has the following end event:

- Information about Sold NCTS Individual Guarantee Vouchers Is Recorded;
- IE224 Is Rejected.

5.92.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-03-07
Full Name	Sell NCTS Individual Guarantee Vouchers
Assumptions	More than one Customs Office of Guarantee can be involved.
Constraints	1. This process Cancel (and Revoke) Individual Guarantee in the Form of Vouchers can only start when an individual guarantee in the form of vouchers was previously processed (L4-TRA-02-06-Process Individual Guarantee in the Form of Vouchers).
L3 Reference Models	N/A
Existing Specifications	FSS_NCTS CORR2013 2 v1.00

Table 5.1204: Process Details

5.92.3. Tasks and Events

Event Guarantor Informed Customs Officer About Sold NCTS Individual Guarantee Vouchers

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Guarantor Informed Customs Officer About Sold NCTS Individual Guarantee Vouchers

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Event Type	Conditional start event
Description	The Customs Officer at the Customs Office of Guarantee receives from Guarantor information about sold NCTS individual guarantee vouchers.
Previous Objects	

Table 5.1205: Event Guarantor Informed Customs Officer About Sold NCTS Individual Guarantee Vouchers

Task Register Information About Sold NCTS Individual Guarantee Vouchers

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Information About Sold NCTS Individual Guarantee Vouchers
Task Type	User task
Description	When Guarantor Informed Customs Officer About Sold NCTS Individual Guarantee Vouchers,the Customs Officer at the Customs Office of Guarantee may have to capture and register the data. Final situation The information about sold NCTS Individual Guarantee Vouchers is registered.
Actor	Customs Officer
Input Data	GRN
Output Data	Obligation Guarantee
Previous Objects	Guarantor Informed Customs Officer About Sold NCTS Individual Guarantee Vouchers
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.1206: Task Register Information About Sold NCTS Individual Guarantee Vouchers

Event Receive IE224 Sent By Guarantor

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive IE224 Sent By Guarantor
Event Type	Message start event
Description	A Holder of Transit procedure applies to a Guarantor to buy a certain number of NCTS individual guarantee vouchers to cover his Transit movement(s). The Guarantor (authorised for the emission of individual

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	guarantee vouchers) fills (or probably prints) one or more “individual guarantee voucher” documents (TC32 - see Implementing Provisions, annex 54 IP and Annex B3 of Appendix III of the Convention) and sells the voucher(s). For each NCTS individual guarantee voucher, the Guarantor communicates to the Holder of Transit procedure the GRN that identifies the voucher in NCTS and the access code that allows its use. The Guarantor must inform (IE224) the Customs Office of Guarantee at which the concerned individual guarantee in the form of vouchers document is lodged about the sold individual guarantee voucher(s).
Previous Objects	Office of the Guarantee

Table 5.1207: Event Receive IE224 Sent By Guarantor

Task Perform Validation Of IE224

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation Of IE224
Task Type	Service task
Description	<p>When an IE224 is received by Guarantor it must be automatically validated.</p> <p>Syntactic checks should include, at minimum:</p> <ul style="list-style-type: none"> - Data compliance to its associated Rules & Conditions <p>Final situation:</p> <p>The result is positive: the IE has been proven to be valid and the process will continue.</p> <p>The result is negative: the IE has not been proven to be valid and is rejected.</p>
Actor	NCTS
Input Data	E_IGV_INF
Output Data	Result If Validation Is Successful
Previous Objects	Receive IE224 Sent By Guarantor
Information Exchange	Individual Guarantee Voucher Sold
Requirements	TRA_FUR_0001 TRA_FUR_0124
Data Set	
Events	
State	

Table 5.1208: Task Perform Validation Of IE224

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Event IE224 Is Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	IE224 Is Rejected
Event Type	End event
Description	If the technical validation of the information about sold NCTS Individual Guarantee Vouchers is unsuccessful, this information is rejected.
Previous Objects	Is Technical Validation Successful?

Table 5.1209: Event IE224 Is Rejected

Task Record Information About Sold NCTS Individual Guarantee Vouchers

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Information About Sold NCTS Individual Guarantee Vouchers
Task Type	Service task
Description	When the information about sold NCTS Individual Guarantee Vouchers is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	Obligation Guarantee
Output Data	Obligation Guarantee
Previous Objects	Is Technical Validation Successful? Register Information About Sold NCTS Individual Guarantee Vouchers
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

Table 5.1210: Task Record Information About Sold NCTS Individual Guarantee Vouchers

Event Information About Sold NCTS Individual Guarantee Vouchers Is Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Information About Sold NCTS Individual Guarantee Vouchers Is Recorded
Event Type	End event

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Description	The information about sold NCTS individual guarantee vouchers is recorded in the guarantee management subsystem.
Previous Objects	Record Information About Sold NCTS Individual Guarantee Vouchers

Table 5.1211: Event Information About Sold NCTS Individual Guarantee Vouchers Is Recorded

5.93. Process L4-TRA-03-08-Cancel and Revoke Individual Guarantee In The Form Of Vouchers

5.93.1. Objective of Process

When a Request for Cancellation of Individual Guarantee in the Form of Vouchers Received from Guarantor is received or when a Cancellation/Revocation of Individual Guarantee in the Form of Vouchers Is Initiated by the Customs Office of Guarantee, this L4 process Cancel (and Revoke) Individual Guarantee in the Form of Vouchers will start.

The Customs Officer at the Customs Office of Guarantee receives a request for cancellation of the individual guarantee in the form of vouchers from the Guarantor, or on its own initiative revokes the acceptance of the Guarantor's undertaking.

The cancellation/revocation of the individual guarantee in the form of vouchers is recorded in NCTS and the revocation is communicated (IE229) to the Guarantor.

The process has the following end event:

- Individual Guarantee in the Form of Vouchers Cancelled/Revoked.

5.93.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-03-08
Full Name	Cancel and Revoke Individual Guarantee In The Form Of Vouchers
Assumptions	More than one Customs Office of Guarantee can be involved.
Constraints	1. This process Cancel (and Revoke) Individual Guarantee in the Form of Vouchers can only start when an individual guarantee in the form of vouchers was previously processed (L4-TRA-02-06-Process Individual Guarantee in the Form of Vouchers).
L3 Reference Models	N/A
Existing Specifications	FSS_NCTS CORR2013 2 v1.00

Table 5.1212: Process Details

5.93.3. Tasks and Events

Event Request For Cancellation Revocation Of Individual Guarantee In The Form Of Vouchers Received From Guarantor

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Request For Cancellation Revocation Of Individual Guarantee In The

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	Form Of Vouchers Received From Guarantor
Event Type	Conditional start event
Description	Non-automated input for this event is a request for cancellation of the individual guarantee in the form of vouchers. The Customs Officer at the Customs Office of Guarantee receives a request for cancellation of the Guarantor's undertaking.
Previous Objects	

Table 5.1213: Event Request For Cancellation Revocation Of Individual Guarantee In The Form Of Vouchers Received From Guarantor

Event Cancellation - Revocation Of Individual Guarantee In The Form Of Vouchers Is Initiated By The Customs Office Of Guarantee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation - Revocation Of Individual Guarantee In The Form Of Vouchers Is Initiated By The Customs Office Of Guarantee
Event Type	Conditional start event
Description	The Customs Officer at the Customs Office of Guarantee on its own initiative revokes the acceptance of the Guarantor's undertaking.
Previous Objects	

Table 5.1214: Event Cancellation - Revocation Of Individual Guarantee In The Form Of Vouchers Is Initiated By The Customs Office Of Guarantee

Task Register Cancellation Revocation Of Individual Guarantee In The Form Of Vouchers

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Cancellation Revocation Of Individual Guarantee In The Form Of Vouchers
Task Type	User task
Description	When a request for Cancellation/Revocation of Individual Guarantee in the form of vouchers received from Guarantor or from the Customs Office of Guarantee, the Customs Officer registers the cancellation of the Guarantor's undertaking, or on its own initiative revokes the acceptance of the Guarantor's undertaking.
Actor	Customs Officer
Input Data	GRN Individual Guarantee Voucher Guarantor
Output Data	Invalidity Date Cancellation/Revocation of Individual Guarantee in the Form of Vouchers Indication

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Previous Objects	Cancellation - Revocation Of Individual Guarantee In The Form Of Vouchers Is Initiated By The Customs Office Of Guarantee Request For Cancellation Revocation Of Individual Guarantee In The Form Of Vouchers Received From Guarantor
Information Exchange	
Requirements	TRA_FUR_0008
Data Set	
Events	
State	

Table 5.1215: Task Register Cancellation Revocation Of Individual Guarantee In The Form Of Vouchers

Task Record Cancellation or Revocation Of Individual Guarantee In The Form Of Vouchers

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Cancellation or Revocation Of Individual Guarantee In The Form Of Vouchers
Task Type	Service task
Description	When the request for Cancellation/Revocation of Individual Guarantee in the form of vouchers from Guarantor or from the Customs Office of Guarantee is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	NCTS
Input Data	GRN Cancellation/Revocation of Individual Guarantee in the Form of Vouchers Indication Invalidity Date
Output Data	Invalidity Date Cancellation/Revocation of Individual Guarantee in the Form of Vouchers Indication
Previous Objects	Register Cancellation Revocation Of Individual Guarantee In The Form Of Vouchers
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	
Events	
State	

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PROCESS MODELS

Table 5.1216: Task Record Cancellation or Revocation Of Individual Guarantee In The Form Of Vouchers

Task Notify IE229 To Guarantor

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify IE229 To Guarantor
Task Type	Send task
Description	When the Cancellation/Revocation of Individual Guarantee in the form of Vouchers is recorded, a notification IE will automatically be sent to Guarantor informing that Individual Guarantee in the form of Vouchers is revoked.
Actor	NCTS
Input Data	E_IGV_RNG
Output Data	
Previous Objects	Record Cancellation or Revocation Of Individual Guarantee In The Form Of Vouchers
Information Exchange	Individual Guarantee Voucher Revocation Notification
Requirements	TRA_FUR_0071
Data Set	
Events	
State	

Table 5.1217: Task Notify IE229 To Guarantor

Event Individual Guarantee In The Form Of Vouchers Cancelled - Revoked

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Individual Guarantee In The Form Of Vouchers Cancelled - Revoked
Event Type	End event
Description	The cancellation/revocation of the individual guarantee in the form of vouchers is recorded in NCTS. The Guarantor is informed about the revoked guarantee.
Previous Objects	Notify IE229 To Guarantor

Table 5.1218: Event Individual Guarantee In The Form Of Vouchers Cancelled - Revoked

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5.94. Process L4-TRA-01-01-01-02-Request and Record Risk Analysis For NCTS

5.94.1. Objective of Process

NCTS triggers the risk analysis process which is carried out by the national risk analysis system. The declaration data is sent to the risk analysis system/s and processed against risk rules (including common risk criteria and standards where applicable). The risk analysis system send back the risk analysis result (coded and/or textual) together with the control recommendation.

The final result of this process is that Risk Analysis for NCTS are recorded.

5.94.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-01-01-02
Full Name	Request And Record Risk Analysis For NCTS
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	FSS_NCTS CORR2013 2 v1.00

Table 5.1219: Process Details

5.94.3. Tasks and Events

Task Request Risk Analysis for NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Request Risk Analysis for NCTS
Task Type	Service task
Description	NCTS places a request to the Risk Analysis System along with the necessary information for the risk analysis to be initiated
Actor	
Input Data	Risk Analysis MRN E_DEC_DAT
Output Data	Result Check If Risk Is Identified Risk Analysis

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PROCESS MODELS

Previous Objects	Risk Analysis for NCTS Initiated
Information Exchange	
Requirements	TRA_FUR_0027
Data Set	Risk Analysis
Events	
State	

Table 5.1220: Task Request Risk Analysis for NCTS

Event Risk Analysis for NCTS Initiated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis for NCTS Initiated
Event Type	Start event
Description	When Risk Analysis is to be performed, the process is initiated.
Previous Objects	

Table 5.1221: Event Risk Analysis for NCTS Initiated

Task Record Risk Analysis Results for NCTS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Risk Analysis Results for NCTS
Task Type	Service task
Description	When Safety and Security Analysis is performed, the data elements following this are automatically recorded in the System - linked to the reference number.
Actor	
Input Data	MRN Risk Analysis LRN
Output Data	Risk Analysis
Previous Objects	Request Risk Analysis for NCTS
Information Exchange	
Requirements	TRA_FUR_0009
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.1222: Task Record Risk Analysis Results for NCTS

Event Risk Analysis Results for NCTS recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Results for NCTS recorded
Event Type	End event
Description	Risk Analysis is completed. The process ends.
Previous Objects	Record Risk Analysis Results for NCTS

Table 5.1223: Event Risk Analysis Results for NCTS recorded

5.95. Process L4-TRA-01-04-05-Handle NCF Information At Departure

5.95.1. Objective of Process

When the Office of Departure receives (IE118) the NCF-information from an Office of Transit, NCTS records this NCF-information together with the transshipments and/or other incidents if they occurred.

If the NCF-information contains transshipment and/or other incidents information, before inserting it, NCTS checks first that the same information was not received earlier from another Office of Transit. In such a case, the redundant information is merely dropped.

The system of Departure performs the required checks to detect anomalies in the pattern of frontiers crossing and notifies the Customs Officer when appropriate.

5.95.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-TRA-01-04-05
Full Name	Handle NCF Information At Departure
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-TRA-01-14-Formalities at Office of Transit
Existing Specifications	FSS_NCTS CORR2013 2 v1.00

Table 5.1224: Process Details

5.95.3. Tasks and Events

Event NFC Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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PROCESS MODELS

Event Name	NFC Received
Event Type	Start event
Description	IE118 is received.
Previous Objects	

Table 5.1225: Event NFC Received

Task Record NFC

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record NFC
Task Type	Service task
Description	When IE118 is registered, the data elements following this registration are automatically recorded in the System - linked to the reference number.
Actor	
Input Data	
Output Data	Transit Operation C_NCF_NOT
Previous Objects	NFC Received
Information Exchange	Notification Crossing Frontier
Requirements	TRA_FUR_0009
Data Set	Transit Operation
Events	NFC Received
State	Movement Released

Table 5.1226: Task Record NFC

Task Handle NCF Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle NCF Information
Task Type	Service task
Description	If the NCF-information contains transshipment and/or other incidents information before inserting it, the system checks first that the same information was not received earlier from another Office of Transit. In such a case, the redundant information is merely dropped.

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	<p>The system of Departure performs the required checks to detect anomalies in the pattern of frontiers crossing and notifies the Customs Officer when appropriate.</p> <p>This process is performed automatically by the system of Departure.</p>
Actor	
Input Data	MRN Incident
Output Data	Incident
Previous Objects	Record NFC
Information Exchange	
Requirements	TRA_FUR_0055
Data Set	
Events	
State	

Table 5.1227: Task Handle NCF Information

Event NFC Information Checked

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	NFC Information Checked
Event Type	End event
Description	NFC information is checked. The process ends.
Previous Objects	Handle NCF Information

Table 5.1228: Event NFC Information Checked

5.96. Process L4-TRA-01-13-05-Handle Notification Leaving Security Area Information At Departure

5.96.1. Objective of Process

This process shows that when the 'Notification leaving Security Area' (IE168) message is received by the Office of Departure from the Office of Exit for Transit, the System automatically records and handles this notification.

5.96.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
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PROCESS MODELS

Process ID	L4-TRA-01-13-05
Full Name	Handle Notification Leaving Security Area Information At Departure
Assumptions	N/A
Constraints	N/A
L3 Reference Models	L3-TRA-01-01-Standard Procedure L3-TRA-01-02-Simplification Of The Standard Procedure For Authorised Consignor or Consignee
Existing Specifications	

Table 5.1229: Process Details

5.96.3. Tasks and Events

Event Notification Leaving Security Area Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification Leaving Security Area Received
Event Type	Start event
Description	This process starts when the notification leaving security area is received on the customs office of departure
Previous Objects	

Table 5.1230: Event Notification Leaving Security Area Received

Task Record Notification Leaving Security Area

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Notification Leaving Security Area
Task Type	Service task
Description	When the
Actor	
Input Data	
Output Data	C_LSA_NOT
Previous Objects	Notification Leaving Security Area Received
Information Exchange	Notification Leaving Security Area
Requirements	TRA_FUR_0009
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.1231: Task Record Notification Leaving Security Area

Task Handle Notification Leaving Security Area Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Notification Leaving Security Area Information
Task Type	Service task
Description	Upon the reception of Notification Leaving Security Area, the NCTS system automatically handles this information
Actor	
Input Data	C_LSA_NOT MRN
Output Data	C_LSA_NOT
Previous Objects	Record Notification Leaving Security Area
Information Exchange	
Requirements	TRA_FUR_0183
Data Set	
Events	
State	

Table 5.1232: Task Handle Notification Leaving Security Area Information

Event Notification Leaving Security Area Handled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification Leaving Security Area Handled
Event Type	End event
Description	The process at the Office of Departure ends when the Notification Leaving Security Area received from the Office of Exit for Transit is properly handled.
Appears also in the following processes	L4-TRA-01-13-Process Formalities Prior To Exit Of Goods At Customs Office Of Exit For Transit
Previous Objects	Handle Notification Leaving Security Area Information

Table 5.1233: Event Notification Leaving Security Area Handled

6. STATE TRANSITION DIAGRAMS

6.1. Customs Office of Departure (after movement release)

Model Description: The State Transition Diagram depicts the movement states transition at the Customs Office of Departure after the movement has been released for Transit and incorporates the Handle Enquiry and Recovery states.

Whenever a movement is released, the following will happen:

- The ‘Release for Transit (IE029) is sent to the Holder of the Transit Procedure;
- The ‘Anticipated Arrival Record’ (IE001) is sent to Office of Destination;
- The ‘Anticipated Exit for Transit Record’ (IE160) message is sent to the Office of Exit for Transit (note, that it is possible that there is no Office of Exit for Transit);
- The ‘Anticipated Transit Record’ (IE050) is sent to Office of Transit (note, that it is possible that there is no Office of Transit).

The status of the movement will become “Movement released”.

While the consignment is in the “Movement released” state, the following can happen:

- ‘Notification Crossing Frontier’ (IE118) is received by Office of Departure, indicating the border crossing. In this case, the status will remain the same;
- ‘Notification Leaving Security Area’ (IE168) is received by Office of Departure, indicating whether the EU border is crossed or is not crossed. In this case, the status will remain the same;
- ‘Anticipated Transit Record Request’ (IE114) is received from an Office of Transit. In this case, an ‘Anticipated Transit Record Response’ (IE115) message will be sent and the state will remain “Movement released”;
- ‘Anticipated Exit for Transit Record Request’ (IE164) is received from an Office of Exit for Transit. In this case, the ‘Anticipated Exit for Transit Record Response’ (IE165) message will be sent and the state will remain “Movement released”;
- ‘Incident Notification’ (IE180) message is sent by the Office of Incident Registration to the Office of Departure when incidents are registered. There is no update of status at the Office of Departure, but in turn sends the ‘Forwarded Incident Notification to CD’ (IE181) message only to those involved Offices (i.e. participate in transit movement as declared/actual Offices of Transit/Exit for Transit/Destination) that have not reported back with the ‘Notification Leaving Security Area’ (IE168) or ‘Notification Crossing Frontier’ (IE118) or ‘Arrival Advice’ (IE006) message(s) and/or have not received the ‘Forwarded Arrival Advice’ (IE024) message or have not sent the ‘Incident Notification’ (IE180) message. Additionally, the ‘Forwarded Incident Notification to ED’ (IE182) message is sent to the Holder of the Transit Procedure for informational purposes (if the declaration data has been submitted electronically);
- ‘Anticipated Arrival Record Request’ (IE002) is received from an Office of Destination. In this case, an ‘Anticipated Arrival Record Response’ (IE003) will be sent and the state will remain “Movement released”;
- ‘Arrival Advice’ (IE006) is received from the Office of Destination. The status of the movement will then be set to “Arrived”. In case diversions took place at Office of Transit or Office of Exit for Transit or Office of Destination, a ‘Forwarded Arrival Advice’ (IE024) will be sent to all declared Office(s) that did not report the movement.

After arrival of the movement at Departure is reported, the Office of Departure will wait until the ‘Destination Control Results’ (IE018) message is sent back by the Office of Destination. There are two possible outcomes:

- The ‘Destination Control Results’ (IE018) show no problems. The ‘Write-off Notification’ (IE045) is sent back to the Holder of the Transit Procedure. After this, the status of the transaction is set to “Movement written off”. But, in the event that the movement is manually written-off via alternative proof (i.e. with main precondition being that no ‘Arrival Advice’ (IE006) message has been yet received), the ‘Forwarded Arrival Advice’ (IE024) shall be sent to all the involved Offices upon the manual registration of the control results at Departure;
- Major Discrepancies (i.e. control result code ‘B1’) are communicated to the Office of Departure via the ‘Destination Control Results’ (IE018). In this case, the Office of Departure sends a ‘Discrepancies’ (IE019) to the Holder of the Transit Procedure and the timer T_Discrepancies_Resolution is initiated. The status of the movement is set to “Movement under resolution”. If discrepancies are resolved before the timer T_Discrepancies_Resolution expires, the status becomes “Movement written off” and the ‘Write-off Notification’ (IE045) message is sent to the Holder of the Transit Procedure. Additionally, the ‘Discrepancies Solved Notification’ (IE049) is sent to the Office of Destination with the flag “Discrepancies resolved” equal to ‘1-Discrepancies resolved by the customs office of departure’. When the timer T_Discrepancies_Resolution expires and the discrepancies are not yet resolved, the Office of Departure sends the ‘Discrepancies Solved Notification’ (IE049) to the Office of Destination with the flag “Discrepancies resolved” equal to ‘3-Timer (13 days) expired and customs office of departure did not resolve discrepancies yet’. The status at the Office of Departure remains to “Movement under resolution”. But the discrepancies might be resolved after the expiration of the timer T_Discrepancies_Resolution. In that case, the Office of Departure can send to the Office of Destination a ‘delayed’ message ‘Discrepancies Solved Notification’ (IE049) with the flag “Discrepancies resolved” equal to ‘1-Discrepancies resolved by the customs office of departure’. There is also the possibility that the major discrepancies are confirmed, and these justify recovery. In that case, the ‘Discrepancies Solved Notification’ (IE049) is sent to the Office of Destination with the flag “Discrepancies resolved” equal to ‘2- Discrepancies confirmed by the customs office of departure. The recovery process will be started (e.g. at departure)’. The status of movement will be set to “Recovery recommended” and then recovery will have to be carried out. The master timer T_Recovery_Recommended keeps running (i.e. it never stops) until it expires or until recovery is started.

The state of the movement becomes “Enquiry Recommended” in the following cases:

- When the state of the movement is “Movement released” and the movement has not arrived at the Office of Destination within the expected arrival date (the timer “Time to start Enquiry” expires);
- When the state of the movement is “Arrived”, and the destination control results have not been received from the Office of Destination within the expected time (the timer “Time to start Enquiry” expires);
- When the state of the movement is either “Movement released” or “Arrived” and the Customs Officer at Departure takes the decision to expire manually the timer “Time to start Enquiry” and start the Enquiry process.

When an IE002/IE114/IE164 is received under the state “Enquiry Recommended”, and the movement has NOT been invalidated OR the movement has NOT arrived at the Office of Destination (i.e. no IE006 has been received by the Office of Departure), a positive IE003/IE115/IE165 response will be sent respectively, otherwise a negative IE003/IE115/IE165 response will be sent;

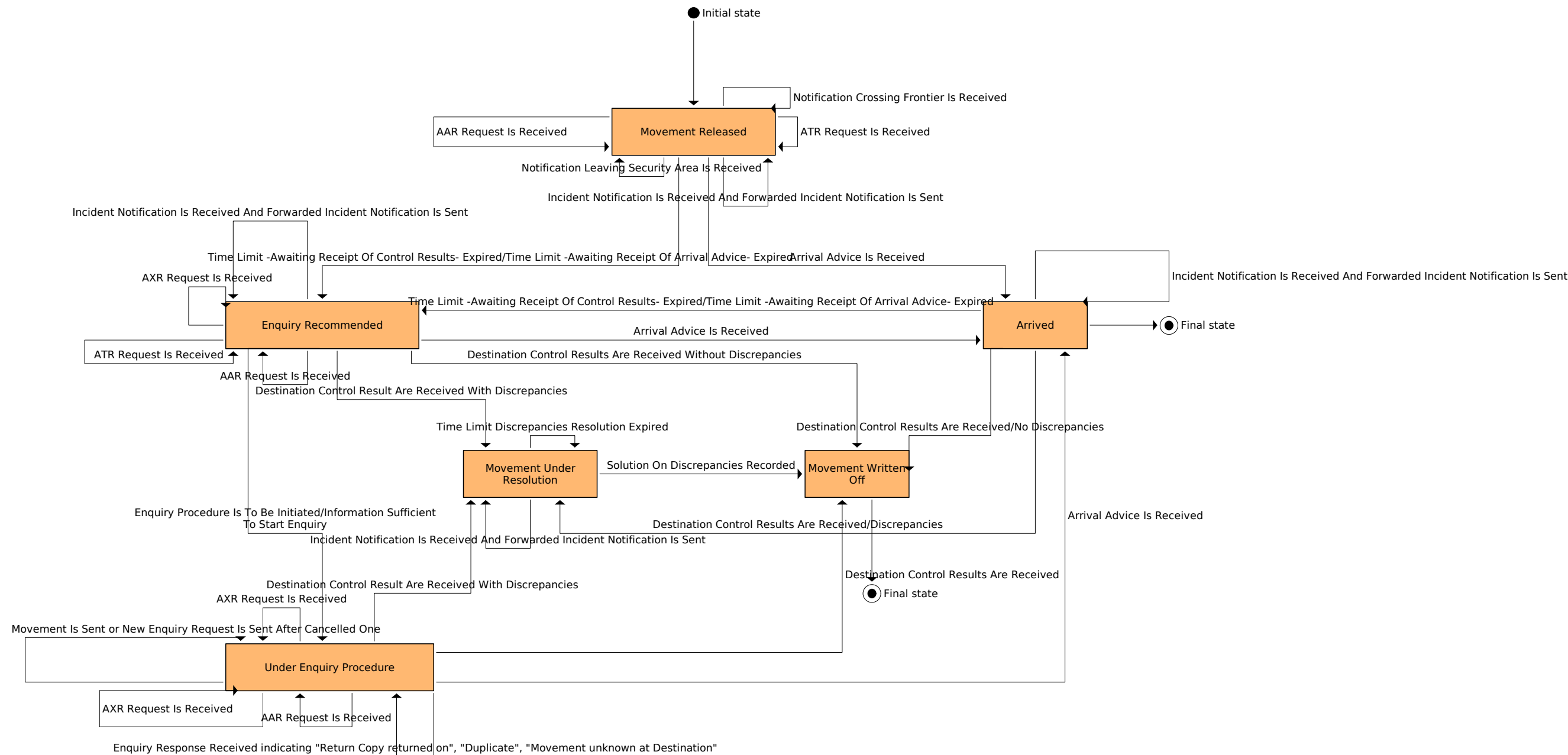
When the state of the movement becomes “Enquiry Recommended”, a ‘Status Request’ (IE094) message is sent to the Country of Office of Destination. Then the following cases apply:

- The Office of Destination system checks the state and automatically sends a ‘Status Response’ (IE095) message. If The state of the movement at destination does not correspond then the system automatically sends to the Office of Departure:
 - o The ‘Arrival Advice’ (IE006). The state of the movement will change from “Enquiry Recommended” to “Arrived”;
 - o And/or the ‘Destination Control Results’ (IE018):

If the Destination Control Results show no discrepancies, the movement is written-off at Departure. The movement state becomes “Movement written off”;

If the ‘Destination Control Results’ show major discrepancies (control result code ‘B1’), the state of the movement will change to “Movement under resolution” and then to “Movement written off” in the way that this is described above, when the ‘Destination Control Results’ (IE018) are received.

- ‘Incident Notification’ (IE180) message is sent by the Office of Incident Registration to the Office of Departure when incidents are registered. There is no update of status at the Office of Departure, but in turn sends the ‘Forwarded Incident Notification to CD’ (IE181) message only to those involved Offices (i.e. participate in transit movement as declared/actual Offices of Transit/Exit for Transit/Destination) that have not reported back with the ‘Notification Leaving Security Area’ (IE168) or ‘Notification Crossing Frontier’ (IE118) or ‘Arrival Advice’ (IE006) message(s) and/or have not received the ‘Forwarded Arrival Advice’ (IE024) message or have not received the ‘Incident Notification’ (IE180) message. Additionally, the ‘Forwarded Incident Notification to ED’ (IE182) message is sent to the Holder of the Transit Procedure for informational purposes (in case the declaration have been submitted electronically). The Office of Destination system checks the state and automatically sends a ‘Status Response’ (IE095). If The state of the movement at Destination corresponds with the state of the movement at Departure, the state of the movement will remain “Enquiry Recommended”.
- At this stage, if the Customs Officer at the Competent Authority of Enquiry at Departure does not have sufficient information to start the Enquiry, the ‘Request on Non-Arrived Movement’ (IE140) message is sent to the Holder of the Transit Procedure. The state of the movement changes from “Enquiry recommended” to “Under enquiry procedure” state.
- If the Customs Officer at the Competent Authority of Enquiry at Departure has sufficient information to start the Enquiry, the ‘Enquiry Request’ (IE142) message is sent to the Competent Authority of Enquiry at Destination. The state of the movement changes from “Enquiry recommended” to “Under enquiry procedure” state.
- When the movement is in “Under enquiry procedure” state and the Competent Authority of Enquiry at Destination has received the ‘Enquiry Request’ (IE142) message, the following cases apply:
 - The Competent Authority of Enquiry at Destination manages to locate the movement and to resume the arrival process. The Office of Destination sends:
 - o The ‘Arrival Advice’ (IE006). The movement changes from “Under enquiry procedure” to “Arrived” state;
 - o And/or the ‘Destination Control Results’ (IE018) to the Office of Departure;
 - If the ‘Destination Control Results’ (IE018) show no discrepancies, the movement is written-off at Departure. The movement state becomes “Movement written off”;
 - If the destination Control Results (IE018) show discrepancies, the state of the movement will change to “Movement under resolution” and then to “Movement written off” in the way that this is described above when the ‘Destination Control Results’ (IE018) are received;
 - The Competent Authority of Enquiry at Destination manages to locate the movement but is unable to send the ‘Arrival Advice’ (IE006) or the ‘Destination Control Results’ (IE018) to the Office of Departure. In this case, the Competent Authority of Enquiry at Destination responds with the ‘Enquiry Response’ (IE143) message, indicating ‘Returned copy returned on’. The movement state remains “Under enquiry procedure” and the Customs Officer waits for the paper control results. When they are received, the state of the movement changes as it does when the ‘Destination Control Results’ (IE018) are received;
 - The Competent Authority of Enquiry at Destination discovers that the movement is a duplicate and responds with the ‘Enquiry Response’ (IE143) message indicating ‘duplicate’. At this point the Customs Officer at the Competent Authority of Enquiry at Departure could decide to invalidate the second (duplicated) transit declaration;
 - The Competent Authority of Enquiry at Destination does not manage to locate the movement. In this case, either the Competent Authority of Enquiry at Destination responds with the negative ‘Enquiry Response’ (IE143) message, ‘Movement unknown at Destination’, and the movement state remains “Under enquiry procedure”; The data group 'ENQUIRY' in the ‘Enquiry Response’ (IE143) message should be used in order to provide information about the status of the goods.
 - If the Competent Authority of Enquiry at Departure has not previously contacted the Holder of the Transit Procedure, it can send a ‘Request on Non-Arrived Movement’ (IE140) message to the Holder of the Transit Procedure, the movement state remains “Under enquiry procedure”;
 - Provided that no other Enquiry is pending, the Competent Authority of Enquiry at Departure can send the ‘Enquiry Request’ (IE142) message, setting the timer “Wait for Enquiry response”. If the ‘Enquiry Request’ (IE142) message has been previously sent, the Competent Authority of Enquiry at Departure shall send the ‘Cancel Enquiry Notification’ (IE059) first, and then it can proceed with sending of the new ‘Enquiry Request’ (IE142) message and resetting the timer “Wait for Enquiry response”. The state of the movement remains “Under enquiry procedure”;
 - If the ‘Request on Non-Arrived Movement’ (IE140) message has previously been sent and no reply message ‘Information about non-Arrived Movement’ (IE141) has been received from the Holder of the Transit Procedure upon the expiration of the “Wait for IE141” timer, but the master timer “Recovery recommended” continues running (i.e. it never stops until expiration). The state of the movement becomes “Recovery recommended”.
 - The Competent Authority of Enquiry at Destination decides that it is responsible for Recovery and sends the ‘Enquiry Response’ (IE143) message, containing ‘Request for Recovery at Destination’ to the Competent Authority of Enquiry at Departure, within the expected time period (time limit defined by the “Wait for Enquiry response” timer). The state of the movement becomes “Recovery recommended”.
 - An IE002/IE114/IE164 is received. In case the movement has NOT been invalidated OR the movement has NOT arrived at the Office of Destination (i.e. no IE006 has been received by the Office of Departure), a positive IE003/IE115/IE165 response will be sent respectively, otherwise a negative IE003/IE115/IE165 response will be sent;
 - ‘Incident Notification’ (IE180) message is sent by the Office of Incident Registration to the Office of Departure, which can also be accepted when the message ‘Destination Control Results’ (IE018) has been previously received. There is no update of status at the Office of Departure, but in turn sends the ‘Forwarded Incident Notification to CD’ (IE181) message only to those involved Offices (i.e. participate in transit movement as declared/actual Offices of Transit/Exit for Transit/Destination) that have not reported back with the ‘Notification Leaving Security Area’ (IE168) or ‘Notification Crossing Frontier’ (IE118) or ‘Arrival Advice’ (IE006) and/or have not received the ‘Forwarded Arrival Advice’ (IE024) message(s). Additionally, the ‘Forwarded Incident Notification to ED’ (IE182) message is sent to the Holder of the Transit Procedure for informational purposes. The ‘Incident Notification’ (IE180) message can also be sent when the Enquiry request is still open. That means an ‘Enquiry Request’ (IE142) has been sent but no ‘Enquiry Response’ (IE143) has been received yet. In case of an open Enquiry request, the ‘Forwarded Incident Notification to CD’ (IE181) message can also be sent by the Office of Departure.



6.2. Customs Office of Departure (recovery states)

Model Description: The State Transition Diagram depicts the recovery states of the movement at Departure.

While the movement at Departure is in the “Movement Released”, “Enquiry Recommended”, “Movement under resolution”, “Under enquiry procedure” or “Arrived” state the following events can take place:

- The Competent Authority of Recovery in another country can decide that it is responsible for Recovery and send the ‘Recovery Request’ (IE150) message to the Competent Authority of Country of Departure. The timer "Recovery recommended" continues running (it never stops until it expires). The state of the movement is set to “Recovery recommended”;
- The Competent Authority of Recovery at Departure can decide that another country is responsible for Recovery and send the ‘Recovery Request’ (IE150) message to the Competent Authority of Country of Other Country (that also might or might not be involved into the transit movement). The timer "Recovery recommended" continues running (it never stops until it expires). The state of the movement is set to “Recovery recommended”;
- The timer "Recovery recommended" expires (after seven months from the expected arrival date). In this case, recovery will have to be carried out. The state of the movement is set to “Recovery recommended”;
- The Competent Authority of Recovery at Departure decides to recommend recovery due to the suspicion of fraud. In this case, the master timer "Recovery recommended" continues running (i.e. it never stops until expiration) and the state of the movement is set to “Recovery recommended”. But, in case the master timer “Recovery recommended” has already expired, the status remains “Recovery recommended” and that means recovery will have to be carried out.

While the movement is in the “Movement under resolution”:

- There are major discrepancies, which the Office of Departure finds that they cannot be justified by an error, that is, beyond any doubt finds that the customs debt was incurred. Therefore, the Recovery procedure must start. In this case, the Officer at the Competent Authority of Recovery at Departure recommends Recovery and the state of the movement is set to “Recovery recommended”. However, the master timer T_Recovery_Recommended keeps running until it expires or until recovery is initiated;
- ‘Incident Notification’ (IE180) message is sent by the Office of Incident Registration to the Office of Departure. In this case, the Holder of the Transit Procedure shall be notified with the ‘Forwarded Incident Notification to ED’ (IE182) message (i.e. if the declaration data has been submitted electronically).

Whilst the movement is in the state “Recovery recommended” the following cases apply.

- The state of the movement is set to “Under recovery procedure”:

o Following the receipt of the ‘Enquiry Response’ (IE143) message with ‘Request for Recovery at Destination’, the Competent Authority of Recovery at Departure accepts that the Competent Authority of Recovery at Destination is competent. It replies with the positive ‘Recovery Acceptance Notification’ (IE151) message, and Recovery is carried out at Destination;

• Following the receipt of ‘Enquiry Response’ (IE143) message containing the ‘Request for Recovery at Destination’, the Competent Authority of Recovery at Departure does not accept that the Competent Authority of Recovery at Destination is competent. It replies with the negative ‘Recovery Acceptance Notification’ (IE151) message and the state remains "Recovery recommended". The Competent Authority of Recovery at Departure will have to carry out the Recovery;

• Following the receipt of an ‘Arrival Advice’ (IE006), the state is set to “Arrived”. However, the master timer T_Recovery_Recommended keeps running until it expires. No ‘Arrival Advice’ (IE006) can be accepted after the expiration of the master timer T_Recovery_Recommended since recovery will have to be carried out;

• Following the receipt of ‘Destination Control Results’ (IE018) with major discrepancies (i.e. control result code is ‘B1’), the state is set to “Movement under resolution”. However, the master timer T_Recovery_Recommended keeps running until it expires. No ‘Destination Control Results’ (IE018) can be accepted after the expiration of the master timer T_Recovery_Recommended since recovery will have to be carried out;

• Following the receipt of ‘Destination Control Results’ (IE018) with no discrepancies and while the master timer T_Recovery_Recommended is still running, the state is set to “Movement written off”;

• Following the receipt of the ‘Recovery Request’ (IE150) message, the Competent Authority of Recovery at Departure accepts that the other country is competent and thus replies with the positive ‘Recovery Acceptance Notification’ (IE151) message. The state of the movement is set to “Under recovery procedure” state, and Recovery is carried out at the other country. Additionally, the master timer T_Recovery_Recommended stops (if still running);

• Following the receipt of the ‘Recovery Request’ (IE150) message, the Competent Authority of Recovery at Departure does not accept that the other country is competent it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message and the state remains "Recovery recommended". The Competent Authority of Recovery at Departure will have to carry out the Recovery. The master timer T_Recovery_Recommended keeps (if not expired) until recovery is started;

• In case the master timer T_Recovery_Recommended has not yet expired, and there is no open ‘Recovery Request’ (IE150) that has been sent or received from/to the Competent Authority of Recovery at Departure (no pending ‘Recovery Acceptance Notification’ (IE151) message), the Competent Authority of Enquiry at Departure decides to initiate enquiry for the movement and sends the ‘Enquiry Request’ (IE142) message. The timer T_Wait_Enquiry_Response starts and the status is set to “Under enquiry procedure”. The master timer T_Recovery_Recommended still keeps running. However, if the master timer expires and the enquiry request is still open (e.g. no ‘Enquiry Response’ (IE143) has been yet received), the timer T_Wait_Enquiry_Response stops, the ‘Cancel Enquiry Notification’ (IE059) is sent to the Customs Office/Competent Authority that received the ‘Enquiry Request’ (IE142) and then the Competent Authority of Recovery at Departure needs to proceed with the recovery procedure (i.e. in all cases where the master timer expires, recovery must be started);

• The Competent Authority of Recovery at Departure is competent and decides to carry out the Recovery. The movement is set to “Under recovery procedure”. The master timer T_Recovery_Recommended stops (if still running);

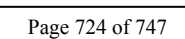
• The Competent Authority of Recovery at Departure decides that it is not competent and sends the ‘Recovery Request’ (IE150) message to the Competent Authority of Recovery in another country (i.e. the declared Office of Destination, but it may be another country e.g. an Office of Transit or Office of Exit for Transit or even the Office of Incident Registration.) and sets the timer “Wait for Recovery acceptance notification” (The master timer T_Recovery_Recommended keeps running until it expires or is already expired). The state remains “Recovery recommended”:

o If the Competent Authority of Recovery in the other country accepts that it is competent it replies with the positive ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Under recovery procedure” state, and Recovery is carried out in the other country;

o If the Competent Authority of Recovery in the other country does not accept that it is competent it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message. The state remains “Recovery recommended”. The Competent Authority of Recovery at Departure will have to carry out the Recovery.

In all the aforementioned cases, irrespectively of the place that the Recovery is performed when the state of the movement is set to “Under recovery procedure”, the Competent Authority of Recovery at Departure informs all involved parties, apart from the one that received the positive ‘Recovery Acceptance Notification’ (IE151) message, about the Recovery by sending a ‘Recovery Communication’ (IE063).

Upon completion of the Recovery at the Office of Departure, or at the Other country, the ‘Recovery Dispatch Notification’ (IE152) message is sent by the Competent Authority of Recovery at Departure to all the parties that have previously received the ‘Recovery Communication’ (IE063) and the state of the movement becomes “Movement written off”.



6.3. Customs Office of Departure (until movement release)

Model Description: The State Transition Diagram shows the interaction between the Customs Office of Departure, the Holder of the Transit Procedure at Departure, and the Customs Office of Guarantee up to the moment of the release of the goods.

Any movement is initiated at the Customs Office of Departure through the receipt of the Declaration Data E_DEC_DAT (IE015), received from Holder of the Transit Procedure at Departure.

For each ‘Declaration Data’ (IE015) that is syntactically and semantically valid, the message ‘Positive Acknowledge’ (IE928) is sent to the Holder of the Transit Procedure and:

- if the ‘Declaration Data’ (IE015) is a pre-lodged declaration, the movement status is set to “Pre-Lodged”;
- if the ‘Declaration Data’ (IE015) is a ‘full’ declaration, the movement status is set to “Submitted”.

o For the movement in status “Pre-Lodged”, and following the risk analysis, the Office of Departure may intend to control the transit movement. For this reason, the Office of Departure notifies the Holder of the Transit Procedure (provided that they are an AEO) about the ‘intention to control’ with the ‘Control Decision Notification’ (IE060) message.

Upon reception of the ‘Presentation Notification for the Pre-Lodged Declaration’ (IE170) message from the Holder of the Transit Procedure, the ‘MRN Allocated’ (IE028) message is sent back to the Holder of the Transit Procedure and the movement status is set to “Accepted”.

o For the movement in status “Submitted”, after further validation of the ‘Declaration Data’ (IE015), the ‘MRN Allocated’ (IE028) message is sent to the Holder of the Transit Procedure and the movement status is set to “Accepted”.

The Holder of the Transit Procedure has the right to correct the pre-lodged transit declaration multiple times while the timer “Awaiting Presentation Notification” is still running. For this reason, the Holder of the Transit Procedure sends the ‘Declaration amendment’ (IE013) message. The ‘Amendment acceptance’ (IE004) message is sent back to acknowledge the valid amendment to the Holder of the Transit Procedure or the ‘Rejection from Office of Departure’ (IE056) message is sent back to notify about the invalid amendment. In all cases, the movement status remains as “Pre-Lodged”.

The Holder of the Transit Procedure has the right to cancel the pre-lodged transit declaration while the timer “Awaiting Presentation Notification” is still running. For this reason, the Holder of the Transit Procedure sends the ‘Declaration Invalidation Request’ (IE014) message. The ‘Invalidation Decision’ (IE009) message is sent back to Holder of the Transit Procedure. If it is positive the movement status is set to “Cancelled”, otherwise if it is negative the movement status remains “Pre-Lodged”.

In case the transit declaration is valid (all pre-validation checks are completed) and contains Export MRNs in its previous documents, then the ‘Transit Presentation Notification’ (IE190) message is sent to AES (Office of Exit) as an initial check to verify these Export MRNs. While an answer is expected from AES, the movement status is set to “Submitted”. If positive ‘Transit Presentation Notification Response’ (IE191) is returned from AES, the movement status is set to “Accepted”, otherwise if a negative ‘Transit Presentation Notification Response’ (IE191) is returned from AES, the movement status is set to “Rejected”.

Upon reception of the positive ‘Transit Presentation Notification Response’ (IE191) message, the Office of Departure accepts the transit declaration and communicates the MRN to the Holder of the Transit Procedure with the ‘MRN Allocated’ (IE028) message. The second ‘Transit Presentation Notification’ (IE190) message is sent to AES (Office of Exit) to allocate the Export MRNs. While an answer is expected from AES, the movement status is set to “Pending response from AES”. If again a positive ‘Transit Presentation Notification Response’ (IE191) is returned from AES, the movement status is set back to “Accepted”. Otherwise, if a negative ‘Transit Presentation Notification Response’ (IE191) is returned from AES, the movement status is set to “Under amendment request due to EFBT” and the ‘Notification to amend declaration’ (IE022) is sent to the Holder of the Transit Procedure requiring to submit a ‘Declaration Amendment’ (IE013) message to modify the transit declaration (i.e. correct the problematic Export MRNs). Additionally, the T_Declaration_Awaiting_Amendment_Export timer is started to set the deadline for the reception of the ‘Declaration Amendment’ (IE013) message from the Holder of the Transit Procedure to remove the problematic Export MRNs. However, in case the T_Declaration_Awaiting_Amendment_Export timer expires and no ‘Declaration Amendment’ (IE013) message has been received, the status of the movement is set to “Not released for Transit”. Additionally, the ‘Transit Invalidation Notification to AES’ (IE040) message is sent to the Office of Exit and the ‘No Release for Transit’ (IE051) message is sent to the Holder of the Transit Procedure.

When the movement status is “Accepted”, the Office of Departure can then proceed as follows:

- The Office of Departure receives a valid or invalid (Syntax or R/Cs) ‘Declaration Amendment’ (IE013) message from the Holder of the Transit Procedure. The status of the movement remains ‘Accepted’;
- A decision for control means that the ‘Control Decision Notification’ (IE060) message is sent to the Holder of the Transit Procedure, and the status will be set to “Under control”.
- A decision for no control on goods and/or documents means that the declared guarantees (maintained in GMS) are prepared for guarantee registration by sending the ‘Guarantee Use’ (IE203) to the responsible Office of Guarantee. In that case, the state of the Transit Operation is set to “Guarantee under registration”.

An amendment to the transit declaration can be sent by the Holder of the Transit Procedure, while the status of the movement is any of “Accepted”, “Guarantee registered”. For the Export followed by Transit scenarios, the Holder of the Transit Procedure is required to send an amendment request when a negative response is returned from AES with the ‘Transit Presentation Notification Response’ (IE191) message. In that case, the status is set to “Under amendment request due to EFBT” (meaning that an invalid declaration amendment has previously been sent to AES and the Holder of the Transit Procedure is notified to submit a new amendment request). In all other statuses, any amendment requests sent by the Holder of the Transit Procedure are automatically rejected with the ‘Rejection from Office of Departure’ (IE056) message and the status is reverted to the previous one.

After the acceptance of the transit declaration that contains Export MRNs in its previous documents (under the House Consignment level), a valid ‘Declaration amendment’ (IE013) message is sent by the Holder of the Transit Procedure. The ‘Amendment acceptance’ (IE004) message is sent and then the ‘Transit Presentation Notification’ (IE190) message is sent to AES (Office of Exit) for validation. While an answer is expected from AES, the movement status is set to “Pending response from AES”. If positive ‘Transit Presentation Notification Response’ (IE191) is returned from AES, the movement status is set back to “Accepted”, otherwise if negative ‘Transit Presentation Notification Response’ (IE191) is returned from AES, the message ‘Notification to Amend Declaration’ (IE022) is sent to the Holder of the Transit Procedure and the movement status is set “Under amendment request due to EFBT”. The transit declaration remains under this status until a valid ‘Declaration Amendment’ (IE013) message is received from the Holder of the Transit Procedure. The process repeats by sending the ‘Amendment acceptance’ (IE004) message to the Holder of the Transit Procedure and the ‘Transit Presentation Notification’ (IE190) message to AES (Office of Exit).

While the movement is “Under control”, control has been performed and finished. The registration of control results may take place with the ‘Departure Control Results’ (IE017) message. Different cases apply next:

- In case no discrepancies are found during control, the movement is ready for guarantee registration by sending the ‘Guarantee Use’ (IE203) message to the responsible Office(s) of Guarantee. The status becomes “Guarantee under registration”;
- In case major discrepancies are found during control, a ‘No Release for Transit’ (IE051) message is sent to the Holder of the Transit Procedure and the status becomes “Not released for Transit”.
- In case minor discrepancies are found during control, and the Holder of the Transit Procedure has not yet sent their advice on minor revisions. The status will be set to “Under release request”.
- In case minor discrepancies are found during control, and the Holder of the Transit Procedure has communicated advice on minor revisions by making no opposition (accepted the revisions), the status becomes “Guarantee under registration”.
- In case minor discrepancies are found during control, and the Holder of the Transit Procedure made opposition (did not accept the revisions), the status becomes “Idle”.

While the status of the movement is 'Idle', the Office of Departure needs to decide whether to release or not to release the movement. Upon positive release decision, the movement status becomes “Guarantee under registration”, otherwise upon negative release decision the movement status becomes “Not released for Transit”.

While the movement is under the status “Guarantee under registration”, the following cases apply:

- When one of the checks of the registration of the guarantee usage failed, the Holder of the Transit Procedure is notified with the ‘Guarantee Not Valid’ (IE055) message that the declared guarantee is not valid. The status will be set to “Guarantee under amendment”.
- In case of successful registration of the guarantee usage at the Office of Guarantee, indicated by the receipt of a successful ‘Guarantee Use Result’ (IE205) at the Office of Departure, the status of the Transit operation becomes “Guarantee registered”.

While the movement is under the status “Guarantee under amendment”, the following cases apply:

- When the Office of Departure receives an invalid ‘Declaration Amendment’ (IE013) message, e.g. ‘Declaration Amendment’ (IE013) contains other amended data than the guarantee details, it is rejected and the ‘Rejection from Office of Departure’ (IE056) message is sent to the Holder of the Transit Procedure. The status remains as “Guarantee under amendment”.
- When the formal validation process of a ‘Declaration Amendment’ (IE013) containing a guarantee amendment is successful, NCTS accepts the amended guarantee data and again sends the ‘Guarantee Use’ (IE203) to the responsible Office(s) of Guarantee, awaiting a response. The status of the Transit operation becomes again “Guarantee under registration”.
- When the Holder of the Transit Procedure does not amend the guarantee in time (timer “Guarantee awaiting amendment” expires), the system automatically registers the ‘no release’ decision of the transit movement. The system notifies the Holder of the Transit Procedure with the ‘No Release for Transit’ (IE051) message. The status of the transit operation becomes “Not released for Transit”.

While the movement is under the status “Guarantee registered”, the following cases apply:

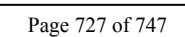
- The Office of Departure receives a valid or invalid (Syntax or R/Cs) ‘Declaration Amendment’ (IE013) message from the Holder of the Transit Procedure. The status of the movement remains “Guarantee registered” in case of invalid amendment request or the status of the movement is set to “Accepted” in case of valid amendment request;
- The Office of Departure receives a ‘Declaration Amendment’ (IE013) from the Holder of the Transit Procedure. In case the amendment request is valid (Syntax and/or R/Cs), the ‘Amendment acceptance’ (IE004) message is sent to the Holder of the Transit Procedure, the state of the transit operation becomes “Accepted”. In case guarantees maintained in GMS are involved into the transit, the Office of Departure sends the ‘Guarantee Use Cancellation’ (IE204) message to cancel the registration usage of these guarantees.
- The movement is released for Transit. That means the declared Office(s) of Transit, Office(s) of Exit for Transit and the declared Office of Destination are notified via the ‘Anticipated Arrival Record’ (IE001), ‘Anticipated Exit for Transit Record’ (IE160) and ‘Anticipated Transit Record’ (IE050) messages respectively. The state of the MRN is set to “Movement released”.

While the movement is under the status “Under release request”, the ‘Request of Release’ (IE054) message is expected by the Holder of the Transit Procedure to denote whether it accepts or rejects the minor revisions proposed by the Office of Departure. This can have the following consequences:

- The release request sent by the Holder of the Transit Procedure is rejected, since it is invalid meaning that the ‘Rejection from Office of Departure’ (IE056) message is sent back to the Holder of the Transit Procedure. In that case, the status remains the same as “Under release request”.
- The release request is valid and positive, meaning that the Holder of the Transit Procedure accepts the minor revisions proposed by the Office of Departure, and the status becomes “Guarantee under registration”.

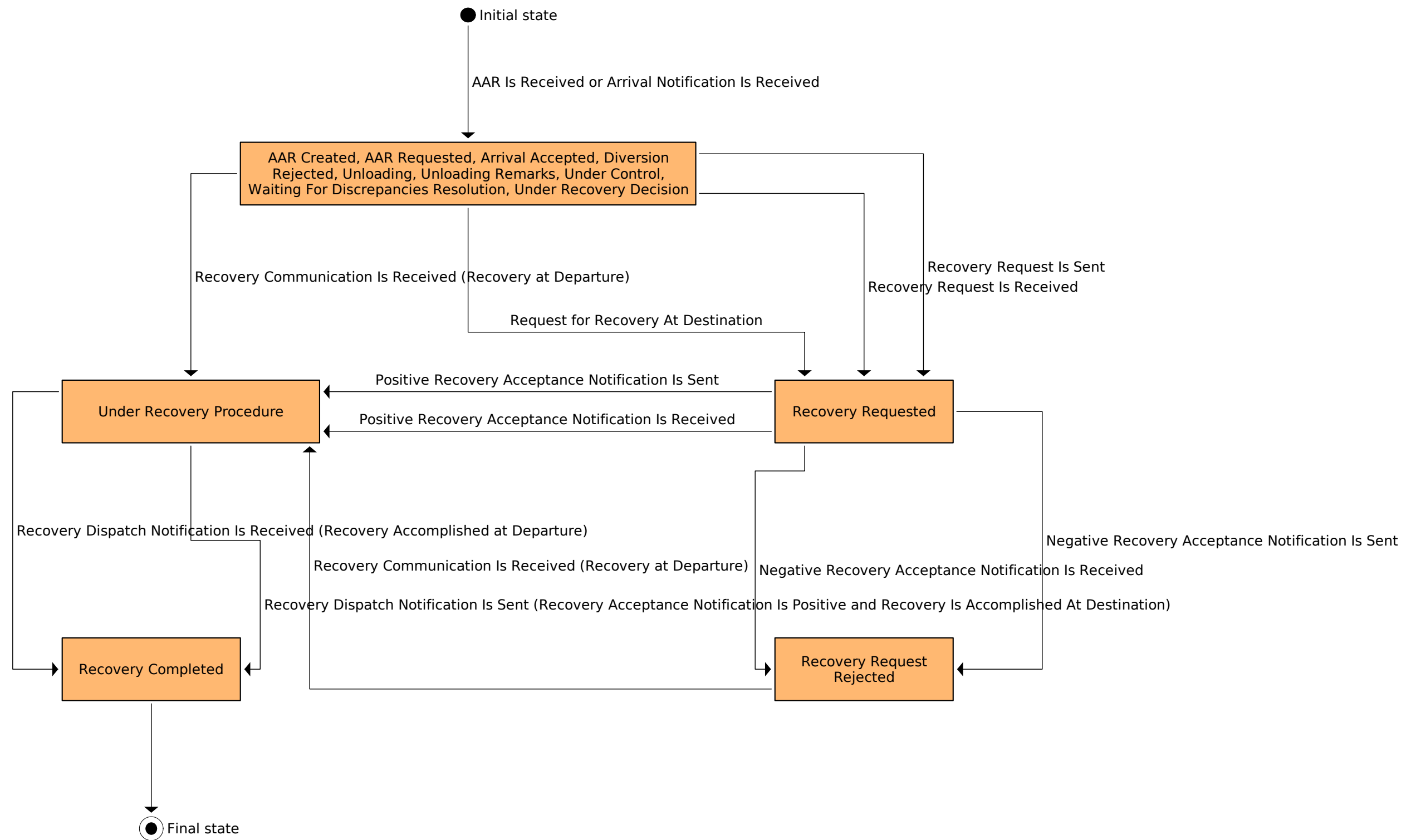
NOTE: The Office of Departure needs to accept the reference amount to be used for the specific transit declaration and then the ‘Guarantee Use’ (IE203) message needs to be sent. Nevertheless, the IE203 is not applicable for non-GMS guarantee types (e.g. type ‘3’).

- The release request is valid and negative, meaning that the Holder of the Transit Procedure rejects the minor revisions proposed by the Office of Departure. The movement is set to “Idle”.
- While the ‘Request of Release’ (IE054) message is expected from the Holder of the Transit Procedure, the Office of Departure sends the ‘No Release for Transit’ (IE051) message. The status of the movement is set to “Not Released for Transit”.



6.4. Customs Office of Destination with Recovery States

- Model Description: When a movement is not in the “Goods released” or “Arrived” state the following can occur:
- The Customs Officer at the Competent Authority of Enquiry at Destination decides that their country is responsible for Recovery. If it has received the ‘Enquiry Request’ (IE142) message, it sends the ‘Enquiry Response’ (IE143) message, containing ‘Request for Recovery at Destination’, to the Competent Authority of Enquiry at Departure within the expected time. Otherwise it sends the ‘Recovery Request’ (IE150) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery requested”.
 - o If the Competent Authority of Recovery at Departure accepts that the Country of Destination is competent, it replies with the positive ‘Recovery Acceptance Notification’ (IE151) message, and the state of the movement is set to “Under recovery procedure” state, Recovery is carried out at Destination.
 - When Recovery has been completed at Destination, the Competent Authority of Recovery at Destination sends the ‘Recovery Dispatch Notification’ (IE152) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery completed”.
 - o If the Competent Authority of Recovery at Departure does not accept that the Country of Destination is competent, it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Recovery Request Rejected”. Recovery is then carried out at the Office of Departure, which sends the ‘Recovery Communication’ (IE063) to the Office of Destination. The state of the movement at Destination is then set to “Under recovery procedure”.
 - The Competent Authority of Recovery at Destination receives the ‘Recovery Request’ (IE150) message from the Competent Authority of Recovery at Departure and the state of the movement is set to “Recovery requested”.
 - o If the Competent Authority of Recovery at Destination accepts that it is competent, it replies with the positive ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Under recovery procedure”.
 - When Recovery has been completed at Destination, the Competent Authority of Recovery at Destination sends the ‘Recovery Dispatch Notification’ (IE152) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery completed”.
 - o If the Competent Authority of Recovery at Destination does not accept that it is competent, it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message, and the state of the movement is set to “Recovery Request Rejected”. Recovery is then carried out at the Office of Departure, which sends the ‘Recovery Communication’ (IE063) to the Office of Destination. The state of the movement at Destination is then set to “Under recovery procedure”.
 - In all the cases that the Recovery has not been performed at the Country of the Office of Destination, the Competent Authority of Recovery at Destination will receive from the Competent Authority of Recovery at Departure the ‘Recovery Dispatch Notification’ (IE152) message and the state will be set to “Recovery completed”.
 - In case the movement is not active anymore at the receiving Customs Office, the ‘Recovery Communication’ (IE063) message and the ‘Recovery Dispatch Notification’ (IE152) message must be accepted for information.



6.5. Customs Office of Destination without Recovery States

Model Description: Upon reception of the ‘Anticipated Arrival Record’ (IE001) from the Office of Departure, the state of the movement at the Office of Destination will be set to “AAR created”. Normally, the next event should be the ‘Arrival Notification’ (IE007) received from the Trader at Destination. If the presentation of the arrival is invalid, a ‘Rejection from Office of Destination’ (IE057) will be sent back to the Trader and the status will remain “AAR created”. If the arrival notification is acceptable, the status will change to “Arrival accepted” and the ‘Arrival Advice’ (IE006) will be sent back to the Office of Departure.

Under the status ‘AAR Created’, the Office of Destination can receive from the Office of Departure the ‘Forwarded Incident Notification to CD’ (IE181) message, when incidents have occurred during the journey of the consignment.

Under normal procedure, one may or may not perform controls. If no control is performed, the ‘Destination Control Results’ (IE018) are sent to the Office of Departure and the goods are released from transit by sending the ‘Goods Release Notification’ (IE025) to Trader at Destination (i.e. “Release Indicator” flag is equal to “1-Full release of goods (as per declaration) - Movement closed”). The status then becomes “Goods released”.

In case the Customs Officer updates the system with the decision to control, the status of the movement will become “Under control”.

In case no/minor discrepancies are detected during control (i.e. control result code starts with ‘A’), the ‘Destination Control Results’ (IE018) will be sent to the Office of Departure and the goods will be released from transit [the ‘Goods Release Notification’ (IE025) is sent to the Trader at Destination]. The state of the movement at the Office of Destination will be set to “Goods released”.

In case of major discrepancies detected during control (i.e. control result code is ‘B1’), the ‘Destination Control Results’ (IE018) will be sent to Office of Departure [the ‘Goods Release Notification’ (IE025) will be also sent to the Trader at Destination having the data element “Release indicator” flag equal to “2-Partial release” or “4-No Release”. The status will be set to “Waiting for discrepancies resolution”.

In case the Office of Departure has not resolved the major discrepancies, and the Office of Destination receives the ‘Discrepancies Solved Notification’ (IE049) that indicates that the discrepancies have not been resolved having the flag “Discrepancies resolved” equal to “2-Discrepancies confirmed by the customs office of departure”. The recovery process will be started (e.g. at departure)” or “3-Timer (13 days) expired and customs office of departure did not resolve discrepancies yet”, the status of the movement is set to “Under recovery decision”.

After reception of the ‘Discrepancies Solved Notification’ (IE049) from the Office of Departure that indicates that the discrepancies have been resolved [having the flag “Discrepancies resolved” equal to “1-Discrepancies resolved by the customs office of departure”], a notification is sent that goods are released [‘Goods Release Notification’ (IE025) is sent to the Trader at Destination having the data element “Release indicator” flag equal to “1- Full release of goods (as per declaration) - Movement closed” or “3- Partial release of goods - Movement closed”]. The status will become “Goods released”.

In case of simplified procedure, the ‘Unloading Permission’ (IE043) will be sent to the Trader at Destination and the status will become “Unloading”. The ‘Unloading Permission’ (IE043) message contains detailed information about the goods expected. Unloading remarks will be sent back by the Trader to the Office of Destination by means of an ‘Unloading Remarks’ (IE044) message. This may or may not be rejected.

In case the ‘Unloading Remarks’ (IE044) message is rejected, a rejection message ‘Rejection from Office of Destination’ (IE057) will be sent back to the Trader and the status will remain “Unloading”. In case the ‘Unloading Remarks’ (IE044) message is accepted, the status will become “Unloading remarks”. The Office of Destination will now analyse the ‘Unloading Remarks’. There are three (3) possibilities after having received the ‘Unloading Remarks’:

- Unloading is completed and there are no unloading remarks; the ‘Destination Control Results’ (IE018) are sent and the goods are released. The ‘Goods Release Notification’ (IE025) message is sent to the Trader at Destination (i.e. “Release Indicator” flag is equal to “1-Partial release of goods - Movement closed”);
- There are unloading remarks and/or the state of seals is not in good condition and/or the unloading is not completed, and the Office of Destination needs to decide whether to control or not the transit movement. A decision to “control” is taken; the status of the transit movement is set to the status “Under Control”.
- There are unloading remarks and/or the state of seals is not in good condition and/or the unloading is not completed, and the Office of Destination needs to decide whether to control or not the transit movement. A decision to “no control” is taken; the Office of Destination sends a new ‘Unloading Permission’ (IE043) message, and the status of the transit movement is set to the status “Unloading”.

Three (3) special cases are also taken into consideration.

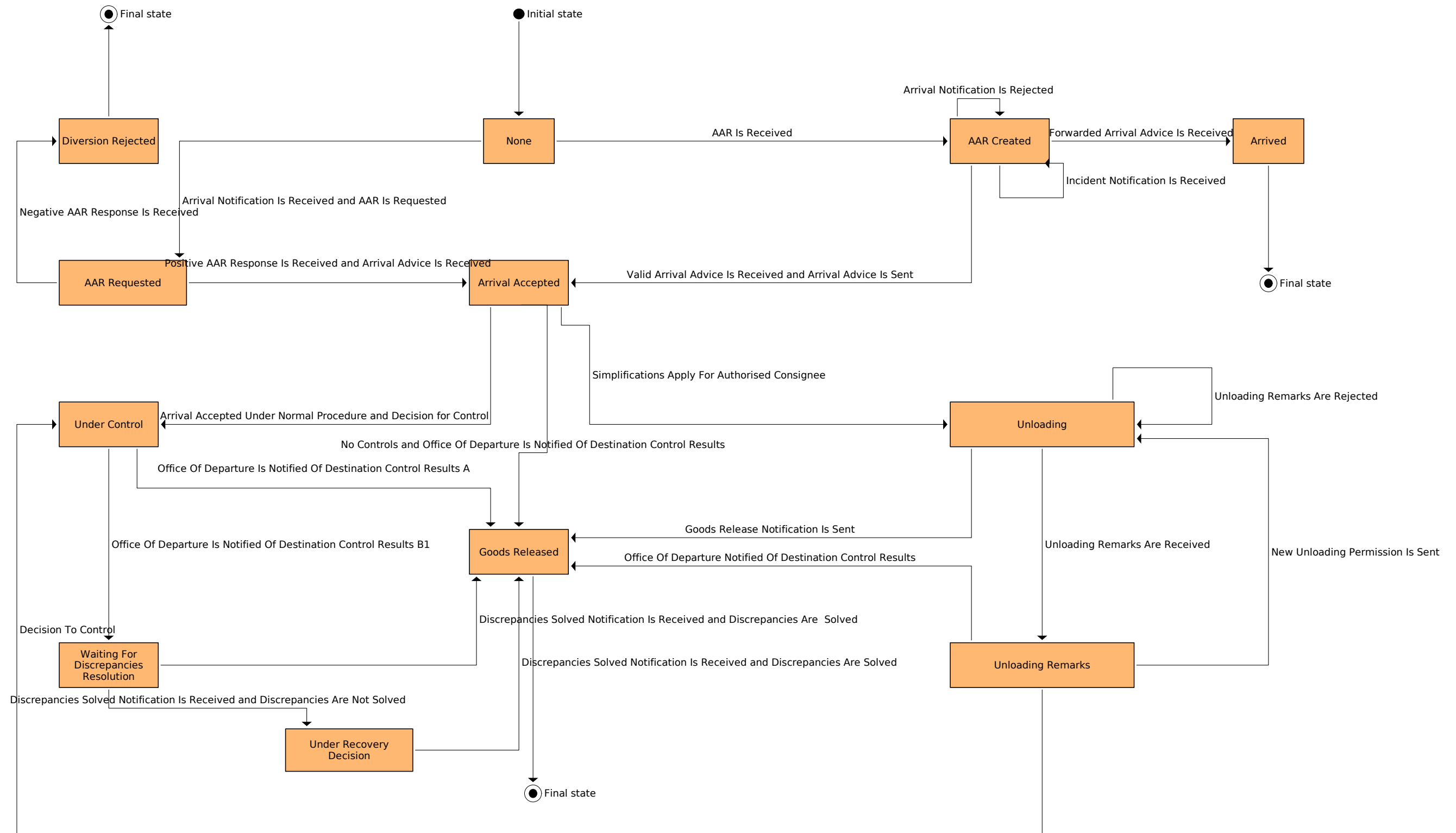
The first case concerns a missing ‘Anticipated Arrival Record’. The consignment arrives, and an arrival notification is sent from the Trader at Destination to the Office of Destination. In this case, an ‘Anticipated Arrival Record Request’ (IE002) will be sent to the Office of Departure and the status will become “AAR requested”.

The Office of Destination will recognise this as a case of missing ‘Anticipated Arrival Record’. In this case, after the reception of the ‘Anticipated Arrival Record Response’ (IE003), the Actual Office of Destination will send back the ‘Arrival Advice’ (IE006) to the Office of Departure and the status will become “Arrival accepted”. In case the ‘Anticipated Arrival Record’ (IE001) is received and processed before the ‘Anticipated Arrival Record Response’ (IE003), the state of the movement will again be set to “Arrival Accepted”.

If, however, the request for the ‘Anticipated Arrival Record’ is refused by a “negative” ‘Anticipated Arrival Record Response’ (IE003), then the status will become “Diversion rejected” and no further processing is executed in NCTS.

In case of diversion, the consignment will never arrive at the declared Office of Destination. The message ‘Forwarded Arrival Advice’ (IE024) will then be sent to the declared Office of Destination. In that case, the status will be set to “Arrived”. It should also be mentioned that when the Actual Office of Destination receives the positive ‘Anticipated Arrival Record Response’ (IE003), the state of the movement changes from “AAR requested” to “Arrival Accepted”. In case the Declared Office of Destination receives a ‘Forwarded Arrival Advice’ (IE024) the movement reaches the final state “Arrived”.

The State Transition Diagram for a non-declared Office of Destination is similar. In these cases, the Office of Destination always needs to send an ‘Anticipated Arrival Record Request’ (IE002) upon the receipt of the ‘Arrival Notification’ (IE007). In case of a positive ‘Anticipated Arrival Record Response’ (IE003), an ‘Arrival Advice’ (IE006) will be sent and the status will become “Arrival accepted”.



6.6. Customs Office of Exit for Transit with Recovery States

Model Description: About the Recovery states at the Office of Exit for Transit, when the movement is not under the “Movement stopped” state, the following can occur:

- The Office of Exit for Transit receives the ‘Recovery Communication’ (IE063), and the system records the start of the Recovery procedure. The state of the movement is set to “Under recovery procedure”;
- The Competent Authority of Recovery in the Country of Exit for Transit receives the ‘Recovery Request’ (IE150) message from the Competent Authority of Recovery at Departure and the state of the movement is set to “Recovery Requested”:
 - o If the Competent Authority of Recovery in the Country of Exit for Transit accepts that it is competent, it replies with the positive ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Under recovery procedure”:

When Recovery has been completed at the Country of Exit for Transit, the Competent Authority of Recovery in the Country of Exit for Transit sends the ‘Recovery Dispatch Notification’ (IE152) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery completed”.

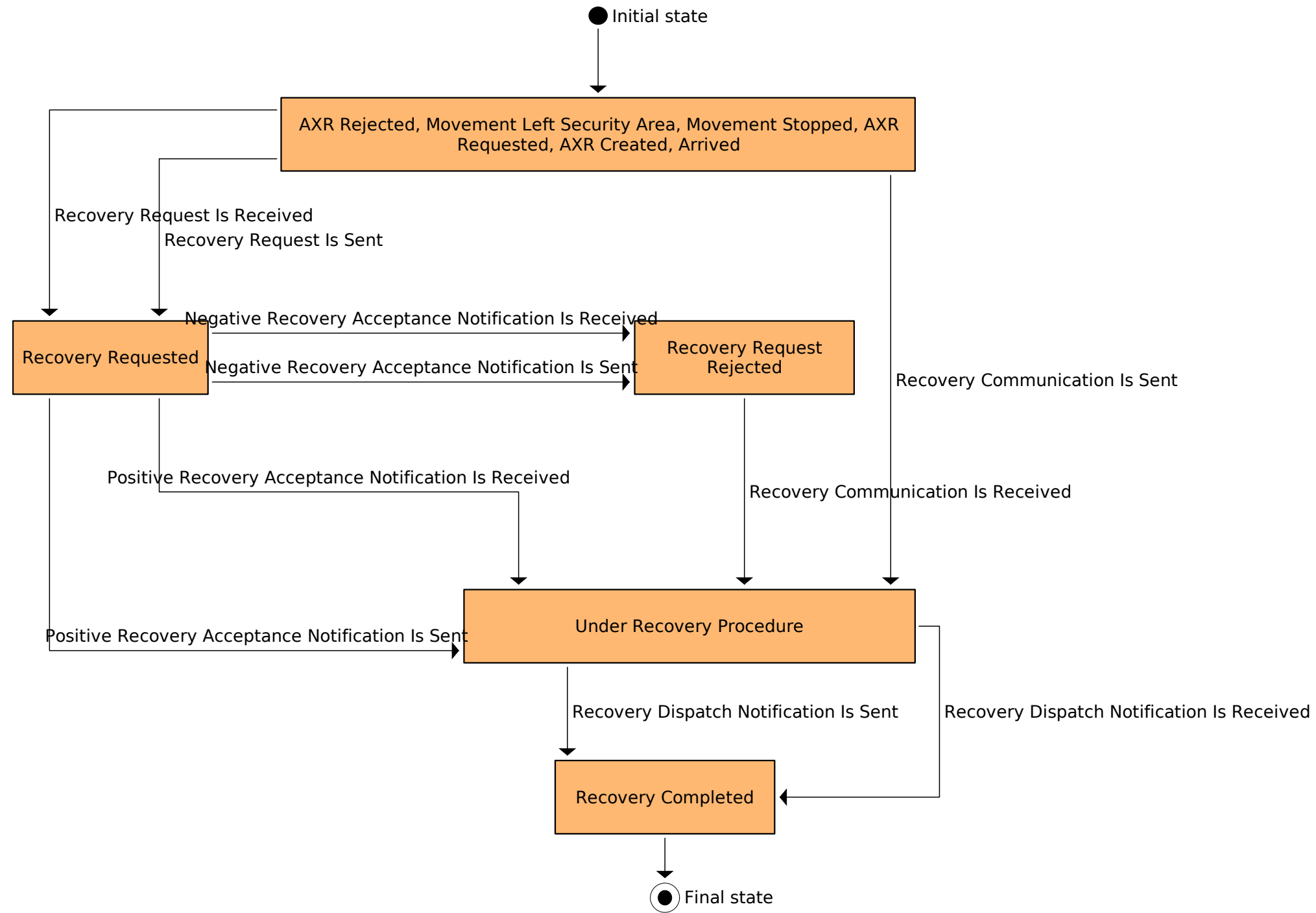
- o If the Competent Authority of Recovery in the Country of Exit for Transit does not accept that it is competent, it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Recovery Request Rejected”. Recovery is then carried out at the Office of Departure, which sends the ‘Recovery Communication’ (IE063) to the Office of Exit for Transit. The state of the movement at the Office of Exit for Transit is then set to “Under recovery procedure”;
- The Competent Authority of Recovery in the Country of Exit for Transit decides that it is competent and sends the ‘Recovery Request’ (IE150) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery requested”:
 - o If the Competent Authority of Recovery at Departure accepts that the Country of Exit for Transit is competent, it replies with the positive ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Under recovery procedure” state. Recovery is carried out at the Country of Exit for Transit:

When Recovery has been completed at the Country of Exit for Transit, the Competent Authority of Recovery in this country sends the ‘Recovery Dispatch Notification’ (IE152) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery completed”;

- o If the Competent Authority of Recovery at Departure does not accept that the Country of Exit for Transit is competent, it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Recovery Request Rejected”. Recovery is then carried out at the Office of Departure which sends the ‘Recovery Communication’ (IE063) to the Office of Exit for Transit. The state of the movement at the Office of Exit for Transit is then set to “Under recovery procedure”.

In all the cases that the Recovery has not been performed at the Country of Exit for Transit, the Competent Authority in the Country of Exit for Transit will receive the ‘Recovery Dispatch Notification’ (IE152) message from the Competent Authority of Recovery at Departure and the state will be set to “Recovery completed”.

In the case the movement is not active anymore (archived) at the receiving Customs Office, the ‘Recovery Communication’ (IE063) message and the ‘Recovery Dispatch Notification’ (IE152) message must be accepted for information.



6.7. Customs Office of Exit for Transit without Recovery States

Model Description: Prior to the arrival of the goods to the borders of the Customs Territory of the Union, the Office of Departure notifies the Office of Exit for Transit about the consignment with the ‘Anticipated Exit for Transit Record’ (IE160) message. The state of the movement at the Office of Exit for Transit becomes “AXR created”.

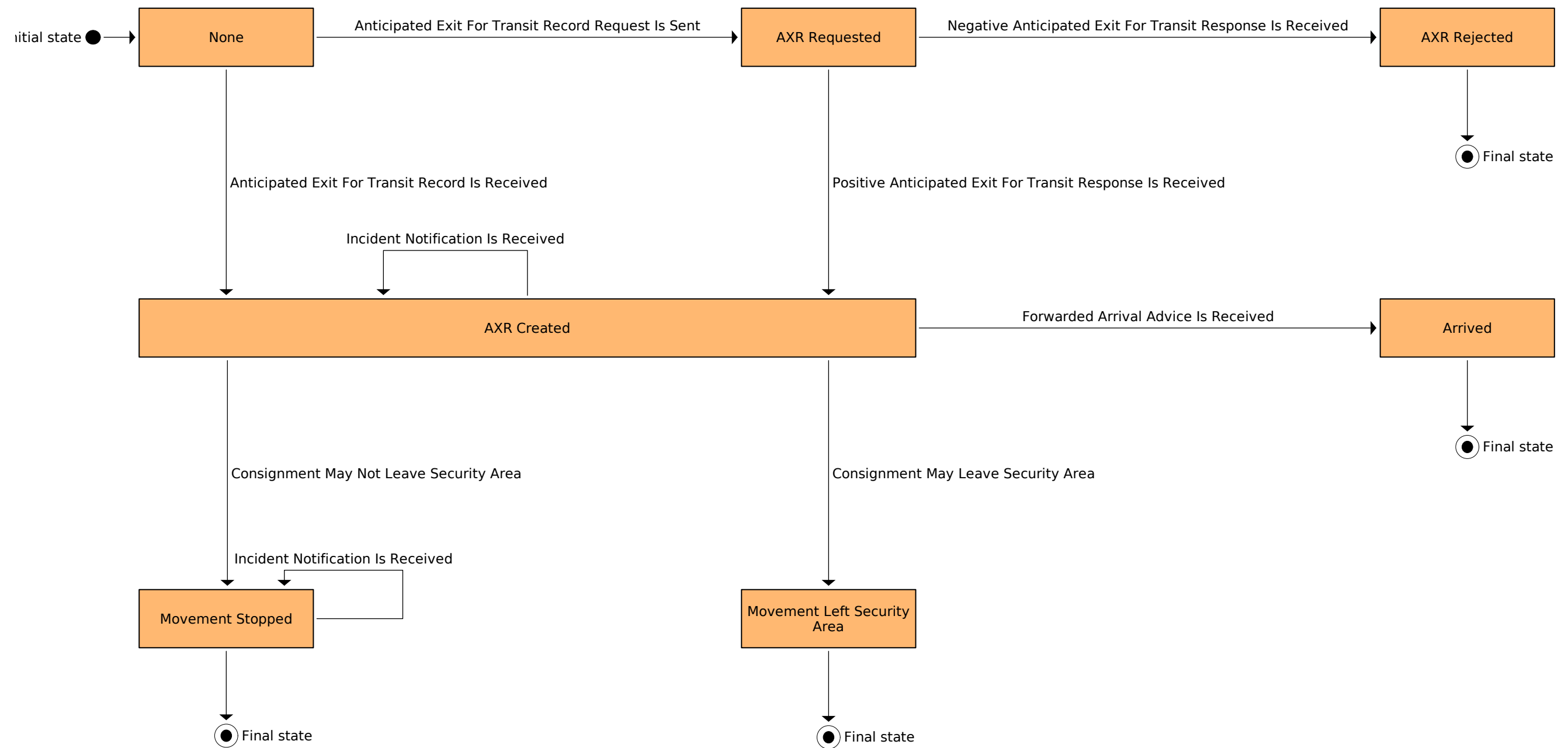
Upon arrival of the movement at the Office of Exit for Transit, NCTS requests an automatic national risk analysis in order to decide whether the goods are eligible for exit from the Security Area. The Office of Exit for Transit notifies the Office of Departure by sending the ‘Notification Leaving Security Area’ (IE168) message in case the movement is allowed to leave the Security Area. The state of the movement is set to “Movement left Security Area”.

If the movement is not allowed to leave the Security Area, the state of the movement is set to “Movement stopped”. The Office of Exit for Transit acts as the actual Office of Destination for the movement and the process of arrival is manually triggered with its individual state transitions.

In case the Office of Exit for Transit has no information regarding the movement due to the diversion of the route, it requests the Office of Departure the movement information by sending the ‘Anticipated Exit for Transit Record Request’ (IE164) message. The state of the movement at the Office of Exit for Transit is set to “AXR requested”. The Office of Departure responds with the ‘Anticipated Exit for Transit Record Response’ (IE165) message to the Office of Exit for Transit. If the response is positive, then the state of the movement becomes “AXR created”. If the response is negative, then the state is set to “AXR rejected”.

After diversion, when consignment arrives at its actual destination, a notification message ‘Forwarded Arrival Advice’ (IE024) will automatically be sent by the Office of Departure to the declared Office of Exit for Transit, informing that the movement left the Security Area from a different Office of Exit for Transit. In that case, the state is set to “Arrived”.

Under the state “AXR Created” or “Movement stopped”, the ‘Forwarded Incident Notification to CD’ (IE181) can be received when incidents occur in the common domain.



6.8. Customs Office of Incident Registration with Recovery States

Model Description: About the Recovery states at the Office of Incident Registration, when the movement is under the “Incident registered” state, the following can occur:

- The Office of Incident Registration receives the ‘Recovery Communication’ (IE063), and the system records the start of the Recovery procedure. The state of the movement is set to “Under recovery procedure”;
- The Competent Authority of Recovery in the Country of Incident Registration receives the ‘Recovery Request’ (IE150) message from the Competent Authority of Recovery at Departure and the state of the movement is set to “Recovery Requested”:
 - o If the Competent Authority of Recovery in the Country of Incident Registration accepts that it is competent, it replies with the positive ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Under recovery procedure”:

When Recovery has been completed at the Country of Incident Registration, the Competent Authority of Recovery in the Country of Incident Registration sends the ‘Recovery Dispatch Notification’ (IE152) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery completed”.

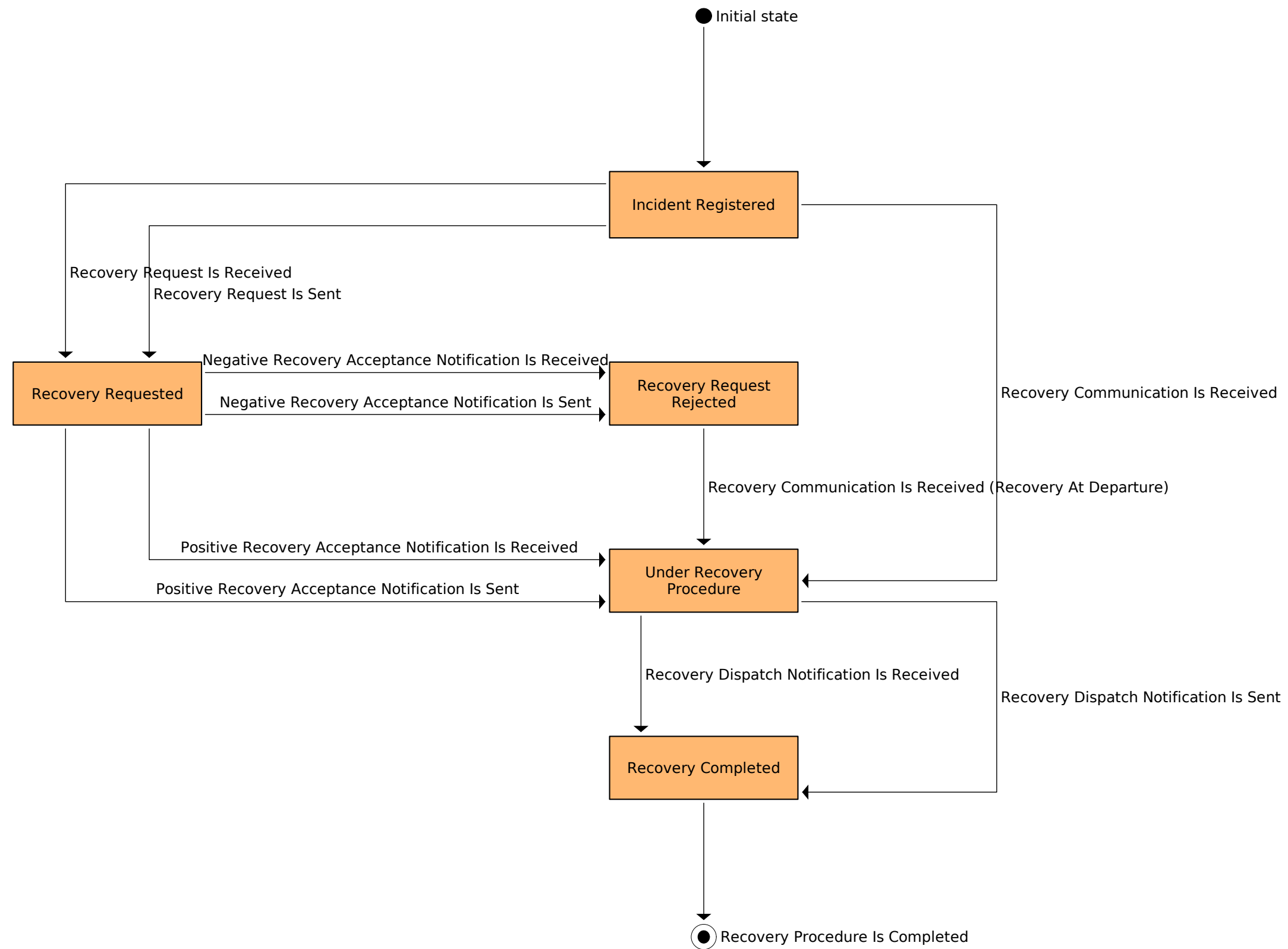
- o If the Competent Authority of Recovery in the Country of Incident Registration does not accept that it is competent, it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Recovery Request Rejected”. Recovery is then carried out at the Office of Departure, which sends the ‘Recovery Communication’ (IE063) to the Office of Incident Registration. The state of the movement at the Office of Incident Registration is then set to “Under recovery procedure”;
- The Competent Authority of Recovery in the Country of Incident Registration decides that it is competent and sends the ‘Recovery Request’ (IE150) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery requested”:
 - o If the Competent Authority of Recovery at Departure accepts that the Country of Incident Registration is competent, it replies with the positive ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Under recovery procedure” state. Recovery is carried out at the Country of Incident Registration:

When Recovery has been completed at the Country of Incident Registration, the Competent Authority of Recovery in this country sends the ‘Recovery Dispatch Notification’ (IE152) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery completed”;

- o If the Competent Authority of Recovery at Departure does not accept that the Country of Incident Registration is competent, it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Recovery Request Rejected”. Recovery is then carried out at the Office of Departure which sends the ‘Recovery Communication’ (IE063) to the Office of Incident Registration. The state of the movement at the Office of Incident Registration is then set to “Under recovery procedure”.

In all the cases that the Recovery has not been performed at the Country of Incident Registration, the Competent Authority in the Country of Incident Registration will receive the ‘Recovery Dispatch Notification’ (IE152) message from the Competent Authority of Recovery at Departure and the state will be set to “Recovery completed”.

In case the Office of Incident Registration is also an involved Office to the Transit movement (e.g. Office of Transit), then the statuses as the other involved Office are considered for Recovery. But, in case the Office of Incident Registration is not involved into the transit movement, then it is also considered an involved Office to the transit movement (for the Recovery purposes) at the “Incident registered” status since the ‘Incident Notification’ (IE180) message is communicated to the Office of Departure.



6.9. Customs Office of Incident Registration without Recovery States

Model Description: When incidents for a transit movement are reported to the nearest and active NCTS Office, where the means of transport are located, then this Office turns out to be the Office of Incident Registration, which needs to request the consignment information from the Office of Departure by sending the ‘Movement Query’ (IE027) message. That should always happen regardless if the Office of Incident Registration is also involved into the transit movement (as any other Office role) so as to retrieve the latest status of the movement from the Office of Departure. The registration of incidents from the Office of Incident Registration always takes precedence over the involved Office. That way, incident information is handled first and then the specific Office (involved into the transit movement) performs its normal activities (provided that it does not become Actual Destination).

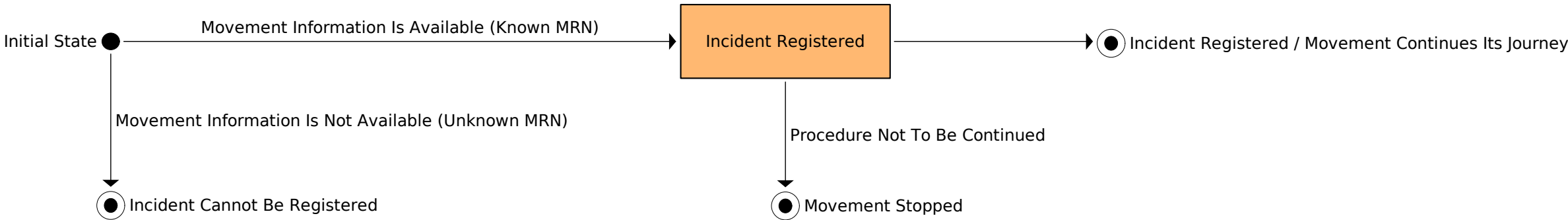
In the occasion that the Office of Departure cannot locate the movement information, then it replies back with a negative ‘Response to Movement Query’ (IE038) message to the Office of Incident Registration. No further processing action is possible from this point onto the Office of Incident Registration.

But, if the movement information is found at the Office of Departure, then it replies back to the Office of Incident Registration with a ‘Response to Movement Query’ (IE038) message that contains the full transit record and the latest status of the movement from the Office of Departure

Afterwards, the Office of Incident Registration takes the decision whether the movement can continue its journey or not and registers the incident(s) by sending the ‘Incident Notification’ (IE180) message to the Office of Departure with any of the following outcomes:

- In case the Office of Incident Registration provides a positive decision that the movement can continue its journey despite the reported incident(s);
- In case the Office of Incident Registration provides a negative decision, meaning that the movement needs to end its journey due to the reported incident(s), then the Office of Incident Registration must switch roles and become the final Office of Destination (Actual).

Upon reception of the ‘Incident Notification’ (IE180) message, the Office of Departure forwards the incident information to the Holder of the Transit Procedure through the ‘Forwarded Incident Notification To ED’ (IE182) message and to the involved Offices (i.e. Exit for Transit / Transit / Destination) through the ‘Incident Notification to CD’ (IE181) message.



6.10. Customs Office of Transit with Recovery States

Model Description: When a movement is not under the state of “Movement stopped”, the following can occur:

- The Office of Transit receives the ‘Recovery Communication’ (IE063), and the system records the start of the Recovery procedure. The state of the movement is set to “Under recovery procedure”;
- The Competent Authority of Recovery in the Country of Transit receives the ‘Recovery Request’ (IE150) message from the Competent Authority of Recovery at Departure and the state of the movement is set to “Recovery Requested”:
 - o If the Competent Authority of Recovery in the Country of Transit accepts that it is competent, it replies with the positive ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Under recovery procedure”:

When Recovery has been completed at Transit the Competent Authority of Recovery in the Country of Transit sends the ‘Recovery Dispatch Notification’ (IE152) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery completed”.

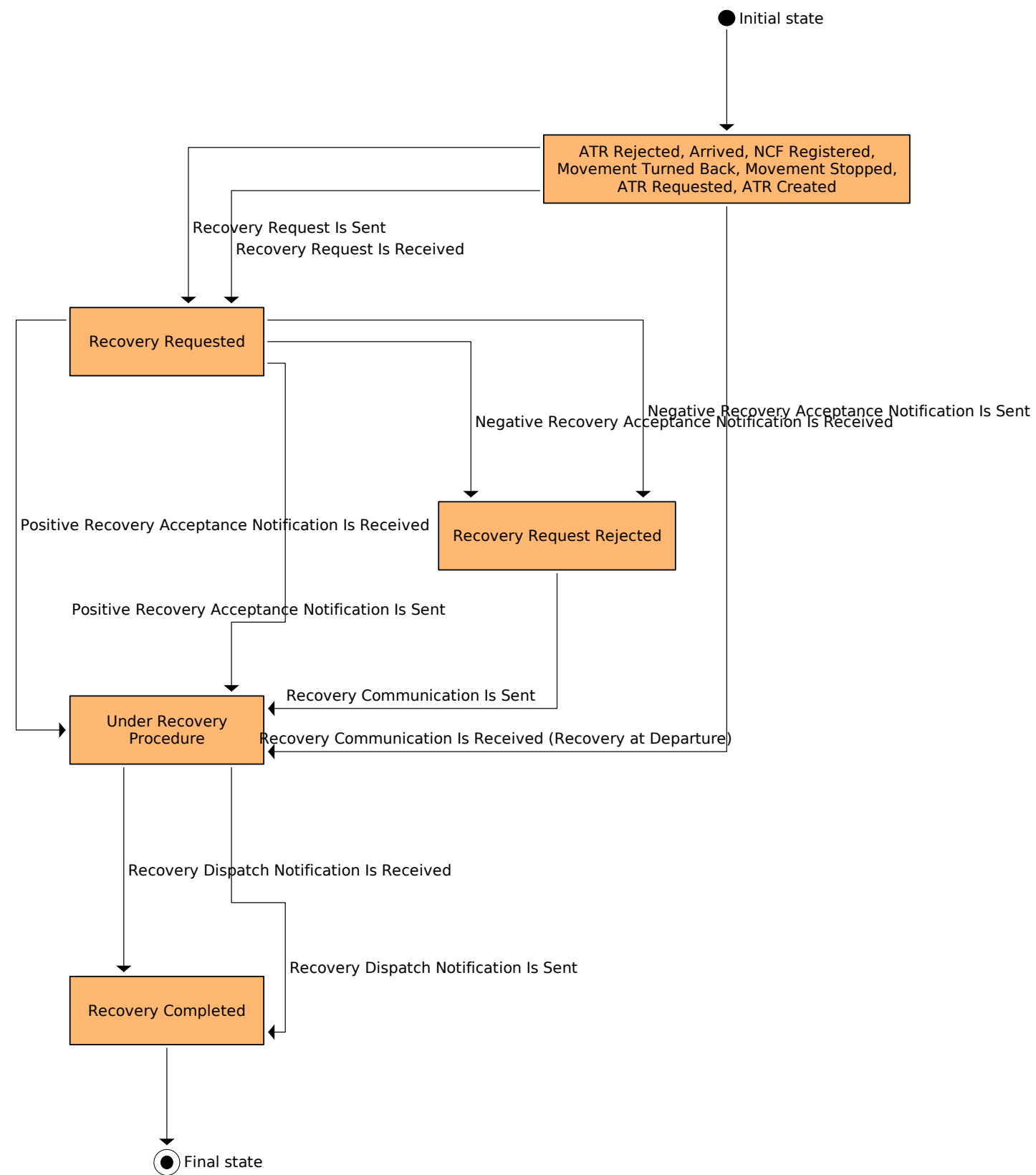
- o If the Competent Authority of Recovery in the Country of Transit does not accept that it is competent, it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Recovery Request Rejected”. Recovery is then carried out at the Office of Departure, which sends the ‘Recovery Communication’ (IE063) to the Office of Transit. The state of the movement at Transit is then set to “Under recovery procedure”;
- The Competent Authority of Recovery in the Country of Transit decides that it is competent and sends the ‘Recovery Request’ (IE150) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery requested”:
 - o If the Competent Authority of Recovery at Departure accepts that the Country of Transit is competent, it replies with the positive ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Under recovery procedure” state. Recovery is carried out at the Country of Transit:

When Recovery has been completed at the Country of Transit, the Competent Authority of Recovery in the Country of Transit sends the ‘Recovery Dispatch Notification’ (IE152) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery completed”;

- o If the Competent Authority of Recovery at Departure does not accept that the Country of Transit is competent, it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Recovery Request Rejected”. Recovery is then carried out at the Office of Departure which sends the ‘Recovery Communication’ (IE063) to the Office of Transit. The state of the movement at Transit is then set to “Under recovery procedure”.

In all the cases that the Recovery has not been performed at the Country of the Office of Transit, the Competent Authority in the Country of Transit will receive from the Competent Authority of Recovery at Departure the ‘Recovery Dispatch Notification’ (IE152) message and the state will be set to “Recovery completed”.

In the case the movement is not active anymore (archived) at the receiving Customs Office, the ‘Recovery Communication’ (IE063) message and the ‘Recovery Dispatch Notification’ (IE152) message must be accepted for information.



6.11. Customs Office of Transit without Recovery States

Model Description: There are two major possibilities:

- The consignment is known at the Office of Transit (‘Anticipated Transit Record’ (IE050) is already received); or
- It is not known beforehand (no ‘Anticipated Transit Record’ (IE050) is received).

In the first case, the declared Office of Transit has received the ‘Anticipated Transit Record’ (IE050), after which the status becomes “ATR created”.

If the consignment then passes the border, the ‘Notification Crossing Frontier’ (IE118) message will be sent to the Office of Departure and the status will become “NCF registered”. The role of the Office of Transit stops here. It is thus implicitly assumed that only one border crossing can take place for a declared Office of Transit.

In case diversion from the pre-defined itinerary at Office of Transit occurs during the journey of the movement and the consignment reports to another Office of Transit instead of the declared based on the declaration data, the declared Office of Transit will receive the ‘Forwarded Arrival Advice’ (IE024) message, provided that no ‘Notification Crossing Frontier’ (IE118) message has been previously sent by that declared Office of Transit. The ‘Forwarded Arrival Advice’ (IE024) message will be sent to the Declared Office of Transit when the movement arrives at the Office of Destination and notifies the Office of Departure with the ‘Arrival advice’ (IE006) message. In that case, the state of the movement at the Declared Office of Transit will be set to “Arrived”.

If the consignment does not pass the declared Office of Transit, the Office of Departure will inform the declared Office of Transit upon the arrival of the consignment at the Office of Destination, by means of a ‘Forwarded Arrival Advice’ (IE024). The status will then be marked as “Arrived”.

However, if the movement is stopped at the Office of Transit, and this Office authorizes the transit movement to end its journey to this country, then this Office becomes Actual Office of Destination. Even though the ‘Forwarded Arrival Advice’ (IE024) is sent to the Declared Office of Transit, the status of the movement will remain “Movement stopped”. Again, the role of the Office of Transit stops here.

NOTE: It is not always mandatory when the Office of Transit stops the transit movement - no ‘Notification Crossing Frontier’ (IE118) is sent to the Office of Departure) - that this Office may always act as an Actual Office of Destination. Several reasons might be:

1. There is no guarantee valid in that country (i.e. EU MS or CTC), and therefore the goods are not authorised to enter;
2. According to the risk analysis results, the goods cannot enter the EU (or CTC country). However, note that this principle of “turning the truck back” may not apply in cases when goods leave the EU to cross a third country, transit is suspended, then continues when the goods re-enter the territory of the EU or CTC country. In this case the transit Office is situated at the EU exit border. If the goods cannot leave the EU, this transit Office becomes the destination. Moreover, in case the movement is turned back due to the binding itinerary, the ‘Forwarded Arrival Advice’ (IE024) will be sent to this Office of Transit as well, but the status of the movement will remain “Movement turned back”. Additionally, the “Arrived” state will be reached in case the ‘Forwarded Arrival Advice’ (IE024) is sent to close any open ‘Anticipated Transit Record Response’ (IE115), which was requested by the Office of Transit but never used at this Customs Office.

In case an unknown consignment shows up or no ‘Anticipated Transit Record’ C_ATR_ND (IE050) is yet received, the Office of Transit will always request the ‘ATR’ from Office of Departure by means of ‘Anticipated Transit Record Request’ (IE114). The state at the Office of Transit is set to “ATR Requested”.

If the response message ‘Anticipated Transit Record Response’ (IE115) to the ‘Anticipated Transit Record Request’ (IE114) is positive, the status will be set to “ATR created” and a similar lifecycle will start as for the known movement. In case the ‘Anticipated Transit Record’ (IE050) is received and processed before the ‘Anticipated Transit Record Response’ (IE115), the state of the movement will again be set to “ATR created”. When the consignment crosses the border, it will become “NCF registered”.

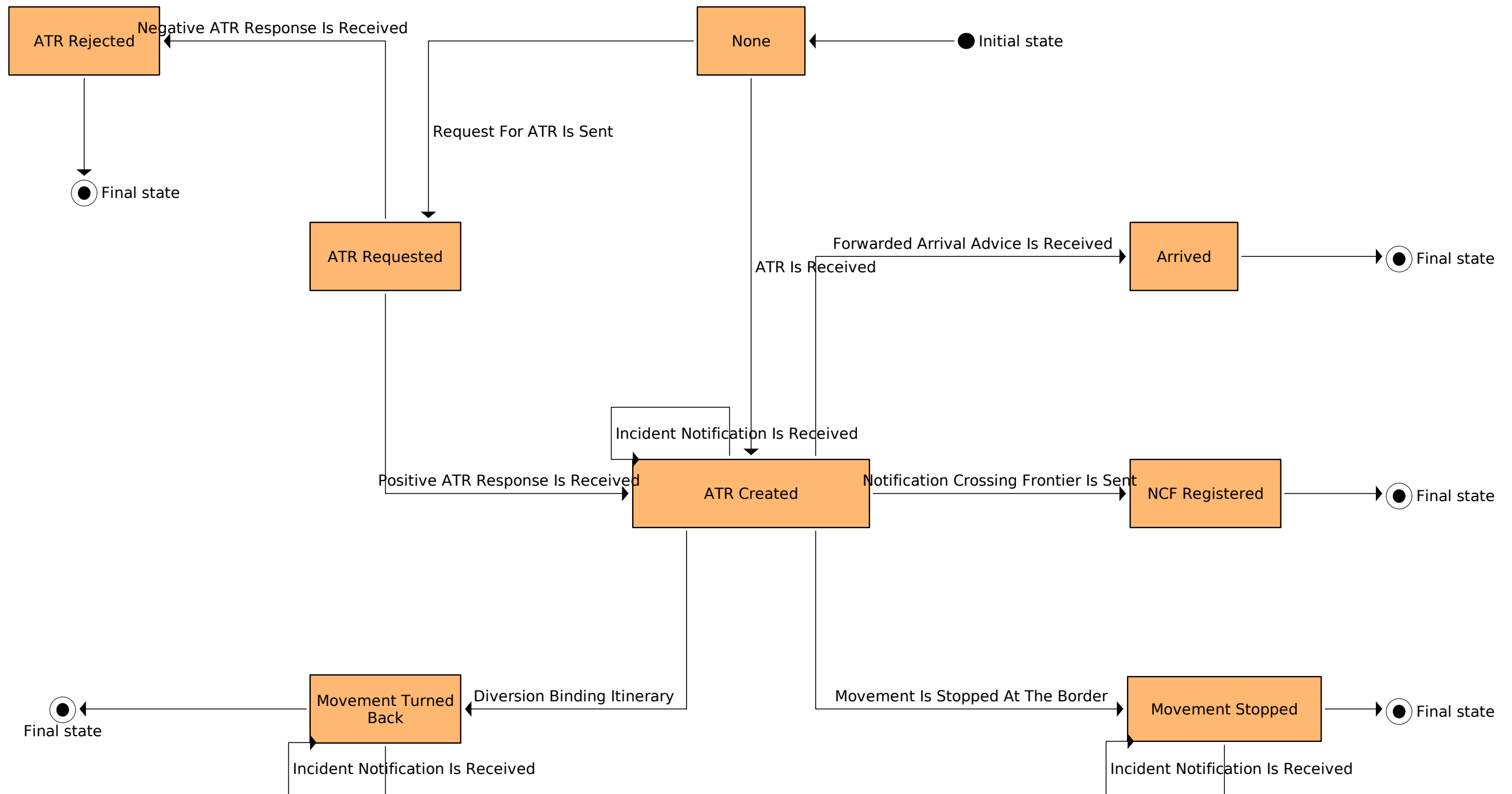
If the ‘Anticipated Transit Record Response’ (IE115) to the ‘Anticipated Transit Record Request’ (IE114) is negative, the status will be set to the final state “ATR rejected”. However, another ‘Anticipated Transit Record Request’ (IE114) can be possibly received for this transit movement.

In case the passage is validated, and the transit movement must be turned back, the state of the transit operation is set to “Movement turned back”. In case the passage is validated, and the transit movement must be stopped at the frontier, the state of the Transit Operation is set to “Movement stopped”.

Under the state “ATR Created”, the ‘Forwarded Incident Notification to CD’ (IE181) can be received when incidents occur in the common domain.

The states “ATR rejected”, “Arrived”, “NCF registered”, “Movement stopped” and “Movement turned back” are considered final. Movements in this state may be archived.

Finally, it is also possible the Office of Transit under the statuses: “Movement stopped” or “Movement turned back” to receive from the Office of Departure the ‘Forwarded Incident Notification to CD’ (IE181) message.



6.12. Invalidation at Customs Office of Departure

Model Description: The Holder of the Transit Procedure may initiate invalidation of a transit declaration. A ‘Declaration Invalidation Request’ (IE014) will be rejected once the movement has been released for transit. However, if it is found after the movement has been released for transit that any of the following erroneous cases happen: a) Union goods have been declared in error for a customs procedure applicable to non-Union goods or b) the goods have been erroneously declared under more than one customs declaration, the Office of Departure requests to invalidate the transit movement. No invalidation is possible when the Office of Exit for Transit or the Office of Transit or the Office of Destination or the Office of Incident Registration have sent any of the ‘Notification Leaving Security Area’ (IE168) or ‘Notification Crossing Frontier’ (IE118) or the ‘Arrival Advice’ (IE006) or the ‘Incident Notification’ (IE180) message(s). Before release for transit, the Holder of the Transit Procedure sends a ‘Declaration Invalidation Request’ (IE014), and the Office of Departure responds with an ‘Invalidation Decision’ (IE009), if the following condition is satisfied:

1. The state of the movement is any of the following:
 - o Accepted, or
 - o Under release request, or
 - o Idle, or
 - o Guarantee under registration, or
 - o Guarantee under amendment, or
 - o Guarantee registered.

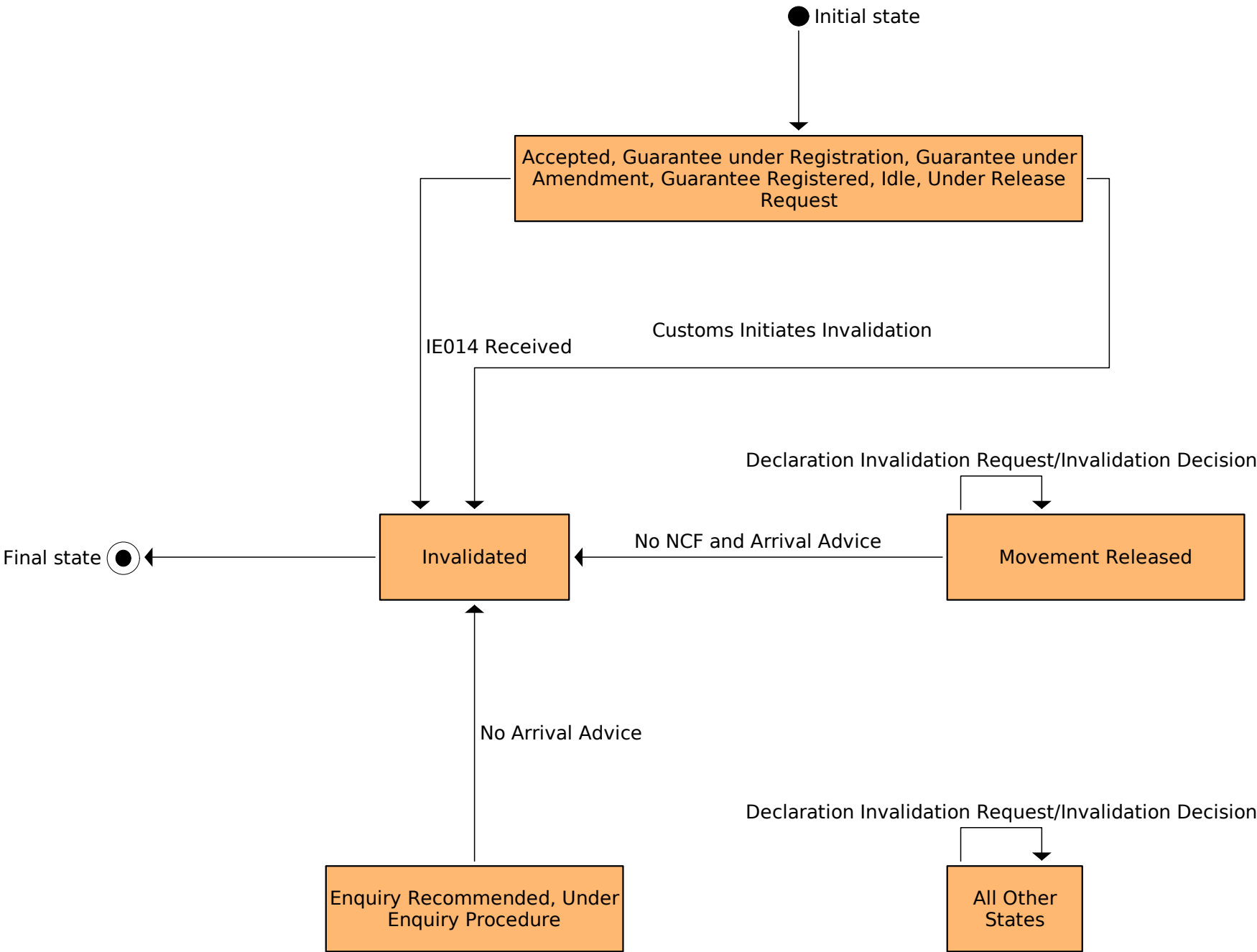
Note: The term 'cancellation' is used for the Pre-Lodged Declaration and not the term 'invalidation'. After release for transit, the Office of Departure shall be able to invalidate the transit declaration (after receiving a request from the Holder of the Transit Procedure by alternative non-electronic means), if the following two conditions are satisfied:

1. The state of the movement is any of the following:
 - o Movement released, or
 - o Enquiry recommended, or
 - o Under enquiry request;
2. The ‘Arrival Advice’ (IE006), the ‘Notification Crossing Frontier’ (IE118), the ‘Notification Leaving Security Area’ (IE168), the ‘Incident Notification’ (IE180) messages have not been received.

Once the Customs Officer has invalidated the movement, the Office of Departure informs:

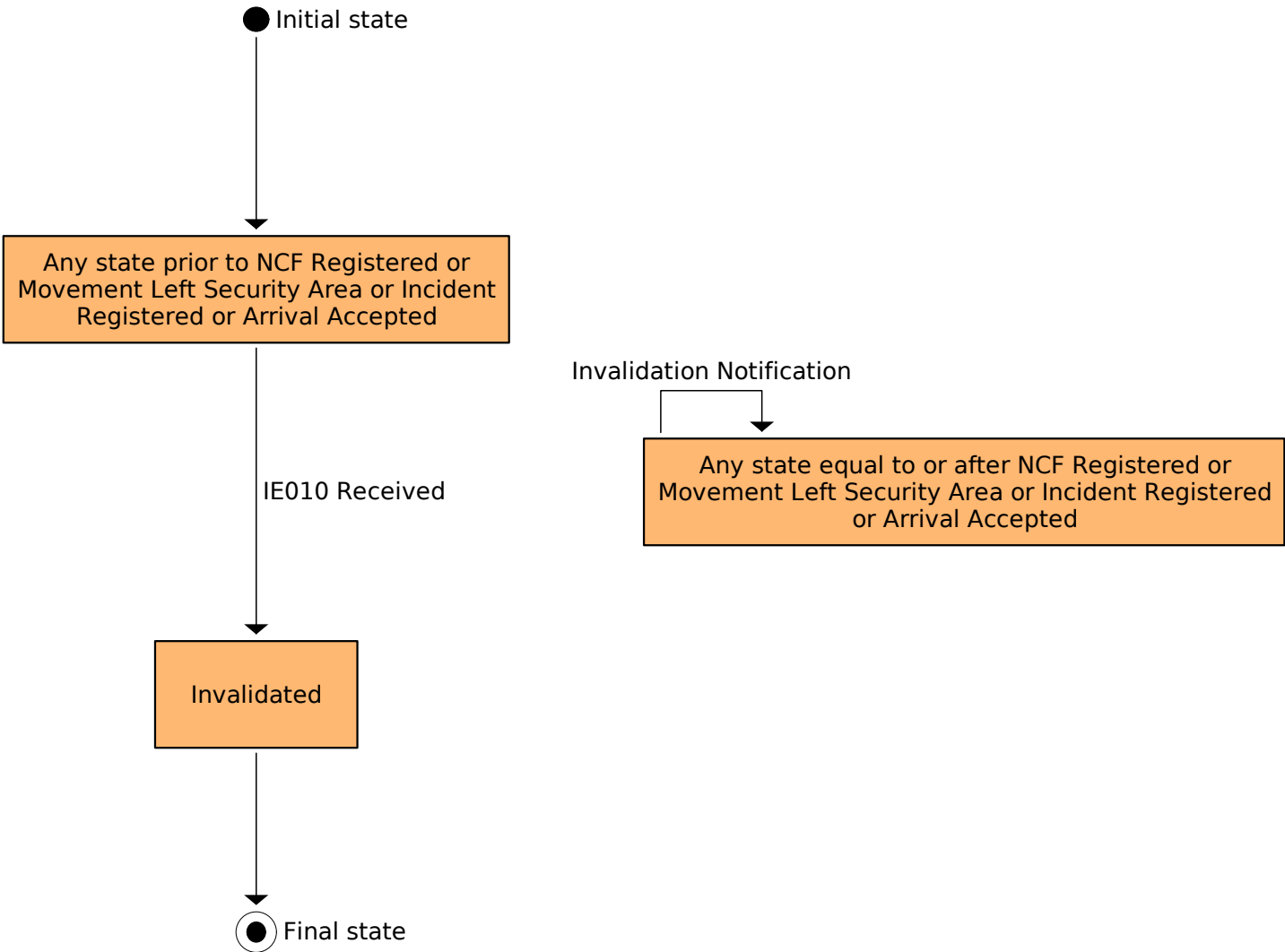
- o The Holder of the Transit Procedure with an ‘Invalidation Decision’ (IE009) message;
- o The Office of Destination, the Office of Transit and the Office of Exit for Transit (if any) with the ‘Invalidation Notification’ (IE010) message.

When the transit declaration references Export MRN(s) in Previous Documents and the goods have been released for transit, the transit declaration cannot be invalidated.



6.13. Invalidation at Customs Office of Transit and Customs Office of Destination

Model Description: No invalidation of a transit declaration is possible when the Office of Departure has received the ‘Notification Crossing Frontier’ (IE118) or the ‘Notification Leaving Security Area’ (IE168) or the ‘Arrival Advice’ (IE006) message(s).



6.14. Other Country

Model Description: This state diagram depicts the concept of recovery being accomplished in a country other than the country of Departure, the country of Destination or a country of Transit. A country of Transit or Destination (actual) can also participate in the recovery procedure if the corresponding movement have reached the final state and is archived. In this case, the country of Transit or Destination acts as Other Country.

- The Competent Authority of Recovery in Other Country receives the ‘Recovery Request’ (IE150) message from the Competent Authority of Recovery at Departure and the state of the movement is set to “Recovery requested”.
 - If the Competent Authority of Recovery in Other Country accepts that it is competent, it sends the positive ‘Recovery Acceptance Notification’ (IE151) message to the Competent Authority of Recovery at Departure. The system records the start of the Recovery procedure at the other country and the state of the movement is set to “Under recovery procedure”.

When Recovery has been completed in the Other Country, the Competent Authority of Recovery in the Other Country sends the ‘Recovery Dispatch Notification’ (IE152) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery completed”.

 - If the Competent Authority of Recovery in Other Country does not accept that it is competent, it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Recovery Request Rejected”. Recovery is then carried out at the Office of Departure, which sends the ‘Recovery Communication’ (IE063) to the Other Country. The state of the movement at the Competent Authority of Recovery in the Other Country is then set to “Under recovery procedure”.
 - The Competent Authority of Recovery in Other Country decides that it is competent and sends the ‘Recovery Request’ (IE150) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery requested”.
 - If the Competent Authority of Recovery at Departure accepts that the Other Country is competent, it replies with the positive ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Under recovery procedure” state, and Recovery is carried out in the Other Country.
 - ◻ When Recovery has been completed in the Other Country, the Competent Authority of Recovery in the Other Country sends the ‘Recovery Dispatch Notification’ (IE152) message to the Competent Authority of Recovery at Departure. The state of the movement is set to “Recovery completed”.
 - If the Competent Authority of Recovery at Departure does not accept that the other country is competent, it replies with the negative ‘Recovery Acceptance Notification’ (IE151) message and the state of the movement is set to “Recovery Request Rejected”. Recovery is then carried out at the Office of Departure which sends the ‘Recovery Communication’ (IE063) to the Other Country. The state of the movement at the Other Country is then set to “Under recovery procedure”.
 - In all the cases that the Recovery has not been performed in Other Country, the Competent Authority of Recovery in the Other Country will receive from the Competent Authority of Recovery at Departure the ‘Recovery Dispatch Notification’ (IE152) message and the state will be set to “Recovery completed”.

